



Approval Authority Meeting

Thursday, February 12, 2015

10:00 a.m.

LOCATION

Alameda County Sheriff's Office OES

4985 Broder Blvd., Dublin, CA 94568

OES Assembly Room

AGENDA

1. CALL TO ORDER ROLL CALL

UASI Chair	Anne Kronenberg, City and County of San Francisco
UASI Vice-Chair	Rich Lucia, County of Alameda
Member	Raymond Guzman, City and County of San Francisco
Member	Cathey Eide, City of Oakland
Member	Ryan Broughton, City of San Jose
Member	Ken Kehmna, County of Santa Clara
Member	Mike Casten, County of Contra Costa
Member	Bob Doyle, County of Marin
Member	Sherrie L. Collins, County of Monterey
Member	Carlos Bolanos, County of San Mateo
Member	Al Terrell, County of Sonoma
Member	Vacant, Cal OES

General Manager Craig Dziedzic

2. APPROVAL OF THE MINUTES (Discussion, Possible Action)

Discussion and possible action to approve the draft minutes from the January 8, 2015 regular meeting or take any other action related to the matter. *(Document for this item includes draft minutes from January 8, 2015.)* 5 mins

3. GENERAL MANAGER'S REPORT (Discussion)

Assistant General Manager Catherine Spaulding will present the General Manager's Report:

- a) Nor. Cal. Area Maritime Security Committee (AMSC)
- b) Advisory Group Report

(Document for this item is a report from Craig Dziedzic.) 5 mins

4. REGIONAL PROCUREMENT PROCESS (Discussion, Possible Action)

Assistant General Manager Catherine Spaulding will provide an update on the UASI regional procurement process. *(Document for this item is a report from Catherine Spaulding.)* 5 mins

- 5. RISK MANAGEMENT CYCLE KICK OFF** (Discussion)
Assistant General Manager Catherine Spaulding and Project Manager Dave Frazer will present on the Risk Management Cycle Kick Off. (*Document for this item is a report from Catherine Spaulding and Dave Frazer.*) 10 mins
- 6. TRAINING AND EXERCISE ANNUAL REPORT** (Discussion)
Project Manager Dennis Houghtelling will present the Training and Exercise Annual Report. (*Documents for this item are a report and PowerPoint from Dennis Houghtelling.*) 10 mins
- 7. NCRIC ANNUAL UPDATE** (Discussion)
NCRIC Director Mike Sena will present the NCRIC Annual Update. (*Document for this item is a report from Mike Sena.*) 10 mins
- 8. UPDATE ON SFFD REGIONAL FIREBOAT** (Discussion)
CFO Tristan Levarado will present an update on the SFFD Regional Fireboat. (*Document for this item is a report from Tristan Levarado.*) 5 mins
- 9. FY 11 RCPGP FINAL SPENDING REPORT** (Discussion)
Chief Financial Officer Tristan Levarado will provide an update on the FY 11 RCPGP Final Spending Report. (*Document for this item is a report from Tristan Levarado.*) 5 mins
- 10. TRACKING TOOL-FUTURE AGENDA ITEMS** (Discussion, Possible Action)
Review the tracking tool for accuracy and confirmation of deadlines. Possible action to add or clarify tasks for the Management Team or take other action related to the tracking tool. At the same time, the Approval Authority members will discuss agenda items for future meetings. (*Document for this item is the UASI Approval Authority Tracking Tool.*) 5 mins
- 11. ANNOUNCEMENTS-GOOD OF THE ORDER**
- 12. GENERAL PUBLIC COMMENT**
Members of the Public may address the Approval Authority for up to three minutes on items within the jurisdiction of the Bay Area UASI Approval Authority.
- 13. ADJOURNMENT**

If any materials related to an item on this agenda have been distributed to the Approval Authority members after distribution of the agenda packet, those materials are available for public inspection at the Bay Area UASI Management Office located at 711 Van Ness Avenue, Suite 420, San Francisco, CA 94102 during normal office hours, 8:00 a.m. - 5:00 p.m.

Public Participation:

It is the policy of the Approval Authority to encourage and permit public participation and comment on matters within the Approval Authority's jurisdiction, as follows.

- *Public Comment on Agenda Items.* The Approval Authority will take public comment on each item on the agenda. The Approval Authority will take public comment on an action item before the Approval Authority takes action on that item. Persons addressing the Approval Authority on an agenda item shall confine their remarks to the particular agenda item. For each agenda item,

each member of the public may address the Approval Authority once, for up to three minutes. The Chair may limit the public comment on an agenda item to less than three minutes per speaker, based on the nature of the agenda item, the number of anticipated speakers for that item, and the number and anticipated duration of other agenda items.

- *General Public Comment.* The Approval Authority shall include general public *comment* as an agenda item at each meeting of the Approval Authority. During general public comment, each member of the public may address the Approval Authority on matters within the Approval Authority's jurisdiction. Issues discussed during general public comment must not appear elsewhere on the agenda for that meeting. Each member of the public may address the Approval Authority once during general public comment, for up to three minutes. The Chair may limit the total general public comment to 30 minutes and may limit the time allocated to each speaker depending on the number of speakers during general public comment and the number and anticipated duration of agenda items.
- *Speaker Identification.* Individuals making public comment may be requested, but not required, to identify themselves and whom they represent.
- *Designated Public Comment Area.* Members of the public wishing to address the Approval Authority must speak from the public comment area.
- *Comment, Not Debate.* During public comment, speakers shall address their remarks to the Approval Authority as a whole and not to individual Approval Authority representatives, the General Manager or Management Team members, or the audience. Approval Authority Representatives and other persons are not required to respond to questions from a speaker. Approval Authority Representatives shall not enter into debate or discussion with speakers during public comment, although Approval Authority Representatives may question speakers to obtain clarification. Approval Authority Representatives may ask the General Manager to investigate an issue raised during public comment and later report to the Approval Authority. The lack of a response by the Approval Authority to public comment does not necessarily constitute agreement with or support of comments made during public comment.
- *Speaker Conduct.* The Approval Authority will not tolerate disruptive conduct by individuals making public comment. Speakers who use profanity or engage in yelling, screaming, or other disruptive behavior will be directed to cease that conduct and may be asked to leave the meeting room.

Disability Access

The UASI Approval Authority will hold its meeting at the Alameda County Sheriff's Office OES located at 4985 Broder Blvd. in Dublin, CA 94568.

In compliance with the Americans with Disabilities Act, those requiring accommodations for this meeting should notify the UASI administrative assistant, at least 24 hours prior to the meeting at (415) 353-5223.



**Bay Area UASI Program
Approval Authority Meeting
Thursday, January 8, 2014
10:00 AM**

LOCATION

Alameda County Sheriff's Office OES
4985 Broder Blvd., Dublin, CA 94568
OES Assembly Room

**REGULAR MEETING MINUTES
DRAFT**

1. Roll Call

UASI Chair Anne Kronenberg called the meeting to order at 10:00 AM. Subsequently, UASI General Manager Craig Dziedzic took the roll. Vice-Chair Rich Lucia and Members Raymond Guzman, Cathey Eide, Ryan Broughton, Mike Casten, Sherrie Collins, and Al Terrell were present. Members Ken Kehmna, Bob Doyle, and Carlos Bolanos were absent, but their alternates, respectively Dana Reed, Dave Augustus, and Mark Wyss, were present. Neither a Member nor Alternate from Cal OES has been appointed.

Prior to moving to the next item, Chair Kronenberg introduced Ryan Broughton as the new Approval Authority Member from the City of San Jose. Mr. Broughton thanked Chair Kronenberg and the Members for the opportunity to sit on the board.

2. Approval of the Minutes

Chair Kronenberg asked if there were any comments or questions concerning the minutes from the January 8, 2015 meeting. Seeing none, she asked for a motion to approve the minutes.

Motion: Approve the minutes from the January 8, 2015 Approval Authority Meeting.

Moved: Alternate Member Wyss **Seconded:** Member Broughton

Vote: The motion was passed unanimously.

3. General Manager's Report

(a) UASI FY 2015 Project Proposal Update

UASI General Manager Craig Dziejczak provided an update to the project proposal submission process for Fiscal Year 2015. He invited Members to comment on the process and provide suggestions.

(b) FY 2015 Grant Programs and the Continuing Resolution

Mr. Dziejczak stated there will be a delay to FEMA's FY 2015 grant cycle because FEMA cannot administer FY 2015 grant programs without a final FY 2015 appropriation.

(c) The 2015 National Homeland Security Conference

Mr. Dziejczak announced that the 9th Annual National Homeland Security Conference will be held in San Antonio. The agenda and registration will be forthcoming on the NHSA website: www.nationaluasi.com. He encouraged Members to alert the Management Team if they are interested in attending.

(d) Approval of the 2015 Calendar of Approval Authority Meetings

Mr. Dziejczak presented the proposed 2015 calendar of Approval Authority meetings. Chair Kronenberg asked whether the Management Team considered the federal funding cycle in determining the meeting dates. Mr. Dziejczak stated that the meeting dates were determined after consideration of the federal funding cycle and also with the intent to make the meetings more efficient. A member of the public announced that the next meeting of the Approval Authority on February 12, 2015 might conflict with an Alameda County holiday.

Motion: Approve the 2015 calendar of Approval Authority meetings.

Moved: Member Collins **Seconded:** Alternate Member Reed

Vote: The motion was passed unanimously with the understanding that the Management Team will look into whether February 12, 2015 will remain a suitable date for the next meeting.

4. Election of UASI Officers for 2015

Chair Kronenberg initiated the process of electing the 2015 Bay Area UASI Approval Authority Members by asking for nominations for the position of UASI Approval Authority Chair.

Motion: Nomination of Anne Kronenberg for UASI Chair.

Moved: Vice-Chair Lucia **Seconded:** *Unintelligible on recording*

Chair Kronenberg asked for additional nominations. There were none.

Vote: The motion was passed unanimously.

Chair Kronenberg asked for nomination for Vice-Chair.

Motion: Nomination of Rich Lucia for UASI Vice-Chair.

Moved: Member Casten **Seconded:** Member Broughton

Chair Kronenberg asked for additional nominations. There were none.

Vote: The motion was passed unanimously.

5. Regional RCPGP Plan Update

Regional Program Manager Janell Myhre provided background information on the Bay Area RCPGP plans, current efforts to achieve state acceptance of these plans, and the status of the local RCPGP Plan Adoption process. Ms. Myhre introduced Jim Brown from the CalOES Planning and Preparedness Branch and Sandra McKenzie, the Emergency Services Coordinator from CalOES Coastal Region, to provide information from the state level.

Mr. Brown provided a brief overview of the ongoing process in which the Bay Area RCPGP plans are being used to develop a new joint state and federal Catastrophic Earthquake Plan for the Bay Area. Ms. McKenzie explained how the state and federal planning team extracted relevant information from the draft RCPGP plans and the use of that information in a state and/or federal response.

Ms. Myhre stated that the RCPGP regional plans will remain posted on the CalOES Coastal Region website and that the UASI will continue to work with local government partners to review and adopt local plans, as well as strengthen regional coordination with CalOES.

A discussion concerning the process of incorporating these plans with FEMA and CalOES ensued. Concerns were raised about the apparent creation of a new

communication and coordination system for a catastrophic event that is inconsistent with existing plans and training. There were also questions about the state's continued review of FEMA's Bay Area Catastrophic Earthquake Plan, the decision-making process within EOCs, the operationalizing of this plan at the regional and local levels, the representation at EOCs, and consistency of planning efforts.

Mr. Brown indicated that, based on the Incident Management Team model, Bay Area counties will be broken into geographic branches and state and federal field representatives will sit at local EOCs. According to Mr. Brown, this has been determined to be a better response method and will be used in other states as well. Mr. Brown confirmed that the work done on the RCPGP plans will be included in the new FEMA Catastrophic Earthquake Plan for the Bay Area and invited the Regional Catastrophic Planning Team (RCPT) and OAs to comment, after which the state and FEMA will finalize and exercise with the Bay Area in 2016.

Prior to moving on to Item 6, General Manager Craig Dzedzic asked Chair Kronenberg whether the board could hear Item 8 next due to time constraints of the presenters. Chair Kronenberg asked Members if they would have a problem with changing the agenda. Seeing no opposition, she moved on to Item 8.

8. Public Safety Information Sharing Update

Risk Management and Information Sharing Project Manager Dave Frazer introduced Juan Gallardo, IT Director for the Santa Clara County Sheriff's Office, Ronda Alcantara, NCRIC Data Sharing Partnership Administrator, Jason Vorhauer, Lieutenant from the Contra Costa County Sheriff's Office, and Brian Rodrigues, IT Director from the NCRIC. The presenters discussed the benefits of public safety data sharing and the importance of continued funding.

When asked about the status of governance of the Bay Area Information Sharing Program, Mr. Gallardo explained that the South Bay has a governance body made up of four counties. Mr. Frazer added that, while different forms of governance exist throughout the region, all of the systems work together on a daily basis with the NCRIC being central to this collaboration.

6. Urban Shield 2014 After Action Report

Training and Exercise Project Manager Dennis Houghtelling introduced Alameda County Sheriff's Office Captain Garrett Holmes and Yellow Command Exercise Director Corinne Bartshire. Captain Holmes presented the summary of Urban Shield's After Action Report. Ms. Bartshire provided a summary of the 2014 Yellow Command program.

In response to questions about plans for Yellow Command in 2015, Ms. Bartshire stated that a goal for 2015 is to connect the field play with the EOCs and that Yellow Command

will practice scenarios involving Levi's Stadium including a truck bomb and mass transportation exercises.

