



## **Approval Authority Meeting**

Thursday, May 9, 2013

10:00 a.m.

### **LOCATION**

Alameda County Sheriff's Office OES

4985 Broder Blvd., Dublin, CA 94568

### **OES Assembly Room**

### **AGENDA**

#### **1. CALL TO ORDER**

##### **ROLL CALL**

UASI Chair	Anne Kronenberg, City and County of San Francisco
UASI Vice-Chair	Rich Lucia, County of Alameda
Member	Raymond Guzman, City and County of San Francisco
Member	Renee Domingo, City of Oakland
Member	Chris Godley, City of San Jose
Member	Emily Harrison, County of Santa Clara
Member	Mike Casten, County of Contra Costa
Member	Bob Doyle, County of Marin
Member	Sherrie L. Collins, County of Monterey
Member	Carlos Bolanos, County of San Mateo
Member	Mark Aston, County of Sonoma
Member	Brendan Murphy, CalEMA

General Manager      Craig Dziedzic

#### **2. APPROVAL OF THE MINUTES** (Discussion, Possible Action)

Discussion and possible action to approve the draft minutes from the April 11, 2013 regular meeting or take any other action related to the matter. (*Document for this item includes draft minutes from April 11, 2013.*) 5 mins

#### **3. GENERAL MANAGER'S REPORT**

The General Manager will give an update regarding the following:

- a) National Preparedness Grant Program (Discussion Only)
- b) BAUASI/Port Security Collaboration Update (Discussion Only)
- c) Information and Sharing Sub-Group (Discussion Only)
- d) San Francisco Mayor Ed Lee's meeting with DHS Secretary Janet Napolitano (Discussion Only)

(*Documents for this item are a report from Craig Dziedzic and 1 Appendix.*) 10 mins

#### **4. HUB FUNDING ALLOCATION** (Discussion, Possible Action)

Catherine Spaulding and Jason Carroll will provide presentations on the FY 13 Risk Funding Formula. Possible action to support any recommendation(s) or take any other action related to this matter. (*Documents for this item are a report from Catherine Spaulding and Jason Carroll and 1 Appendix.*) 10 mins

- 5. BOSTON MARATHON EXPLOSIONS** (Discussion)  
Mike Sena will give a presentation on the Boston Marathon explosions, aftermath, and implications for the Bay Area. 10 mins
- 6. PUBLIC SAFETY INFORMATION SHARING** (Discussion, Possible Action)  
Mike Sena will report on progress to date on regional public safety information sharing investments. Possible action to support any recommendation(s) or take any other action related to this matter. *(Document for this item is a report from Mike Sena.)* 10 mins
- 7. ADOPTION OF RCPGP PLANS BY OPERATIONAL AREAS AND COASTAL CALEMA** (Discussion, Possible Action)  
Janell Myhre will give a presentation on the status of adopting Regional Catastrophic Preparedness Grant Program (RCPGP) plans by Operational Areas and the CalEMA Coastal Region. Possible action to support any recommendation(s) or take any other action related to this matter. *(Document for this item is a report from Janell Myhre.)* 10 mins
- 8. RCPGP COMMUNITY PREPAREDNESS AND PUBLIC OUTREACH PROJECTS** (Discussion, Possible Action)  
Janell Myhre will introduce representatives from the City/County of San Francisco, the City of Oakland, and the City of San Rafael who will provide presentations on three RCPGP Community Preparedness and Public Outreach projects. Possible action to support any recommendation(s) or take any other action related to this matter. *(Documents for this item are a report by Janell Myhre, Power Points from City & County of San Francisco and Oakland, and a report from San Rafael.)* 15 mins
- 9. EXPENDITURE REPORT ON THE FY11 UASI GRANT AND TRAVEL EXPENSES** (Discussion, Possible Action)  
Tristan Levarado will provide an update of the expenditures of the FY11 UASI grant and Travel Expenses. Possible action to approve any recommendation(s) or take any other action related to this matter. *(Documents for this item are 2 reports from Tristan Levarado.)* 5 mins
- 10. REPORT FROM THE BAY AREA REGIONAL INTEROPERABLE COMMUNICATIONS SYSTEM JOINT POWERS AUTHORITY (BayRICS JPA)** (Discussion, Possible Action)  
Report from Barry Fraser regarding the BayRICS JPA. Possible action to approve the report or take any other action related to this matter.  
*(Document for this item is a report from Barry Fraser.)* 10 mins
- 11. TRACKING TOOL** (Discussion, Possible Action)  
Review the tracking tool for accuracy and confirmation of deadlines. Possible action to add or clarify tasks for the Management Team or take other action related to the tracking tool.  
*(Document for this item is the UASI Approval Authority Tracking Tool.)* 5 mins
- 12. ANNOUNCEMENTS-GOOD OF THE ORDER**
- 13. FUTURE AGENDA ITEMS** (Discussion)  
The Approval Authority members will discuss agenda items for future meetings.
- 14. GENERAL PUBLIC COMMENT**  
Members of the Public may address the Approval Authority for up to three minutes on items within the jurisdiction of the Bay Area UASI Approval Authority.

## 15. ADJOURNMENT

If any materials related to an item on this agenda have been distributed to the Approval Authority members after distribution of the agenda packet, those materials are available for public inspection at the Bay Area UASI office located at 711 Van Ness Avenue, Suite 420, San Francisco, CA 94102 during normal office hours, 8:00 a.m.- 5:00 p.m.

### **Public Participation:**

It is the policy of the Approval Authority to encourage and permit public participation and comment on matters within the Approval Authority's jurisdiction, as follows.

- *Public Comment on Agenda Items.* The Approval Authority will take public comment on each item on the agenda. The Approval Authority will take public comment on an action item before the Approval Authority takes action on that item. Persons addressing the Approval Authority on an agenda item shall confine their remarks to the particular agenda item. For each agenda item, each member of the public may address the Approval Authority once, for up to three minutes. The Chair may limit the public comment on an agenda item to less than three minutes per speaker, based on the nature of the agenda item, the number of anticipated speakers for that item, and the number and anticipated duration of other agenda items.
- *General Public Comment.* The Approval Authority shall include general public *comment* as an agenda item at each meeting of the Approval Authority. During general public comment, each member of the public may address the Approval Authority on matters within the Approval Authority's jurisdiction. Issues discussed during general public comment must not appear elsewhere on the agenda for that meeting. Each member of the public may address the Approval Authority once during general public comment, for up to three minutes. The Chair may limit the total general public comment to 30 minutes and may limit the time allocated to each speaker depending on the number of speakers during general public comment and the number and anticipated duration of agenda items.
- *Speaker Identification.* Individuals making public comment may be requested, but not required, to identify themselves and whom they represent.
- *Designated Public Comment Area.* Members of the public wishing to address the Approval Authority must speak from the public comment area.
- *Comment, Not Debate.* During public comment, speakers shall address their remarks to the Approval Authority as a whole and not to individual Approval Authority representatives, the General Manager or Management Team members, or the audience. Approval Authority Representatives and other persons are not required to respond to questions from a speaker. Approval Authority Representatives shall not enter into debate or discussion with speakers during

public comment, although Approval Authority Representatives may question speakers to obtain clarification. Approval Authority Representatives may ask the General Manager to investigate an issue raised during public comment and later report to the Approval Authority. The lack of a response by the Approval Authority to public comment does not necessarily constitute agreement with or support of comments made during public comment.

- *Speaker Conduct.* The Approval Authority will not tolerate disruptive conduct by individuals making public comment. Speakers who use profanity or engage in yelling, screaming, or other disruptive behavior will be directed to cease that conduct and may be asked to leave the meeting room.

**Disability Access**

**The UASI Approval Authority will hold its meeting at the Alameda County Sheriff's Office OES located at 4985 Broder Blvd. in Dublin, CA 94568.**

**In compliance with the Americans with Disabilities Act, those requiring accommodations for this meeting should notify Nubia Mendoza, at least 24 hours prior to the meeting at (415) 353-5223.**



**Bay Area UASI Program  
Approval Authority Meeting**  
Thursday, April 11, 2013  
10:00 a.m.

**LOCATION**  
Alameda County Sheriff's Office OES  
4985 Broder Blvd., Dublin, CA 94568  
**OES Assembly Room**

**REGULAR MEETING MINUTES  
DRAFT**

**1. Roll Call**

Chair Kronenberg called the meeting to order at 10:05 AM. UASI General Manager Craig Dziejdzic took roll and Chair Kronenberg, Vice Chair Lucia, Members Godley, Collins, Guzman, Bolanos, and Casten were present. Members Domingo, Harrison, Aston, and Doyle were absent, but their respective alternates Cathey Eide, Ken Kehmna, Christopher Helgren, and Dave Augustus were present. Brendan Murphy was absent.

**2. Approval of the Minutes**

**Motion:** Approve the minutes from the March 14 Approval Authority meeting.

**Moved:** Vice Chair Lucia     **Seconded:** Member Godley

**Vote:** The motion passed unanimously

Chair Kronenberg moved to item 3.

**3. General Manager's Report**

(a) UASI FY 2013 Update

Craig Dziejdzic, UASI General Manager, provided an update regarding the FY 2013 UASI grant status. Mr. Dziejdzic stated that Congress had passed the Fiscal Year (FY) 2013 Consolidated and Further Continuing Appropriations Act and gave a brief overview of the legislation to the Board.

(b) Management Team Staff Update (Discussion)

Mr. Dziejdzic reported on staff changes to the Management Team and the job positions that are currently open for application. He indicated that the job announcement for the interoperability

project manager position had been posted. The position will serve on the Bay Area UASI Management Team and has the responsibility of coordinating/collaborating, facilitating, and managing Bay Area UASI interoperable projects.

(c) Grants Management Workshop

Mr. Dziejdzic indicated that the UASI Management Team will coordinate and conduct a Grants Management Workshop titled “Fifty Shades of Grants Management” and provided the details to attend the workshops.

Mr. Dziejdzic explained that these grant workshops will discuss project management, sub-recipient MOUs, the Financial Management workbook, compliance requirements, and monitoring.

Chair Kronenberg moved to item 4.

**4. FY 14 Risk Management Cycle**

Catherine Spaulding, UASI Assistant General Manager, reported that the Management Team completed its planning for the FY14 Risk Management Cycle. The Bay Area UASI Management Team initiated a regional collaborative planning, risk validation analysis, and capabilities assessment in 2010 to comply with Department of Homeland Security guidance that all levels of government establish a foundation to justify and guide preparedness activities and investments. Ms. Spaulding explained new additions to the risk cycle and the detailed timeline for the FY14 Risk Management Cycle.

David Frazer, Risk and Information Sharing Project Manager, provided information regarding the series of steps the Risk Information Program has established. He gave detailed information about the Kick-Off Meeting, Risk Data Validation meeting, the Operational Area Core Capability Assessment, and the Regional Capabilities Assessment.

The Board discussed whether the Risk Information Program process will have accurate information pending the issuance of the guidance from the Department of Homeland Security in May. The management team stated that as soon as new information is received, changes will be applied to the process to comply with the new requirements as necessary.

Chair Kronenberg moved to item 5.

**5. Regional Catastrophic Planning Team (RCPT)/Medical and Public Health Workgroup Update**

Janell Myhre, Regional Program Manager, presented an update on the Regional Catastrophic Planning Team and Medical and Public Health Workgroup. Ms. Myhre reported on the Regional Logistics and Critical Lifelines Project and stated that the Bay Area Logistics Steering Committee and the RCPT reviewed and provided input for the project’s new deliverables. Ms. Myhre indicated that the vendor, URS Corporation, will continue its work on the project, with management and oversight provided by the Management Team, Bay Area Logistics Steering

Committee, and the Bay Area RCPT

The Board held a discussion regarding the plans that RCGP have in place and the status for the plans to be accepted and approved by CalEMA. The Board would like to see the State review and accept the plans that the Regional Catastrophic Planning Team have completed. The Board discussed steps for action to take towards improving the relationship between the Bay Area and State officials.

Ms. Myhre gave a report regarding the RCPGP 25 percent grant match and indicated the latest funds that were collected and the remaining funds needed by September 30<sup>th</sup>, 2013.

Chair Kronenberg moved to item 6.

### **6. Logistics & Critical Lifelines Plan**

Lee Rosenberg, representative of the URS Corporation, gave a presentation regarding the Logistics & Critical Lifelines Plan. His presentation included an overview of the following:

- Planning Objectives
- Project Deliverables
- Gaps & Recommendations
- Project Completion Status

The Board discussed the State's reluctance to adopt any regional plans that the Bay Area has created. Mr. Godley commented that if the State were to adopt plans such as the Logistics & Critical Lifelines Plan, the State would have to commit to rules and regulations with which they do not agree.

