



## **Approval Authority Meeting**

Thursday, May 11, 2017

10:00 a.m.

### **Location**

Alameda County Sheriff's Office OES

4985 Broder Blvd., Dublin, CA 94568

### **OES Assembly Room**

### **Agenda**

#### **1. CALL TO ORDER ROLL CALL**

UASI Chair	Anne Kronenberg, City and County of San Francisco
UASI Vice-Chair	Rich Lucia, County of Alameda
Member	Raemona Williams, City and County of San Francisco
Member	Cathey Eide, City of Oakland
Member	Raymond Riordan, City of San Jose
Member	Ken Kehmna, County of Santa Clara
Member	Mike Casten, County of Contra Costa
Member	Bob Doyle, County of Marin
Member	Gerry Malais, County of Monterey
Member	Trisha Sanchez, County of San Mateo
Member	Al Terrell, County of Sonoma

General Manager Craig Dziedzic

#### **2. APPROVAL OF THE MINUTES (Discussion, Possible Action)**

Discussion and possible action to approve the draft minutes from the April 13, 2017 regular meeting or take any other action related to the matter. *(Document for this item includes draft minutes from April 13, 2017.) 5 mins*

#### **3. GENERAL MANAGER'S REPORT (Discussion, Possible Action)**

General Manager Craig Dziedzic will present the General Manager's Report:

- (a) FY 2016-2017 Bay Area UASI Annual Report (Discussion, Possible Action)
- (b) Management Team Organization Chart and Annual Work Plans (Action)
- (c) FY 2017-2018 Management Team Budget (Action)
- (d) FY 2017 UASI Grant Update (Discussion Only)
- (e) Management Team Tracking Tool and Future Agenda Items (Discussion, Possible Action)

*(Documents for this item are a report, the Bay Area UASI Annual Report, the Management Team Organization Chart and Annual Work Plans, the FY2017-2018 Management Team Budget, and the Tracking Tool from Craig Dziedzic.) 10 mins*

4. **OROVILLE DAM INCIDENT BRIEF** (Discussion, Possible Action)  
California Office of Emergency Services Inland Region Administrator Eric Lamoureux will present an overview and lessons learned of the Oroville Dam incident. *(Documents for this item are a report and a PowerPoint from Eric Lamoureux.) 15 mins*
5. **HAYWIRED PROJECT BRIEF** (Discussion, Possible Action)  
ABAG Policy Advisor Arrietta Chakos will discuss regional coordination opportunities with the HayWired project. *(Documents for this item are a report and a video from Arrietta Chakos.) 10 mins*
6. **PREVENTIVE RADIOLOGICAL, NUCLEAR DETECTION (PRND) PROGRAM UPDATE** (Discussion, Possible Action)  
Project Manager Phil White will present an update of the PRND Program. *(Documents for this item are a report and a PowerPoint from Phil White.) 5 mins*
7. **REGIONAL CARE AND SHELTER CAPABILITY BUILDING PROJECT** (Discussion, Possible Action)  
Project Manager Corinne Bartshire will present an update of the Regional Care and Shelter Capability Building Project. *(Documents for this item are a report and PowerPoint from Corinne Bartshire.) 5 mins*
8. **REGIONAL JOINT INFORMATION SYSTEM FRAMEWORK UPDATE** (Discussion, Possible Action)  
Project Manager Corinne Bartshire will present an update of the Regional Joint Information System Framework. *(Documents for this item are a report and a PowerPoint from Corinne Bartshire.) 5 mins*
9. **COUNTERING VIOLENT EXTREMISM (CVE)** (Discussion, Possible Action)  
Project Manager Mikyung Kim-Molina will report on the CVE program. *(Documents for this item are a report and a PowerPoint from Mikyung Kim-Molina.) 5 mins*
10. **REALLOCATION OF GRANT FUNDS** (Discussion, Possible Action)  
Chief Financial Officer Tristan Levarado will provide an update on reallocation of grant funds for the Bay Area UASI. *(Document for this item is a report from Tristan Levarado.) 5 min*
11. **ANNOUNCEMENTS-GOOD OF THE ORDER**
12. **GENERAL PUBLIC COMMENT**  
Members of the Public may address the Approval Authority for up to three minutes on items within the jurisdiction of the Bay Area UASI Approval Authority.
13. **ADJOURNMENT**

**If any materials related to an item on this agenda have been distributed to the Approval Authority members after distribution of the agenda packet, those materials are available for public inspection at the Bay Area UASI Management Office located at 711 Van Ness Avenue, Suite 420, San Francisco, CA 94102 during normal office hours, 8:00 a.m. - 5:00 p.m.**

**Public Participation:**

It is the policy of the Approval Authority to encourage and permit public participation and comment on matters within the Approval Authority's jurisdiction, as follows.

- *Public Comment on Agenda Items.* The Approval Authority will take public comment on each item on the agenda. The Approval Authority will take public comment on an action item before the Approval Authority takes action on that item. Persons addressing the Approval Authority on an agenda item shall confine their remarks to the particular agenda item. For each agenda item, each member of the public may address the Approval Authority once, for up to three minutes. The Chair may limit the public comment on an agenda item to less than three minutes per speaker, based on the nature of the agenda item, the number of anticipated speakers for that item, and the number and anticipated duration of other agenda items.
- *General Public Comment.* The Approval Authority shall include general public *comment* as an agenda item at each meeting of the Approval Authority. During general public comment, each member of the public may address the Approval Authority on matters within the Approval Authority's jurisdiction. Issues discussed during general public comment must not appear elsewhere on the agenda for that meeting. Each member of the public may address the Approval Authority once during general public comment, for up to three minutes. The Chair may limit the total general public comment to 30 minutes and may limit the time allocated to each speaker depending on the number of speakers during general public comment and the number and anticipated duration of agenda items.
- *Speaker Identification.* Individuals making public comment may be requested, but not required, to identify themselves and whom they represent.
- *Designated Public Comment Area.* Members of the public wishing to address the Approval Authority must speak from the public comment area.
- *Comment, Not Debate.* During public comment, speakers shall address their remarks to the Approval Authority as a whole and not to individual Approval Authority representatives, the General Manager or Management Team members, or the audience. Approval Authority Representatives and other persons are not required to respond to questions from a speaker. Approval Authority Representatives shall not enter into debate or discussion with speakers during public comment, although Approval Authority Representatives may question speakers to obtain clarification. Approval Authority Representatives may ask the General Manager to investigate an

issue raised during public comment and later report to the Approval Authority. The lack of a response by the Approval Authority to public comment does not necessarily constitute agreement with or support of comments made during public comment.

- *Speaker Conduct.* The Approval Authority will not tolerate disruptive conduct by individuals making public comment. Speakers who use profanity or engage in yelling, screaming, or other disruptive behavior will be directed to cease that conduct and may be asked to leave the meeting room.

### **Disability Access**

**The Bay Area UASI Approval Authority will hold its meeting at the Alameda County Sheriff's Office OES located at 4985 Broder Blvd. in Dublin, CA 94568.**

**In compliance with the Americans with Disabilities Act, those requiring accommodations for this meeting should notify the UASI Administrative Assistant, at least 24 hours prior to the meeting, at (415) 353-5223.**





**Bay Area UASI Program  
Approval Authority Meeting  
Thursday, April 13, 2017  
10:00 AM**

**LOCATION**

Alameda County Sheriff's Office OES  
4985 Broder Blvd., Dublin, CA 94568  
**OES Assembly Room**

**REGULAR MEETING MINUTES  
DRAFT**

**1. Roll Call**

UASI Chair Anne Kronenberg called the meeting to order at 10:02 AM and subsequently took the roll. Chair Anne Kronenberg and Vice-Chair Rich Lucia were present. Members Raemona Williams, Cathey Eide, Ray Riordan, Mike Casten, and Gerry Malais were present. Members Ken Kehmna, Bob Doyle, Trisha Sanchez, and Al Terrell were absent, but their alternates, respectively, Dana Reed, Dave Augustus, Alma Zamora, and Christopher Helgren were present.

**2. Approval of the Minutes**

Chair Kronenberg asked for any comments or questions concerning the minutes from the February 9, 2017 meeting. Seeing none, she requested a motion to approve the minutes.

**Motion:** Approve the minutes from the February 9, 2017 Approval Authority Meeting.

**Moved:** Member Reed                      **Seconded:** Member Malais

**Vote:** The motion was passed unanimously.

**3. General Manager's Report**

(a) President Trump's FY 2018 Budget Blueprint

President Trump released his FY 2018 budget blueprint, which provides an overview of the Administration's budget priorities. Highlights of the budget include: (1) eliminating \$667 million from state/local agencies grant funding, including programs administered by FEMA; and (2) establishing a 25% non-Federal cost match for FEMA preparedness grant awards that currently require no cost match.

(b) The Coalition of CA UASIs Meeting

General Manager Craig Dziedzic reported a summary of the March 22-23 Coalition of CA UASIs (CCU) meeting. Cal OES agreed to continue the grant funding for the statewide risk management contract with Haystax, and members of the CCU agreed to produce an annual report highlighting the grant funded initiatives from the various CA UASIs.

(c) Cal OES Site Visit

Cal OES staff conducted a site visit at the Bay Area UASI on March 8, 2017 to review the UASI 2015 grant program for statutory and regulatory requirements pertaining to administrative, programmatic, and fiscal compliance. There were no findings or concerns.