A member of the public stated that she participated in Urban Shield exercises at Oakland Airport and was impressed by the concern for public safety. She stated that she and others in the community are committed to separating the emergency response exercises from the militarization and terrorist focus.

7. Cyber Security Update

Risk Management Project Manager Dave Frazer provided an update on the Cyber Security Program. Mr. Frazer introduced NCRIC IT Director Brian Rodrigues and NCRIC Cyber Analyst Dana Killian to present an update.

Ms. Killian highlighted some local incidents and shared a sample of the types of analytical products created and disseminated by the NCRIC. Mr. Rodrigues stated the NCRIC will conduct a Cyber Vulnerability Assessment, a Security Appliance Deployment, and will continue to provide network monitoring and support. These regional services will be deployed at no cost to agencies and with no additional UASI funding required.

9. Continuity of Operations – Continuity of Government Update

Resiliency and Recovery Project Manager Corinne Bartshire provided an update on the Continuity of Operations – Continuity of Government (COOP/COG). The purpose of this project is to develop benchmark criteria for Bay Area COOP/COG planning and establish a toolkit to assist local jurisdictions with completion of COOP/COG plans. A question was posed about the development of a business continuity plan and if outreach is being done to the private sector. Regional Program Manager Janell Myhre indicated that public/private partnerships could always be strengthened and improved and that everyone was welcome to join the RCPT.

10. FY 13 UASI Quarterly Spending Report

Chief Financial Officer Tristan Levarado stated that 38% or \$8,919,728 of the \$23,632,173 FY 13 UASI budget has been expended. Monitoring by the Management Team indicates that all projects are on target for completion by their respective deadlines.

11. Tracking Tool

Chair Kronenberg suggested to the Management Team that the reoccurring items *Tracking Tool* and *Future Agenda Items* be combined into one agenda item.

12. Announcements – Good of the Order

Alternate Member Wyss announced his retirement from the San Mateo Sheriff's Office effective in March 2015.

Chair Kronenberg invited Members to begin planning for the 2015 National Homeland Security Conference and see if they will be able to attend.

13. Adjournment

The meeting adjourned at 11:40 AM.



To: Bay Area UASI Approval Authority
From: Craig Dzedzic, Bay Area UASI General Manager
Date: February 12, 2015
Re: Item 3: General Manager's Report

Recommendations:

No recommendation – for discussion only

Action or Discussion Items:

- (a) Nor. Cal. Area Maritime Security Committee (AMSC) (Discussion Only)
- (b) Advisory Group Report (Discussion Only)

Discussion:

(a) Nor. Cal. Area Maritime Security Committee (AMSC) Update (Discussion Only)

The mission of the Nor. Cal. AMSC is to coordinate planning, information sharing, and other activities to secure the Bay Area Marine Transportation System. The committee meets quarterly and is chaired by Capt. Gregory Stump of the US Coast Guard. Committee members are comprised of interested port stakeholders, including members of both the public and private sectors such as Lawrence Livermore Labs and Chevron.

The BAUASI General Manager accepted an invitation from Capt. Stump to brief committee members about the regional programs of BAUASI. On January 14, 2015, Phil White, Mary Landers and the General Manager as well as Brian Rodriguez from the NCRIC described our efforts to enhance regional capabilities involving cybersecurity and PRND/CBRNE. The presentation was well received and we were invited to participate on the AMSC Cyber and PRND Subcommittees. We agreed to work together to enhance these capabilities and to collaborate on grant funding opportunities.

(b) Advisory Group Report

The Advisory Group convened on January 23rd to review FY15 UASI regional proposals. There were a total of 18 projects and requests for funding in excess of \$14 million. The Advisory Group has provided recommendations for FY15 UASI regional allocations to the General Manager. These recommendations are consistent with those of prior years and include ongoing support to key regional efforts such as the NCRIC, the Training and Exercise Program, public safety information sharing, and interoperability. The Advisory Group will convene again on February 26th to review FY15 UASI hub-selected projects. The UASI Management Team will present all Advisory Group recommendations to the Approval Authority in the April meeting.



To: Bay Area UASI Approval Authority
From: Catherine Spaulding, Assistant General Manager
Date: February 12, 2015
Re: Item 4: Regional Procurement Process

Staff Recommendation:

Approve the regional procurement process for the closeout of UASI FY14

Action or Discussion Items:

- (a) Regional procurement process for the closeout of UASI FY13 (Discussion)
- (b) Regional procurement process for the closeout of UASI FY14 (Action)

Discussion:

Background

Last minute funding has become available in prior years during the final months of grant performance periods. Although Bay Area UASI Management Team project managers monitor the status of projects during implementation, and although jurisdictions plan to spend money in a timely way, last minute complications or unexpected events occur and funds can't always be spent in the time period allowed. In anticipation of last minute funding becoming available, the Management Team has identified a process for regional procurement of widely-needed equipment that fills critical gaps that can be implemented in the closing weeks of the performance period.

(a) UASI FY13 Regional Procurement Process

In March 2014, the Approval Authority approved a process for the regional procurement of equipment in anticipation of the closeout of the UASI FY13 grant year. In January 2015, the Management Team identified a total of \$1.3 million in returned FY13 funds. Applying the 2014 risk-based hub funding formula to this amount, the following funds are now available to each Operational Area to purchase items through the regional procurement process:

Operational Area	2014 Risk Percentage	Allocation
Alameda	17.63%	\$229,190
Contra Costa	7.64%	\$99,320
Marin	1.77%	\$23,010
Monterey	3.28%	\$42,640
Napa	0.72%	\$9,360
San Benito	0.24%	\$3,120
San Francisco	31.12%	\$404,560
San Mateo	10.34%	\$134,420
Santa Clara	21.04%	\$273,520
Santa Cruz	1.22%	\$15,860
Solano	2.79%	\$36,270
Sonoma	2.21%	\$28,730
Total	100%	\$1,300,000

The Management Team has prepared a procurement process for the following items:

- Radios (Motorola APX P25 700/800 MHz) – unit price \$6,000
- NukeAlert-Early Warning Radiation Detector – unit price \$1,535
- 125 Gallon Water Monster Tank – unit price \$995

These items were identified after consultation with Bay Area UASI workgroups, and they were selected due to regional interest and because they can be delivered within the remaining grant period (i.e. they have a short delivery cycle with no installation required).

Starting next week, the Management Team will contact Approval Authority members for direction on which equipment to purchase and in what quantity. All orders must be completed by February 27th. Emily Wang, Grants Specialist, will be coordinating these efforts on behalf of the Management Team.

Equipment procurement and the required follow up monitoring will be performed by the City and County of San Francisco as the fiscal agent for the Bay Area UASI.

(b) UASI FY14 Regional Procurement Process

The Management Team proposes that we again prepare a regional procurement in preparation for the closeout of UASI FY14 in the anticipation of last minute unspent funds becoming available. The Management Team will again develop a menu of widely-needed equipment that fills critical gaps, including radios.

The Management Team will implement the 2014 closeout process in the same manner as what will occur for UASI FY13. The Management Team will determine all unspent funds available at the end of January 2016 and then reallocate these funds by Operational Area based on the most recently-approved, risk-based hub funding formula. The Management Team will then contact Approval Authority members for direction on which equipment to purchase and at what quantity.

The Management Team welcomes any feedback from the Approval Authority concerning how to run the regional procurement process as well as what particular items we should include in the menu of available equipment.



To: Bay Area UASI Approval Authority
From: Catherine Spaulding, Assistant General Manager
Date: February 12, 2015
Re: Item 5: Risk Management Program, FY16 Grant Cycle

Staff Recommendations:

No recommendation – for discussion only

Action or Discussion Items:

Discussion

Discussion:

The Management Team has completed its planning for the FY16 Risk Management Cycle in partnership with jurisdictions, the NCRIC, and Haystax Technology (formerly Digital Sandbox).

Approval Authority Bylaws specify that the Approval Authority must use a risk and capability-based methodology to apply for and allocate grant funds. This is consistent with guidance from DHS that states that all levels of government must establish a foundation to justify and guide preparedness activities and investments. In addition, DHS requires all grantees to produce a Homeland Security Strategy every two years as well as a Threat Hazard Identifications and Risk Assessment (THIRA) on an annual basis. The Bay Area UASI's robust participation and work has been repeatedly acknowledged by Cal OES and FEMA as a model risk assessment process.

Some key highlights for the FY16 cycle:

- Digital Sandbox is now “Cal COP” (California Common Operating Picture)
- The measures and metrics information used to track progress on building our core capabilities will be streamlined to improve usability and ease the data collection burden
- The biennial regional capability assessment workshop will occur in May
- The Management Team will update the Bay Area Homeland Security Strategy, formerly produced by Filler Security Strategies. A consultation workshop will be held in July.
- A possible gap in services from Haystax Technology may prevent us from updating the risk-based hub funding formula this year.

Please see appendix A for the outline of the FY15 Risk Management Program.



FY 2016 Bay Area UASI Risk Management Program

February 2015

The Bay Area UASI has a cross-jurisdictional, cross-functional Risk Management Program for the urban area. The Program helps set priorities, develop or compare courses of action, and inform decision-making. It is an on-going effort with regular data input, validation, assessments, and reporting. A risk and capability-based methodology to allocate UASI funds is required by the Department of Homeland Security (DHS) and is mandated in the Bay Area UASI Approval Authority Bylaws. The Risk Management Program follows a series of six steps:

1. Kick-Off Meeting

WHO: All Bay Area UASI regional and subject matter experts involved in risk management as appointed by Operational Areas, core cities, and other major cities in the urban area.

WHAT: The Bay Area UASI Management Team provides an overview of the process and goals for the grant year as well as a high level overview of Cal COP (California Common Operating Picture) Risk Analysis Center (RAC), as well as Protected Critical Infrastructure Information (PCII) certification requirements. (Note that Cal COP is a Haystax Technology product and was formally known as Digital Sandbox or DS7).

WHEN: February 18, 2015 10:00am – 11:30am

WHERE: WebEx with the option to attend in person at the Bay Area UASI Office, 711 Van Ness Ave, Ste. 420, San Francisco, CA 94102

2. Risk Data Validation

WHO: Operational Area subject matter experts who are PCII trained and certified RAC users, NCRIC, and other members assigned this task for an Operational Area.

WHAT: Subject matter experts review and update the asset data based on priority and identified gaps in sectors in the Risk Analysis Center (RAC). The NCRIC reviews and validates the information and then submits it to the PCII office for certification.

WHEN: March 1 – April 30, 2015

WHERE: In Cal COP

- *Haystax will offer training to Cal COP users on **Tuesday, March 3rd** and as needed covering assets, capability assessment input, and general user training.*

3. Operational Area Core Capability Assessment

WHO: Operational Area subject matter experts.

WHAT: Designated Operational Area SMEs complete the Measure & Metrics data input into the Capabilities Module in Cal COP to evaluate the level of preparedness in each core capability. Each Op Area will have the ability to produce an individual gap analysis to inform priorities for future project funding.

WHEN: March 1 – April 30, 2015

WHERE: In Cal COP

4. Regional Capabilities Assessment Workshop

WHO: Subject matter and regional experts.

WHAT: Designated Operational Area and regional SMEs come together every two years to assess core capabilities and levels of readiness to meet risks throughout the urban area.

WHEN: May 20, 2015 10:00am – 3:00pm

WHERE: Alameda County Sheriff's Office OES, 4985 Broder Blvd., Dublin, CA 94568

5. Strategy Review

WHO: Advisory Group Members and other subject matter and regional experts.

WHAT: The Bay Area Homeland Security Strategy is required by DHS every two years. The UASI Management Team will present a draft on July 23 before presentation to the Approval Authority in August 2015.

WHEN: Thursday July 23, 2015

WHERE: Alameda County Sheriff's Office OES, 4985 Broder Blvd., Dublin, CA 94568

6. THIRA Workshop

WHO: Subject matter and regional experts.

WHAT: The Bay Area UASI Threat and Hazard Identification and Risk Assessment (THIRA) is required each year by DHS. It is produced by Haystax based on the Bay Area Risk Management Program results and will be reviewed by stakeholders in the THIRA Workshop before presentation to the Approval Authority in November 2015.

WHEN: Wednesday, September 23, 2015

WHERE: Alameda County Sheriff's Office OES, 4985 Broder Blvd., Dublin, CA 94568

For more information, please contact:

David Frazer, Risk & Information Sharing Project Manager
Bay Area UASI Management Team

David.Frazer@sonoma-county.org, (707) 565-1108 (desk), (707)-490-8276 (cell)



To: Bay Area UASI Approval Authority

**From: Dennis Houghtelling, Alameda County Sheriff's Commander
UASI Training & Exercise Project Manager**

Date: February 12, 2015

Re: Item 6: Bay Area UASI Regional Training and Exercise 2014 Annual Report

Recommendation:

No recommendation – for discussion only

Action or Discussion Item:

Discussion only

Background:

The Bay Area UASI has funded the Regional Training and Exercise Program since July, 2011. The regional program was developed and continues to be managed and sustained by the Alameda County Sheriff's Office. As part of managing the Regional Training and Exercise Program, the Alameda County Sheriff's Office was tasked with producing an Annual Report. The attached Annual Report covers the training and exercise accomplishments for 2014.