Chair Kronenberg moved to item 7.

### **7. Statewide Debris Management Project Closeout**

Mary Landers presented a report on the closeout of the Statewide Debris Management Project. She gave a general presentation summarizing the Bay Area's Debris Management plan, an overview of the similarities and differences found in the City of Los Angeles' Debris Management plan, the statewide Debris Management Workshop held in Los Angeles and the "Just in Time" Training CDs deliverable.

Anna Davis, a representative from URS Corporation, spoke about the different reports that were presented and gave an overview of the lessons learned from the Debris Management workshops. Ms. Davis summarized the topics of discussion that included disposal of debris as a regional issue, wide-scale private property debris removal and demolition, and Debris Management Operations.

Chair Kronenberg moved to item 8.

## **8. Expenditure Report on the FY10 UASI Grant**

Tristan Levardo, Chief Financial Officer, reported on the FY10 UASI grant and indicated that the completion rate was 97 percent. All MOUs have been closed out and liquidated except for Oakland and San Francisco, whose final claims are currently being processed. Mr. Levardo stated that the Management Team is waiting for an extension from the State in order to extend deadlines for projects.

Chair Kronenberg moved to item 9.

## **9. Report from The Bay Area Regional Interoperable Communications System Joint Powers Authority (BayRICS JPA)**

Barry Fraser, interim General Manager for the BayRICS JPA, reported on the status of the BayRICS JPA meeting held in March 2013. Mr. Fraser reported new information regarding implementing the agreement for maintenance and network monitoring for the BayLoop regional microwave network. The BayRICS Board approved a 12-month agreement with Aviat Networks for extended warranty, maintenance and monitoring services for BayLoop.

Mr. Fraser also indicated that the BayRICS Board held a conference call with FirstNet Board Member, Sue Swenson along with seven BTOP recipients and several staff and consultants from NTIA and FirstNet. Mr. Fraser stated that a series of discussions for the spectrum lease will occur in an attempt to meet the 90-day negotiation window set forth by the FirstNet Board.

Chair Kronenberg moved to item 10.

## **10. Tracking Tool**

Chair Kronenberg moved to item 11.

## **11. Announcements-Good of the Order**

Chair Kronenberg moved to item 12.

## **12. Future Agenda Items**

Chair Kronenberg moved to item 13.

## **13. General Public Comment**

Chair Kronenberg asked for general public comment. There was no public comment and the meeting adjourned at 11:13 a.m.





**To: Bay Area UASI Approval Authority**

**From: Craig Dziedzic**

**Date: May 9, 2013**

**Re: Item #3: General Manager's Report**

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**Recommendations:**

Information Only.

**Action or Discussion Items:**

- (a) National Preparedness Grant Program (Discussion Only)
- (b) BAUASI/ Port Security Collaboration Update (Discussion Only)
- (c) Information and Sharing Focus Group (Discussion Only)
- (d) Update of San Francisco Mayor Ed Lee's meeting with DHS Secretary Napolitano (Discussion Only)

**Discussion/Description:**

- (a) National Preparedness Grant Program (Discussion)

On April 12, 2013, the DHS Office of Intergovernmental Affairs hosted a conference call to discuss the re-introduction of the National Preparedness Grant Program (NPGP), which was included in President Obama's FY 2014 Budget to Congress (attached as Exhibit A is a copy of the Proposal).

Similar to the FY 2013 NPGP, the FY 2014 proposal consolidates current state and local preparedness grant programs into one overarching program (excluding Emergency Management Performance Grants and Fire Grants). Highlights of the differences between the 2013 and 2014 proposals include the following:

- Grantees will submit one coordinated statewide application to include urban areas, ports and transit systems. Mandatory engagement and concurrence from urban areas, port and transit authorities in state-generated THIRAs and investment justifications will be

required. The FY 2014 NPGP will allow for transit agencies, ports and urban areas to include their own individual applications along with the state application.

- Sustainment funding for states and urban areas will not only include threat, vulnerability, and consequence factors, but also the presence of fusion centers, border security threats, and other known Federal priorities to include all-hazards.
- States and urban areas must consider risks to ports and transit in their jurisdictions as part of their overall risk assessments.
- Competitive funding for states and urban areas will be based on regional capability gaps as identified in the FEMA regional THIRAs. Regional capability gaps will be published in the funding opportunity announcement (FOA) by FEMA region, and competitive applications will only be accepted for those regional priorities. Investments must:
  - Align to PPD-8 and the NPG, National Preparedness System, core capabilities and mission areas including corresponding frameworks.
  - Focus on the development and sustainment of core capabilities.
  - Implement programs based on the FEMA regional and state THIRAs.
  - Focus on regionally and nationally deployable assets sharable through EMAC and other interstate and intrastate mutual aid agreements.
- Pass-through requirement: The 80 percent pass-through requirement will remain in effect. However, the Administration will pursue a change to the 9/11 Act definition of a “local unit of government” so that any port, transit, non-profit or private sector entity that is building capability in a local jurisdiction will be considered as part of the 80 percent pass-through to local units of government.

(b) BAUASI/ Port Security Collaboration Update (Discussion Only)

Last June 2012, the Bay Area UASI Management Team participated in a collaborative strategy workshop with the Marine Exchange of San Francisco, fiduciary agent for the Port Security Grant. Discussions centered on regional planning and over-lapping projects in order to be more effective and efficient with regional planning and leveraging of federal grant funds.

One recommendation from this workshop was to establish a working sub-committee within the Northern California Area Maritime Security Committee (NorCal AMSC) to act as a liaison to the BAUASI to enhance cooperation and joint planning activities. After review and discussion, the NorCal AMSC unanimously approved the recommendation.

On May 21, 2013, members of the subcommittee are scheduled to meet with the Management Team’s project managers to discuss next steps on aligning mutual areas of interest and concern.

(c) Information and Sharing Focus Group (Discussion Only)

As will be discussed later in the agenda, data sharing among agencies was instrumental during the Boston bombing incident. Within the Bay Area, the UASI Management Team has been working in partnership with the NCRIC to ensure that our data sharing capabilities are effective and strategically integrated within the region. As a means of achieving more effective integration, we plan to form an Information and Sharing sub-group from the Info Sharing/Risk Management workgroup to ensure that our initiatives continue to be strategically aligned within the region.

This Information and Sharing sub-group would first focus on a regional plan for strategic placement of Automated License Plate Readers (ALPRs) within the Bay Area. Thereafter, the group would examine the data integration of Coplink and Aries as well as the integration of social media with the special events module from Digital Sandbox.

(d) San Francisco Mayor Ed Lee's meeting with DHS Secretary Janet Napolitano (Discussion only)

San Francisco Mayor Ed Lee met with DHS Secretary Janet Napolitano on Thursday, April 25 in Washington, DC, to discuss funding for the UASI grant program in the Bay Area. He expressed concern about last year's large cut to the Bay Area funding, as well as DHS' lowering of the Bay Area's risk score this year from 4<sup>th</sup> in the nation to 5<sup>th</sup>. When Secretary Napolitano asked what the top funding priority would be if the Bay Area received more grant dollars, the Mayor informed the Secretary that the top priority was interoperability. He emphasized that the Bay Area does not yet have interoperable communications for all first responders, which will hamper response to regional disasters. Secretary Napolitano indicated that grant dollars were limited due to federal budget cuts and did not make any commitments for additional funding to the Bay Area.

# APPENDIX A

## FY 14 2014 National Preparedness Grant Program

## **FY 2014 National Preparedness Grant Program**

Federal investments in state, local and tribal preparedness capabilities have contributed to the development of a significant national-level capacity to prevent, protect against, respond to, and recover from disasters of all kinds. As we look ahead, to address evolving threats and make the most of limited resources, the National Preparedness Grant Program (NPGP) will focus on building and sustaining core capabilities associated with the five mission areas within the National Preparedness Goal (NPG) that are readily deployable and cross-jurisdictional, helping to elevate nationwide preparedness.

The Administration's FY 2014 Budget re-proposes the NPGP, originally presented in the FY 2013 President's Budget, to create a robust national preparedness capability, with some adjustments made to respond to broad stakeholder feedback solicited and received during 2012. In particular, the FY 2014 NPGP provides grantees and other stakeholders greater certainty regarding the sources and uses of available funding while maintaining the core priorities of the Administration's FY 2013 grants vision.

Similar to the FY 2013 NPGP, the FY 2014 proposal consolidates current state and local preparedness grant programs into one overarching program (excluding Emergency Management Performance Grants and fire grants) to enable grantees to build and sustain core capabilities outlined in the NPG collaboratively. As a single, comprehensive grant program, the NPGP eliminates the redundancies and requirements placed on both the Federal Government and the grantees resulting from the current system of multiple individual, and often disconnected, grant programs.

The FY 2014 NPGP prioritizes the development and sustainment of core capabilities as outlined in the NPG. Particular emphasis will be placed on building and sustaining capabilities that address high consequence events that pose the greatest risk to the security and resilience of the United States and can be utilized to address multiple threats and hazards. The NPGP continues to utilize a comprehensive process for assessing regional and national capability requirements through the Threat and Hazard Identification and Risk Assessment (THIRA) and capability estimation processes, in order to prioritize capability needs and invest in critical national capabilities.

The NPGP draws upon and strengthens existing grants processes, procedures and structures, emphasizing the need for greater collaboration and unity among Federal, state, local and tribal partners. This is particularly important as stakeholders work together to make smarter investment decisions, develop deployable shared or deployable capabilities, and share resources through Emergency Management Assistance Compacts (EMAC) or other mutual aid/assistance agreements. In many ways, the NPGP structure mirrors the collaboration and decision making process that occurs during disasters, when various stakeholders and jurisdictions come together to plan, build, and execute capabilities together.

NPGP grantees will be required to align their proposed investments to core capabilities, incorporate effectiveness measures, and regularly report progress on the acquisition and development of identified capabilities. These measures will enable all levels of government to

collectively demonstrate how the proposed investment will build and sustain core capabilities necessary to strengthen the Nation's preparedness.

### **Consolidation of Grants**

Consolidation of current grant programs into a comprehensive NPGP provides state, local and tribal officials the opportunity to prioritize investments to address a variety of threats and risks in their communities, while also contributing to national preparedness capabilities. The consolidation will support the recommendations of the Redundancy Elimination and Enhanced Performance for Preparedness (REEPP) Grants Act, further the Administration's initiatives to reduce the administrative burden on State and local governments, and streamline the grant application process.

### **Grant Priorities**

The primary purpose of FY 2014 NPGP is to build and sustain core capabilities associated with the five mission areas described in the NPG. In addition, NPGP focus areas include (1) enhancing terrorism prevention and protection, and (2) strengthening critical infrastructure security and resilience, including port and transit facilities. Funding allocations, as described in the following section, will be based on risk, population and capability requirements as determined by the regional and state THIRAs and capability estimations.

*Core Capabilities:* The highest priority of the NPGP is to develop and sustain the core capabilities identified in the NPG. Particular emphasis will be placed on capabilities that address high consequence events that pose the greatest risk to the security and resilience of the United States and along its borders and can be utilized to address multiple threats and hazards. Funding will support deployable assets that can be utilized anywhere in the country via EMACs or other mutual aid/assistance agreements. In addition, funding may be used by states for the sustainment of core capabilities that may or may not be deployable, such as interoperable communications systems, mitigation-related capabilities, and fusion centers. A portion of the funding will also be placed in a competitive pool for the development of new capabilities for which a need is identified in the regional THIRA and a corresponding capability estimation and implementation strategy are provided.

*Enhancing Terrorism Prevention Capabilities:* NPGP will seek to prioritize programs and initiatives that directly support local efforts to understand, recognize, and prevent pre-operational activity and other crimes that are precursors or indicators of terrorist activity, in accordance with applicable privacy, civil rights, and civil liberties protections. Such priorities include: maturation and enhancement of state and major urban area fusion centers; implementation of the Nationwide Suspicious Activity Reporting (SAR) Initiative; and continued implementation of the "If You See Something, Say Something™" campaign to raise public awareness of indicators of terrorism and violent crime.

*Critical Infrastructure Security and Resilience:* Strengthening the security and resilience of critical infrastructure and long-term vulnerability reduction will also be supported by the NPGP, to potentially include physical security enhancements to Level 1 and 2 Critical Infrastructure/Key Resource sites in the National Critical Infrastructure Prioritization Program,

transit facilities on the Top Transit Asset List, port facilities identified in Port Wide Risk Management Plans, and at risk non-profit organizations.