(d) Retirement of the Chief Deputy Director of Cal

The Bay Area UASI offers Cal OES Chief Deputy Director Nancy Ward best wishes and congratulations on her retirement after 40 years of civil service.

(e) Management Team Tracking Tool

There were no additions to the tracking tool.

Two members of the Board made comments.

**4. Bay Area Medical Countermeasures (MCM) Plan Update**

Alameda County Director of Communicable Disease Control and Prevention Dr. Erica Pan presented an update of FEMA Region IX Medical Countermeasures Planning. Over mid 2015-2016, Bay Area public health agency stakeholders participated in planning meetings to identify key areas of need and have developed a Region IX Bioterrorism/Medical Counter Measures Plan. Further work to align Cities Readiness Initiative, county, state, and federal MCM plans is ongoing. One member of the public made a comment.

**5. California State Warning Plan**

Cal OES Senior Emergency Services Coordinator Arthur Botterell provided an update of the California State Warning Plan and its impact on local jurisdictions' alert and warning programs. One member of the Board made a comment. Two members of the public made comments.

**6. Urban Shield 2017 Planning Update**

Alameda County Sheriff's Office Captain Jack Tucker and Project Manager Corinne Bartshire presented exercise planning highlights for Urban Shield 2017. From September 7-11, over 200 partners and 6,000 volunteers are expected to participate in various scenario sites across Alameda, San Francisco, San Mateo, and Contra Costa Counties. In addition to the Regional Care and Shelter Tabletop Exercise and Virtual Joint Information System Exercise, new exercises will include CERT/NERT and citizen preparedness scenarios.

**7. C-POD Planning and Security Project Closeout**

Project Manager Corey Reynolds presented an update on the completion of the 2016 Commodities – Point of Distribution (C-POD) Planning and Security Project. Project outcomes include: C-POD site locations in each OA and Core City; an understanding of anticipated resource, equipment, and staffing needs; an understanding of security needs and challenges; and a cadre of trainers. Items produced include: C-POD planning toolkits; two customized C-POD Activation Guides; new C-POD Field Operations Guides; and one Type III C-POD Equipment Kit. One member of the public made a comment.

**8. Regional Web/EOC Standardization Project**

Emergency Services Coordinator Woody Baker-Cohn presented an update of the FY16 Regional WebEOC Standardization Project. Accomplishments include development of a project plan, formation of a stakeholder group, Phase I of standardized boards, and an outline of targeted users and usages for WebEOC, CalCOP, SCOUT, etc. A list of additional tasks to further the project were identified.

**9. WebGrants Update**

Emergency Services Coordinator Ethan Baker provided an update of the WebGrants Grants Management System. The system is now fully operational, and online webinar trainings are available on the Bay Area UASI website. The WebGrants system administrator will provide annual trainings to new users, as well as refresher and new component trainings to existing users.

**10. BayRICS JPA Quarterly Report**

BayRICS General Manager Barry Fraser provided a quarterly report of the strategic activities, progress report, and future goals of the BayRICS Authority for January 2017 – April 2017. On March 30, 2017, FirstNet announced their partnership with AT&T to build a network for first responders nationwide.

**11. NCRIC Proposed Reallocation**

NCRIC Director Mike Sena requested the reallocation of \$635,665 for training, overtime, and equipment to further enhance NCRIC programs and applications. Two members of the Board made comments. One member of the public made a comment.

**Motion:** Approve reallocation of \$635,665 from FY15 UASI grant funds to training, overtime, and equipment.

**Moved:** Member Malais                      **Seconded:** Member Augustus

**Vote:** The motion was passed unanimously.

**12. FY2016 Bay Area UASI Spending Report**

Chief Financial Officer Tristan Levardo reported that all FY16 projects are now in operation and the only reportable expenditures are from the UASI Management Team budget. One member of the Board made a comment.

**13. Announcements – Good of the Order**

Chair Kronenberg praised the outcome of the first annual 2017 Epicenter earthquake workshop and encouraged Approval Authority Members to participate in the following year.

**14. General Public Comment**

One member of the public made a comment.

**15. Adjournment**

The meeting adjourned at 11:18 AM.



**To: Bay Area UASI Approval Authority**  
**From: Craig Dziedzic, General Manager**  
**Date: May 11, 2017**  
**Re: Item 3: General Manager's Report**

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**Staff Recommendations:**

Staff recommends approving the Management Team Organization Chart, Annual Work Plans, and FY 2017-2018 Management Team Proposed Budget.

**Action or Discussion Items:**

- (a) FY 2016-2017 Bay Area UASI Annual Report (Discussion Only)
- (b) Management Team Organization Chart and Annual Work Plans (Action)
- (c) FY 2017-2018 Management Team Budget (Action)
- (d) FY 2017 UASI Grant Update (Discussion Only)
- (e) Management Team Tracking Tool and Future Agenda Items (Discussion Only)

**Background:**

In accordance with the 2013 Master MOU and corresponding By-laws for the Bay Area UASI, the attached Management Team Organization and Annual Work Plans, together with the FY 2017/18 UASI Proposed Budget. The 2016-2017 Bay Area UASI Annual Report is submitted for review.

**Discussion:**

**(a) 2016-2017 Bay Area UASI Annual Report (attached as Appendix A)**

Highlights of our accomplishments include the following;

- (1) Continued development and expansion of our Preventive Rad/Nuc Detection Program;
- (2) FEMA recognition of the UASI in the National Preparedness Report; and
- (3) Expansion of our Grants Management system to include sub-recipient monitoring and reimbursement.

**(b) Management Team Organizational Chart (attached as Appendix B)**

The Organization Team is divided into three components based upon functionality (i.e., project planning, grants management, and administration). As indicated on the chart, each of the components are divided by staff and assignments/responsibilities.

**Annual Work Plans (attached as Appendix C)**

The annual work plan aligns the Management Team’s activities with the Bay Area UASI’s mission to sustain and strengthen regional capacity to prevent, protect against, respond to, and recover from terrorist incidents and catastrophic events.

- Prevent and disrupt terrorist attacks;
- Protect the people of the Bay Area; its critical infrastructure and key resources;
- Respond to and recover from major incidents and all hazards that do occur;
- Continue to strengthen our preparedness foundation to ensure our long-term success;
- Guide future investments, increase capabilities and reduce risk.

The annual work plan also implements the Bay Area USAI’s mission with these eight (8) goals (“Goals”):

- Goal 1 - Develop a Regional Risk management and Planning Program
- Goal 2 - Enhance Information Analysis and Infrastructure Protective Capabilities.
- Goal 3 - Strengthen Communications and Interoperable Communications.
- Goal 4 - Strengthen CBRNE Detection, Response, and Decontamination capabilities.
- Goal 5 - Enhance Medical, Public Health and Mass Care Preparedness.
- Goal 6 - Strengthen Planning and Citizen Preparedness capabilities.
- Goal 7 - Enhance Recovery Capabilities.
- Goal 8 - Enhance Homeland Security Exercise, Evaluation and Training Programs.

**(c) FY 2017-18 Proposed Budget (attached as Appendix D)**

The FY2017/18 UASI Management Team proposed budget lists the revenue sources from two grants (FY2016 UASI and FY2017 UASI) in the amount of \$3,669,740, which is a slight increase as compared to last year.

**(d) FY 2017 UASI Grant Update**

On Friday April 28, 2017, the House and Senate passed the fiscal year (FY) 2017 Omnibus Appropriations bill to provide full appropriations funding for the federal government until September 30, 2017. Included in the bill was funding for the Department of Homeland Security at \$42.4 billion, an increase of \$1.45 billion above the FY 2016 enacted level. Funding for grant programs will remain level funded under the Omnibus bill. \$605 million was provided for Urban Area Security Initiative grants, including an increase of \$5 million above FY2016 for a total of

\$25 million for the Non-Profit Security Grant Program. Once again, Congress rejected significant proposed cuts to grants for State and local first responders and emergency management personnel. The Notice of Funding Opportunity (NOFO) has not yet been released but we anticipate that it will be sometime this month. As soon as it is made available, we will know the allocation awarded to the BAUASI.

**(e) Management Team Tracking Tool and Future Agenda Items**

Attached as Appendix E is the Management Team Tracking Tool. Members may submit future agenda items to the General Manager.





# Bay Area UASI FY 2016-2017 Annual Report





# BAY AREA UASI



## GENERAL MANAGER'S LETTER



I am pleased to provide the Bay Area UASI Annual Report for the Fiscal Year 2016-2017, highlighting key grant-funded activities and major accomplishments that align with our mission to sustain and improve regional capacity to prevent, protect against, respond to, and recover from terrorist incidents and catastrophic events.

This year, the Bay Area was deluged by a series of winter storms that topped the charts for the wettest year on record – the most since monitoring began in 1920. In responding to the flooding caused by the storms, our region's first responders and public information officers used Bay Area UASI tools, training, and equipment to effectively disseminate messages and participate in water rescue operations during the disaster.

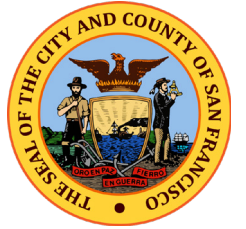
Additionally, we were honored when FEMA's 2016 National Preparedness Report (NPR) highlighted some of the Bay Area UASI's investments, including the Urban Shield /Yellow Command Exercise and Disaster Housing planning. FEMA published the NPR using case studies from the California UASIs to illustrate grant effectiveness and the value of Department of Homeland Security preparedness grants.