Discussion/Description:

Summary of Key Achievements

- Provided Training to the Region (Balanced delivery of training to multiple disciplines)
- Maintained the Regional Training and Exercise Team
- Re-designed the Regional Training and Exercise Website with Registration
- Issued an RFP and initiated a new Indefinite Quantity/Indefinite Delivery 3 year contract which includes 24 vendors
- Preparing to produce a new Multi-Year Training and Exercise Training Plan (2015)
- Urban Shield 2014/Sustainment of Yellow Command
- Produced the 2014 Training and Exercise Annual Report

BAY AREA UASI

Regional Training & Exercise Program Annual Report 2014

February 12, 2015

Dennis Houghtelling
Training and Exercise Project Manager
Bay Area UASI





Overview

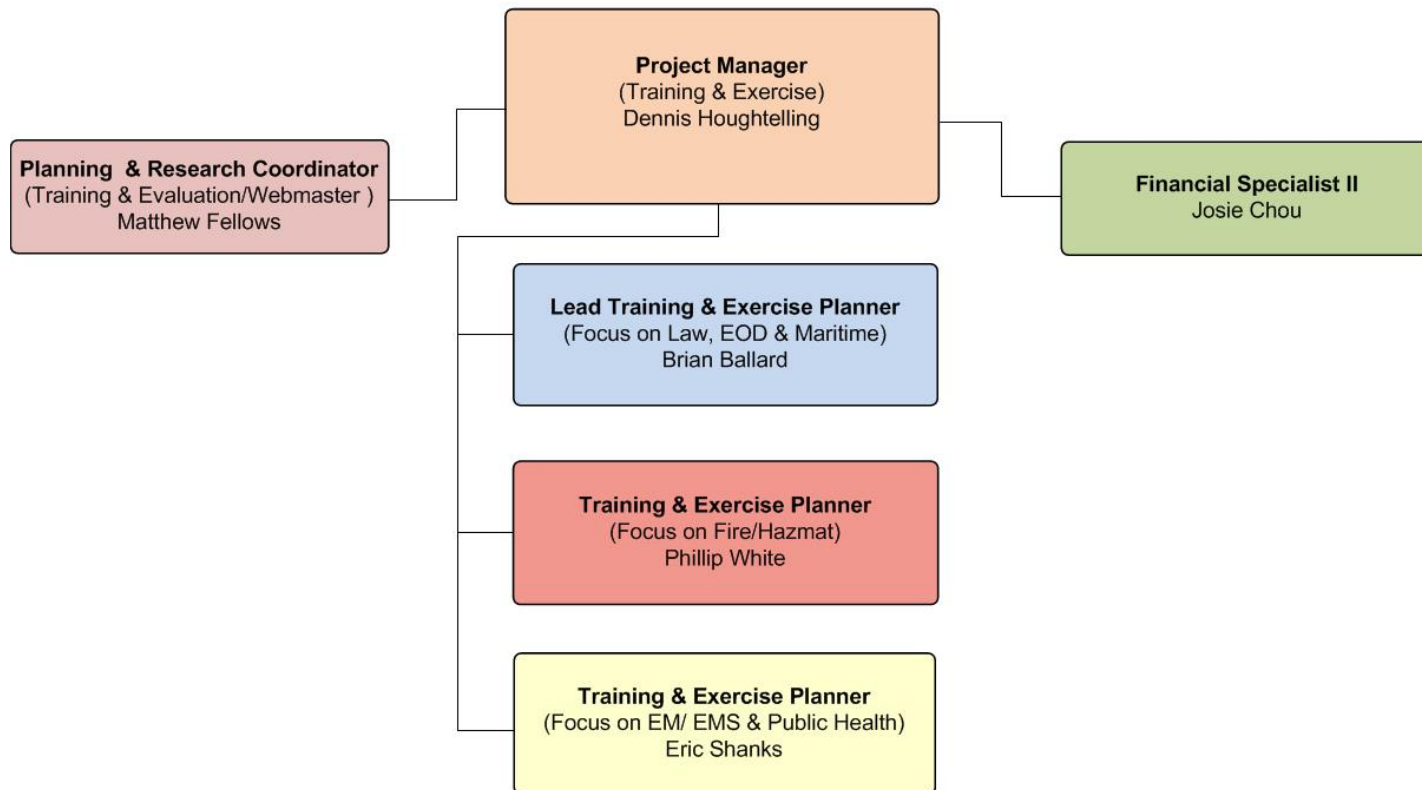
UASI Training & Exercise Program Overview

- Alameda County has provided a sustainable regional training and exercise program for the Region since July 2011. The Program serves multi-disciplines including:
 - Law Enforcement
 - Fire / Hazmat
 - EMS
 - Public Health / Health Care
 - Emergency Management



Personnel

“UASI Regional Training and Exercise Program Staff” July 1, 2014



2014 Training

January 2014 through December 2014:



UASI Funded Training & Exercise

- 138 Training Courses Provided
- 3,796 Students Trained (Regional Training & Exercise Program)
- 5,000+ Participants in Exercises
- 11,600+ Registered Participants in the Program



National Domestic Preparedness Training Consortium Training

- 11 Courses Conducted
- 432 Students Trained

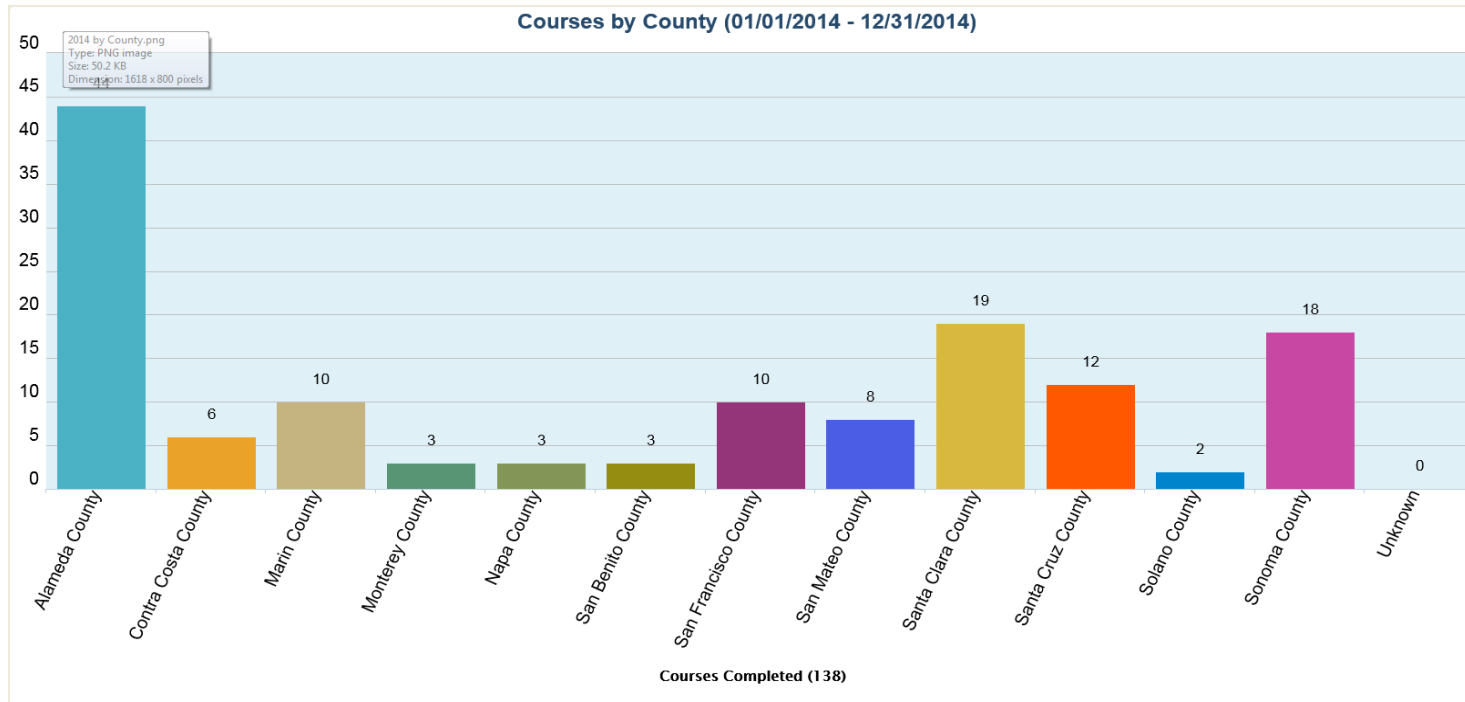
Training & Exercise Since Program Inception

- 11,547 People Participating in the Program
- 530 Courses Conducted
- 15,134 Students Trained
- 18,000+ Participants in Exercises



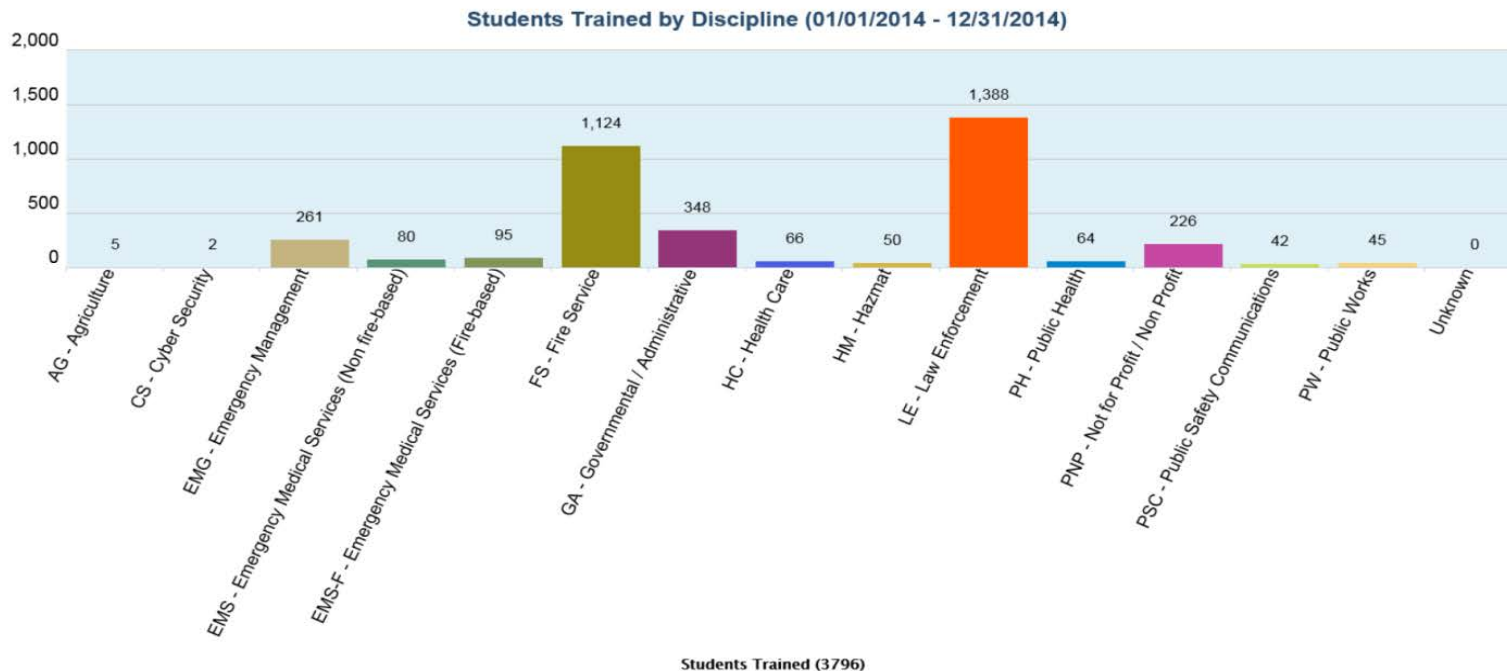
Training Courses

138 Courses



Training Courses

3,796 Trained



Exercises

- Full Scale Exercises

- **Urban Shield 2014**

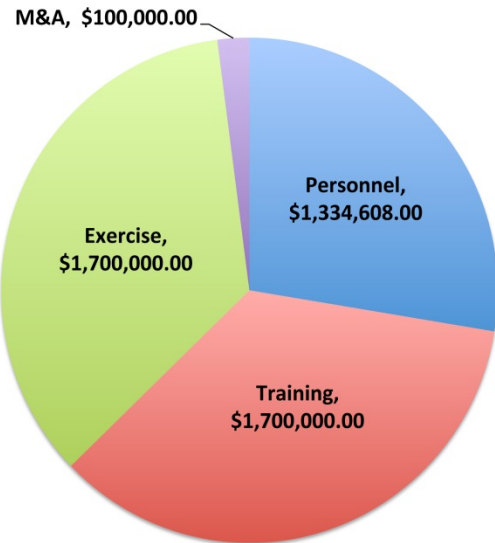
- 48 hour continuous exercise
 - 57 exercise scenarios
 - Law, Fire, EMS, HazMat, USAR, EOD, RCPGP
 - Over 5,000 Trained

Yellow Command component of Urban Shield will be sustained in future years.