### **Allocation of Grant Funding**

All NPGP awards will continue to be risk-informed. FEMA will base funding allocation decisions on risk, population and capability requirements as informed by the THIRA process and will emphasize the sustainment or building of the core capabilities identified in the National Preparedness Goal. A competitive allocation will be introduced to focus on areas of need identified in the National Preparedness Report as well as on comprehensive threat/risk assessments and gap analyses. Priorities for the competitive allocation are expected to vary by region according to the risks and hazards therein (i.e., hurricane risk for Gulf and East Coast states, flooding in the Midwest, and earthquakes and wildfires on the West Coast). State Administrative Agencies (SAAs) will each submit one coordinated statewide application, which includes investment justifications for sustainment/maintenance, as well as competitive funding, including funding for urban areas, port areas, transit agencies and non-profits, as appropriate. The sub-grantee proposals must reflect activities that are tied to the results of the state, urban area (UA) or local THIRAs, and must support a concept of building and/or sustaining national capabilities. Likewise, urban areas, port and transit authorities will be required to participate in state-generated THIRAs in FY 2014.

Competitive funding for SAA and UAs will be used to build capabilities to address the threats and hazards identified through FEMA regional THIRAs. Regional capability gaps will be published in the annual grant guidance by region, and proposals for competitive funding will be evaluated by national and regional review panels on the ability for a jurisdiction to build, maintain and sustain the capability as a nationally deployable resource that will benefit multiple jurisdictions and increase the core capabilities for the region. The review process will be in two parts – regional review panel score and national review panel score.

Tribal nations will continue to apply directly to FEMA under a competitive process. FEMA will ensure a portion of the overall funding is dedicated to tribal nations.

### **Grants Governance**

The FY 2014 NPGP builds upon existing state and local administrative/governing structures, strengthening coordination among grantees to ensure that preparedness grant dollars are utilized in a manner that promotes collaboration and coordination in the maintenance and sustainment of existing capabilities and the development of new capabilities as prioritized in the UA, state, and regional THIRAs and capability estimations. This collaborative process is designed to break down stovepipes between various stakeholders and give all grantees enhanced awareness of initiatives in the state and region as well as the overall strategic direction and priorities.

Additional requirements and methods of increasing collaboration include:

- SAAs must be a member of the Urban Area Working Group (UAWG) and concur with the final budget proposal by the UAs in their state/territory.

- The SAA and the senior member of the Urban Area Working Group must also be members of the Regional Transit Security Working Group(s) and the Area Maritime Security Committee(s).
- Tribes must provide the regional review panels and SAAs with copies of their THIRA to ensure visibility and coordination.
- Port and transit authorities will be required to share their regional strategies (Port Wide Risk Management Plan or Regional Transit Security Strategy) with the SAA and the SAA will participate in the budget formulation process at the port and transit area level where applicable.
- SAAs and UAs will be required to coordinate with port and transit areas to ensure that statewide THIRAs consider the full scope of statewide risk and hazards, to include risks identified in the port and transit risk strategies.
- SAA and Urban Areas will need to integrate nonprofit preparedness activities with broader State and local preparedness efforts.

### **Peer Review**

All FEMA-funded grant projects will be validated via peer review to ensure that projects support the development and sustainment of regional and national core capabilities. The peer review process will incorporate elements of the DHS/Infrastructure Protection (IP) State, Local, Tribal, and Territorial Government Coordinating Council structure and engage representatives from stakeholder agencies from the jurisdiction receiving grant funds, peers from comparable jurisdictions, Federal preparedness coordinators and analysts from multiple state and regional grant program offices, DHS Component representatives, and representatives from national associations. Grantees will be expected to justify how projects align to their THIRA. Further, grantees will articulate how these projects will, over the lifecycle of funding, sustain current capabilities and address gaps in capabilities. Proposals for the development of new assets will be evaluated to ensure that all new capabilities can be leveraged through EMAC to benefit the region as a whole in addition to the state or local jurisdiction. This approach will streamline existing application review processes into one coordinated approach, while at the same time, increasing accountability over the use of Federal grant funds. Additionally, direct involvement by regional FEMA representatives during the review process will assist in targeting funds for regionally critical projects, and will reduce the redundancy of like assets throughout the region.

### **Multiyear Program Guidance**

While the period of performance will remain two years, consistent with the NPGP “Vision” document for FY 2013, FEMA will issue multiyear guidelines. Multiyear grants programs will enable FEMA to focus its efforts on measuring progress towards building and sustaining the core capabilities identified in the NPG.

### **Monitoring and Feedback**

Consistent with the recommendations made in the 2011 REEPP report, FEMA will use project-based monitoring as the principal means of measuring project progress. Project-based monitoring is a method of following projects from creation to completion, providing basic data to measure impact over time, improving accountability, and enabling FEMA to identify progress made in preparedness and determine current and future gaps. The FY 2014 NPGP will encourage the use of complete lifecycle planning of inventories and resources. This will allow



grantees to plan and budget for equipment upgrades, develop and maintain skills through training and exercises, and update plans and procedures to enable delivery of core capabilities across the prevention, protection, mitigation, response, and recovery mission areas.

DHS will continue to solicit stakeholder feedback to ensure NPGP enables all levels of government to build and sustain, in a collaborative way, the core capabilities necessary to prepare for incidents that pose the greatest risk to the security of the Nation.

### **Stakeholder Feedback/Recommendations**

In support of the Administration's FY 2013 proposal, FEMA conducted over 70 briefings, meetings and conference presentations with diverse stakeholder groups across the country throughout FY 2012. The following major themes emerged and have been addressed in the Administration's FY 2014 proposal:

#### **1. Desire to retain funding for law enforcement prevention, fusion centers and Operation Stonegarden.**

FY 2014 Proposal: Maintenance and sustainment of core law enforcement prevention capabilities – including fusion centers, countering violent extremism and state and local information sharing – remain key Administration priorities. In addition, eligible law enforcement activities previously funded under other grants such as Operation Stonegarden, and port/transit operations will continue to be funded based on priorities outlined in state and urban area THIRAs.

#### **2. Desire by cities to retain the mandatory pass through of 80% of grant funding to local units of government coupled with concern voiced by states about the need for a higher percentage of the overall funding and allowable M&A to manage the proposed NPGP.**

FY 2014 Proposal: Currently, port authorities, transit agencies, private sector and non-profit organizations may be classified as private organizations or State organizations which make them ineligible as a "local" designee, even though their activities are in support of local capabilities. The Administration recommends pursuing a change to the definition of a "local unit of government" in the 9/11 Act to include all port areas, transit agencies, and non-profit organizations.

#### **3. Concern about the two year period of performance and desire to change the time allotted to complete projects to three or four years.**

FY 2014 Proposal: Given the FY 2012 drawdown initiatives and shortened period of performance in FY 2012 and proposed in FY 2013, the Administration will maintain the two-year performance period.<sup>1</sup>

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<sup>1</sup> Grantees may request extensions to the period of performance due to compelling legal, policy, or operational challenges. For example, extensions may be granted where adjusting the timeline for spending will constitute a verifiable legal breach of contract by the grantee with vendors or sub-recipients, where a specific statute or regulation mandates an environmental review that cannot be completed within this timeframe or where other exceptional circumstances warrant a discrete waiver.

**4. Concern for how the Threat and Hazard Identification and Risk Assessments (THIRA) would be used, who would be required to complete them, engagement and transparency of the THIRA process and the relationship of the THIRA to funding allocation decisions.**

FY 2014 Proposal: Mandatory engagement of urban areas, port and transit authorities in SAA generated THIRAs and investment justifications will be required in FY 2014. In FY 2012, states were highly encouraged to collaborate with all levels of government when completing their THIRA. FEMA will require this collaboration in FY 2013 through grant guidance.

**5. Concern for how existing governance structures such as State Senior Advisory Committees, Urban Area Working Groups, Regional Transit Security Working Groups and Area Maritime Security Committees continue to be used within the NPGP construct.**

FY 2014 Proposal: Grantees will leverage existing governance structures, and enhance them where appropriate. NPGP will continue to require a State Advisory Council to oversee all grant-funded homeland security projects and programs to maximize coordination and ensure there is no unnecessary duplication of effort and resources. [See Governance Structures section above]

**6. Concern that regulated port entities and transit systems would be required to apply through the SAA.**

FY 2014 Proposal: Since a primary objective of the NPGP is to ensure SAAs have complete visibility on all grant funded projects within a state, the SAA will continue to be the only eligible applicant for NPGP funding in FY 2014. However, the FY 2014 NPGP will allow for transit agencies and ports areas to include their own individual applications along with the SAA application, consistent with urban area requests.

**7. Concern for how mitigation activities will be funded.**

FY 2014 Proposal: The FEMA preparedness grant programs have always supported mitigation planning activities, and NPGP will continue to do so.

**Proposed Changes from FY 2013 to FY 2014**

- The FY 2014 NPGP provides grantees and other stakeholders greater certainty regarding the sources and uses of available funding.
- Grantees will submit one coordinated statewide application to include urban areas, ports and transit systems. Mandatory engagement and concurrence from urban areas, port and transit authorities in state-generated THIRAs and investment justifications will be required. The FY

2014 NPGP will allow for transit agencies, ports and urban areas to include their own individual applications along with the state application.

- Sustainment funding for states and urban areas will not only include threat, vulnerability, and consequence factors, but also the presence of fusion centers, border security threats, and other known Federal priorities to include all-hazards.
- States and urban areas must consider risks to ports and transit in their jurisdictions as part of their overall risk assessments.
- Competitive funding for states and urban areas will be based on regional capability gaps as identified in the FEMA regional THIRAs. Regional capability gaps will be published in the funding opportunity announcement (FOA) by FEMA region, and competitive applications will only be accepted for those regional priorities.
- Pass-through requirement: The 80 percent pass-through requirement will remain in effect. However, the Administration will pursue a change to the 9/11 Act definition of a “local unit of government” so that any port, transit, non-profit or private sector entity that is building capability in a local jurisdiction will be considered as part of the 80 percent pass-through to local units of government.

### **FY 2014 NPGP Funding Opportunity Announcement**

SAAAs will each submit one application, which includes funding for sustainment and maintenance, as well as competitive funding. Investment justifications from urban areas, port areas, transit agencies, nonprofits, and other local jurisdictions will be provided to the SAA for inclusion in the final application. The SAA, in conjunction with the State Advisory Council, will develop a process for reviewing and evaluating investments from across the State to determine the most effective proposals. The sub-grantee proposals must reflect activities that are tied to the results of the state, UA or local THIRAs and capability estimations, and must support a concept of building and/or sustaining national capability.

NPGP will highlight the following in the FOA:

- Alignment to PPD-8 and the NPG, National Preparedness System, core capabilities and mission areas including corresponding frameworks.
- Focus on the development and sustainment of core capabilities.
- Grantees must implement programs based on the FEMA regional and state THIRAs.
- Focus on regionally and nationally deployable assets sharable through EMAC and other interstate and intrastate mutual aid agreements.

### **Funding availability**

**Maintenance and sustainment funding for SAAAs, UAs, port authorities and transit agencies**

Each SAA and eligible UA will receive an amount of funding to enhance terrorism prevention and protection activities and to build and sustain core capabilities.

- Funding will be decided through a modified version of Section 2007 of the 9/11 Act (6 U.S.C. 608), which would require allocations be determined by consideration of threat, vulnerability, and consequence factors, as well as the presence of fusion centers, border security threats, and other known Federal priorities to include all-hazards.
- Sustainment funding amounts for SAAs, UAs, port authorities and transit agencies will be published in the FOA. These entities will submit an investment justification (IJ) to support the implementation of that funding. The activities in the IJ must align with the state and/or UA THIRA and capability estimation.

### **Competitive funding for SAAs, UAs, and Tribes**

Each SAA and any current or past eligible UA that has maintained its Urban Area Working Group (UAWG) can submit a competitive application (through the SAA) for additional funding to address regional priorities. The SAA will submit one application to FEMA that will cover competitive requests for the entire state and any eligible UAs. The UAWG must include port and transit membership since competitive funding for port and transit projects will be included in this proposal. Competitive funding for SAAs and UAs will be based on regional capability gaps as identified in the FEMA regional THIRAs.

Regional capability gaps will be published in the FOA (by region), and competitive applications will only be accepted for those regional priorities. The review process will be in two parts – regional review panel score and national review panel score. The scores will be based on the following:

- Does the application address one of the core capabilities identified in the National Preparedness Goal?
- Does the proposed project meet one of the National Incident Management System (NIMS) resource types?
- Does the applicant belong to or is it located in member states of EMAC (exception for tribal governments, American Samoa, and the Commonwealth of the Northern Mariana Islands)?
- Can the capability be utilized anywhere in the Nation upon request?
- Does the capability address a risk or hazard identified in either the FEMA regional or state THIRA?
- Is the capability redundant of a capability that already exists within a reasonable response time?
- Can the project be completed within 24 months?
- Has the grantee been able to expend funding in a timely fashion for past projects?