Terrorist threats, both foreign and domestic, from chemical, biological, radiological, nuclear, or explosive weapons, and cyberattacks, continue to grow. Capabilities achieved that protect and prepare us to respond to acts of terrorism also prepare us for other emergencies and natural disasters. Continued grant funding for programs critical to community resilience, intelligence analysis, emergency medical and public health, infrastructure protection, and public information and warning is essential.

Lastly, the Bay Area UASI Management Team continues to be committed to professionalism and fiscal prudence in the overall operation of the UASI grant program. Our fiscal grant specialists effectively monitor all of the subrecipients to ensure compliance with federal and state laws, regulations, policies, and procedures. This year, in addition to the application process, we successfully automated the reimbursement request process, allowing stakeholders and staff to manage and review all aspects of the grant life cycle.

As we look to continued collaboration within our region, I wish to sincerely thank the leadership of the Approval Authority, the hard work of my staff on the Management Team, the guidance of our regional subject matter experts, and the cooperation of our subrecipient jurisdictions.

**Craig Dziezic**





# ABOUT

## MISSION

The Bay Area Urban Areas Security Initiative (Bay Area UASI) sustains and improves regional capacity to prevent, protect against, respond to, and recover from terrorist incidents and catastrophic events.

## APPROACH

We use the 32 core capabilities described in the National Preparedness Goal and grouped into the five mission areas of **Prevention, Protection, Response, Mitigation, and Recovery**, to guide both funding proposals and subsequent award allocations.

DHS/FEMA requirements and Bay Area UASI Approval Authority by-laws ensure that jurisdictions develop projects that manage the threats and hazards posing the greatest risk to the Bay Area, its people, and its critical infrastructure and key resources. Regional subject matter experts meet annually to identify risks and threats, analyze capabilities, and determine gaps.

## REGIONAL WORK GROUPS

Designed to enhance public safety and security throughout the Bay Area, the UASI's multi-disciplinary work groups are comprised of local, state, and federal subject matter experts working to achieve Homeland Security Goals & Objectives.

- Chemical, Biological, Radiological, Nuclear, Explosive (CBRNE)
- Cybersecurity
- Emergency Management
- Emergency Medical & Public Health Preparedness
- Interoperable Communications
- Preventive Nuclear Detection Program (PRND)
- Public Information & Warning
- Public Safety Information Sharing
- Risk Management & Infrastructure Protection
- Training & Exercise



Members of the Bay Area UASI Approval Authority during a public meeting.

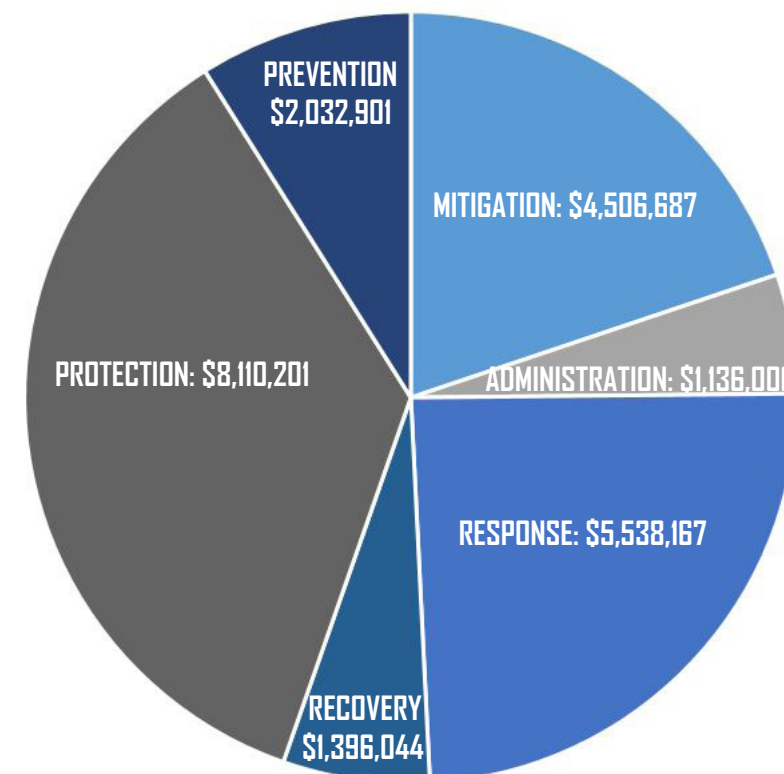
## APPROVAL AUTHORITY

An eleven member Approval Authority directs the Bay Area UASI under the auspices of a multi-year master agreement. This Brown-Acted body includes representation from each of the three major cities (San Francisco, Oakland, and San Jose) and most of the twelve counties located in the Bay Area UASI footprint. This group provides policy direction and is responsible for final decisions regarding projects and funding.

## MANAGEMENT TEAM

Under the direction of the General Manager, the Bay Area UASI Management Team administers grant funds, conducts risk and capability assessments, supports policy development and implementation, and develops and disseminates tools and best practices among the region's emergency response and management disciplines. The Management Team serves as the liaison between the City and County of San Francisco (the Bay Area UASI fiscal agent), the Department of Homeland Security, the State of California, and local government grant sub-recipients.

## FISCAL EXPENDITURES FY15



## Approval Authority Members

**Anne Kronenberg (Chair)**  
Executive Director  
City & County of San Francisco  
Dept. of Emergency Management

**Richard T. Lucia (Vice Chair)**  
Undersheriff  
Alameda County Sheriff's Office

**Trisha Sanchez**  
Undersheriff  
San Mateo County Sheriff's Office

**Ray Riordan**  
Emergency Manager  
City of San Jose

**Mike Casten**  
Undersheriff  
Contra Costa County Sheriff's Office

**Gerry Malais**  
Emergency Services Manager  
Office of Emergency Services  
Monterey County

**Robert Doyle**  
Sheriff  
Marin County Sheriff's Office

**Cathey Eide**  
Director of Emergency Services  
City of Oakland

**Raemona Williams**  
Deputy Chief of Administration  
San Francisco Fire Department

**Ken Kehmna**  
Fire Chief  
Santa Clara County

**Al Terrell**  
Fire Chief  
Sonoma County





# PREVENTION

Executing initiatives designed to avoid, prevent, or stop a threatened or actual act of terrorism. Core capabilities focus specifically on imminent threats or on-going attacks.

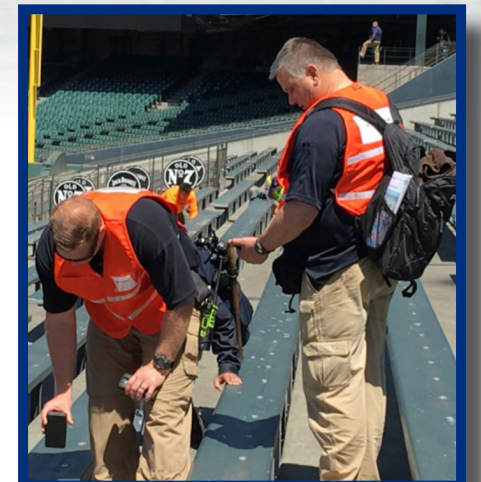


## PREVENTION CORE CAPABILITIES

- Forensics and Attribution
- Intelligence and Information Sharing
- Interdiction and Disruption
- Operational Coordination
- Planning
- Public Information & Warning
- Screening, Search, and Detection

## KEY ACCOMPLISHMENTS

- Coordinated with the Domestic Nuclear Detection Office to test the **Intelligent Radiation Sensor System** which allows for rapid radiation scanning. 44 local first responders participated in the PRND-hosted event at AT&T Park.
- Investigated 878 **Suspicious Activity Reports** at the Northern California Regional Intelligence Center, a 4% increase over the previous year. 373 of these required threat evaluation follow up by the FBI's Joint Terrorism Task Force.
- Trained over 4,900 public safety personnel this year, a 12% increase over last year. Since its inception, the **Regional Training and Exercise** program has trained over 23,000 people.



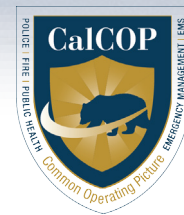


# PROTECTION

Safeguarding our citizens, residents, visitors, assets, systems and networks against the greatest threats and hazards in a manner that allows our vital interests and way of life to thrive.

## PROTECTION CORE CAPABILITIES

- Access Control and Identity Verification Planning
- Cybersecurity
- Intelligence and Information Sharing
- Operational Coordination
- Physical Protective Measures
- Planning
- Public Information & Warning
- Risk Management for Protection Programs and Activities
- Screening, Search, and Detection
- Supply Chain Integrity and Security



Updated the education sector of the **critical infrastructure** catalog. This database contains 15,000 identified assets and risk profiles.



Upgraded **bomb squad robots** for enhanced screening, search, and detection of suspicious packages.

## KEY ACCOMPLISHMENTS



Provided **cybersecurity** and "dark web" training to over 90 Bay Area IT administrators and law enforcement investigators to combat cyber-attacks and cybercrimes.



Distributed 64 CBRNE portable **Radiological Isotope Identification Devices (RIID)** to 7 first responder agencies.