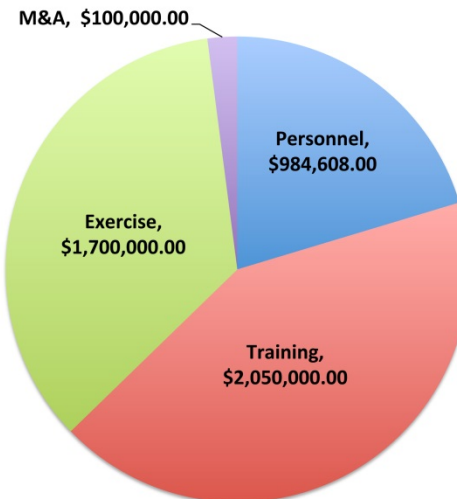


Budget

FY 13 Budget (Original): \$4,834,608.00



FY 13 Budget (Adjusted): \$4,834,608.00



Future

Plans for 2015

- Continue to manage Training & Exercise for the Region/Build Sustainability
- 2015-Workshop/Draft a New Regional Multi-Year Training & Exercise Plan
- Continue working closely with the NDPTC to provide free training (32 courses)
- Facilitate a tabletop exercise in May to enhance Urban Shield
- Implement our new vendor contracts
- Support Urban Shield and the Continuation of the Yellow Command



Thank You

Bay Area Urban Areas Security Initiative

www.bayareauasi.org

BAY AREA UASI





BAUASI: RTEP

Bay Area UASI Regional Training & Exercise Program



Annual Report (2014)



BAUASI : RTEP

Bay Area UASI Regional Training & Exercise Program



Table of Contents

Regional Training & Exercise Program Overview	1
Key Achievements	2
Training & Exercise Staffing	2
Regional Training & Exercise Website (www.bausitep.org)	3
Training Providers.....	3
Training Activities.....	3
Consortium Training.....	3
Multi-Year Training & Exercise Plan Update	4
Regional Overhead Planning Team	4
Post Exercise Reporting	4
Periodic Reports	4
Training & Exercise Monthly Meetings	4
Budget	5
Training Summary	6
Exercise Summary	8
Urban Shield 2014.....	8
Completed Training (January 2014 – December 2014)	12



BAUASI : RTEP

Bay Area UASI Regional Training & Exercise Program



Regional Training & Exercise Program Overview

The Alameda County Sheriff's Office has managed the Regional Training & Exercise Program since July 15, 2011, which serves multi-discipline first responders and private entities. The primary goal of the Regional Training & Exercise Program is to provide quality training and exercises to the Bay Area Urban Areas Security Initiative (UASI) region in order to increase regional preparedness and capabilities.

Published reports and guidelines such as those prepared by State and Federal Agencies, as well as the Multi-Year Training and Exercise Plan, the Risk Assessment Capability (RAC) Report, the Threat and Hazard Identification and Risk Assessment (THIRA), the Bay Area Homeland Security Strategy and other documents were utilized in the development of the Regional Training & Exercise Program. All plans, guidance documents and training shall conform with, and are consistent with, the California Incident Command System (ICS), Standardized Emergency Management System (SEMS), National Incident Management System (NIMS), the National Infrastructure Protection Plan (NIPP), and the National Response Framework (NRF).

Goals: The Training and Exercise Planning Workgroup Members engage in a fair, open, and transparent process throughout the planning, vetting and implementation phases. The Workgroup makes certain that products and services rendered are: equitably and geographically distributed; are at reasonable cost(s); meet the regional training needs and gaps; while ensuring fair market competition for its vendors.

Vision: To promote, encourage and provide training and exercise opportunities for our emergency response workforce, ensuring our workforce is maintained in a state of readiness and competency for all communities within the 12 County Bay Area UASI Region.

Mission: Utilizing the Regional Training & Exercise Program's Multi-Year Training and Exercise Plan, the Alameda County Sheriff's Office, on behalf of the Bay Area UASI Program, will promote equitable and geographical distribution of Training and Exercise Funds amongst various agencies and disciplines within the 12 County UASI Region.



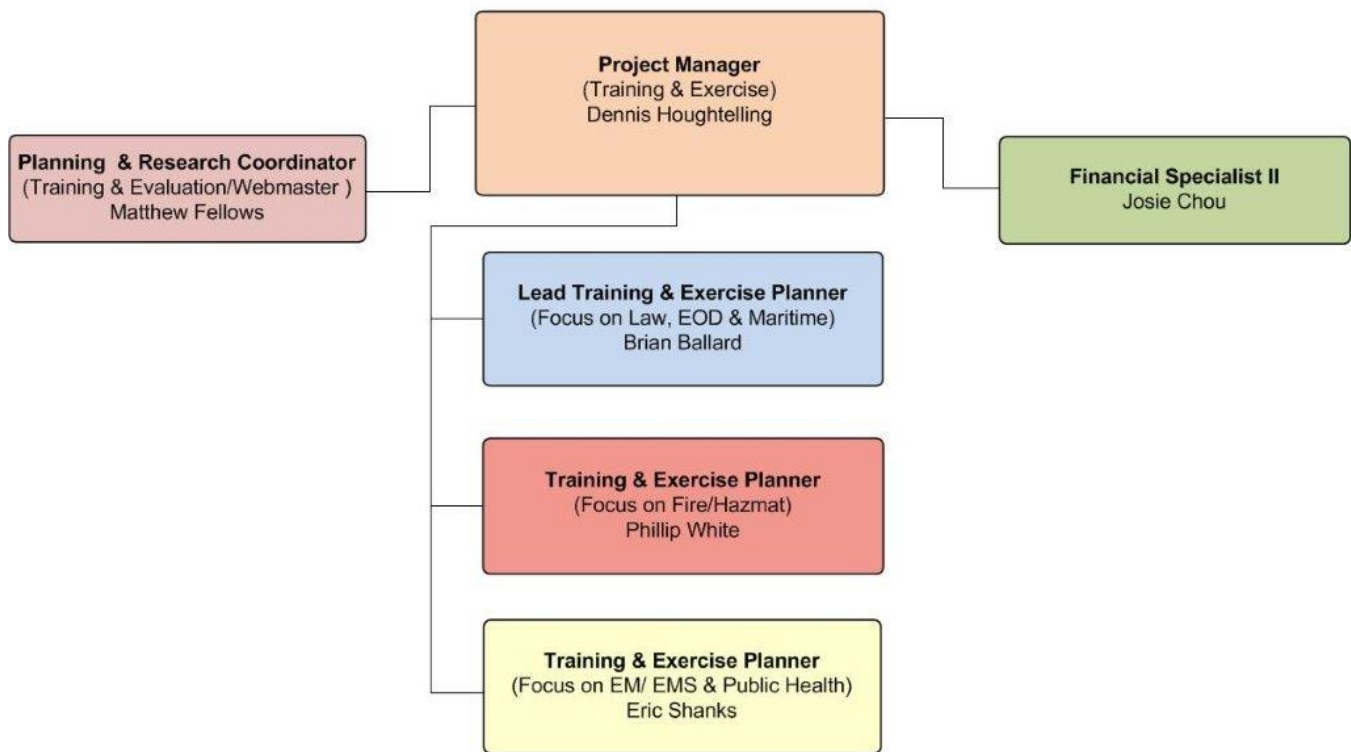
Key Achievements

Training & Exercise Staffing

The Regional Training & Exercise Program has maintained staffing levels since January 2014. In April 2014, Philip White, retired Chief of the South San Francisco Fire Department, was hired as a Training & Exercise Planner to assist with planning courses and planning efforts for the Urban Shield 2014 full-scale exercise. In the middle of 2014, Training and Exercise Planners Larry Plummer and Bob Schumacher left the program. In July, Brian Ballard, retired Captain with the Alameda County Sheriff's Office, was assigned as the Lead Training & Exercise Planner.

The positions and personnel assigned to the Regional Training & Exercise Program are as follows:

"UASI Regional Training and Exercise Program Staff"
July 1, 2014



Regional Training & Exercise Website (www.bauasitep.org)

In 2014, The Regional Training & Exercise Program Planning & Research Coordinator redesigned and updated the Regional Training & Exercise Program's website.

The Regional Training & Exercise Program's websites allow regional stakeholders to create a user account, view and register for available courses, submit course proposals for the workgroup vetting process and receive emailed course announcements and reminders. The website has allowed users from all areas of the Bay Area UASI region to have an equal and fair opportunity to receive the training courses offered by the Regional Training & Exercise Program and has been extremely successful in distributing information regarding course availability. By December 2014, over 11,500 stakeholders from throughout the region have created user accounts and have access to training opportunities. The website also allows the Training & Exercise Program staff to access up to date statistics and monitor ongoing progress.

Training Providers

The Regional Training & Exercise Program currently uses an "Indefinite Delivery/Indefinite Quantity" procurement process, which established a pre-approved training provider list to assist with the process of acquiring and reimbursing training providers.

The previous process expired at the end of December 2014. In preparation, the Regional Training & Exercise Program initiated planning for another RFP process in February 2014, which was completed in December 2014, and will be effective until December 2017. As a result, the Regional Training & Exercise Program now has 24 vendors, compared to 17 vendors in the previous process.

Training Activities

The Training & Exercise Planners continuously work with regional stakeholders to procure and calendar training courses throughout the Bay Area UASI region. The Training & Exercise Planners obtain feedback numbers, submit all required EHP memorandums, ensure facilities and curriculum materials are available and require all pertinent documentation (sign-in sheets, agendas, course evaluations) to be returned to the Training & Exercise Program in accordance with audit requirements. Training & Exercise staff also randomly audit and observe courses to ensure proper delivery and course subject matter is appropriate.

Consortium Training

In 2014, the Regional Training & Exercise Program provided numerous no-cost training courses through the National Domestic Preparedness Consortium (NDPC) to enhance training opportunities throughout the Bay Area UASI region. By utilizing these free training opportunities, the Regional Training & Exercise Program was able to hold an additional 11 courses with approximately 432 students trained.

Multi-Year Training & Exercise Plan Update

On August 31, 2012, the Regional Training & Exercise Program finalized a Multi-Year Training and Exercise Plan, encompassing a three-year period from January 2013 through December 2015.

In late 2014, the Regional Training & Exercise Program initiated preparation for developing the next three-year plan for the period of January 2016 through December 2018. A training workshop has been scheduled for April 2015, to invite key multi-discipline regional stakeholders to provide direction for training and exercise needs for inclusion in the new Multi-Year Training and Exercise Plan.

Regional Overhead Planning Team

During the initial planning stages for the 2014 Urban Shield full-scale exercise, a Regional Overhead Planning Team was established. The team was comprised of multi-discipline planners and administrators from throughout the Bay Area UASI region. The Regional Overhead Planning Team established exercise scenarios to address core competencies and test regional gaps.

Post Exercise Reporting

The Alameda County Sheriff's Office designated key personnel to serve as Homeland Security Exercise Evaluation Program (HSEEP) staff during the 2014 Urban Shield exercises. The HSEEP staff ensured all relevant documentation was created to meet federal guidelines and produced After Action Reports (AARs) documenting regional strengths and areas for improvement in the Improvement Plan.

Periodic Reports

The Regional Training & Exercise Program produced monthly reports, as well as other reports or presentations, to the Bay Area UASI Approval Authority as requested, which contain pertinent information about the progress of the Regional Training & Exercise Program.

Training & Exercise Monthly Meetings

The Regional Training & Exercise Program has conducts monthly meetings with the Training & Exercise Working Group. Through increased representative outreach, the Training & Exercise Program has been able to increase multi-discipline attendance at these meetings. The dedication and diligent work of the Training and Exercise Workgroup has been instrumental in the overall success of the program.

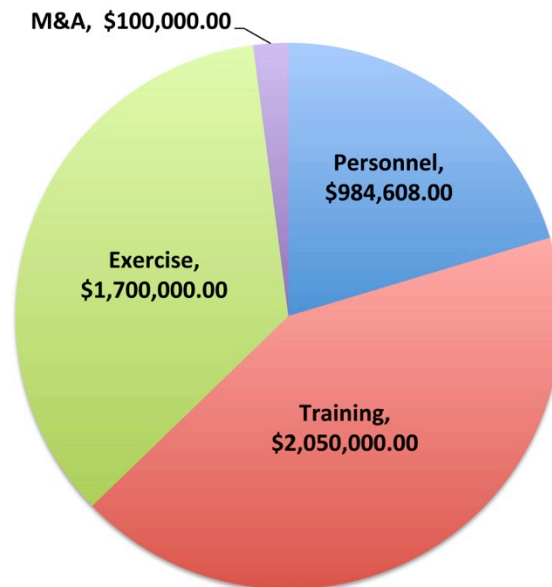
Budget

In November 2013, the Regional Training & Exercise Program was awarded FY13 UASI grant funding in the amount of \$4,734,608. During calendar year 2014, the Regional Training & Exercise Program utilized FY13 grant funds for operating and managing the Training and Exercise Program. In April 2014, \$150,000 was reallocated from personnel to training and in October 2014, an additional \$200,000 was reallocated from personnel to training for a total of \$350,000. The reallocations were made possible as a result of salary savings.