Tribal nations will continue to apply directly to FEMA under a competitive process. FEMA will ensure a portion of the overall funding is dedicated to the tribal nations. Funding will only be provided to tribal nations that are contributing to overall national preparedness through the

establishment of memoranda of understanding or the protection of national critical infrastructure and that have completed their own THIRA.



**To: Bay Area UASI Approval Authority**  
**From: Catherine Spaulding, Assistant General Manager**  
**Date: May 9, 2013**  
**Re: Item #4: Hub Funding Allocation**

---

**Recommendation:**

Approve the proposed FY13 Hub Funding Allocation

**Attachment:**

Appendix A – FY13 Hub Funding Allocation Powerpoint Presentation

**Discussion:**

In the past, the Bay Area UASI has used FEMA’s funding formula to guide the portioning of grant dollars among the four hubs using risk criteria. Now that FEMA has released the FY13 formula, we can propose hub allocation percentages for the FY13 grant.

The proposed hub percentage allocations for FY13 are virtually the same as last year. However, there are now more recent data to input into the formula, and so there are very slight changes to the percentages among the hubs. Jason Carroll from Digital Sandbox will walk you through the calculations. His presentation is included as Appendix A.

I would like to thank Digital Sandbox for calculating our hub allocation percentages this year. For the past two years, they have done this for us free of charge, as it is not part of their current contract with the State. Going forward, and starting with the FY14 grant cycle, the Management Team would like to assume responsibility for developing hub funding allocation percentages, and we have asked Digital Sandbox to help transfer this task to the Management Team. This will involve a simplified version of their risk-based formula so that we are able to do the calculations ourselves on an ongoing basis, present this to the Approval Authority for review, and answer questions in detail. We will bring the simplified formula and process to the Approval Authority for consideration sometime before the end of the year.

# APPENDIX A

FY 13 Hub Funding Allocation  
Powerpoint Presentation

# BAY AREA UASI

## Risk and Allocation

Approval Authority Meeting

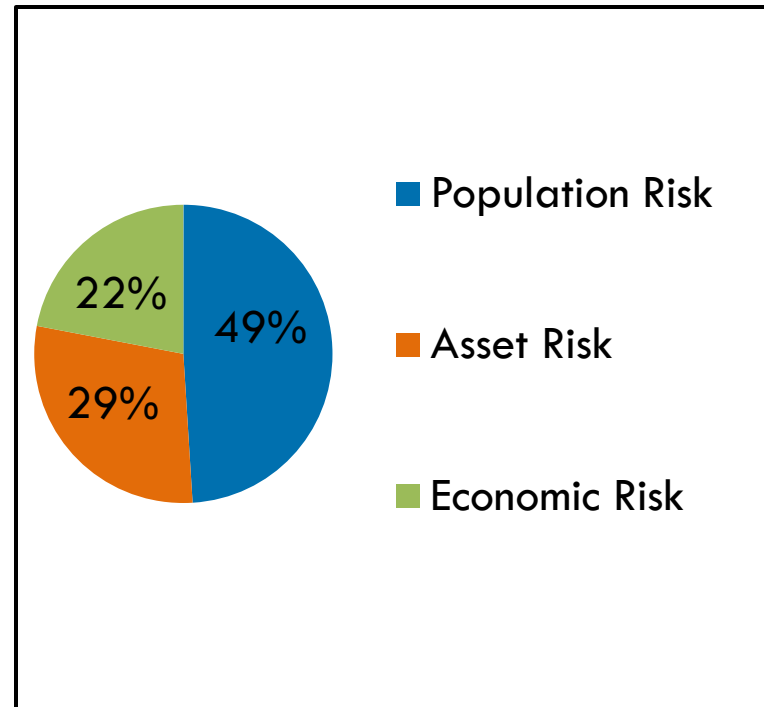
May 9, 2013





# Components of Allocation Formula

- Percentage distribution can change depending upon DHS guidance
- For example, a past distribution considered was:
  - 55% Population Risk
  - 25% Asset Risk
  - 20% Economic Risk



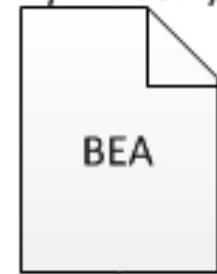
# Economic Risk

- County-level GDP estimates are derived to serve as the measure of economic risk.
- Process includes industry-based income and GDP comparison.
- Source data provided by the U.S. Bureau of Economic Analysis (BEA)

Local Area Income  
by Income Type



GDP for California  
by Industry



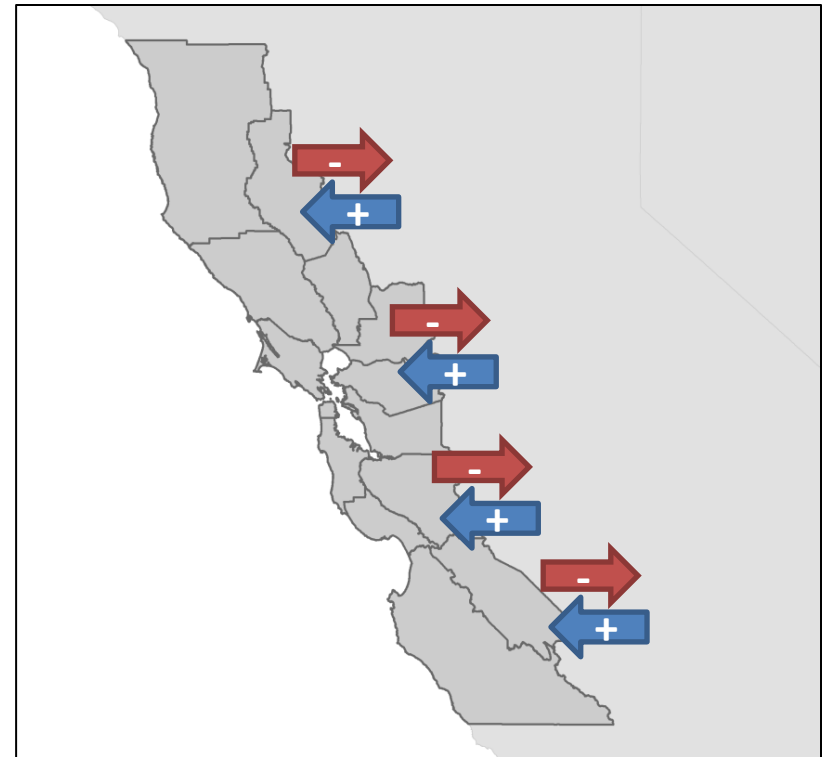
# Asset Risk

- Assets (Net Gain)
  - 1430 in Bay Area UASI
  - All Jurisdictions
  - Ag, Chem, Commercial, Dams, DIB, Emerg Facilities, Govt, Healthcare, IT, Manufact, Transp
- New VHEMPs
  - 84 Lodging Facilities Throughout Region
  - 12 Sonoma
  - 10 Santa Clara
  - 5 San Francisco
  - 4 San Mateo
- ITM Updates



# Population Risk

- Daytime population density
  - U.S. Census estimates
    - Standard census population
    - Subtract outgoing commuters
    - Add incoming commuters
  - Captures population during times when people commute to other places for work
  - Can be large day/night differences in population, especially in urban areas
- Why daytime population?
  - Terrorist attacks focus on causing maximum disruption
  - Typically more disruption during the work day
    - People out & away from home; separated from families
    - Disrupt normal markets and business operations
- The source for commuter data has changed and the updated international visitor data is not publicly available. Therefore, the UASI will use the population risk figures from the 2012 allocation process



# Allocation Formula

## FY 2012 Hub Allocations

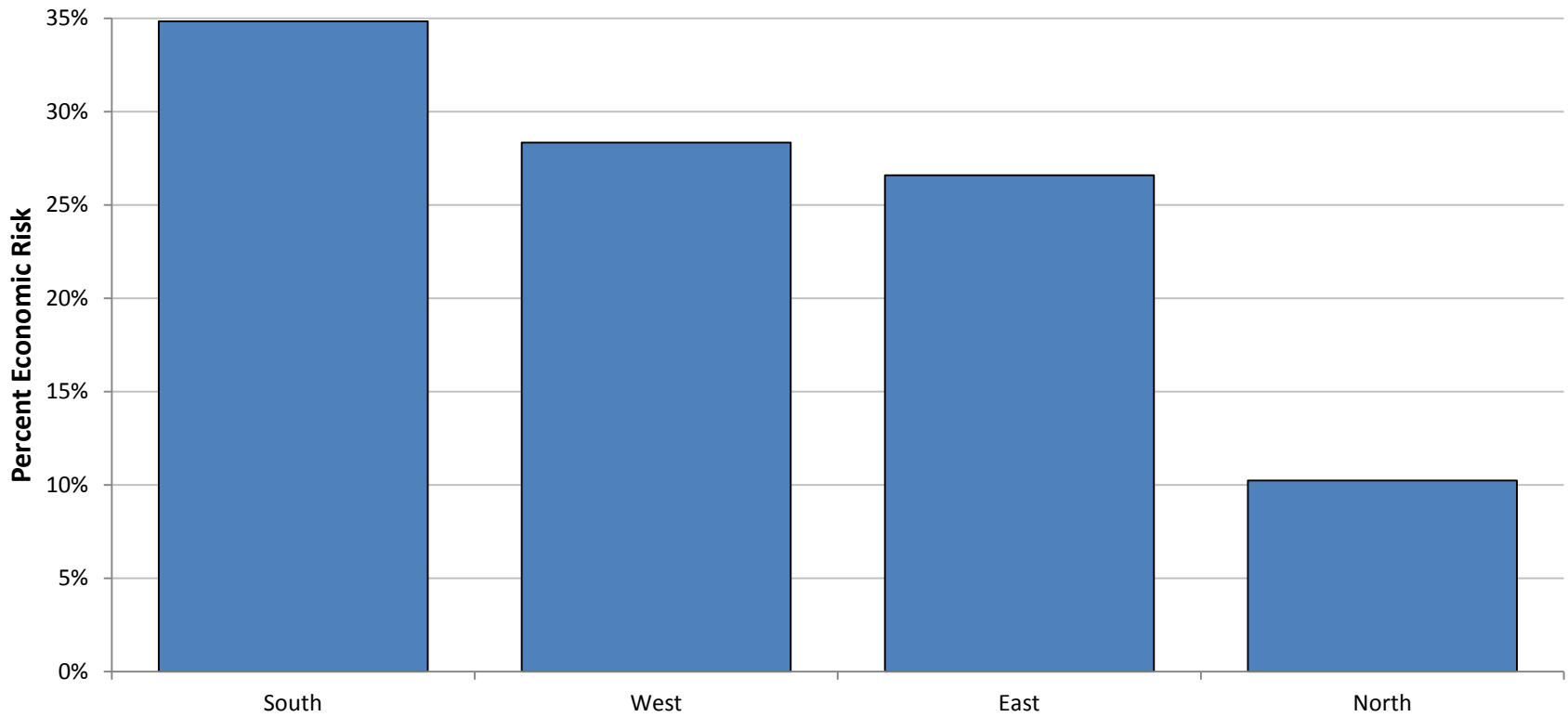
Hub	Allocation Percentage	Allocation
East	22.41%	\$1,445,261
North	6.98%	\$450,042
South	26.56%	\$1,712,640
West	44.05%	\$2,840,624
	<b>100%</b>	<b>\$6,448,330</b>

## FY 2013 Allocation: Consistent Population, New Economic, and New Asset Risk

Hub	Allocation Percentage	Allocation
East	22.51%	TBD
North	7.23%	TBD
South	25.67%	TBD
West	44.59%	TBD
	<b>100%</b>	<b>TBD</b>