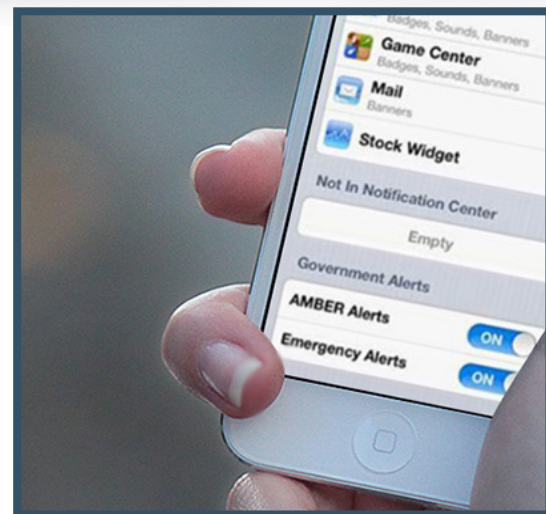


# MITIGATION

Reducing loss of life and property by lessening the impact of disasters through increased risk awareness and leveraged mitigation products, services, and assets.



**Emergency Alert** 9:15 PM  
Flash Flood Warning this area til 3:00 AM  
Avoid flood areas. Check local media.



## MITIGATION CORE CAPABILITIES

- Community Resilience
- Long Term Vulnerability Reduction
- Operational Coordination
- Planning
- Public Information & Warning
- Risk and Disaster Resilience Assessment
- Threats and Hazards Identification



## KEY ACCOMPLISHMENTS

- Oversaw sustained growth of a regional emergency **public information and warning** system, reaching an additional 31% of Bay Area residents with critical alerts.
- Hosted the **10th Annual Urban Shield** full scale exercise, with 200 participating partner agencies and 6,000 volunteers.
- Provided **whole community** resilience and disaster preparedness demonstrations during the 2016 Fleet Week Humanitarian Village.



# RESPONSE

Enhancing the response capabilities necessary to save lives, protect property and the environment, and meet basic human needs after an incident has occurred.



## RESPONSE CORE CAPABILITIES

- Critical Transportation
- Environmental Response/ Health and Safety
- Fatality Management Services
- Fire Management & Suppression
- Infrastructure Systems
- Logistics and Supply Chain Management
- Mass Care Services
- Mass Search & Rescue Operations
- On Scene Security, Protection, and Law Enforcement
- Operational Communications
- Operational Coordination
- Planning
- Public Health, and Emergency Medical Services
- Public Information & Warning
- Situational Assessment

## KEY ACCOMPLISHMENTS

- Conducted a regional **Joint Information System** exercise with 60 Bay Area and state Public Information Officers to test consistent messaging coordination in the event of a disaster.
- Delivered **first responder trainings** in: Open Water Rescue, Explosive Ordnance Training, School Violence and Active Shooter, and Critical Incident Response.
- Integrated WebEOC Incident Management software into the California Common Operating Picture (CalCOP) to enhance **information sharing** at the local and statewide level.





# RECOVERY

Stabilizing critical infrastructure functions, minimizing health and safety threats, and efficiently restoring and revitalizing systems and services to support a viable, resilient community.



## KEY ACCOMPLISHMENTS

### RECOVERY CORE CAPABILITIES

- Economic Recovery
- Health and Social Services
- Housing
- Infrastructure Systems
- Natural & Cultural Resources
- Operational Coordination
- Planning
- Public Information & Warning



- Conducted a full scale regional **Commodity Point of Distribution (C-POD)** exercise with more than 30 local agencies testing distribution capabilities of emergency food, water, and supplies.
- Provided training on **water and wastewater disaster** operations and restoration, and engaged 50 agencies in an exercise testing coordination among water/wastewater utilities and local governments.
- Conducted **cost recovery training** courses for emergency managers and fiscal staff to ensure that local governments comply with all FEMA reimbursement requirements following a disaster.



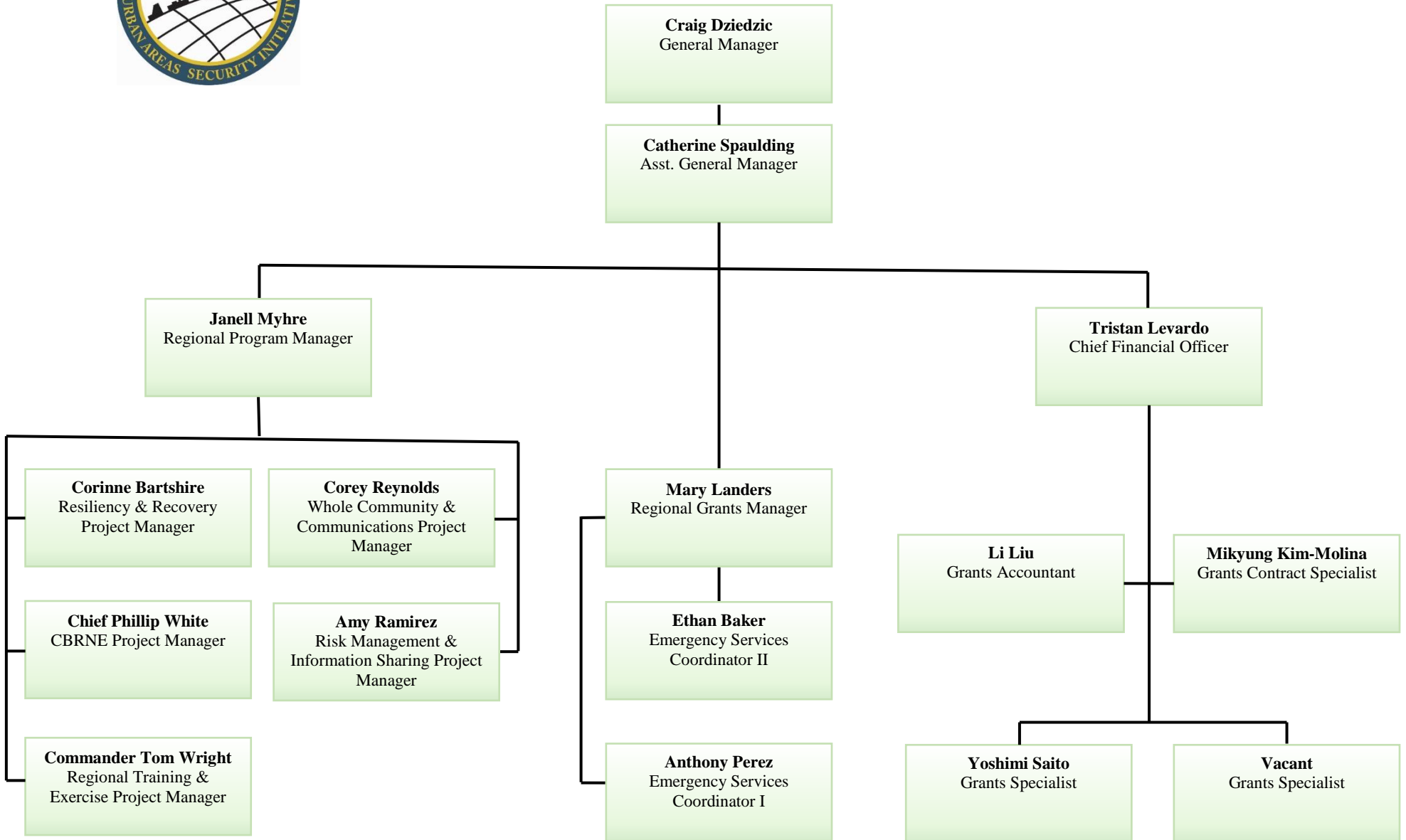








# Bay Area UASI 2017 Organization Chart





		<ul style="list-style-type: none"> <li>• Provide regional coordination, monitoring, and appropriate oversight and management of grant funded projects</li> <li>• Provide regional coordination, monitoring, and appropriate oversight and management of grant funded projects</li> </ul>	<ul style="list-style-type: none"> <li>• Prepare written status reports and provide oral presentations to UASI work groups, Approval Authority, Federal and State agencies, and maintain all records associated with project activities;</li> <li>• Ensure assigned jurisdictions are meeting performance milestones and are compliant with grant guidelines</li> </ul>	5%
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**Bay Area Urban Areas Security Initiative  
Work Plan Fiscal Year 2017-18**

Staff	UASI Goal	Assignments & Responsibility	Critical Tasks & Job Functions	Allocation of Time
<b>Anthony Perez</b>  Emergency Services Coord.  8 am – 5 pm San Francisco	Management and Administration	Coordinate logistics of Approval Authority meetings	<ul style="list-style-type: none"> <li>• Public liaison to the Approval Authority</li> <li>• Prepare, edit , and format documents to be submitted to the Approval Authority</li> <li>• Distribute documents to appropriate staff and Approval Authority members</li> <li>• Upload documents to UASI website</li> <li>• Maintain official records of meetings and official actions of the Approval Authority</li> <li>• Set up and attend Approval Authority meetings</li> </ul>	40%
		Office Management and Administrative Support	<ul style="list-style-type: none"> <li>• Provide administrative support to Management Team</li> <li>• Coordinate logistics of weekly staff meetings</li> <li>• Liaison to Building Management</li> <li>• Manage the master calendar</li> <li>• Order office supplies</li> <li>• Provide technical support and maintain conference room equipment</li> <li>• Liaison to DEM Human Resources</li> <li>• Liaison to DEM Information Technology</li> <li>• Coordinate Well-Being @ Work Program</li> <li>• Provide updates for EveryBridge Mass Notification System</li> </ul>	30%