FY 13 Budget (Original): \$4,834,608.00



FY 13 Budget (Adjusted): \$4,834,608.00

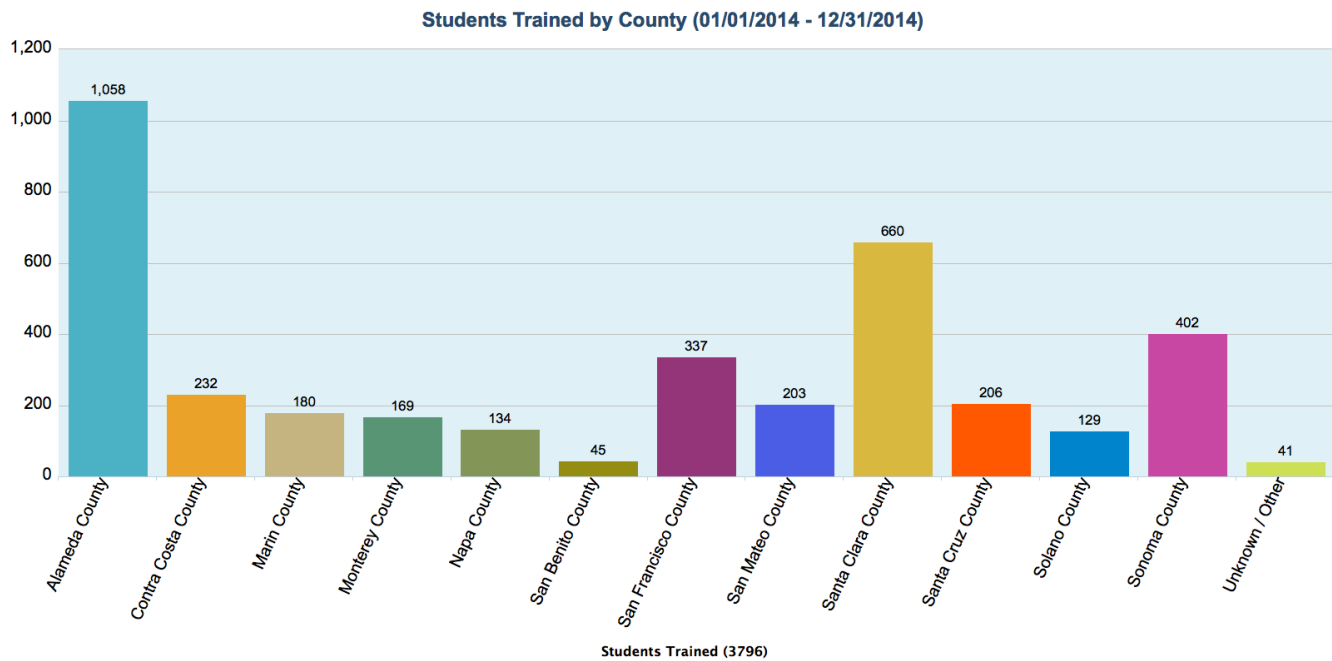




Training Summary

Students Trained (3796)

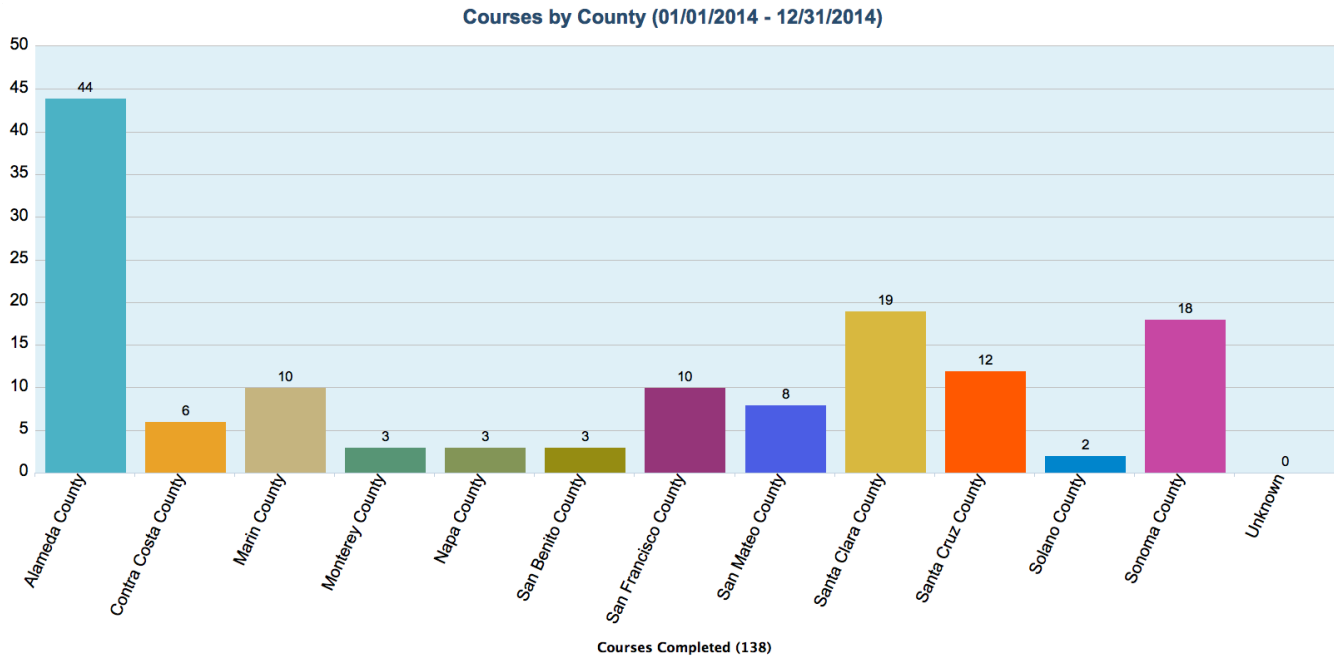
Students by County



County	Students Trained (3796)
Alameda County	1058
Contra Costa County	232
Marin County	180
Monterey County	169
Napa County	134
San Benito County	45
San Francisco County	337
San Mateo County	203
Santa Clara County	660
Santa Cruz County	206
Solano County	129
Sonoma County	402
Unknown / Other	41

Courses Completed (138)

Courses by County



County	Courses Completed (138)
Alameda County	44
Contra Costa County	6
Marin County	10
Monterey County	3
Napa County	3
San Benito County	3
San Francisco County	10
San Mateo County	8
Santa Clara County	19
Santa Cruz County	12
Solano County	2
Sonoma County	18
Unknown	0



Exercise Summary

During the period from January 2014 through December 2014, the Bay Area UASI Regional Training & Exercise Program supported one full-scale exercise, Urban Shield 2014.

Urban Shield 2014

Urban Shield 2014 is part of the Bay Area Urban Areas Security Initiative (UASI) Training & Exercise Program's Multi-Year Training & Exercise Plan. Urban Shield has continued to expand and evolve since its inception in 2007, incorporating different first responder disciplines, including Law Enforcement, Fire, Emergency Medical Services (EMS), Emergency Management and Explosive Ordnance Disposal (EOD). Urban Shield was created to improve the preparation and response capabilities of first responders within the Bay Area UASI region



through innovative scenarios, based on past and present, real life events that have occurred across the world. Urban Shield 2014 was a 48-hour continuous exercise, which trained over 5,000 individuals and included a total of 57 scenarios. Additionally, the Yellow Command conducted a Mass Casualty Scenario across 3 counties, which incorporated a patient tracking program. Various regional systems and programs were evaluated during the exercise including the Northern California Regional Intelligence Center (NCRIC) and the East Bay Regional Communications System (EBRCS). As a result of the Urban Shield Full-Scale Exercise, the Bay Area UASI Regional Training & Exercise Program is able to obtain valuable information regarding regional training gaps to better direct training efforts throughout the 12 county Bay Area UASI region.

The over arching goals of Urban Shield 2014 were to provide a multi-layered training exercise to enhance the skills and abilities of regional first responders, our public and private partners, as well as those responsible for coordinating and managing large scale events. This exercise was designed to identify and stretch regional resources to their limits, while expanding regional collaboration and building positive relationships. In addition, this exercise provided increased local business and critical infrastructure collaboration. Urban Shield challenged the skills, knowledge and abilities of all who participated. It not only improved regional disaster response capabilities, but provided a platform for first responders on the national and international level, as well as the private sector, to work efficiently and effectively together.

The Exercise Planning Team (EPT) consisted of approximately 300 members throughout the region, who attended 24 planning sessions prior to the start of the Urban Shield exercise. The EPT initially focused on NIMS, SEMS and ICS requirements. Critical infrastructure concerns were discussed and the scenario site locations were identified. First responder capabilities were prioritized and built into various scenarios. Exercise logistics, personnel, planning documentation, finances, course route, transportation, medical checkpoints, technology, and final event details were managed during these planning meetings. Based on the Exercise Planning Team's deliberations, the following five overarching objectives were developed for Urban Shield 2014.

- Test the Bay Area's first responder's capabilities to respond to critical incidents including terrorism events and natural or manmade disasters.
- Demonstrate, test, and evaluate current technology to improve the safety and delivery of services that first responders provide to citizens of the Bay Area.
- Test and evaluate the Bay Area's ability to successfully implement regional catastrophic response plans following a mass casualty and hospital surge incident.
- Control the exercise management activities using the ICS structure consistent with NIMS/SEMS.
- Improve the interaction between interdisciplinary and interagency coordination during critical incidents.

In addition to the traditional first responder scenarios held each year as part of Urban Shield, the 2014 exercise included a functional component involving Mass Casualty Incident testing:

- EOC/Hospital coordination on medical surge
- Patient tracking and movement
- The Regional Catastrophic Earthquake Donations Management Plan
- A stand up Joint Information Center

Emergency Medical Services (EMS) providers tested their ability to respond to an active shooter incident in a potentially hostile environment. The scenarios required tactical teams to eliminate an active shooter threat and secure the scene. Once the threat was eliminated, the tactical teams were tasked with escorting EMS personnel into the scene from the staging area. The tactical teams provided close quarter force protection, while the EMS teams also provided initial triage and emergency medical treatment as warranted. The law enforcement tactical teams provided cover and protection while safely escorting the EMS personnel and the victims out to safety.

Fire Department HazMat teams participated in six scenarios. US&R teams participated in four scenarios and Water Rescue participated in seven scenarios. The scenarios were designed to challenge their response capabilities by testing their decision-making skills, core competencies, equipment and cooperation between first responders typically present at the scene of an emergency.



The six HazMat scenarios required teams to respond to a variety of situations. These ranged from responding to radioactive materials to a rail tank car struck by an IED and leaking Bakken crude oil. HazMat teams were tested on their response capabilities by evaluating their decision-making skills, core competencies and cooperation between first responders typically present at the scene of a hazardous materials emergency. Some of the core competencies evaluated included chemical identification and hazard assessment, use of surveying equipment,

controlling the release of hazardous materials, mitigation of hazardous materials release, plume modeling and decontamination of personnel, equipment and facilities

US&R teams participated in four scenarios. These ranged from responding to report of a building collapse to a high angle rescue of two workers at a government research facility who were chasing an intruder. US&R teams were tested on their response capabilities by evaluating their decision-making skills, core competencies and cooperation between first responders typically present at the scene of a technical rescue emergency. Some of the core competencies evaluated included rope rescue, use of air monitoring equipment, shoring, provision of medical care, patient packaging and appropriate use and care of their equipment.



Water rescue teams participated in seven scenarios. These ranged from plotting an unknown location on a map to placing an oil boom around a boat leaking hazardous chemicals into the San Francisco Bay. Water rescue teams were tested on their response capabilities by evaluating their decision-making skills, core competencies and cooperation between first responders typically present at the scene of a maritime emergency. Some of the core competencies evaluated included navigation, boat handling, provision of medical care, patient packaging and appropriate use and care of their life saving equipment.



Law enforcement tactical teams were able to test their core competencies in the areas of domestic and international terrorist incidents, active shooters, hostage situations, dignitary protection, multi-hazard environments, mass transportation incidents, breaching, linear assaults, WMD response, maritime interdiction, intelligence gathering, and planning.

EOD teams participated in scenarios requiring the technicians to wear varying levels of protective equipment and assess a variety of devices. These scenarios tested their core competencies and ability to render devices safe in hazardous environments. EOD teams were tested on their on scene security as well as their decision making and technical skills.





Completed Training (January 2014 – December 2014)

The following course list includes all courses run through the Regional Training & Exercise Program in 2014. The course list is organized by discipline.

EMG Emergency Management

1. (01/09/2014-01/09/2014) Social Media for Natural Disaster Response and Recovery PER-304

Training Level: Performance Offensive (OSHA Technician) / Students: 36 / Course Hours: 8 / Location: San Mateo County

2. (01/10/2014-01/10/2014) Social Media for Natural Disaster Response and Recovery PER-304

Training Level: Performance Offensive (OSHA Technician) / Students: 36 / Course Hours: 8 / Location: Alameda County

3. (01/13/2014-01/13/2014) Social Media for Natural Disaster Response and Recovery PER-304

Training Level: Performance Offensive (OSHA Technician) / Students: 30 / Course Hours: 8 / Location: Sonoma County

4. (01/14/2014-01/14/2014) Social Media for Natural Disaster Response and Recovery PER-304

Training Level: Performance Offensive (OSHA Technician) / Students: 36 / Course Hours: 8 / Location: Alameda County

5. (02/12/2014-02/21/2014) Planning Section Section Chief Course

Training Level: Awareness / Students: 28 / Course Hours: 24 / Location: San Mateo County

6. (02/19/2014-02/21/2014) ICS 300

Training Level: Awareness / Students: 32 / Course Hours: 24 / Location: Santa Clara County

7. (02/24/2014-02/26/2014) ICS300

Training Level: Awareness / Students: 33 / Course Hours: 20 / Location: Sonoma County

8. (02/27/2014-02/28/2014) ICS400

Training Level: Awareness / Students: 25 / Course Hours: 16 / Location: Sonoma County

9. (03/03/2014-03/03/2014) ICS Operations and Planning Section Course

Training Level: Awareness / Students: 26 / Course Hours: 8 / Location: Sonoma County

10. (03/04/2014-03/04/2014) EOC Management & Coordinators Course

Training Level: Awareness / Students: 25 / Course Hours: 16 / Location: Sonoma County

11. (03/04/2014-03/04/2014) ICS Logistics and Finance Administration Section Course

Training Level: Awareness / Students: 25 / Course Hours: 8 / Location: Sonoma County

12. (04/28/2014-04/30/2014) ICS 300

Training Level: Awareness / Students: 36 / Course Hours: 24 / Location: Napa County