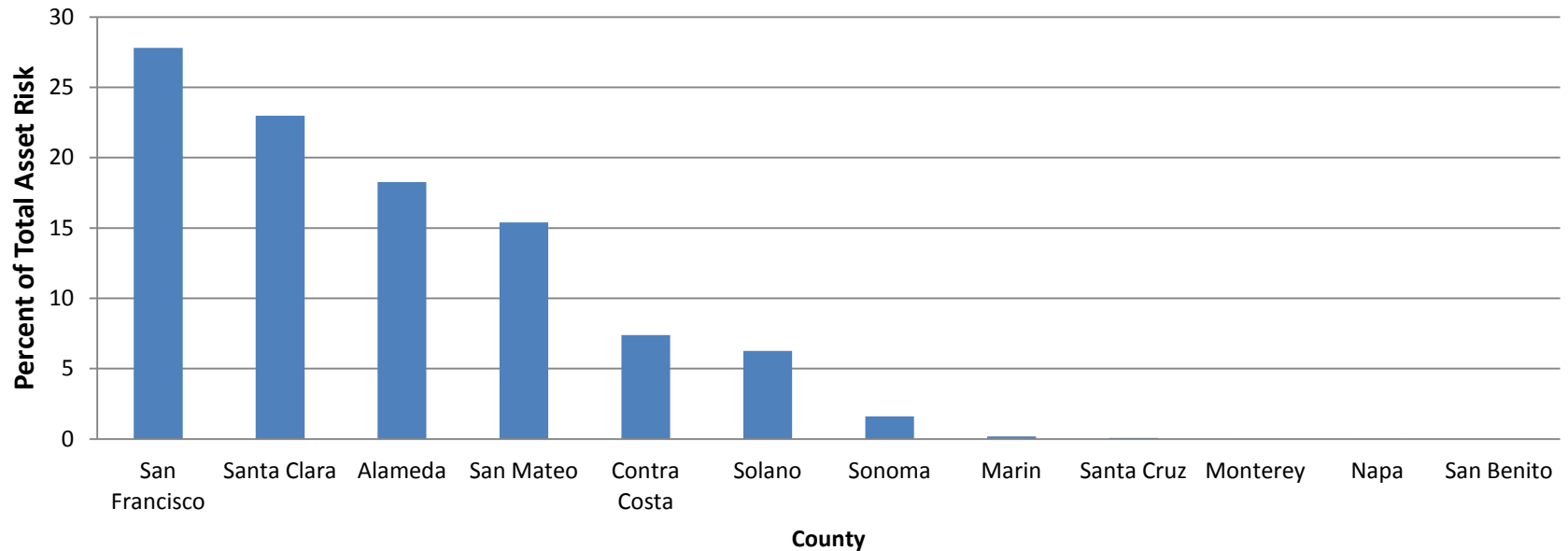


# Economic Risk



# Asset Risk

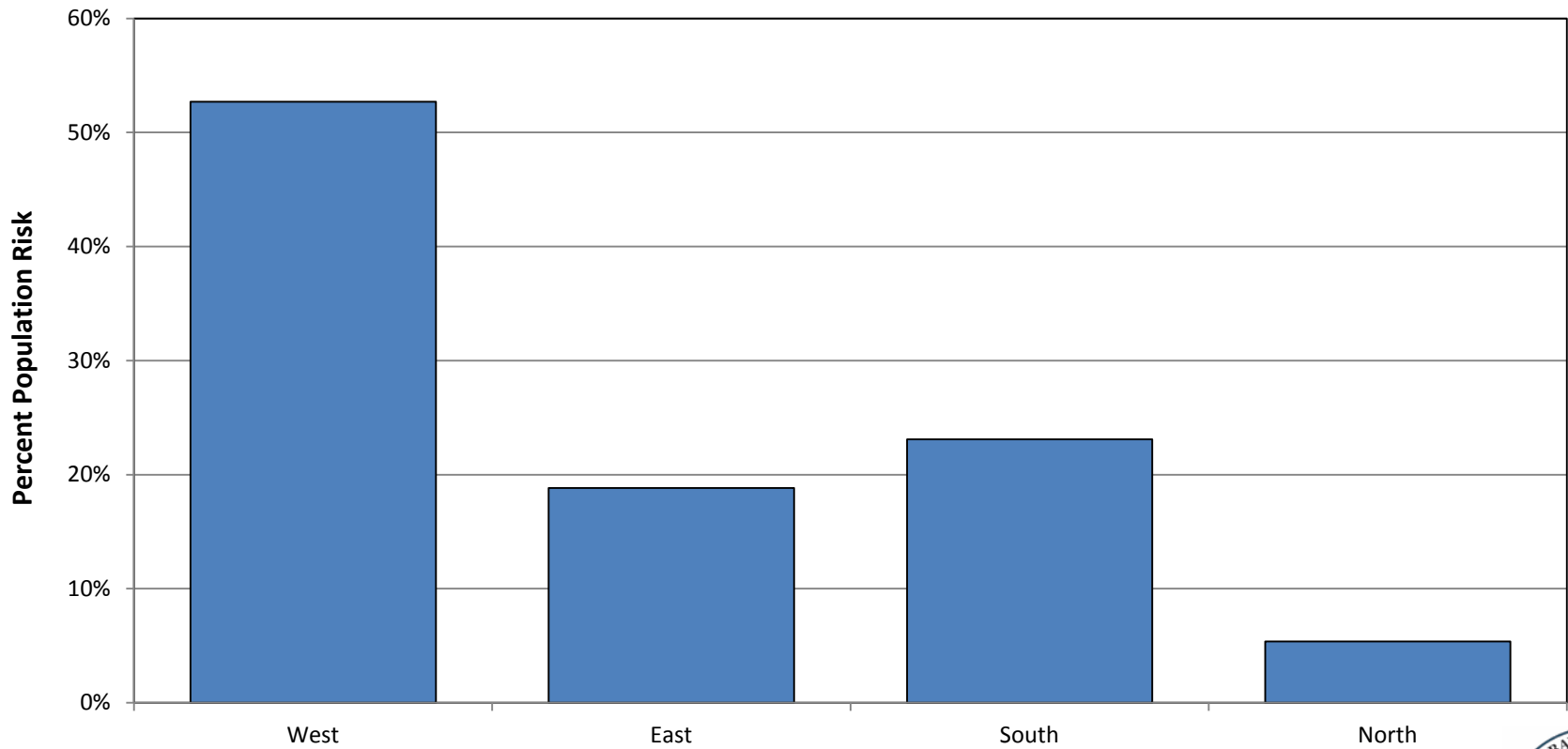
## Asset Risk



- Asset Risk has been updated with data entered through Oct. 5, 2012
- Risk incurred by Oakland and San Jose is incorporated into the county risk result



# Population Risk

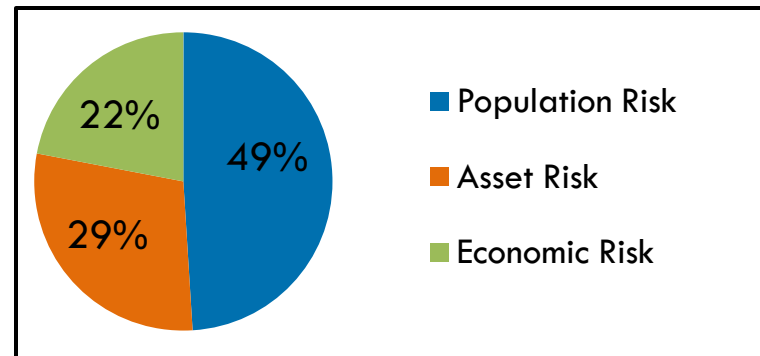




# Example of Allocation Formula

- Three weighted risk factors are combined to determine a hub's allocation amount. The weights are proposed based on the DHS formula
- For example, a hub may have the following risk scores:
  - 10% of area Population Risk
  - 20% of area Asset Risk
  - 30% of area Economic Risk
- Those scores are combined with the allocation weights to determine an allocation percentage. For our example, this yields  $(10\% * 49\%) + (20\% * 29\%) + (30\% * 22\%) =$  an allocation percentage of 17.3%
- This allocation percentage is applied to the allocation amount, currently \$6.4M, resulting in a \$1.1M allocation to this example jurisdiction

**Current Allocation Weights as Determined by Bay Urban Area**



**Allocation Example**

$$\begin{aligned} & \text{Population Risk: } (10\% * 49\%) \\ & \text{Asset Risk: } +(20\% * 29\%) \\ & \text{Population Risk: } +(30\% * 22\%) \\ & = \text{an allocation percentage of } 17.3\% \\ \\ & \$6.4\text{M} * 17.3\% = \$1.1\text{M} \end{aligned}$$



Thank you.

**BAY AREA UASI**





# Backup



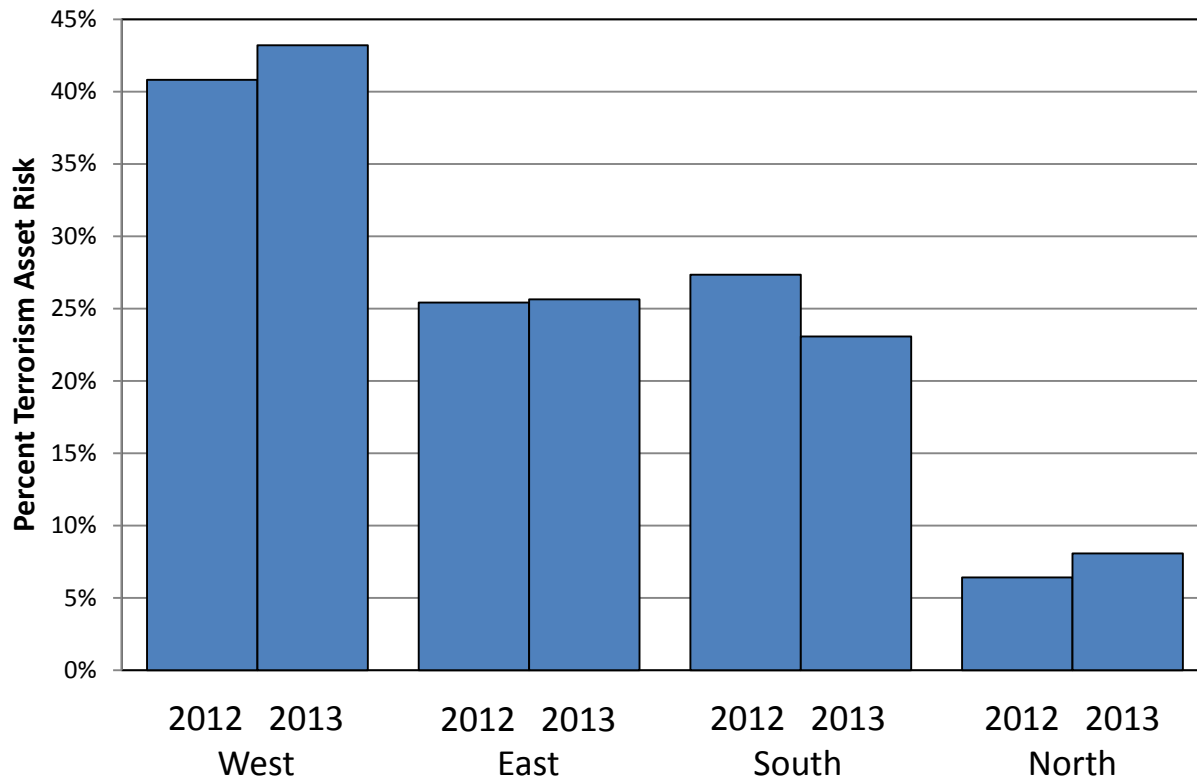


## Next Steps

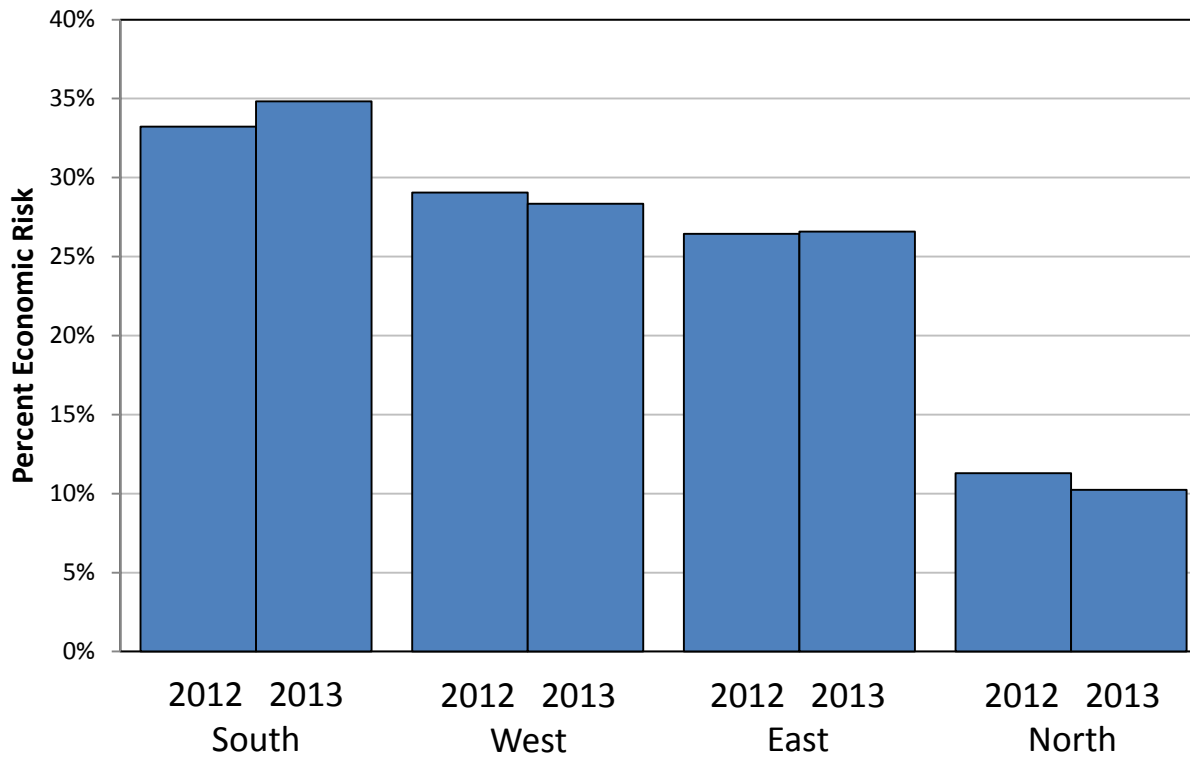
- Upon Release of Updated Guidance from DHS
  - Update Allocation Formula with FY 2013 Allocation Amounts