		Project Support	<ul style="list-style-type: none"> <li>• Assist Management Team with special projects including Microsoft Project monitoring, Homeland Security Conference Presentations, newsletters, annual reports, website maintenance , online grants management system, and Summer Intern Program</li> </ul>	20%
	Project Management	<ul style="list-style-type: none"> <li>• Risk Management Program and Asset Catalog</li> <li>• Continuity of Operations Plan</li> </ul>	<ul style="list-style-type: none"> <li>• Research demographic data of schools to determine risk priority levels in CalCOP and provide written updates</li> <li>• Update and maintain Continuity of Operations Plan</li> <li>• Develop and coordinate emergency preparedness drills and readiness activities for Management Team</li> </ul>	10%



**Bay Area Urban Areas Security Initiative  
Work Plan Fiscal Year 2017-2018**

Staff	UASI Goals	Assignments and Responsibility	Critical Tasks & Job Functions	Allocation of Time
<p><b>Catherine Spaulding</b>  Assistant General Manager  Mon-Fri 8am-5pm San Francisco</p>	<p>Management and Administration</p>	<ul style="list-style-type: none"> <li>• Developing, reviewing and implementing plans, protocols, goals, and strategies</li> <li>• Supervising, training, assigning, and evaluating the activities of division personnel</li> <li>• Providing clarity to staff on roles, responsibilities, and expectations</li> <li>• Monitor programmatic and financial management activities, and identify and analyze opportunities for implementing efficiencies and improvements</li> </ul>	<ul style="list-style-type: none"> <li>• Compliance with MOUs, bylaws, and any policies and procedures established by the Approval Authority</li> <li>• Up to date understanding of DHS/FEMA funding, priorities, and policies</li> <li>• Policies and templates for performance plans and appraisals</li> <li>• In house training plan and implementation of plan</li> <li>• Organization chart</li> <li>• Management Team Administrative Policies and Procedures</li> <li>• Grants Policies and Procedures</li> </ul>	<p><b>25%</b></p>
		<ul style="list-style-type: none"> <li>• Direct project staff responsible for the development and coordination of the Bay Area UASI regional risk management program and project selection process</li> <li>• Direct project staff as they work with stakeholders to review goals, strategies, analyze gaps in capabilities</li> <li>• Oversee tasks associated with application for and distribution of</li> </ul>	<ul style="list-style-type: none"> <li>• Communication to stakeholders on risk management and planning program and activities</li> <li>• Capability assessments</li> <li>• Gap analysis report</li> <li>• THIRA</li> <li>• Bay Area Homeland Security Strategy</li> </ul>	<p><b>75%</b></p>

		<p>grant funds and programs and ensure compliance with applicable federal and state grant requirements</p> <ul style="list-style-type: none"> <li>• Provide regional coordination, monitoring, and appropriate oversight and management of grant funded projects and programs</li> <li>• Work with working groups and Bay Area stakeholders to obtain input and make recommendations to the Approval Authority</li> </ul>	<ul style="list-style-type: none"> <li>• Allocation and policy priorities</li> <li>• Project proposal and prioritization process documents</li> <li>• Project plans, timelines MOUs, and professional services contracts</li> <li>• IJs</li> <li>• UASI grant application</li> <li>• Review of project deliverables</li> <li>• Budget and spending tracking</li> <li>• Regular participation and report outs at Approval Authority meeting</li> </ul>	
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**Bay Area Urban Areas Security Initiative  
Work Plan Fiscal Year 2017-18**

Staff	UASI Goal/ Objectives	Assignments and Responsibilities	Critical Tasks & Job Functions	Allocation of Time
<p><b>Corey Reynolds</b>  Communications and Technology Project Manager   SF DEM  7:00am – 4:30pm</p>	<p><b>Goal 3</b>  Goal 3 – Communications</p>	<p>Project Manager for interoperable communications and information sharing technology projects, including managing projects and providing oversight to contractors.</p> <p>Provide regional coordination, monitoring, management, and oversight of grant-funded projects and programs.</p>	<ul style="list-style-type: none"> <li>• Coordinate with BayRICS, the Project 25 Operators Group, and the Technical Advisory Committee (TAC) to enhance interoperable communications in the region. Address communications training and exercise needs. Coordinate with BayRICS in tracking FirstNet activities.</li> <li>• Coordinate and support efforts to enhance regional public information and mass notification capabilities, including chairing the Public Information &amp; Warning Working Group.</li> <li>• Plan and manage projects to strengthen emergency planning and community preparedness capabilities, including developing RFPs, negotiating contract schedules/ project plans, drafting statements of work, assisting with recruitment/selection of RFP evaluators, preparing recommendations for contract awards, and serving as contract and project manager.</li> <li>• Implement and manage projects to enhance regional operational coordination and information sharing.</li> <li>• Communicate regularly with local, regional, State, and Federal partners, assess and respond to</li> </ul>	<p>40%</p> <p>25%</p> <p>10%</p> <p>10%</p> <p>5%</p>



Staff	UASI Goal/ Objectives	Assignments and Responsibilities	Critical Tasks & Job Functions	Allocation of Time
			<p>stakeholder concerns and issues. Provide updates on projects. Prepare written status reports for Program Manager, General Manager, UASI Approval Authority, and other organizations as requested</p> <ul style="list-style-type: none"> <li>• Maintain all records associated with project activities, work group meetings, and monitoring updates.</li> <li>• Represent BAUASI in meetings related to regional projects, policies, or procedures</li> </ul>	<p>5%</p> <p>5%</p>



**Bay Area Urban Areas Security Initiative  
Work Plan Fiscal Year 2017-18**

Staff	UASI Goal	Assignments and Responsibility	Critical Tasks & Job Functions	Allocation of Time
<p><b>Corinne Bartshire</b> Emergency Management Project Manager</p> <p>SF DEM</p> <p>7:30 am – 4:00 pm San Francisco</p>	<p><b>Goal 6</b> Strengthen Emergency Planning and Community Preparedness Capabilities</p> <p><b>Goal 7</b> Enhance Recovery Capabilities</p>	<p>Yellow Command Urban Shield Exercise:</p> <ul style="list-style-type: none"> <li>• Exercise Director</li> <li>• Manage exercise planning team</li> <li>• Manage contract for exercise design and conduct</li> </ul> <p>Regional Capability Building:</p> <ul style="list-style-type: none"> <li>• Manage regional contracts related to building capabilities to be exercised in Yellow Command including:               <ul style="list-style-type: none"> <li>○ Care &amp; Shelter</li> <li>○ Regional Joint Information System</li> </ul> </li> </ul>	<ul style="list-style-type: none"> <li>• Manage procurement process, including developing RFPs, RFQs, negotiating contract schedules/project plans, drafting statements of work, assisting with recruitment/selection of RFP and RFQ evaluators, and preparing recommendations for contract awards.</li> <li>• Serve as contract and project manager, working closely with the selected contractors and stakeholders throughout the region to ensure deliverables meet HSEEP and grant guidelines and are completed well and on time.</li> <li>• Provide coordination, monitoring, and appropriate oversight to ensure local capabilities are built and regional coordination is effectively tested in alignment with current State / Federal plans, Urban Shield objectives, and local goals.</li> <li>• Work with Urban Shield, FEMA IX, Cal OES and Bay Area OAs to develop long term planning strategies to address prior Yellow Command Improvement Plan items.</li> <li>• Chair the Emergency Management Work Group (EMWG). Coordinate and oversee related subcommittees</li> </ul>	<p>80%</p>

Staff	UASI Goal	Assignments and Responsibility	Critical Tasks & Job Functions	Allocation of Time
		<p>Convene and/or attend advisory and/or working groups as necessary, representing Bay Area UASI to ensure region-wide communication and participation in community preparedness and recovery related projects.</p>	<p>and sub workgroups to ensure UASI's regional projects meet local needs.</p> <ul style="list-style-type: none"> <li>• Represent Bay Area UASI in meetings related to regional projects, policies, or procedures. These include Mutual Aid Regional Advisory Committee (MARAC), California Emergency Services Association (CESA), and other stakeholder groups as appropriate.</li> </ul>	<p>15%</p>
		<p>Project Monitoring</p>	<ul style="list-style-type: none"> <li>• Monitor progress of assigned sub-grantee allocated projects on a quarterly basis.</li> </ul>	<p>5%</p>



