



To: Bay Area UASI Approval Authority
From: Mike Sena, NCRIC, Advisory Group Chairperson
Date: January 12, 2012
RE: Item #10: Report Out From Advisory Group

Recommendation:

Approve the 2012 Interim Bay Area Homeland Security Strategy Implementation Guidance with the following allocation methodology:

- Comply with federal requirements;
- Approve \$10,633,355 in regional sustainment priorities;
- Approve 5% for management and administration (\$559,650);
- Assuming the 2012 UASI allocation exceeds \$11,193,005 (\$10,633,355 + \$559,650),
 - Approve \$1 million for each of the major cities
- Use Work Groups to allocate additional UASI funding (using the percentages allocated to strategic goals)
 - Ensure projects enhance the region's priority capabilities and meet the project review criteria.

Action and Discussion Item:

Discussion and Possible Action

Background:

The UASI Approval Authority requested that the Advisory Group provide a standing report at all Approval Authority meetings to include items of interest or issues to be considered by the Approval Authority. Also, this report will provide a review of the topics discussed at the monthly Advisory Group meeting.

At the November 10, 2011, the Approval Authority asked whether a recommendation had been made concerning the continuation of the core City allocation. General Manager Dziejczak stated that the core City allocation would be a topic of discussion at the next Advisory Group meeting.

Discussion/Description:

The UASI Advisory Group (Advisory Group) met on December 1, 2011 and January 5, 2012 at the Alameda County Sheriff's Office OES, in Dublin.

December 1, 2011 Advisory Group Meeting

At the December 1, 2011, the Advisory Group reviewed and discussed the updated Bay Area Homeland Security Strategy (Strategy). The Department of Homeland Security is now requiring all grantees to develop and maintain a Threat Hazard Identification and Risk Assessment (THIRA) and incorporate the data into homeland security strategies. The Bay Area UASI has conducted risk and capability assessments in 2009, 2010, and 2011 and this process should meet DHS's requirements.



In addition, DHS is requiring urban areas to update their Strategy every two years. The Bay Area UASI last updated our Strategy in March of 2010. Our consultant, Josh Filler provided an overview of the updates to the Strategy.

The Chair, Mike Sena, moved the meeting into closed session to discuss agenda item#4 Discussion about the Risk and Capabilities Assessment and Gap Analysis and agenda item#5 FY 2012 UASI Grant Allocation Methodology, including the Urban Allocation. The Chair, Mike Sena, moved the meeting back into Public Session to discuss the remaining agenda items.

The Advisory Group decided to hold a Special Advisory Group meeting on January 5, 2012 to discuss the 2012 Grant Planning Process and allocation methodology.

January 5, 2012 Special Advisory Group Meeting

Report on the Approval Authority Retreat Held on December 15, 2011: Chairperson Mike Sena provided a brief overview of the December 15, 2011 Approval Authority retreat. Mr. Sena requested that the draft Mission/Goals be posted to the Bay Area UASI website.

FY 2010 UASI Modification to Allocation for the West Bay Hub: The Advisory Group heard a verbal presentation regarding the FY 2010 UASI West Bay Hub project allocation. The Advisory Group thoroughly discussed sustainability, inventory management of the equipment, outreach to other jurisdictions to participate in the planned exercises, and project's nexus to regional terrorism preparedness.

FY 2011 UASI Grant Award: Ms. Serata provided a presentation on the FY 2011 UASI grant award. Under the federal grant guidelines, the State can hold back 20% of the grant allocation. In FY 2011, the State held back 17% of the allocation and included the remaining 3% or \$1,284,829 in our sub-grantee award. When the Bay Area UASI submitted its FY 2011 UASI grant application, we requested \$34,262,131. Subsequently, when we received our FY 2011 UASI sub-grantee award, we received \$35,546,960. The Advisory Group discussed various options for allocating the additional \$1,284,829. The Advisory Group discussed the option of having the four (4) Work Groups review previously vetted projects and determine whether they are still viable projects that should be funded. General Manager Dziedzic agreed to have the Management Team Project Leads vet the possible projects and report back to the Advisory Group at the February 23, 2012 meeting.