# Asset Risk Comparison



# Economic Risk Comparison





**To: Bay Area UASI Approval Authority**  
**From: Mike Sena, Director, NCRIC**  
**Date: May 9, 2013**  
**Re: Item #6: Regional Public Safety Information Sharing**

---

**Recommendation:**

Update the Approval Authority in six months on the progress of regional public safety information sharing investments.

**Action or Discussion Items:**

This item is to provide an update to the Approval Authority on regional public safety information sharing initiatives supported with UASI funds. Both the NCRIC and the Management Team recommend that we provide another update in six months to ensure that progress continues as expected.

**Discussion:**

The table below provides a status update on regional public safety information sharing systems by UASI Operational Area. Of note is that full integration of Aries and CopLink will be complete within the next two months. However, San Francisco is still not integrated regionally. The table also summarizes UASI investments to date in these systems as well as the FY13 funding requests that have been recommended for approval by the Advisory Group. The Management Team will present to the Approval Authority all sustainment allocation requests pending the release of the grant award amount.

*Status of Regional Data Sharing Systems by UASI Operational Area*

<i>UASI Operational Area</i>	<i>Regional Data Sharing System</i>	<i>Extent of Regional Data Sharing</i>	<i>UASI Investment FY09-FY12</i>	<i>UASI FY13 Request</i>	<i>Ongoing Cost Estimate</i>	<i>Notes</i>
San Mateo	CopLink – West Bay Node	Marin not yet connected but will be in a matter of weeks. Full data integration with CopLink West Bay and South Bay Nodes, as well as many other nodes in California. Anticipate linkage with Aries in about one month.	\$1,078,000	\$282,000	About \$250,000 annually to maintain system	Participating organizations working on a sustainment plan for when grant funds are no longer available
Marin						
Sonoma						
Napa						
Santa Clara	CopLink – South Bay Node	Full data integration with CopLink West Bay and South Bay Nodes, as well as nearly all of the other nodes in California. Anticipate linkage with Aries in about two months.	\$854,000	\$350,000	About \$250,000 annually to maintain system	Participating organizations have a sustainment plan for when grant funds are no longer available
Santa Cruz						
San Benito						
Monterey						
Alameda	Aries	Full data integration between Alameda and Contra Costa. Will be fully linked to West Bay and South Bay CopLink Nodes in about two months. Unlikely to be able to integrate with rest of CopLink nodes in California.	\$654,000	\$354,000	About \$350,000 annually to maintain system	Alameda has indicated interest in using CopLink
Contra Costa						
San Francisco	None	None, except the Sheriff’s Department has shared mug photo and jail management data with the West Bay Node of CopLink. No arrest, report, accident, or field interview data shared from SFPD. SFPD plans to integrate with the CopLink West Bay Node in the future.	\$2,000,000	0	No further UASI funds requested at this time.	SFPD is still building its Crime Data Warehouse.
Solano	None	None. Solano may integrate with the CopLink West Bay Node in the future.	0	0	Unknown	Data integration costs would result from joining CopLink





**To: Bay Area UASI Approval Authority**  
**From: Janell Myhre, UASI Regional Program Manager**  
**Date: May 9<sup>th</sup>, 2013**  
**Re: Item #7: Regional Catastrophic Preparedness Grant Program (RCPGP) Plan Adoption**

---

**Action or Discussion Items:**

Discussion only

**Discussion:**

*Background*

Bay Area RCPGP funding has produced all hazards catastrophic earthquake scenario-specific operations plans in eight subject areas:

- Mass Fatality
- Mass Transportation and Evacuation
- Mass Care and Shelter
- Interim Housing
- Volunteer Management
- Donations Management
- Logistics and Critical Lifelines

All of the plans have been validated and delivered in 2012, with the exception of Logistics and Critical Lifelines which is in development and will be delivered this year. The plans include one multi-county regional plan and one individual plan with local information customized to the local jurisdiction for each of the eleven local counties and three major cities in the Bay Area UASI footprint.

*Adoption of the RCPGP Plans*

RCPGP plans have been developed for regional adoption as annexes to the CalEMA Coastal Region's San Francisco Bay Area Regional Emergency Coordination Plan (RECP) and for local adoption to county and core city emergency operations plans. OAs (Operational Areas) seem to support local plan adoption and most have adopted their local plans or are in progress to do so. (See Table A).

To date, no regional RCPGP plans have been adopted. However, CalEMA and CalEMA Coastal Region have committed to coordinate with local jurisdictions to review and adopt the regional RCPGP plans after local RCPGP plans have been reviewed, vetted and adopted at the local level. Once approved, the regional plans will be posted on the CalEMA Coastal Region website. The CalEMA Coastal Region expects to be able to do so by the end of 2014.

#### *Why Adopting the RCPGP Plans is Important*

Without finalizing RCPGP regional and local plan adoption, the over \$14 million of tax dollars and thousands of staff hours throughout the Bay Area devoted to producing the plans and supporting projects will not be sustained. Neither local OAs nor CalEMA will exercise, maintain, or update plans that are not adopted. Adopting the plans at the local and regional level will bring OAs and the region into compliance with Homeland Security Presidential Directive 8 and the 2008 FEMA National Response Framework on topics like access and functional needs and animal disaster services. Without state and local adoption of these plans, they have no legal support if used in an incident, meaning OAs could face liability and cost recovery issues.

#### *UASI Management Team Next Steps:*

- Hold a series of six RCPGP plan review and vetting sessions prior to August 15<sup>th</sup> for Federal, State, State Coastal Region, Bay Area counties and major city attendees to ensure roles and responsibilities specified in the plans are consistent.
- Urge county OES managers to adopt local plans.
- Work with Region II Mutual Aid Regional Advisory Committee (MARAC) for coordination with CalEMA and to support local RCPGP plan adoption.



**Table A:  
Local RCPGP Plan Adoption Status**

Plan Subject	Jurisdiction													
	Alameda* County	Contra Costa* County	Solano* County	Sonoma* County	Marin County	Monterey* County	San Mateo* County	San Benito* County	Santa Cruz* County	Santa Clara* County	Napa County	San Francisco* City/ County	San Jose* City	Oakland* City
Mass Fatality	WIP	WIP	WIP	Y	Y	WIP	WIP	Y	N	WIP	WIP	WIP	WIP	WIP
Debris Removal/Mgmt	WIP	WIP	Y	N	N	WIP	WIP	Y	N	WIP	WIP	Y	WIP	WIP
Mass Transportation & Evacuation	WIP	WIP	Y	N	N	WIP	WIP	Y	N	WIP	WIP	Y*	WIP	WIP
Mass Care & Shelter	WIP	WIP	Y	N	N	WIP	WIP	Y	N	WIP	WIP	Y	WIP	WIP
Interim Housing	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Volunteer Mgmt	WIP	WIP	Y	Y	N	WIP	WIP	Y	N	WIP	WIP	WIP	WIP	WIP
Donations Mgmt	WIP	WIP	WIP	Y	N	WIP	WIP	Y	N	WIP	WIP	WIP	WIP	WIP
Logistics & Critical Lifelines	WIP	WIP	WIP	WIP	WIP	WIP	WIP	WIP	WIP	WIP	WIP	WIP	WIP	WIP

**Comment LEGEND**

Y:	yes
N:	no
WIP:	work in progress

**\*additional comments**

- Alameda County: All plans are expected to be adopted as Annexes to the county EOP. Estimated adoption time frame is undetermined.
- Contra Costa County: All plans are expected to be adopted as Annexes with the updated county EOP by mid 2014.
- Solano County: Mass Fatality and Donations Management are going through stakeholder review and expected to be adopted as Annexes to county EOP by end of 2013.
- Sonoma County: The plans with “Y” comment will be used during an applicable incident. There is no formal adoption process for the RCPGP plans as county EOP Annexes.
- Monterey County: All plans are approved by county Disaster Council and are expected to be adopted as Annexes with the county revised/updated EOP by end of 2013.
- San Mateo County: All plans are in the process of being reviewed, revised and planned for adoption. Estimated adoption time frame is undetermined.
- San Benito County: All plans have been incorporated into the county December 2012 EOP Annexes by reference. There is no formal adoption process for RCPGP plans as Annexes to county EOP.
- Santa Cruz County: All plans need stakeholder review and validation to adopt. Requesting funds this year for staff to complete this process. Estimated adoption time frame is undetermined.
- Santa Clara County: All plans are expected to be adopted as Annexes with the revised/updated county EOP. Estimated adoption time frame is undetermined.
- San Francisco City/County: Emergency Functions to city/county EOP are all hazards and include RCPGP subjects. Vol/Don Mgmt are being revised. Evacuation is a section within the Transportation Plan.
- San Jose City: All plans have been through stakeholder review and are expected to be adopted as Annexes with the revised/updated city EOP by end of 2013.
- Oakland City: All plans have been through stakeholder review and are expected to be adopted as Annexes with the city EOP by end of 2013.



**To: Bay Area UASI Approval Authority**

**From: Janell Myhre, UASI Regional Program Manager**

**Date: May 9<sup>th</sup>, 2013**

**Re: Item #8: Regional Catastrophic Preparedness Grant Program (RCPGP) Community Preparedness and Public Outreach Projects**

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**Recommendation:**

Discussion Only.

**Action or Discussion Items:**

Discussion Only.

**Discussion:**

In October 2011, the UASI Approval Authority approved \$568,750 in RCPGP FY10 funding to be used for community preparedness and public outreach projects in the Bay Area. The Regional Catastrophic Planning Team (RCPT) agreed to move three projects forward with this funding. Representatives from the City/County of San Francisco, the City of Oakland and the City of San Rafael will be presenting these three projects:

- Regional Joint Information Center-Joint Information System (JIC-JIS): Enhances the ability of the Bay Area Region to provide consistent public messaging to RCPGP cities/counties in a disaster.
- Learn. Lead. Lift. (LLL): Promotes entire community's resiliency and mitigates the lack of disaster preparedness in underserved populations.
- Get Ready 5<sup>th</sup> Grade (GR5): Facilitates disaster preparedness with outreach to families through children and school curriculum.

# APPENDIX A

## Bay Area Regional Joint Information System Capabilities Enhancement Project



# Bay Area Regional Joint Information System Capabilities Enhancement Project

Kristin Hogan (RCPT Sub-Committee Chair)  
External Affairs Specialist  
San Francisco Department of Emergency Management

Bay Area UASI Approval Authority

May 9, 2013



# Background

- Public information officials throughout the Bay Area have varying levels of understanding and adoption of Joint Information System (JIS) methodology.
- A catastrophic event will require the Bay Area Region's public information leaders work together to quickly disseminate necessary, accurate and coordinated messages to the public.
- The emergency management community relies upon universal methodology such as the Incident Command Structure (ICS) to work together to respond to and recover from emergencies.
- Public information officials need to have the similar capability to work together respond to and recover from a catastrophic event. *JIS provides this universal framework.*

# Project Purpose and Objectives

## *Purpose*

- To enhance the Bay Area Region's information leaders collective ability to provide necessary, accurate and coordinated public information messages through a regional Joint Information System.