**Bay Area Urban Areas Security Initiative  
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Staff	UASI Goal	Assignments and Responsibility	Critical Tasks & Job Functions	Allocation of Time
<b>Craig Dziedzic</b>  UASI General Manager  8 am – 5 pm	<b>Goal 1</b> Develop a Regional Risk Management and Planning Program  Management and Administration	<ul style="list-style-type: none"> <li>• Provide region-wide leadership and administration of all grant initiatives in federal homeland security grants, in direct support of the homeland security strategies approved by the Approval Authority, awarded to the Bay Area Region.</li> </ul>	<ul style="list-style-type: none"> <li>• Execute Bay Area regional strategies and initiatives that are risk based and aligned with Federal and State policies, goals and strategies.</li> </ul>	25%
		<ul style="list-style-type: none"> <li>• Select, direct and manage a Management Team to support the Approval Authority and the BAUASI region's initiatives and projects, and make reasonable efforts to balance regional representation on the Management Team within budget.</li> </ul>	<ul style="list-style-type: none"> <li>• Develop, initiate, and implement division goals, objectives, policies/procedures, and priorities to determine service levels and resource allocations</li> </ul>	25%
		<ul style="list-style-type: none"> <li>• Monitor, supervise, and/or coach the assistant GM, the regional project manager, the Chief Financial Officer, and two Emergency Services Coordinators to align the performance and skill set of the Management Team with the goals of the organization.</li> <li>• Communicate regularly with executive-level management regarding the organization's activities and coordinate and represent the organization before legislative boards, committees, outside organizations, and governmental organizations.</li> </ul>	<ul style="list-style-type: none"> <li>• Direct the allocation of resources to achieve timely outcomes and measurable goals within budget; implement annual work plans and programs to meet emerging or new programs, while continuing to address major organizational goals, objectives, and priorities.</li> <li>• On a weekly basis, monitor the efficiency and effectiveness of the organization structure, staff assignments, service levels and administrative systems; identify and analyze opportunities for improvement and implement improvements.</li> <li>• Develop, implement, and manage a working budget, organization chart, and annual work plan, for the BAUASI organization.</li> </ul>	15%

		<ul style="list-style-type: none"> <li>• Attend CCU quarterly meetings to implement best practices and state-wide preparedness goals and initiatives.</li> <li>• Conduct weekly staff meetings to ensure that regional initiatives are on track and in compliance with state and federal preparedness goals.</li> <li>• On an as needed basis, schedule and meet with individual members of the approval authority, SMEs, and other regional stakeholders to enhance working relationships.</li> <li>• Enhance the organization’s operating revenue by leveraging multiple grant sources</li> </ul>	<ul style="list-style-type: none"> <li>• Coordinate, collaborate, and implement policies, procedures, and regulations of the City and County of San Francisco, including complying with specific union agreements, procurement requirements, dept. policies and MOUs.</li> <li>• Work with the Chair of the Approval Authority to prepare agendas, minutes, and quarterly staff reports for the monthly Approval Authority meetings pursuant to the Bylaws of the Master MOU.</li> <li>• Work with the Coalition of California UASIs (CCUs), the NCRIC, Cal OES and other state/local agencies and departments to develop, enhance, and implement regional and statewide initiatives involving data sharing (California Common Operating Picture - CalCOP), cyber security, and risk management. Chair the quarterly meetings of the CCU.</li> <li>• Coordinate and strategize with federal, state, and local agencies to increase organizational grant funding; including but not limited to forming necessary regional working groups and responding to Notices of Announcements for applicable grants.</li> </ul>	<p>10%</p> <p>10%</p> <p>10%</p> <p>5%</p>
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**Bay Area Urban Areas Security Initiative  
Work Plan Fiscal Year 2017-18**

Staff	UASI Goal	Assignments and Responsibility	Critical Tasks & Job Functions	Allocation of Time
<b>Ethan Baker</b>  Emergency Services Coordinator II  9/80 work schedule  San Francisco	<b>Management and Administration</b>	Website Planning and Administration	<ul style="list-style-type: none"> <li>• Plan and develop website improvements for public outreach</li> <li>• Update, edit, and post planning documents as needed</li> <li>• Manage maintenance and hosting of site</li> <li>• Train staff and regional users how to upload documents and audio</li> <li>• Conduct quarterly demonstrations of website updates at staff meeting</li> </ul>	30%
		Webgrants Administration	<ul style="list-style-type: none"> <li>• Administer Online Grant Management System</li> <li>• Manage User Access &amp; Permissions Levels &amp; Contact Database</li> <li>• Assign Submitted Applications for Review &amp; Oversee Review Process</li> <li>• Add/ Edit funding opportunities</li> <li>• Modify application forms for upcoming fiscal years</li> <li>• Prepare communications &amp; outreach materials (alerts management)</li> <li>• Provide trainings on Grant System Internal and External users</li> <li>• Create system generated reports from database</li> <li>• Integrate policy and procedural changes into system each year</li> <li>• Process incoming changes</li> <li>• Manage vendor contracting, hosting, and support</li> </ul>	40%
		Strategic Planning for Bay Area UASI Outreach	<ul style="list-style-type: none"> <li>• Create PowerPoints, organizational charts, and other materials as needed to support planning staff</li> <li>• Prepare graphics and digital presentation materials for meetings and agenda items</li> <li>• Design layout for Annual Report- due each May</li> <li>• Develop and prepare quarterly Bay Area UASI Newsletter</li> </ul>	15%

		Project Planning Support	<ul style="list-style-type: none"> <li>• Provide support to all Regional Project Managers by preparing and editing regional project plan materials</li> <li>• Distribution of Project Change Request Forms</li> <li>• Assist in stakeholder outreach; preparation of all outreach materials and documents</li> </ul>	10%
		Special Planning Projects	<ul style="list-style-type: none"> <li>• Assist with special projects as needed</li> </ul>	5%



**Bay Area Urban Areas Security Initiative  
Work Plan Fiscal Year 2017-18**

Staff	UASI Goal/ Objectives	Assignments and Responsibilities	Critical Tasks & Job Functions	Allocation of Time
<b>Janell Myhre</b>  Regional Program Manager  9:00am – 6:00pm	<b>Goals 1 - 8</b>	Work with GM and AGM to lead the UASI Management Team in best serving the Bay Area through building local and regional capabilities as related to the Bay Area UASI regional program goals.	<ul style="list-style-type: none"> <li>• Oversee and coordinate Regional Project Manager(s) workload to achieve project management and monitoring goals.</li> <li>• Work with CFO to ensure UASI grant fiscal goals and objectives are coordinated with project management activities.</li> <li>• Lead Bay Area project proposal and selection process.</li> <li>• Coordinate efficient presentations to UASI Approval Authority, Advisory Group and Workgroups.</li> </ul>	60%
		Implement best practices to strengthen regional relationships in order to coordinate and improve the development of UASI programs.	<ul style="list-style-type: none"> <li>• Coordinate annual Bay Area stakeholder outreach and follow up to support regional program planning and execution.</li> <li>• Ensure UASI program team processes are efficient, accurate and up to date; archive information for future reference.</li> <li>• Engage with CalOES State and Coastal Region staff to coordinate Bay Area region efforts.</li> <li>• Engage and coordinate with Bay Area regional agencies and organizations, as needed.</li> <li>• Engage and coordinate with FEMA Region IX, as needed.</li> </ul>	20%





Staff	UASI Goal/ Objectives	Assignments and Responsibilities	Critical Tasks & Job Functions	Allocation of Time
		Support Bay Area jurisdictions with UASI project management coordination.	<ul style="list-style-type: none"> <li>• Provide guidance and support to Regional Project Managers in assisting Bay Area jurisdiction’s staff to complete projects through project monitoring and contractor management.</li> <li>• Attend Bay Area regional meetings, as needed.</li> <li>• Provide outreach and work directly with Bay Area jurisdictions, as needed.</li> </ul>	15%
		Remain current in UASI and RCPGP national program standards.	<ul style="list-style-type: none"> <li>• Coordinate with other UASI and RCPGP sites in the country to engage in national information sharing on current program and project development and standards.</li> </ul>	5%



**Bay Area Urban Area Security Initiative  
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<b>Staff</b>	<b>UASI Goal</b>	<b>Assignments and Responsibility</b>	<b>Critical Tasks &amp; Job Functions</b>	<b>Allocation of Time</b>
<b>Li Liu</b>  Grants Accountant  8 am – 5 pm  San Francisco	Management & Administration  (M&A)	<ul style="list-style-type: none"> <li>Organize the fiscal and accounting requirements of UASI grants in accordance with the Policies and Procedures Manual.</li> </ul>	<ul style="list-style-type: none"> <li>Maintain accurate financial data including the budgets, encumbrances, actual expenses in Financial Accounting Management Information System (FAMIS).</li> </ul>	35%
		<ul style="list-style-type: none"> <li>Perform desk audit of sub recipient reimbursement request to ensure that all expenditures are in compliant with grant guideline and they are supported by proper documentation.</li> </ul>	<ul style="list-style-type: none"> <li>Prepare final management forms and workbooks for cash requests and modification requests in a timely manner.</li> </ul>	25%
		<ul style="list-style-type: none"> <li>Prepare financial management forms workbooks for cash requests and modification requests and ensure all grant requirements have been met prior to submittal to the State.</li> </ul>	<ul style="list-style-type: none"> <li>Review, analyze and monitor budget and spending of grants and generate budget status and spending reports to the CFO.</li> </ul>	15%
		<ul style="list-style-type: none"> <li>Assign, supervise, and evaluate staff accounting work in compliance with Generally Accepted Accounting Principles and applicable grant provisions.</li> </ul>	<ul style="list-style-type: none"> <li>Oversee accounting staff to maintain efficient work flow and develop processes for timely reimbursements to subrecipients, cash request and grant reconciliations.</li> </ul>	20%
		<ul style="list-style-type: none"> <li>Ensure that the Bay Area UASI's strategic goals align with a National or State priorities as well with the Target Capabilities from the National Preparedness Guidelines.</li> </ul>	<ul style="list-style-type: none"> <li>Prepare financial reports as requested by CFO and UASI Management Team.</li> </ul>	5%
		<ul style="list-style-type: none"> <li>Actively engage, develop, foster, and enhance working partnerships with local and regional stakeholders, which include working groups, subject matter experts, and</li> </ul>		