- 13. (05/01/2014-05/02/2014) ICS EOC Public Health & Medical Finance Administration Section Chief Training Course**
Training Level: Performance Defensive (OSHA Operations) / Students: 16 / Course Hours: 16 / Location: Contra Costa County
- 14. (05/01/2014-05/02/2014) ICS 400**
Training Level: Awareness / Students: 40 / Course Hours: 16 / Location: Napa County
- 15. (05/28/2014-05/30/2014) ICS 300**
Training Level: Awareness / Students: 31 / Course Hours: 24 / Location: Santa Clara County
- 16. (06/02/2014-06/04/2014) ICS300**
Training Level: Awareness / Students: 31 / Course Hours: 20 / Location: Alameda County
- 17. (06/05/2014-06/06/2014) ICS400**
Training Level: Awareness / Students: 21 / Course Hours: 16 / Location: Alameda County
- 18. (06/05/2014-06/06/2014) ICS 400**
Training Level: Awareness / Students: 20 / Course Hours: 16 / Location: Santa Clara County
- 19. (06/11/2014-06/13/2014) ICS Logistics and Finance Administration Section Course**
Training Level: Awareness / Students: 15 / Course Hours: 24 / Location: Alameda County
- 20. (06/16/2014-06/17/2014) REOC/DOC Planning Section Specific and Planning for Regional EOC Staff Operations**
Training Level: Not Applicable / Students: 19 / Course Hours: 24 / Location: Marin County
- 21. (06/24/2014-06/24/2014) ICS Operations and Planning Section Course**
Training Level: Awareness / Students: 26 / Course Hours: 8 / Location: Alameda County
- 22. (06/25/2014-06/25/2014) ICS Logistics and Finance Administration Section Course**
Training Level: Awareness / Students: 21 / Course Hours: 8 / Location: Alameda County
- 23. (06/25/2014-06/27/2014) ICS Operations and Planning Section Course**
Training Level: Awareness / Students: 17 / Course Hours: 24 / Location: Alameda County
- 24. (06/26/2014-06/26/2014) Jurisdiction & Section Specific EOC Training, Management and Section Coordinators**
Training Level: Awareness / Students: 23 / Course Hours: 8 / Location: Alameda County
- 25. (07/30/2014-07/30/2014) G-191 ICS/EOC INTERFACE WORKSHOP**
Training Level: Planning (OSHA Incident Command) / Students: 48 / Course Hours: 8 / Location: Alameda County
- 26. (09/03/2014-09/05/2014) ICS 300**
Training Level: Awareness / Students: 39 / Course Hours: 24 / Location: Alameda County
- 27. (09/16/2014-09/18/2014) ICS 300**
Training Level: Awareness / Students: 38 / Course Hours: 24 / Location: Alameda County
- 28. (09/23/2014-09/25/2014) ICS 300**
Training Level: Performance Defensive (OSHA Operations) / Students: 32 / Course Hours: 24 / Location: Marin County
- 29. (10/01/2014-10/02/2014) ICS 400**
Training Level: Awareness / Students: 37 / Course Hours: 16 / Location: Alameda County
- 30. (10/07/2014-10/08/2014) ICS 400**
Training Level: Performance Defensive (OSHA Operations) / Students: 32 / Course Hours: 16 / Location: Marin County

31. (10/21/2014-10/24/2014) L-962 Planning Section Chief Course

Training Level: Performance Defensive (OSHA Operations) / Students: 9 / Course Hours: 32 / Location: Marin County

32. (10/29/2014-10/31/2014) Logistics and Finance / Administration Section Chief Course for the EOC Pilot Course

Training Level: Awareness / Students: 23 / Course Hours: 24 / Location: Alameda County

33. (11/04/2014-11/07/2014) L-965 Resource Unit Leader

Training Level: Planning (OSHA Incident Command) / Students: 27 / Course Hours: 32 / Location: Alameda County

34. (11/04/2014-11/06/2014) ICS-300

Training Level: Performance Offensive (OSHA Technician) / Students: 28 / Course Hours: 20 / Location: San Francisco County

35. (11/17/2014-11/19/2014) ICS 300

Training Level: Awareness / Students: 16 / Course Hours: 24 / Location: Santa Cruz County

36. (11/24/2014-11/25/2014) ICS 400

Training Level: Awareness / Students: 30 / Course Hours: 16 / Location: Santa Cruz County

37. (12/03/2014-12/05/2014) ICS 300

Training Level: Awareness / Students: 25 / Course Hours: 24 / Location: San Benito County

38. (12/08/2014-12/11/2014) Mastering Command Calm the Chaos

Training Level: Awareness / Students: 52 / Course Hours: 32 / Location: Solano County

39. (12/15/2014-12/16/2014) ICS 400

Training Level: Awareness / Students: 34 / Course Hours: 16 / Location: San Benito County

EMS Emergency Medical Services (Non fire-based)

40. (01/28/2014-01/30/2014) L 973 All Hazards Finance/Administration Section Chief

Training Level: Not Applicable / Students: 32 / Course Hours: 24 / Location: Napa County

41. (03/04/2014-03/05/2014) Operational Response to Mass Casualty Incidents (ORMAC)

Training Level: Awareness / Students: 51 / Course Hours: 16 / Location: Monterey County

42. (04/07/2014-04/18/2014) International School of Tactical Medicine (Tactical Emergency Medicine A&B)

Training Level: Performance Offensive (OSHA Technician) / Students: 37 / Course Hours: 80 / Location: Alameda County

FS Fire Service

43. (01/16/2014-01/17/2014) HAZMAT Incident Commander

Training Level: Planning (OSHA Incident Command) / Students: 21 / Course Hours: 16 / Location: Santa Clara County

44. (03/03/2014-03/05/2014) L-960 Division/Group Supervisor Course

Training Level: Performance Defensive (OSHA Operations) / Students: 26 / Course Hours: 24 / Location: Alameda County

45. (03/10/2014-03/14/2014) Rescue Systems 1

Training Level: Performance Defensive (OSHA Operations) / Students: 20 / Course Hours: 40 / Location: Sonoma County

46. (03/17/2014-03/21/2014) L-381 Incident Leadership for All Hazards

Training Level: Awareness / Students: 21 / Course Hours: 40 / Location: Alameda County

47. (03/24/2014-03/27/2014) AR-330 Task Force/Strike Team Leader All Risk

Training Level: Performance Defensive (OSHA Operations) / Students: 30 / Course Hours: 28 / Location: Alameda County

48. (04/07/2014-04/11/2014) Rescue Systems 1

Training Level: Performance Defensive (OSHA Operations) / Students: 24 / Course Hours: 40 / Location: Sonoma County

49. (04/14/2014-04/18/2014) Rescue Systems 1

Training Level: Performance Defensive (OSHA Operations) / Students: 42 / Course Hours: 40 / Location: Contra Costa County

50. (04/21/2014-04/25/2014) Rescue Systems 2

Training Level: Performance Defensive (OSHA Operations) / Students: 36 / Course Hours: 40 / Location: San Francisco County

51. (05/05/2014-05/09/2014) Rescue Systems 1

Training Level: Performance Offensive (OSHA Technician) / Students: 22 / Course Hours: 40 / Location: Santa Clara County

52. (05/12/2014-05/16/2014) Rescue Systems 2

Training Level: Performance Defensive (OSHA Operations) / Students: 42 / Course Hours: 40 / Location: San Francisco County

53. (06/09/2014-06/11/2014) Trench Rescue

Training Level: Performance Defensive (OSHA Operations) / Students: 40 / Course Hours: 24 / Location: Contra Costa County

54. (06/17/2014-06/17/2014) HazMatIQ Above the Line/Below the Line Training

Training Level: Awareness / Students: 42 / Course Hours: 8 / Location: Alameda County

55. (06/18/2014-06/18/2014) HazMatIQ Advanced

Training Level: Awareness / Students: 39 / Course Hours: 8 / Location: Alameda County

56. (06/19/2014-06/19/2014) HazMatIQ Advanced

Training Level: Awareness / Students: 32 / Course Hours: 8 / Location: Alameda County

57. (06/20/2014-06/20/2014) HazMatIQ Advanced

Training Level: Awareness / Students: 21 / Course Hours: 8 / Location: Alameda County

58. (07/22/2014-08/04/2014) Rescue Systems 1

Training Level: Performance Offensive (OSHA Technician) / Students: 24 / Course Hours: 40 / Location: Santa Cruz County

59. (07/24/2014-08/05/2014) Rescue Systems 1

Training Level: Performance Offensive (OSHA Technician) / Students: 23 / Course Hours: 40 / Location: Santa Cruz County

60. (08/04/2014-08/04/2014) Homemade Explosives: Awareness, Recognition, and Response

Training Level: Awareness / Students: 78 / Course Hours: 8 / Location: Alameda County

61. (08/05/2014-08/05/2014) Homemade Explosives: Awareness, Recognition, and Response

Training Level: Awareness / Students: 80 / Course Hours: 8 / Location: Alameda County

62. (08/11/2014-08/18/2014) Trench Rescue

Training Level: Performance Offensive (OSHA Technician) / Students: 24 / Course Hours: 24 / Location: Santa Cruz County

63. (08/13/2014-08/19/2014) Trench Rescue

Training Level: Performance Offensive (OSHA Technician) / Students: 26 / Course Hours: 24 / Location: Santa Cruz County

64. (10/23/2014-10/24/2014) First Responder Operational Maritime Safety & Security Course (FROMS-S)

Training Level: Awareness / Students: 25 / Course Hours: 16 / Location: Alameda County

65. (11/12/2014-11/13/2014) ICS-219/300 Maritime Operations Workshop

Training Level: Awareness / Students: 24 / Course Hours: 16 / Location: Alameda County

66. (11/17/2014-11/21/2014) Rescue Systems 1

Training Level: Performance Offensive (OSHA Technician) / Students: 23 / Course Hours: 40 / Location: Sonoma County

67. (12/08/2014-12/09/2014) Hazardous Materials Incident Commander (HazMat IC)

Training Level: Planning (OSHA Incident Command) / Students: 23 / Course Hours: 16 / Location: Santa Cruz County

68. (12/08/2014-12/10/2014) ICS 300

Training Level: Planning (OSHA Incident Command) / Students: 31 / Course Hours: 16 / Location: Sonoma County

HC Health Care

69. (10/15/2014-10/16/2014) Community Healthcare Planning & Response to Disasters MGT 409

Training Level: Awareness / Students: 44 / Course Hours: 16 / Location: Alameda County

70. (12/05/2014-12/06/2014) First Receiver Operations and Decontamination for Health Care Course

Training Level: Performance Defensive (OSHA Operations) / Students: 14 / Course Hours: 0 / Location: San Benito County

71. (12/15/2014-12/16/2014) First Receiver Operations and Decontamination for Health Care Course

Training Level: Performance Defensive (OSHA Operations) / Students: 22 / Course Hours: 16 / Location: Sonoma County

HM – Hazmat

72. (07/14/2014-07/23/2014) HazMat Technician Series, A Week

Training Level: Performance Offensive (OSHA Technician) / Students: 43 / Course Hours: 40 / Location: Santa Clara County

73. (08/04/2014-08/08/2014) HazMat Technician Series, B Week

Training Level: Performance Offensive (OSHA Technician) / Students: 42 / Course Hours: 40 / Location: Santa Clara County

74. (09/15/2014-09/19/2014) HazMat Technician Series, C Week

Training Level: Performance Offensive (OSHA Technician) / Students: 38 / Course Hours: 40 / Location: Santa Clara County

75. (09/22/2014-09/26/2014) HazMat Technician Series, D Week

Training Level: Performance Offensive (OSHA Technician) / Students: 41 / Course Hours: 40 / Location: Santa Clara County

76. (09/29/2014-10/03/2014) HazMat Technician Series, A Week

Training Level: Performance Offensive (OSHA Technician) / Students: 33 / Course Hours: 40 / Location: Sonoma County

77. (10/06/2014-10/10/2014) HazMat Technician Series, B Week

Training Level: Performance Offensive (OSHA Technician) / Students: 37 / Course Hours: 40 / Location: Sonoma County

78. (10/20/2014-10/24/2014) HazMat Technician Series, C Week

Training Level: Performance Offensive (OSHA Technician) / Students: 37 / Course Hours: 40 / Location: Sonoma County

79. (10/27/2014-10/31/2014) HazMat Technician Series, D Week

Training Level: Performance Offensive (OSHA Technician) / Students: 37 / Course Hours: 40 / Location: Santa Clara County

LE Law Enforcement

80. (01/22/2014-01/24/2014) Critical Incident Response for Supervisors and Managers

Training Level: Awareness / Students: 25 / Course Hours: 24 / Location: San Francisco County

81. (02/14/2014-02/15/2014) Tactical Response to School/Community Violence

Training Level: Awareness / Students: 16 / Course Hours: 16 / Location: Santa Clara County

82. (02/19/2014-02/21/2014) Critical Incident Response for Supervisors and Managers

Training Level: Awareness / Students: 30 / Course Hours: 24 / Location: San Francisco County

83. (02/21/2014-02/22/2014) Tactical Response to School/Community Violence

Training Level: Awareness / Students: 19 / Course Hours: 16 / Location: Santa Clara County

84. (03/05/2014-03/07/2014) Critical Incident Response for Supervisors and Managers

Training Level: Awareness / Students: 28 / Course Hours: 24 / Location: San Mateo County

85. (03/18/2014-03/18/2014) ICS/EOC Application Drill (For Non-Sworn Personnel)

Training Level: Planning (OSHA Incident Command) / Students: 30 / Course Hours: 8 / Location: Alameda County

86. (03/24/2014-03/24/2014) Tactical Lifesaver Course (For Law Enforcement)

Training Level: Awareness / Students: 29 / Course Hours: 8 / Location: Alameda County

87. (03/25/2014-03/25/2014) Tactical Lifesaver Course (For Law Enforcement)

Training Level: Awareness / Students: 31 / Course Hours: 8 / Location: Alameda County

88. (03/25/2014-03/26/2014) Tactical Response to School/Community Violence

Training Level: Awareness / Students: 16 / Course Hours: 16 / Location: Santa Clara County

89. (03/26/2014-03/26/2014) Tactical Lifesaver Course (For Law Enforcement)

Training Level: Awareness / Students: 32 / Course Hours: 8 / Location: Alameda County

90. (03/27/2014-03/27/2014) Tactical Lifesaver Course (For Law Enforcement)