## *Objectives*

- Assess emergency public information capabilities among Bay Area jurisdictions
- Issue customized guidance to each participating jurisdiction to strengthen capabilities
- Foster greater interagency communication and coordination
- Provide training on JIS methodology and modes of effective public information competence
- To integrate JIS into design of existing exercises (e.g., Urban Shield)



# Scope of Work

- Conduct facilitated workshop and discussion-based exercise involving Bay Area public information officers; workshop to focus on regional JIS and coordination. [*Estimated timeframe: July-August 2013*]
- Provide a regional JIS gap analysis with tailored guidance to establish planning priorities and enhance regional JIS capabilities. [*Estimated timeframe: August-September 2013*]
- Conduct technical assistance training in JIS methodology. Technical assistance training includes real-life simulation of social media and traditional media engagement. [*Estimated timeframe: September-October 2013*]
- Exercise JIS as a region to assess current plans and boost regional JIS capabilities. [*Estimated timeframe: October-November 2013*]

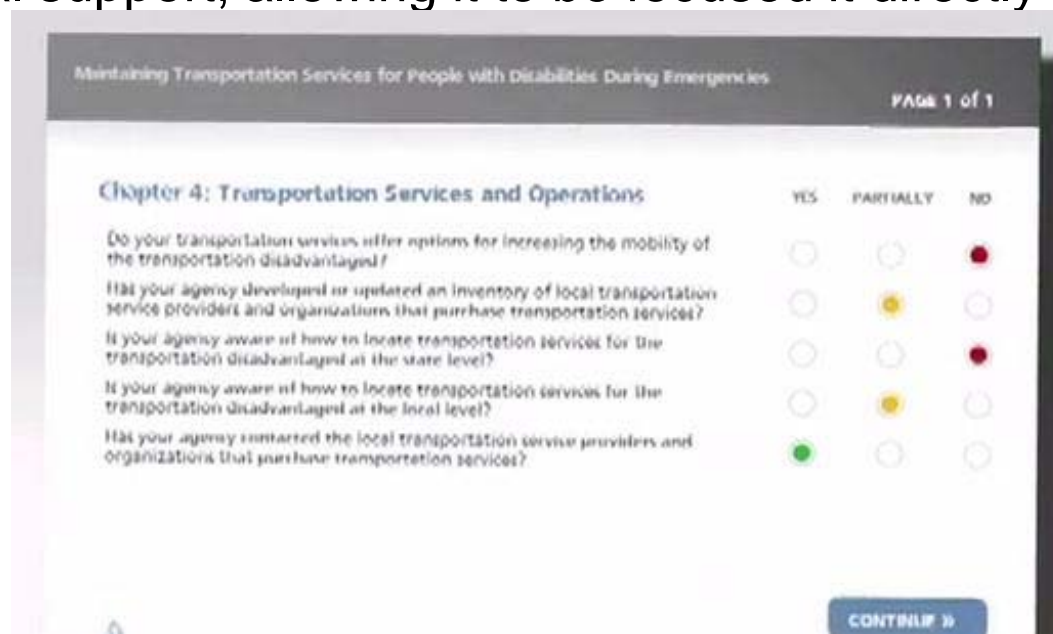
**SimulationDeck** is a web-based portal to the most realistic live and pre-recorded TV and radio news story injects, newspaper and wire injects, as well as blogs and photo and video sharing sites. SimulationDeck also features several social media platforms that don't just imitate Facebook, Twitter and You Tube. They work exactly like the real thing.



# About the Project's Technical Assistance Tools

**Activation Analytics** is a web-based application that tailors guidance and compliance documents to each user's local needs. It also identifies and analyzes their shortfalls as they relate to the standards in guidance documents, and generates detailed reports and checklists that serve as a roadmap to address the gaps that have been identified.

Activation's reporting features allow agencies to see compliance throughout the Bay Area, by topic and by geographic region. This, in turn, guides future training and technical support, allowing it to be focused directly on specific needs.



# Questions

# APPENDIX B

## Learn, Lead, Lift Program Update



# Learn, Lead, Lift Program Update

as of April 30, 2013

A Community Preparedness  
Program for Significantly  
Challenged Communities



CITY OF OAKLAND

# Purpose & Objectives



# Accomplishments

## Phase I Program Foundation

- Advisory Group
- Community Partnership Commitments
- Community Leadership/Facilities (CLF)
- Youth Engagement

## Phase II Community Capability Assessment

- Kick-Off Event Art & Soul Street Fair (Aug 2012)
- CLF enlisted 9 (Goal is 5 – 10)
- Community Hazard Map
- Rebranded BCRR to LLL

## Phase III Educational Outreach

- Outreach Informational Materials (English & Spanish)
- Logo Contest
- RFP for Training Curriculum Awarded



# Next Steps

## Phase III Educational Outreach

- Instructional Guidelines & Material
- Training Module Curriculum
- LLL Participant Handbook

## Phase IV Transformative Training

- Training Material Production
- Training Module Development
- Community Training Guides- Participant Handbook

# Next Steps

## Phase V **Sustainability**

- Community Resiliency Committee
- Committee By-Laws
- Committee Activities Calendar
- Project Lifecycle Data Sets
- Project Evaluation
- Program Model  
Documentation/Disaster Justice  
Whitepaper Finalization



# Ultimate Outcome

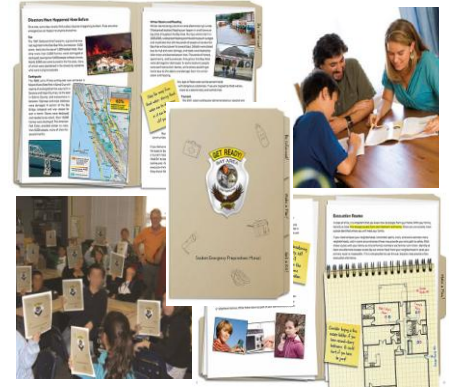
Learn, Lead, Lift  
implementation  
multi-cultural cities and regions  
across the United States

# APPENDIX C

UASI Public Outreach &  
Community Preparedness  
Project:  
Get Ready 5<sup>th</sup> Grade

## UASI Public Outreach & Community Preparedness Project: Get Ready 5th Grade Bay Area

Beginning March 2012 through December 2012, the City of San Rafael Office of Emergency Services lead a regional workgroup to develop a **youth preparedness curriculum for 5<sup>th</sup> graders and their families throughout the San Francisco Bay Area**. The Get Ready 5th Grade Workgroup (GR5WG) was comprised of seven representatives from local government agencies throughout the Bay Area Urban Area Security Initiative (UASI) region. The workgroup members shared experience in fire safety & prevention, emergency management, public education & outreach, law enforcement, and K-12 education. Together, they presented to the regional public safety agencies and educators, a plan for local agency implementation of Get Ready 5<sup>th</sup> Grade Bay Area youth and family preparedness program, for all public and private schools for use beginning the 2012/2013 school year.



### Project Deliverables

1. Student Manuals
2. Project Website
3. Implementation Plan and Sustainability Strategy
4. Program Training

### **114,400 Student Manuals**

Manuals for the first two years of program implementation will be provided to the areas as follows:

County	Quantity of Manuals
Marin	3,500
Sonoma	7,100
Napa	2,100
Solano	6,400
Contra Costa	16,900
Alameda (incl. Oakland)	22,100
San Mateo	9,600
Santa Clara (incl. San Jose)	28,200
Santa Cruz	4,100
San Benito	1,100
Monterey	7,600
San Francisco	5,700

\* The numbers reflect a 57% and 73% implementation success rate for the first two years of the program. The number of projected 5<sup>th</sup> grade students was sourced from the California Longitudinal Pupil Achievement Data System (CALPADS) County Enrollment by Grade for 2011-2012 and projected enrollment of 5<sup>th</sup> graders through the 2013/1014 school year. Student manuals are printed in English. A Spanish version of the student manual, if needed, is available for download from the project website.

### **Website for program resources at [www.kidsgetready.org](http://www.kidsgetready.org)**

- Sample lesson plans and presentation outlines
- Sample marketing materials, letters to superintendents and principals
- Program management tools and workbook

### **Implementation Plan & Sustainability Strategy**

- A 23 page regional Implementation Plan and Sustainability Strategy.
- Upon completion of this project in December 2012 this plan was distributed to key stakeholders: UASI Approval Authority, County Emergency Managers, and UASI Project Staff with a list of all stakeholders in their county who attending the training(s).

### **GR5 Program Manager Training and Workshop**

- Recorded webinars
- "In-person" workshop
- Outreach to near 300 stakeholders, 49 attended the trainings



**To: Bay Area UASI Approval Authority**  
**From: Tristan Levardo, CFO**  
**Date: May 9, 2013**  
**Re: Item 9A: FY2011 UASI Expenditure Report**

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**Action Requested of the UASI Approval Authority:**

Information only

**Action or Discussion Item:**

Discussion

**Summary**

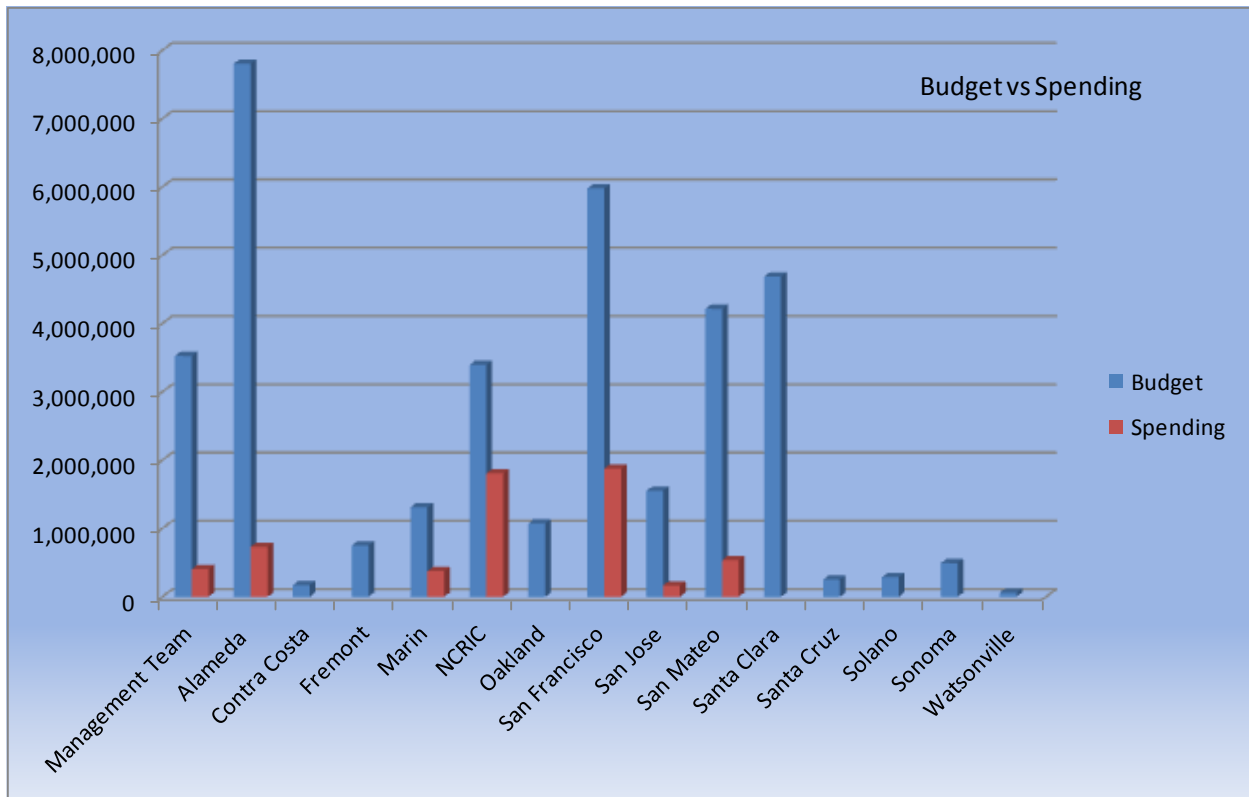
The sub-recipient performance period for FY2011 UASI grant is May 1, 2012 – November 30, 2013, with final claim for reimbursement due no later than January 4, 2014.

The table shows spending by jurisdictions. Overall spending has reached 17% of the total budget, an increase from 2% back in January. All MOUs have already been in effect. In general, Management Team has received good project updates from our initial monitoring efforts. We are now on our second round of monitoring to follow up on project completion to ensure that compliance and spending are on track.