		<p>nongovernment organizations.</p> <ul style="list-style-type: none"> <li>• Work with sub-recipients in reviewing and analyzing grant reimbursement requests to ensure expenditures incurred are in compliance with grant guidelines and are consistent with sub-recipient awards affirmed in MOU agreements.</li> <li>• Perform regional sub-recipient monitoring field visits and desk reviews of grants activities. Evaluate and review grants financial and accounting operation, and work with project managers to review programmatic requirements of various grants.</li> <li>• Provide initial training for new grants analysts in areas of budget, accounting, purchasing activities, payroll analysis and reconciliation, as well as UASI grants model structure of projects and solution areas and grants administration.</li> <li>• Prepare written reports with comments and recommendations. Maintain monitoring records for Federal and State monitoring visits and audits.</li> </ul>		
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**Bay Area Urban Areas Security Initiative  
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Staff	UASI Goal	Assignments and Responsibility	Critical Tasks & Job Functions	Allocation of Time
<p><b>Mary Landers</b> Regional Grants Manager</p> <p>SFDEM</p> <p>8 am – 5 pm San Francisco</p>	<p>Management &amp; Administration (M&amp;A)</p>	<p><b>Compliance</b></p> <ul style="list-style-type: none"> <li>• Technical lead on all compliance requirements</li> <li>• Provide support and build capacity of program and finance staff on compliance requirements.</li> <li>• Design/implement at least 1 training on compliance requirements for staff.</li> <li>• Coordinate updates to policies and procedures documents.</li> <li>• Keep staff apprised of FEMA updates</li> </ul> <p><b>Grant Applications</b></p> <ul style="list-style-type: none"> <li>• Prepare and submit IJs</li> <li>• Prepare and submit grant applications</li> <li>• Research new grant funding streams and spearhead grant application process.</li> </ul> <p><b>Outreach and Writing</b></p> <ul style="list-style-type: none"> <li>• Lead on Annual Report</li> <li>• Prepare legislation and supporting documentation for submittal to the Board of Supervisors.</li> <li>• Work closely with DEM representative to provide additional information/clarification as needed.</li> <li>• Work and assist w/ special projects as needed</li> </ul>	<ul style="list-style-type: none"> <li>• Attend working group meetings as necessary to monitor and evaluate the effectiveness and efficiency of the program’s service delivery system, identify and recommend alternative approaches or improvements;</li> <li>• Provide regional coordination, monitoring, and appropriate oversight and management of grant funded projects to ensure jurisdictions are compliant with grant guidelines and meeting their performance milestones and deliverables;</li> <li>• Coordinate with State and Federal agencies and UASI sites to share best practices; and</li> <li>• Prepare grant applications and written status reports, provide oral presentations and briefings to local and regional emergency management groups, Advisory Groups, Approval Authority, and local, state, and Federal agencies, prepare legislative documents, and maintain all records associated with project activities.</li> </ul>	<p>50%</p>

		<p><b>Supervision</b></p> <ul style="list-style-type: none"> <li>• Manage and coach 8600, 8601, and 8602 during weekly one on one meetings</li> <li>• Perform Mid-Year and Annual Reviews</li> <li>• Ensure accountability of assigned tasks</li> <li>• Oversee summer internship participants</li> </ul> <p><b>Special Projects</b></p> <ul style="list-style-type: none"> <li>• On an as needed basis be prepared to draft and develop RFPs, RFIs, or contracts</li> <li>• Manage vendors to ensure successful and timely completion of projects within grant performance periods</li> </ul>	<ul style="list-style-type: none"> <li>• Manage and coach 8600, 8601, and 8602 to ensure accountability of assigned tasks- including conducting annual performance reviews.</li> <li>• Oversee all summer intern program members</li> </ul> <ul style="list-style-type: none"> <li>• Prepare meeting agendas and conduct working group meetings as necessary.</li> </ul>	
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	<p>Project Management</p> <p>Goal 4 Strengthen CBRNE Detection, Response, and Decontamination Capabilities</p>	<ul style="list-style-type: none"> <li>• Provide assistance to PRND program</li> <li>• Provide regional coordination, monitoring, management, and oversight of grant-funded projects and programs.</li> <li>• Provide support to sub-recipients to ensure that projects are successfully completed</li> </ul>	<ul style="list-style-type: none"> <li>• Update policies and procedures for the PRND program as related to coordination with state agencies, such as CADPH and CHP to ensure policies align.</li> <li>• Support various program activities such as: <ul style="list-style-type: none"> <li>○ UASI stakeholder outreach and work group coordination</li> <li>○ Project research and analysis</li> </ul> </li> <li>• Perform research and engage stakeholders in completing PRND risk management gap analysis.</li> <li>• Oversee the development and submission of the Securing the Cities Grant application.</li> </ul>	<p>25%</p>
	<p><b>Goal 6</b> Strengthen Emergency Planning and Community Preparedness Capabilities</p>	<ul style="list-style-type: none"> <li>• Work collaboratively with the NCRIC to develop opportunities for public private partnerships within the Bay Area.</li> </ul>	<ul style="list-style-type: none"> <li>• Identify and contact business owners and groups (e.g. BOMA) in order to introduce the UASI and NCRIC.</li> <li>• Develop PowerPoints, presentations, and other materials for distribution</li> </ul>	<p>25%</p>



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Staff	UASI Goal	Assignments and Responsibility	Critical Tasks & Job Functions	Allocation of Time
<p><b>Mikyung Kim-Molina,</b> Contract Specialist 8 am – 5 pm San Francisco</p>	<p>Management and Administration</p>	<ul style="list-style-type: none"> <li>• Manage, develop, create and amend Memorandums of Understanding (MOU) with sub-recipient jurisdictions and Letters of Agreement (LOA) with San Francisco City Departments for all grant programs.</li> <li>• Develop professional services contracts.</li> </ul>	<ul style="list-style-type: none"> <li>• Create MOU boilerplate, Appendix A and Grant Assurance templates for each grant program</li> <li>• Work in collaboration with UASI Program Managers and sub-recipient jurisdictions to obtain necessary information (e.g., project description, deliverables, timelines, contact information, budget amounts, etc.) in order to complete MOUs/LOAs</li> <li>• Generate formal modifications to MOUs/LOAs, as necessary</li> <li>• Serve as liaison to SF City Attorney.</li> <li>• Provide ongoing technical assistance to sub-recipients regarding MOU/LOA requirements and compliance</li> <li>• Maintain and track MOU/LOA status and budget information on the Inventory and Status spreadsheet</li> <li>• Carry out full execution of all MOUs/LOAs</li> <li>• Present updates to CFO and Program Mangers on MOUs/LOAs</li> <li>• Create RFP/RFQ for</li> </ul>	<p>50%</p>

	<p>Program Management</p>	<ul style="list-style-type: none"> <li>• Project Manager for Cyber Security and Dark Web training, information sharing projects, and counter violence extremist projects</li> <li>• Provide regional coordination, monitoring, management, and oversight of grant-funded projects and programs.</li> <li>• Provide support on the project proposal process.</li> <li>• Perform capability gap assessment and analytical work on regional investments.</li> </ul>	<p>Professional Services Contracts.</p> <ul style="list-style-type: none"> <li>• Facilitate and/or participate on Review Panels. Write contracts using the City's P600 boilerplate and scope of services</li> <li>• Process contracts with SF Office of Contracts Administration and Human Rights Commission.</li> <li>• Attend meetings of San Francisco Department of Emergency Management, Office of Contracts Administration and Civil Service Commission.</li> </ul> <ul style="list-style-type: none"> <li>• Perform program management activities from development to monitoring of assigned projects, as part of the job skills building and enhancement. Support various program activities such as: <ul style="list-style-type: none"> <li>○ UASI stakeholder outreach and work group coordination</li> <li>○ Project research, analysis and scope of work development</li> <li>○ NCRIC liaison</li> <li>○ Fiscal year proposal submission process planning and execution support</li> <li>○ Project monitoring</li> </ul> </li> <li>• Perform research and engage stakeholders in project gap analysis and development.</li> </ul>	<p>50%</p>
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			<ul style="list-style-type: none"><li>• Utilize the Grants Management System in performing work on the proposal process, MOU process and project management.</li></ul>	
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Work Plan Fiscal Year 2017-18**

Staff	UASI Goal	Assignments and Responsibility	Critical Tasks & Job Functions	Allocation of Time
<p><b>Philip White</b> CBRNE Project Manager Independent Contractor  15-25 hours per week</p>	<p>Goal 4 Strengthen CBRNE Detection, Response, and Decontamination Capabilities</p>	<p>Management of UASI Chemical, Biological, Radiological, Nuclear, &amp; Explosive (CBRNE) program:</p> <ul style="list-style-type: none"> <li>• Provide guidance and assistance in finalizing CBRNE projects, records and documents.</li> <li>• Chair CBRNE Workgroup</li> </ul> <p>Manage the Bay Area Preventative Radiologic Nuclear Detection (PRND) project</p> <ul style="list-style-type: none"> <li>• Chair PRND Sub-committee</li> </ul>	<ul style="list-style-type: none"> <li>• Coordinate and manage working group meetings; ensure appropriate SMEs are included for stakeholder input as necessary</li> <li>• Support Grants Management staff to engage stakeholders to execute policy update, procurement and distribution of current Auto Injector equipment to the Bay Area region.</li> <li>• Prepare and archive meeting agendas, minutes and follow up documents for CBRNE working group and PRND sub-committee.</li> <li>• Attend Approval Authority meetings and prepare written reports, provide presentations as needed</li> <li>• Act as UASI representative with USCG Area Committee, DHS DNDO, LLNL, Sandia SMEs as needed and directed by GM</li> <li>• Develop PRND equipment policy and procedures. Manage Vigilant Guardian Exercise and follow up activities.</li> </ul>	<p>30%</p> <p>65%</p>