Training Level: Awareness / Students: 33 / Course Hours: 8 / Location: Alameda County

- 91. (03/28/2014-03/28/2014) Tactical Lifesaver Course (For Law Enforcement)**
Training Level: Awareness / Students: 31 / Course Hours: 8 / Location: Alameda County
- 92. (04/01/2014-04/02/2014) Advanced Armored Vehicle Operations Workshop**
Training Level: Awareness / Students: 28 / Course Hours: 16 / Location: Monterey County
- 93. (04/08/2014-04/11/2014) Remotec Robots Advanced Tactical Maintenance & Operations Course**
Training Level: Performance Offensive (OSHA Technician) / Students: 18 / Course Hours: 28 / Location: San Mateo County
- 94. (04/15/2014-04/16/2014) Drager BG-4 Rebreather Technician Course**
Training Level: Performance Offensive (OSHA Technician) / Students: 10 / Course Hours: 16 / Location: San Francisco County
- 95. (04/22/2014-04/23/2014) Tactical Response to School/Community Violence**
Training Level: Awareness / Students: 17 / Course Hours: 16 / Location: Santa Clara County
- 96. (04/28/2014-04/28/2014) Tactical Lifesaver Course (For Law Enforcement)**
Training Level: Awareness / Students: 30 / Course Hours: 8 / Location: Santa Cruz County
- 97. (04/28/2014-04/29/2014) MACTAC, Basic**
Training Level: Awareness / Students: 21 / Course Hours: 16 / Location: San Francisco County
- 98. (04/28/2014-04/29/2014) Law Enforcement HazMat / WMD Operations Planning and Response Course**
Training Level: Awareness / Students: 24 / Course Hours: 0 / Location: Santa Clara County
- 99. (04/29/2014-04/29/2014) Tactical Lifesaver Course (For Law Enforcement)**
Training Level: Awareness / Students: 30 / Course Hours: 8 / Location: Santa Cruz County
- 100. (04/30/2014-05/02/2014) Critical Incident Response for Supervisors and Managers**
Training Level: Awareness / Students: 26 / Course Hours: 24 / Location: San Mateo County
- 101. (04/30/2014-04/30/2014) Tactical Lifesaver Course (For Law Enforcement)**
Training Level: Awareness / Students: 30 / Course Hours: 8 / Location: Santa Cruz County
- 102. (04/30/2014-05/01/2014) MACTAC, Basic**
Training Level: Awareness / Students: 21 / Course Hours: 16 / Location: San Francisco County
- 103. (05/01/2014-05/01/2014) Tactical Lifesaver Course (For Law Enforcement)**
Training Level: Awareness / Students: 32 / Course Hours: 8 / Location: Santa Cruz County
- 104. (05/02/2014-05/02/2014) Tactical Lifesaver Course (For Law Enforcement)**
Training Level: Awareness / Students: 32 / Course Hours: 8 / Location: Santa Cruz County
- 105. (05/12/2014-05/12/2014) Tactical Awareness and Threat Recognition Course (TATR)**
Training Level: Awareness / Students: 39 / Course Hours: 8 / Location: Santa Clara County
- 106. (05/13/2014-05/13/2014) Tactical Awareness and Threat Recognition Course (TATR)**
Training Level: Awareness / Students: 35 / Course Hours: 8 / Location: Contra Costa County
- 107. (05/13/2014-06/26/2014) Law Enforcement HazMat / WMD Operations Planning and Response Course**
Training Level: Awareness / Students: 25 / Course Hours: 16 / Location: Santa Clara County
- 108. (05/14/2014-05/14/2014) Tactical Awareness and Threat Recognition Course (TATR)**
Training Level: Awareness / Students: 29 / Course Hours: 8 / Location: San Francisco County

- 109. (05/16/2014-05/17/2014) Tactical Response to School/Community Violence**
Training Level: Awareness / Students: 17 / Course Hours: 16 / Location: Contra Costa County
- 110. (05/27/2014-05/28/2014) Tactical Response to School/Community Violence**
Training Level: Awareness / Students: 18 / Course Hours: 16 / Location: Santa Clara County
- 111. (05/30/2014-05/31/2014) Tactical Response to School/Community Violence**
Training Level: Awareness / Students: 18 / Course Hours: 16 / Location: Contra Costa County
- 112. (06/19/2014-06/20/2014) Advanced Armored Vehicle Operations Workshop**
Training Level: Awareness / Students: 29 / Course Hours: 16 / Location: San Francisco County
- 113. (06/20/2014-06/21/2014) Tactical Response to School/Community Violence**
Training Level: Awareness / Students: 12 / Course Hours: 16 / Location: San Mateo County
- 114. (07/07/2014-07/07/2014) Tactical Lifesaver Course (For Law Enforcement)**
Training Level: Awareness / Students: 32 / Course Hours: 8 / Location: Marin County
- 115. (07/07/2014-07/08/2014) Tactical Response to School/Community Violence**
Training Level: Awareness / Students: 18 / Course Hours: 16 / Location: San Mateo County
- 116. (07/08/2014-07/08/2014) Tactical Lifesaver Course (For Law Enforcement)**
Training Level: Awareness / Students: 29 / Course Hours: 8 / Location: Marin County
- 117. (07/09/2014-07/09/2014) Tactical Lifesaver Course (For Law Enforcement)**
Training Level: Awareness / Students: 32 / Course Hours: 8 / Location: Marin County
- 118. (07/10/2014-07/10/2014) Tactical Lifesaver Course (For Law Enforcement)**
Training Level: Awareness / Students: 29 / Course Hours: 8 / Location: Marin County
- 119. (07/11/2014-07/11/2014) Tactical Lifesaver Course (For Law Enforcement)**
Training Level: Awareness / Students: 32 / Course Hours: 8 / Location: Marin County
- 120. (07/28/2014-07/29/2014) Tactical Response to School/Community Violence**
Training Level: Awareness / Students: 19 / Course Hours: 16 / Location: San Mateo County
- 121. (07/28/2014-07/30/2014) Critical Incident Response for Supervisors and Managers**
Training Level: Awareness / Students: 24 / Course Hours: 24 / Location: Marin County
- 122. (07/29/2014-07/30/2014) MACTAC, Basic**
Training Level: Awareness / Students: 19 / Course Hours: 16 / Location: Alameda County
- 123. (07/31/2014-08/01/2014) MACTAC, Basic**
Training Level: Awareness / Students: 20 / Course Hours: 16 / Location: Alameda County
- 124. (09/22/2014-09/24/2014) Critical Incident Response for Supervisors and Managers**
Training Level: Awareness / Students: 20 / Course Hours: 24 / Location: Sonoma County
- 125. (10/15/2014-10/17/2014) Critical Incident Response for Supervisors and Managers**
Training Level: Awareness / Students: 20 / Course Hours: 24 / Location: Alameda County
- 126. (11/10/2014-11/11/2014) Advanced Armored Vehicle Operations Workshop**
Training Level: Awareness / Students: 32 / Course Hours: 16 / Location: Solano County
- 127. (12/08/2014-12/08/2014) PRND Equipment Familiarization**
Training Level: Awareness / Students: 32 / Course Hours: 8 / Location: Alameda County

128. (12/09/2014-12/10/2014) DNDO Quick Start Training Program

Training Level: Awareness / Students: 32 / Course Hours: 24 / Location: Alameda County

129. (12/17/2014-12/19/2014) Critical Incident Response for Supervisors and Managers

Training Level: Awareness / Students: 30 / Course Hours: 24 / Location: Alameda County

PNP Not for Profit / Non Profit

130. (02/28/2014-02/28/2014) School Violence & The Active Shooter

Training Level: Awareness / Students: 62 / Course Hours: 4 / Location: Sonoma County

131. (02/28/2014-02/28/2014) School Violence & The Active Shooter

Training Level: Awareness / Students: 47 / Course Hours: 4 / Location: Sonoma County

132. (04/23/2014-04/23/2014) School Violence & The Active Shooter

Training Level: Awareness / Students: 64 / Course Hours: 4 / Location: Santa Clara County

133. (05/15/2014-05/15/2014) School Violence & The Active Shooter

Training Level: Awareness / Students: 55 / Course Hours: 4 / Location: Alameda County

134. (08/12/2014-08/12/2014) School Violence & The Active Shooter

Training Level: Awareness / Students: 142 / Course Hours: 4 / Location: Alameda County

135. (09/10/2014-09/10/2014) School Violence & The Active Shooter

Training Level: Awareness / Students: 64 / Course Hours: 4 / Location: Alameda County

136. (11/21/2014-11/21/2014) School Violence & The Active Shooter

Training Level: Awareness / Students: 36 / Course Hours: 4 / Location: Sonoma County

PSC Public Safety Communications

137. (04/28/2014-05/02/2014) Radio Fundamentals for First Responders

Training Level: Performance Offensive (OSHA Technician) / Students: 19 / Course Hours: 40 / Location: Monterey County

138. (08/20/2014-08/21/2014) JIC/JIS Joint Information Center Exercise Training Course

Training Level: Awareness / Students: 24 / Course Hours: 0 / Location: Alameda County



To: Bay Area UASI Approval Authority

From: Mike Sena, Director of NCRIC

Date: February 12, 2015

Re: Item 7: NCRIC Annual Report

Recommendation:

No recommendation – for discussion only

Action or Discussion Item:

Discussion

Discussion/Description:

Northern California Regional Intelligence Center Director Mike Sena will present the NCRIC's Annual Report. The report discusses the sub-units of the NCRIC as well as provides updated information on activities and capabilities from the past 12 months.

The 2014 NCRIC Annual Report for the Bay Area UASI



The Northern California Regional Intelligence Center is a multi-jurisdictionally staffed center with many sub-units and disciplines all dedicated to the mission of information sharing and providing analytical support to their partners in both the public and private sectors. It is overseen by Director Mike Sena along with Deputy Directors Daniel Mahoney and Karen Sherwood.

OUTREACH—(TLOOP)

The NCRIC has ten (10) members assigned from various public safety agencies who are dedicated to providing outreach and training to our partners, as well as reviewing all submitted Suspicious Activity Reports (SAR).

UPDATE—

Total Number in our Distribution List:

Approved Law Enforcement—	12,347
Approved Public Safety Government—	2,104
Approved Private Sector Partners—	817

For Calendar Year 2014

- * 1818 public safety officers and civilians received formal training at 39 classes
- * 2577 people trained at briefings
Total trained—5,534
- * 669 Suspicious Activity Reports reviewed for FBI follow-up / 274 were forwarded to FBI

This past year, this team continued to develop and implement the Threat Evaluation Unit (TEU) whereby each member is assigned a Suspicious Activity Report for follow-up investigation/evaluation.

INFORMATION TECHNOLOGY—CYBER

The NCRIC had six (6) members assigned to IT & Cyber-related functions.

UPDATE—This past year, numerous Cyber presentations were made to attendees at national conferences, such as IACP-Law Enforcement Intelligence Management, ASCIA (Association of State Investigative Agencies), ACFE (American College of Forensic Examiners) and cyber

presentations are being considered for the ASIS International Conference. Outreach was made to various private sector cyber units to for partnership engagement.

RISK MANAGEMENT UNIT

The NCRIC has five members assigned to the Risk Management Unit, composed of personnel from the San Mateo Sheriff's Office, SF DEM, and SF DA. The RMU's function is to analyze and mitigate threat, risk and vulnerability, as well as providing Special Event, crime, threat, and disaster support and planning.

Activities include, but are not limited to:

Targeted Vulnerability Assessments, based on:

- High Consequence assets
- Credible Threats (all crimes)

Special Events Vulnerability/Threat Assessments

- Strictly event related, and used in the run up to the event for event security planning

Special Events Support

- Real-Time Open Source Analysis
- Analytic Case Support on-scene

Primary Goals

- Identify threats to public safety
- Identify threats to law enforcement
- Maintain situational awareness
- Gauge public perception

UPDATE— This past year, the RMU provided threat assessments for venues such as AT&T Park's Giants Season, Candlestick Park and Levi Stadium's 49er Season. Also the Oakland A's, Oakland Raiders Seasons, Oracle Arena's Golden State Warrior Season, as well as various municipality requests.

Special Event Support was conducted for:

- January 2014- Maverick's Surf Competition
- June 2014- San Francisco Pride Parade
- October 2014- Urban Shield Exercises
- August-December 2014—Levi's Stadium Support—All Events
- December 2014- New Year's Eve – San Francisco

PRIVATE SECTOR OUTREACH

There are two (2) members assigned to the Private Sector Outreach Program.

UPDATE- During calendar year 2014, the NCRIC Private Sector Program (PSP) added 165 new members, closing the year with a total membership of 817. As an enhancement to traditional outreach efforts, the Private Sector Program Director began attending the 2014-Law Enforcement Terrorism Liaison Officer (TLO) Outreach meetings, during which he provided an overview of the NCRIC PSP to attending TLOs. TLOs were requested to conduct outreach to owner/operators of critical infrastructure and educate them about NCRIC services, thus leveraging law enforcement network.

During 2014, the Private Sector Program Director co-chaired the following subcommittees to further enhance information sharing efforts between fusion center personnel and the private sector:

- The Multi-Modal Information Sharing Subcommittee (MIST) which was established by the U.S. Coast Guard led Area Maritime Security Committee (AMSC) focused on enhancing suspicious activity reporting and information/intelligence sharing practices within San Francisco Bay Area Ports specifically with the private sector. The work of this committee was completed and a final report submitted to the AMSC which provided a number of recommendations designed to enhance public safety.
- The Private Sector Engagement Subcommittee which was established by the National Fusion Center Association (NFCA) to enhance the overall ability of the National Network Fusion Centers to support efforts to secure critical infrastructure by working more effectively with the private sector and the 16 Critical Sector Information Sharing & Analysis Centers (ISAC). This committee involves national participation by Federal, State and local government officials, and is being supported by the Program Manager for the Information Sharing Environment (PM-ISE) from the White House.