**Financial Information:**

Jurisdiction	Budget	Spent	Spent %	Obligated
Management Team	3,524,019	404,252	11%	3,119,767
Alameda	7,804,555	730,034	9%	7,074,521
Contra Costa	170,000			170,000
Fremont	750,000			750,000
Marin	1,309,829	376,052	29%	933,777
NCRIC	3,393,158	1,804,758	53%	1,588,400
Oakland	1,075,730			1,075,730

Jurisdiction	Budget	Spent	Spent %	Obligated
San Francisco	5,979,896	1,875,158	31%	4,104,738
San Jose	1,548,879	163,307	11%	1,385,572
San Mateo	4,214,854	534,289	13%	3,680,565
Santa Clara	4,687,890			4,687,890
Santa Cruz	250,000			50,000
Solano	287,050			287,050
Sonoma	491,100			491,100
Watsonville	60,000			60,000
Total	35,546,960	5,887,850	17%	29,659,110





**To: Bay Area UASI Approval Authority**

**From: Tristan Levardo, CFO**

**Date: May 9, 2013**

**Re: Item #9B: Travel Expenses paid during the period January to March 2013**

**Action Requested of the UASI Approval Authority:**

Information Only

**Action or Discussion Item:**

Discussion

**Travel Expenses**

The table below summarizes all travel expenses incurred by the Management Team and representatives from our jurisdictions for the period of January 1 to March 31, 2013.

Employee, Jurisdiction	Destination	Travel Dates	Total Charges	Funding Source	Purpose
Amiee Alden, San Francisco	Washington, D.C.	1/12-15/13	1,144.20	FY10 UASI	Ad Hoc Legislative Committee trip
Jun Chen, Management	Anaheim, CA	1/30/13	918.86	FY10 UASI	Emerging Tech Conference
Craig Dziedzic, Management	Washington, D.C.	1/13-15/13	1,187.68	FY10 UASI	Ad Hoc Legislative Committee trip
Anne Kronenberg, San Francisco	Israel	1/4-12/13	4,319.27	FY10 UASI	NPLI Delegation
Anne Kronenberg, San Francisco	Washington, D.C.	1/12-15/13	939.55	FY10 UASI	Ad Hoc Legislative Committee trip
Mary Landers, Management	Houston, TX	1/27-30/13	1,228.15	FY10 RCPGP	RCPGP Workshop





**To: Bay Area UASI Approval Authority**

**From: Barry Fraser, Interim General Manager**

**Date: May 9, 2013**

**Re: Item #10: Report from the Bay Area Regional Interoperable Communications System Joint Powers Authority (BayRICS Authority)**

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**Recommendations:**

Receive and File Report

**Action or Discussion Items:**

Report from the Interim General Manager of the BayRICS Authority on the activities and progress of the BayRICS Authority for the month of March 2013.

**Discussion/Description:**

**1. BayRICS Administration**

The BayRICS Board of Directors will hold its next regular meeting at 1:30 PM on Thursday May 9 in Dublin. The Board is expected to take action on the following matters: (1) appointment of a permanent general manager for the BayRICS Authority; (2) interoperable voice communications status report; (3) budget for Fiscal Year 2013-2014; (4) designation of the County of Alameda to serve as Treasurer of the BayRICS Authority; and (5) proposed changes to the Technical Advisory Committee to allow committee members to recommend Alternates to serve in the primary member's absence.

**2. BayLoop**

BayRICS staff has completed the process of collecting system and contact information and has established the connectivity to allow Aviat Networks to begin monitoring the BayLoop network. Staff should begin to receive network status reports this month. The BayRICS Technical Advisory Committee (TAC) will soon begin to develop a process for establishing and implementing BayLoop operating procedures, including a process for reviewing and approving regional applications to operate on the network. The TAC will address this process at its May

meeting. Once these processes are established, the network can begin supporting regional voice and data applications, including CopLink and Aries information sharing services.

### **3. BayRICS Interoperable Voice Communications Report**

At the November 1, 2012 BayRICS Meeting, staff was asked to investigate the potential role for BayRICS in the coordination of regional interoperable voice communications projects. Staff conducted a series of meetings with the Technical Advisory Committee (TAC), UASI Management Team and UASI Interoperability Work Group to discuss the needs of the region's P25 voice projects and potential ways for BayRICS to address these needs.

At the January 10, 2013 meeting the BayRICS Board directed staff to develop a report to assess the need for regional voice communications coordination, the potential role of BayRICS and projected costs of assuming such a role. Staff, working with the TAC, has completed this report, which will be discussed at the May 9 BayRICS Board meeting.

The report describes, at a high level, the current status of Bay Area regional, interoperable voice communications capabilities and recommends specific short-term actions and long-term planning activities to enhance the region's voice capabilities. The report proposes the following recommendations for short term action:

1. Establish a forum for all P25 sub-system operators to meet and collaborate on a regular basis to share best practices for system funding and device procurement and develop policies for region-wide SOPs;
2. Develop a specific plan to provide funding alternatives and a timetable to complete the P25 systems;
3. Implement the Fleetmap Channel Guide and develop a process for updating the Guide on a regular basis;
4. Determine the need for, and if necessary, develop and implement roaming agreements and other standard operating procedures (SOPs) for the region;
5. Coordinate the development of regional voice communications training and exercises.

The report identifies the anticipated costs for the BayRICS Authority, as it considers a greater role in the coordination and enhancement of regional public safety communications capabilities. In order to meet the urgent, short-term needs identified in this report, the report recommends that BayRICS should begin to identify and secure funding sources necessary to accomplish these tasks.

This report should be viewed as a tool to guide future planning efforts, not only for BayRICS, but also for the entire Bay Area. Staff will recommend that the BayRICS Board accept the report and authorize the TAC and staff to distribute the report to Bay Area stakeholders. Staff and TAC representatives will request the opportunity to present the report at future meetings of the Approval Authority and Advisory Group,

#### **4. FirstNet Planning**

##### **Spectrum Lease Negotiations**

On February 12, 2013 the First Responder Network Authority (FirstNet) Board adopted a resolution finding that the Bay Area's BayWEB project, along with the other six BTOP grant projects, would provide substantial benefits to the development of the nationwide, interoperable public safety broadband network. The resolution directed FirstNet Board member Sue Swenson to negotiate spectrum leases with each BTOP grantee. FirstNet determined that the spectrum lease is the necessary first step to lifting the BTOP funding suspension that would allow the BayWEB project to continue.

Since that time, the BTOP grant jurisdictions have met several times with Ms. Swenson and have reached agreement most of the remaining terms and conditions of the spectrum lease. Primary requirements of the lease include:

- Compliance with FCC Rules, Communications Act and minimum technical requirements of the Technical Advisory Board for First Responder Interoperability;
- Geographic scope of operations to be the geographic boundaries of the BayRICS members, with the ability to expand the geographic area upon mutual agreement;
- Eligible services defined;
- No lease fee requirements;
- Project will operate on both Public safety broadband spectrum and D Block spectrum (full 10x10 MHz)
- Project will be built and operated according to open, non-proprietary and commercially-available standards;
- A significant representation of the public safety entities within the geographic scope of operations intend to receive wireless communications services from the project;
- Lessee shall provide reasonable access to its operations, and shall facilitate the collection and sharing of information about its operations to FirstNet;
- Quarterly reporting requirements.

In addition, BayRICS staff has conducted individual conference calls with Ms. Swenson to discuss proposed "Key Learning Conditions," to assist FirstNet in planning for the nationwide network. Specific learning areas for the Bay Area include high power coverage in rural or wide area deployments, development of public safety applications, and other test scenarios that will help FirstNet develop and implement its plan for the nationwide network.

We anticipate negotiations to conclude in the next week as we attempt to meet the 90-day negotiations window set by FirstNet. After final details are resolved, the BayRICS Chair may set a special meeting later in the month to approve the lease.

### **BTOP Grant Extension**

Motorola Solutions, Inc. has advised BayRICS that it has filed the required paperwork to extend the BTOP grant performance period to September 30, 2015 and that the NTIA has indicated that this extension would be granted.

### **FirstNet General Manager and Regional Consultation Workshops**

At its meeting on April 23, 2013, the FirstNet Board announced the hiring of a full time General Manager, Bill D'Agostino, Jr. Mr. D'Agostino is an accomplished telecommunications and wireless industry executive, with 33 years of experience designing, operating and upgrading telecommunications networks, most recently with Verizon Wireless. The FirstNet press release is available here: <http://www.ntia.doc.gov/press-release/2013/firstnet-board-announces-hiring-bill-d-agostino-jr-general-manager>.

In addition, the FirstNet Board announced a series of six Regional Consultation Workshops, including a workshop scheduled in San Francisco on May 29-30. These workshops will begin the formal consultation dialog between FirstNet and the States, and will allow FirstNet, to inform stakeholders on the status of FirstNet's vision and timeline. FirstNet has indicated that each Governor may appoint five representatives from the state and five from localities and tribes to participate.

# UASI Approval Authority and Management Team Tracking Tool

May 9, 2013 Approval Authority Meeting

Special Request Items/Assignments						
#	Name	Deliverable	Who	Date Assigned	Due Date	Status / Comments
1	Approval of FY13 grant allocations	Presentation	Catherine Spaulding	12/13/12	6/13/13 (estimate)	To be scheduled as soon as possible after receipt of grant allocation
2	RCPGP Catastrophic Plan Full Scale Exercise integration with Urban Shield 2013 update	Presentation	Lani Kent/Janell Myhre	1/15/13	6/13/13	
3	Approval of the Management Team budget and organization chart	Presentation	Craig Dziedzic	12/13/12	6/13/13	
4	Training and Exercise Program FY13 Allocation Request	Presentation	Dennis Houghtelling	4/23/13	6/13/13	
5	Metrics Project Update and FY13 Allocation Request	Presentation	Julie Linney	4/23/13	6/13/13	
6	Updates to grants and projects policies and procedures manual	Report	Catherine Spaulding	12/13/12	TBD	To be scheduled as soon as possible after update to the bylaws and MOU completed
7	Updates to Management Team Policies and Procedures manual	Report	Catherine Spaulding	12/13/12	7/11/13	
8	RCPGP Catastrophic Plan Just in Time training update	Presentation	Lani Kent/Janell Myhre	3/6/13	7/11/13	
9	Medical Surge Project update	Presentation	Lani Kent	3/6/13	8/8/13	
10	Update to the Approval Authority MOU and Bylaws	Report	Craig Dziedzic	12/13/12	8/8/13	
11	Risk Management Asset Updates and Capability Assessment	Report	Dave Frazer	3/6/13	8/8/13	
12	Regional Procurement to close out FY11 and FY12 - orders placed and status of delivery	Report	Jeff Blau	3/6/13	9/12/13	
13	Regional CAD to CAD Project Update	Report	Jeff Blau	4/23/13	9/12/13	
14	Draft project proposal process	Report	Catherine Spaulding	4/25/13	10/10/13	
15	Automated License Plate Readers Funding Recommendations	Report	Dave Frazer	4/23/13	10/10/13	
16	Update on Regional Public Safety Information Sharing Systems	Presentation	Mike Sena/Dave Frazer	4/23/13	11/14/13	
17	Medical Surge Project (close out)	Presentation	Lani Kent	3/6/13	12/12/13	
18	THIRA	Presentation	Jason Carroll	3/6/13	12/12/13	
19	Homeland Security Strategy	Presentation	Josh Filler	3/6/13	12/12/13	
20	Urban Shield 2013 After Action results	Presentation	Dennis Houghtelling/ Alameda SO	3/6/13	1/9/14	

## UASI Approval Authority and Management Team Tracking Tool

### May 9, 2013 Approval Authority Meeting

21	RCPGP Catastrophic Plan Full Scale Exercise integration with Urban Shield 2013 After Action results	Presentation	Lani Kent/Janell Myhre	3/6/13	1/9/14	
22	RCPGP Catastrophic Plan Just in Time training (close out)	Presentation	Lani Kent/Janell Myhre	3/6/13	2/13/14	

Regular Items/Assignments						
#	Name	Deliverable	Who	Date Assigned	Due Date	Status / Comments
A	UASI Quarterly Reports	Report	Tristan Levarado		6/13/13	5/9 2011 UASI and Travel Expense
B	UASI Advisory Group Report	Report	Mike Sena, Chair		6/13/13	Update from the Advisory Group Meeting
C	BayRICS JPA Progress Report	Report	Barry Fraser		6/13/13	Update from the BayRICS JPA
D	RCPT Advisory Group	Report	Janell Myhre		6/13/13	Update on the status of RCPGP projects.
E	Budget reallocations under \$250,000	Report	Tristan Levarado		9/12/13 (Biannually)	
F	Election of UASI Officers	Discussion & action item	Chair		12/12/13 (Annually)	