Review and Discussion of the Updated Bay Area Homeland Security Strategy: Ms. Serata received some additional comments, clerical in nature, and will include them in Draft v.3 of the Strategy being presented at the January 12, 2012 Approval Authority meeting. The Advisory Group unanimously voted to recommend approval of the Strategy.

FY 2012 UASI Grant Allocation Methodology and Interim Bay Area Homeland Security Strategy Implementation Guidance for the FY 2012 UASI Grant Cycle: At the October 27, 2011 meeting, the Advisory Group recommended to the Approval Authority the following Allocation Methodology in order of priority:

1. Sustain current capabilities,
2. Fund gaps identified through the risk analysis tool and Correction Action Plans from exercises and real-life events, and
3. Complete pending projects pertaining to critical initiatives.



Subsequently, the Advisory Group further discussed the allocation methodology and Major City allocations at their December 1, 2011 meeting. The Advisory Group requested that the Management Team draft the Interim Bay Area Homeland Security Strategy Implementation Guidance (Guidance) with the various items and issues discussed during the meeting. Although the Federal budget has been signed, we do not know the national UASI allocation, and consequently, we do not know the Bay Area UASI allocation. However, from the previous meetings, the Advisory Group has developed an allocation hierarchy.

25% Law Enforcement Terrorism Prevention Activities:

By Statute, any UASI allocation must set aside no less than 25% of the total allocation for law enforcement terrorism prevention activities (LETPA).

Sustainment:

The Advisory Group recommended sustainment funding for those regional projects the Bay Area has determined must be sustained for this grant cycle. The Advisory Group reviewed and approved the regional sustainment priorities and funding level of \$10,633,355 for the projects and 5% allocation for Management and Administration.

Major City Allocations:

The Advisory Group had a robust discussion regarding the Major City Allocations. Two options were discussed. Option A included the following: (a) if the amount of available UASI funding for projects is \$3 million or more above the \$10,633,355 for sustainment projects, each major city shall receive a \$1 million allocation, (b) if the additional UASI funding for projects is less than \$3 million, each major city shall receive an equal share of the available funding, (c) if no UASI funding is available beyond the \$10,633,355 needed for sustainment projects, the major cities shall receive no UASI allocation, and (4) under no circumstances will a single major city receive a UASI allocation in excess of \$1 million. Option B provided each of the three major cities a percentage amount equal to 0.045% of the total amount above the \$10,633,355 for projects and capped the amount of funds a single major city at \$1 million. The Advisory Group voted 7 for Option A and 6 for Option B.

Additional Projects:

The Advisory Group discussed the allocation methodology for any additional UASI funding above the funds for regional sustainment priorities, the 5% for management and administration, and \$1 million for each of the three major cities. The Advisory Group recommended allocating excess project funding to those projects developed by the region's work groups that enhance the region's priority capabilities: those capabilities most relevant/important based on the region's risk profile and/or that have a low level of ability based on the results of the 2011 Bay Area regional capabilities assessment. Two options were discussed and Option 1, which allocated a percentage of funding to the strategic goals (allocation is delineated in the Guidance), was approved unanimously by the Advisory Group. The Advisory Group also agreed to use the Work Group Project Review Criteria delineated in the Guidance. The Advisory Group had a discussion regarding whether there was a threshold amount for allocating to the strategic goals and determined that they would make a recommendation once the UASI allocation was known.

State Hold Back:

The Advisory Group briefly discussed the State holdback and determined there was insufficient information at this time to make a recommendation.



The Advisory Group voted to recommend the modified interim implementation guidance to the Approval Authority.

Budget or Fiscal Impact:

To be determined

Action Requested of the UASI Approval Authority:

Provide comments or direction to the Advisory Group for consideration at the next meeting on January 26, 2012.