The NCRIC Private Sector Program Director was a guest lecturer on several occasions at the Naval Postgraduate School International Maritime Security Course where he presented on fusion center procedures to executive members of law enforcement and military from the Algerian, Tunisian, Egyptian and Moroccan communities.

ANALYTICAL SUPPORT

There are sixteen (16) members assigned for various analytical disciplines. Those assigned to the Assessment & Monitoring Team (AMT) conduct analysis in both transnational and domestic terrorist organizations. The Vetting & Awareness Team (VAT) conducts analytical support for other law enforcement's request for information, as well as providing analysis of inputted

Suspicious Activity Reports. The Priority Crimes Unit provides analysis of those who are released from custody under the provisions of Assembly Bill 109 (AB109).

HIGH INTENSITY DRUG TRAFFICKING AREA (HIDTA)

The Northern California High Intensity Drug Trafficking Area (NCHIDTA) is part of the NCRIC and has twenty (20) members assigned. The NCHIDTA team members represent the Investigative Support Center (ISC), the Investigative Equipment Program, and the Training Program. The NC HIDTA ISC supports 18 counter drug task forces in the 12 county area of responsibility, providing analytical case support, deconfliction services, geo-mapping, information requests and case referrals. The ISC is made up of Intelligence Analysts from the CA National Guard, Drug Enforcement Administration, Federal Bureau of Investigation, and the County of San Mateo. The Investigative Equipment Program lends high-tech equipment to counter-drug initiatives and local law enforcement for use in a variety of operations and enforcement activities. In 2014 the Training Program provided 8,429 students with professional, class room style training. The training is provided to law enforcement officers, analysts, and management level personnel.

UPDATE- During 2014, the NCHIDTA support function assisted in the following:

Total narcotic seizures with whole sale street value: \$498,642,598.00

Total non-narcotic assets seized: \$19,406,556.00

Cash assets seized: \$16,360,971.00

Total narcotics and assets seized in 2014: \$518,049,154.00

FEDERAL & STATE SUPPORT

The NCRIC has members assigned from the following Federal and State agencies:

- Federal Bureau of Investigation
- Department of Homeland Security
- United States Attorney Office
- US Customs & Border Protection
- California Department of Justice
- California Highway Patrol
- California National Guard
- Drug Enforcement Agency

PILOT PROJECTS

The NCRIC is involved in several national Pilot Projects, which consist of:

- The National Mission Cell, which is a joint FBI, DHS, ISE, Fusion Center collaborative project focusing on the sharing and dissemination of actionable CT information;
- The Fusion Center-Cyber Pilot, which is a joint FBI, DHS, ISE, CIS, Fusion Center collaborative project focusing on the analysis and dissemination of cyber threats.
- Analysis of Social Media—which is a Fusion Center collaborative project focusing on the identification of best practices in regard to the analysis of information posted on social media.



To: Bay Area UASI Approval Authority
From: Tristan Levardo, CFO
Date: February 12, 2015
Re: Item 8: Update on SFFD Regional Fireboat

Recommendation:

No recommendation – for discussion only

Action or Discussion Items:

Discussion

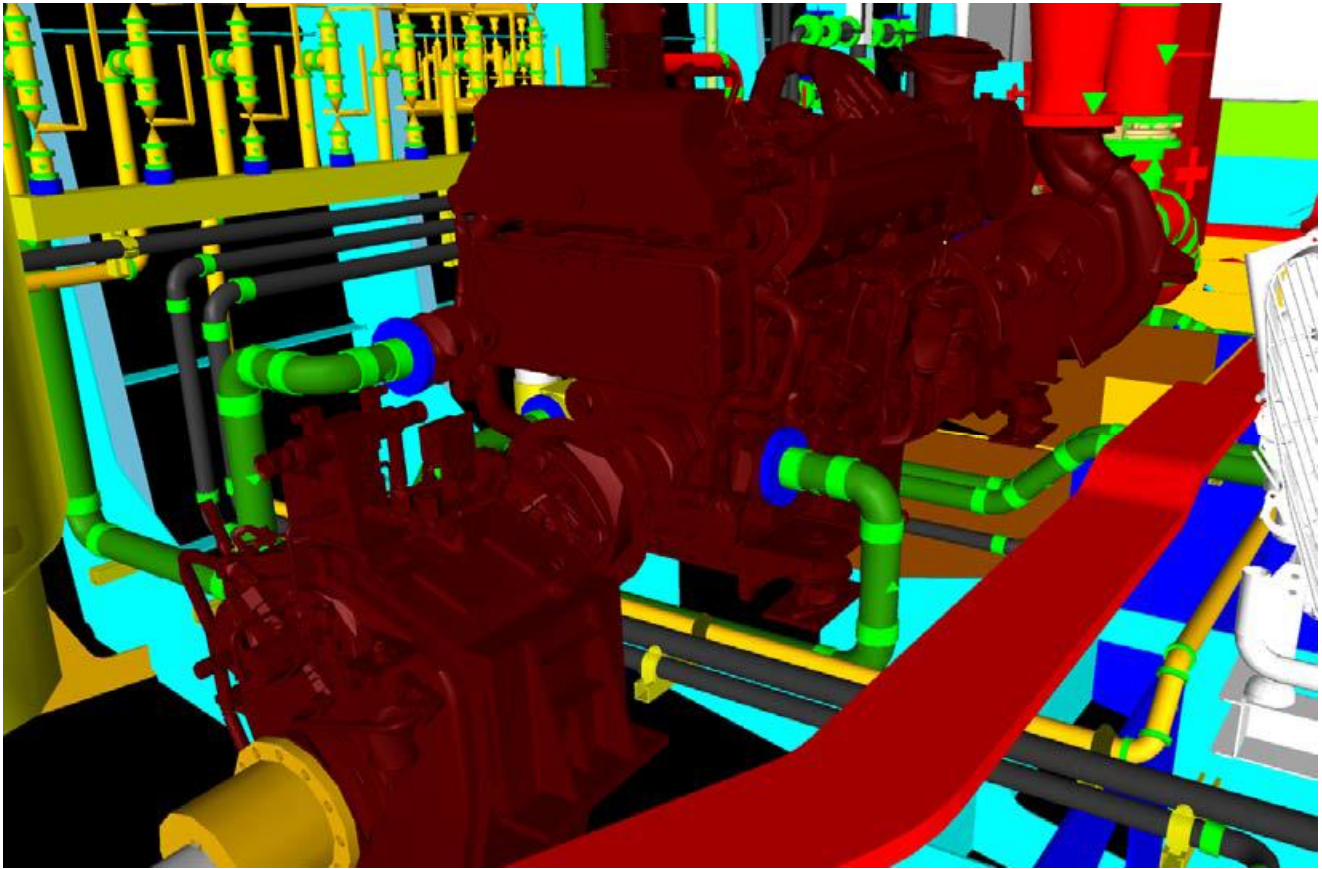
Discussion:

This item updates the Approval Authority on the SFFD Regional Fireboat.

SFFD’s request for partial funding of \$345,000 for the purchase of a regional fireboat was approved by the Approval Authority on May 8, 2014. With a contract amount of \$11.6 million, this project is expected to be finished by February, 2016. The SFFD selected Vigor Fab LLC for the project construction.

The Management Team processed the SFFD’s final reimbursement request for \$345,000 with funding from FY12 UASI grant. The request was based on work completed by 7/31/14, and applies to Phase I – Pre-production Engineering and Detail Design of the fireboat. There is no specific equipment that can be attached to the funding provided by UASI, as in most cases of equipment having multiple funding sources. Nonetheless, the UASI program holds a 3% investment value of the entire regional fireboat.

The production engineering is progressing on the lofting (computer-aided technical drawings) and the construction of the steel to be used in the ship’s hull has begun. A 3D model of the main engines (shown below) has been developed. All of the major components (engines, fire pumps, etc.) have been ordered. Receipt of certain portions of those components has taken place.



3D Model of Main Engine Room: Port Side Main Engine Shown



Bow Section and Neighboring Structure Under Assembly at Vigor



Main Deck Structure Shown Under Construction Upside-Down with Lifting Pads



Inside the thruster tunnel



To: Bay Area UASI Approval Authority
From: Tristan Levardo, CFO
Date: February 12, 2015
Re: Item 9: FY11 RCPGP Final Spending Report

Recommendation:

No recommendation – for discussion only

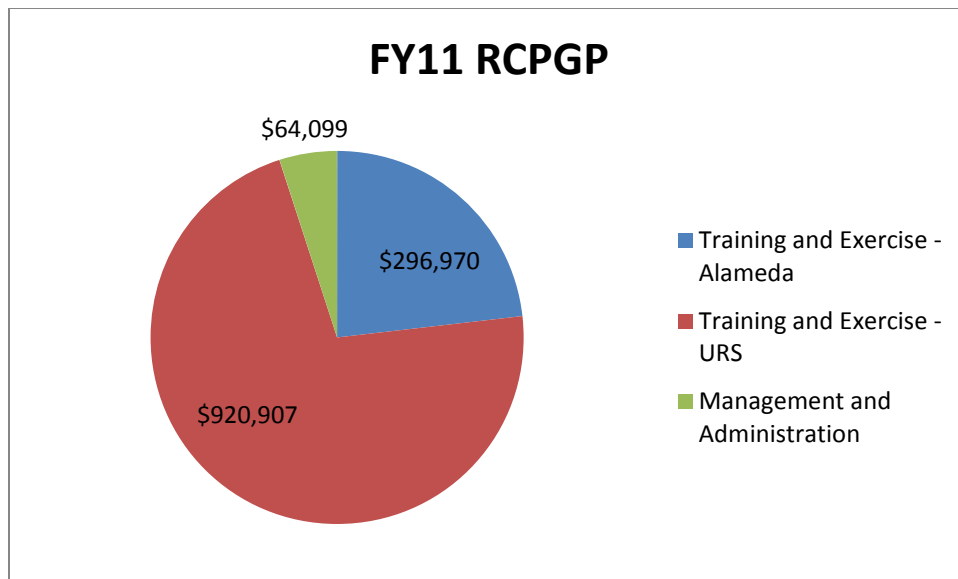
Action or Discussion Item:

Discussion

Summary

The Bay Area UASI’s RCPGP program concluded on 12/31/14. The last cash request for the FY11 RCPGP grant has been submitted to the state. The final spending by category is shown below. As previously reported, our targeted grant match of \$427,325 has been achieved.

Funding Year	Grant Expiration	Budget	Spent	Match Budget	Actual Match
FY11	12/31/14	1,281,976	1,281,976	427,325	427,325



UASI Approval Authority and Management Team Tracking Tool

February 12, 2015 Approval Authority Meeting

Special Request Items/Assignments						
#	Name	Deliverable	Who	Date Assigned	Due Date	Status / Comments
1	Approval of FY15 Projects	Presentation	Catherine Spaulding	8/20/14	4/9/15	
2	Approval of FY15 UASI Allocations	Presentation	Catherine Spaulding	11/18/14	TBD	To be calendared as soon as possible following the release of the Federal Funding Opportunity Announcement
3	Napa Earthquake Lessons Learned	Presentation	Kerry Whitney	1/11/2014	4/9/15	
4	Local RCPGP Plan Tabletop Validation Exercise and POD Workshop	Presentation	Corinne Bartshire	1/13/15	4/9/15	
5	Medical-Public Health Regional Exercise Close Out	Presentation	Eric Shanks/Carl Hess	4/21/14	4/9/15	
6	UASI Travel Expenditures	Presentation	Tristan Levarado	1/1/14	4/9/15	
7	UASI FY15 Proposal Process Lessons Learned	Presentation	Catherine Spaulding	1/27/15	5/14/15	
8	FY13 Regional Recovery Projects Close Out	Presentation	Corinne Bartshire	4/21/14	5/14/15	
9	P25 Build Out Analysis	Presentation	Srijesh Thapa	11/18/14	5/14/15	
10	Resource Inventory Project Update	Presentation	Mikyung Kim-Molina	11/18/14	5/14/15	
11	Reallocation of Grant Funds	Presentation	Tristan Levarado	1/1/14	5/14/15	
12	Bay Area UASI Management Team Annual Budget and Annual Report	Presentation	Craig Dziedzic	11/18/14	7/9/15	
13	Catastrophic Plan Just In Time Training Videos	Presentation	Corinne Bartshire	1/14/15	7/9/15	
14	Emergency Public Information and Warning Program Update	Presentation	Srijesh Thapa	11/18/14	7/9/15	
15	Emergency Agreements Analysis	Presentation	Maribel Garcia	11/18/14	7/9/15	
16	Bay Area Homeland Security Strategy	Presentation	Catherine Spaulding	11/18/14	8/13/15	

17	2016 UASI Proposal Guidance	Presentation	Catherine Spaulding	11/18/14	8/13/15	

Regular Items/Assignments						
#	Name	Deliverable	Who	Date Assigned	Due Date	Status / Comments
A	UASI Financial Reports	Report	Tristan Levarado		4/9/15 5/14/15 7/9/15 8/13/15	UASI Travel Expenditures Reallocation of Grant Funds FY12 UASI Spending Report FY13 UASI Spending Report
B	BayRICS JPA Quarterly Report	Report	Barry Fraser			BayRICS JPA Report: 4/9/15; 7/9/15; 10/8/15; 1/14/16; 4/14/16; 10/13/16
C	Election of UASI Officers	Discussion & Action Item	Chair		1/14/16 (Annually)	