		<ul style="list-style-type: none"> <li>• Serve as UASI Management Team Maritime Subject Matter Expert (SME)</li> </ul> <p>Project Monitoring</p>	<ul style="list-style-type: none"> <li>• Gather CBRNE and PRND project information for sub recipient MOU development</li> <li>• Monitor progress of assigned sub-grantee allocated projects on a quarterly basis.</li> </ul>	5%
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**Bay Area Urban Area Security Initiative  
Work Plan Fiscal Year 2017-18**

Staff	UASI Goal	Assignments and Responsibilities	Critical Tasks and Job Functions	Allocation of Time
<p><b>Thomas Wright</b>  Training and Exercise Program Manager  Alameda County Sheriff's Office  8 am – 5 pm T SF M, W-F</p>	<p>Goal 8 Enhance Homeland Security Exercise, Evaluation and Training Programs</p>	<p>Administer and manage the Regional Training and Exercise (T&amp;E) Program.</p> <ul style="list-style-type: none"> <li>• Maintain a multidisciplinary Training and Exercise Team.</li> <li>• Serve as Chair for the monthly Regional Training and Exercise Program workgroup meetings and serve as a liaison to local, state, and federal agencies, private sector partners, and non-governmental agencies, to obtain input on region-wide training and exercise priorities as they relate to Homeland Security.</li> <li>• Formulate and implement policies and procedures to ensure that the performance of training and exercise activities are in compliance with all UASI grant guidelines and requirements</li> <li>• Attend EPT meetings; Analyze existing and relevant training plans and priorities. Present findings to the Regional T&amp;E Workgroup to receive input, priorities, and direction. Recommend and implement alternative delivery models for efficient and effective training and exercises.</li> </ul>	<ul style="list-style-type: none"> <li>• Plan, monitor, evaluate, and manage the day-to-day operations of the Regional Exercise, including monitoring and coaching the work of regional T&amp;E staff to improve the regional training program</li> <li>• Manage the implementation of the Multi-Year Regional Training and Exercise Plan and coordinate the activities of the Regional Overhead Planning Team</li> <li>• Prepare monthly reports and produce a FY17 Regional Training and Exercise Annual report.</li> <li>• Establish methodologies for assessing, evaluating and measuring the effectiveness of UASI funded projects, equipment, and plans</li> <li>• Oversee the development of MOUs, contracts, and agreements related to the Regional Training &amp; Exercise Program.</li> </ul>	<p>90%</p>

		<ul style="list-style-type: none"> <li>• Serve as UASI regional POC for a regional full-scale exercise (Urban Shield) and coordinate with Bay Area stakeholders to ensure other regional exercises are conducted as needed.</li> <li>• Serve as the East Bay Hub Liaison and assist in the execution of the East Bay Hub planning process. Assist in the coordination of activities of the East Bay Hub as deemed appropriate by UASI Management</li> <li>• Prepare and manage annual UASI grant budget, file reimbursement requests on a quarterly basis, and provide and maintain required supporting documentation.</li> <li>• Assist the UASI Risk Management Project Manager in the Threat and Hazard Identification process; assist in the promulgation of the concepts contained in the 31 Core Capabilities to the Training and Exercise Workgroup and to the Region.</li> </ul>	<ul style="list-style-type: none"> <li>• Sustain the assimilation of the Emergency Management exercise component (Yellow Command) into the annual Urban Shield exercise.</li> <li>• Produce After Action Reports (AAR) for Regional Exercises, and ensure that Improvement Plans and performance gaps are identified.</li> <li>• Develop and maintain financial management plans and policies that govern the expenditure of grant funds on training and exercise activities, and reimbursement of grant funds to the region</li> <li>• Maintain all records, including AAR/IPs, prepare periodic reports and recommendations to the Bay Area UASI Management Team and Approval Authority, and prepare information for the annual training &amp; exercise program report</li> </ul>	10%
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**Bay Area Urban Area Security Initiative  
Work Plan Fiscal Year 2017-18**

Staff	UASI Goal	Assignments and Responsibility	Critical Tasks & Job Functions	Allocation of Time
<p><b>Tristan Levarado</b>  Chief Financial Officer  8 am – 5 pm  San Francisco</p>	<p><b>Goal</b> Management and Administration</p>	<ul style="list-style-type: none"> <li>• Oversee the financial administration of HLS grants and the financial operations of the Bay Area UASI.</li> <li>• Direct the day-to-day operations of the Grants Management Unit in support of the Bay Area UASI mission and goals.</li> <li>• Administer HLS grant programs in accordance with DHS National Initiatives and grant guidelines with respect to grants management and accounting.</li> <li>• Attend local/ regional stakeholder meetings to implement best practices and state-wide preparedness goals and initiatives.</li> <li>• Participate in weekly staff meetings to ensure that regional initiatives are on track and in compliance with state and federal preparedness goals.</li> <li>• Provide fiscal and accounting support as well as technical</li> </ul>	<ul style="list-style-type: none"> <li>• Comply with reporting requirements by submitting on time accurate Financial Workbook, cash requests, program status reports, and budget reports.</li> <li>• Implement funding allocation and program plans in accordance with grant guidelines.</li> <li>• Develop policies and procedures to implement grant management objectives per grant management guidelines.</li> <li>• Create reports for AA including project status reports, travel expense reports, etc.</li> <li>• Oversee activities of Post Audit, Single Audit, and other state and Federal audits.</li> <li>• Oversee monitoring of sub recipient activities in compliance with grant provisions, and generate meaningful monitoring reports.</li> </ul>	<p>25%</p> <p>20%</p> <p>15%</p> <p>10%</p> <p>10%</p> <p>15%</p>

		<p>assistance to UASI management team, and regional and local partners.</p> <ul style="list-style-type: none"> <li>• Oversee and coordinate the audit of grant accounting transactions including Single Audit, Post Audit and granting agency site reviews.</li> <li>• Oversee monitoring activities of regional and local jurisdictions in compliance with applicable grant guidelines.</li> <li>• Oversee completion of MOUs and LOAs.</li> </ul>	<ul style="list-style-type: none"> <li>• Issue accurate and timely MOUs and LOAs.</li> </ul>	5%
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**Bay Area Urban Area Security Initiative  
Work Plan Fiscal Year 2017-18**

Staff	UASI Goal	Assignments and Responsibility	Critical Tasks & Job Functions	Allocation of Time
<p><b>Vacant</b>  Grants Specialist  8 am – 5 pm  San Francisco</p>	<p>Management &amp; Administration  (M&amp;A)</p>	<ul style="list-style-type: none"> <li>• Perform desk audit of sub recipient reimbursement request to ensure that all expenditures are in compliant with grant guideline and they are supported by proper documentation.</li> <li>• Prepare financial management forms workbooks for cash requests and modification requests and ensure all grant requirements have been met prior to submittal to the State.</li> <li>• Ensure that the Bay Area UASI’s strategic goals align with National or State priorities as well with the Target Capabilities from the National Preparedness Guidelines.</li> <li>• Actively engage, develop, foster, and enhance working partnerships with local and regional stakeholders, which include working groups, subject matter experts, and nongovernment organizations.</li> <li>• Work with sub-recipients in reviewing and analyzing grant reimbursement requests to ensure expenditures incurred are in compliance with grant</li> </ul>	<ul style="list-style-type: none"> <li>• Administer HLS grant programs in accordance with DHS National Initiatives and grant guidelines with respect to grants management and accounting. Execute grant processes in ensuring compliance of regional and local sites and jurisdictions.</li> <li>• Implement all fiscal and accounting requirements in grants management and reporting activities, ensuring all related internal control procedures are maintained and all budgetary control procedures are in place.</li> <li>• Process and reconcile grants accounting transactions including budget, revenues, expenditures, and encumbrances within required timeframe, ensuring fiscal compliance with appropriate rules and provision.</li> <li>• Prepare final management forms and workbooks for cash requests and modification requests in a timely manner.</li> <li>• Assist in conducting onsite monitoring visits to ensure compliance.</li> </ul>	<p>30%</p> <p>25%</p> <p>20%</p> <p>15%</p> <p>10%</p>



		<p>guidelines and are consistent with sub-recipient awards affirmed in MOU agreements.</p> <ul style="list-style-type: none"><li>• Perform regional sub-recipient monitoring field visits and desk reviews of grants activities. Evaluate and review grants financial and accounting operation, and work with project managers to review programmatic requirements of various grants.</li><li>• Provide support in developing contracts and MOUs.</li></ul>		
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		<p>guidelines and are consistent with sub-recipient awards affirmed in MOU agreements.</p> <ul style="list-style-type: none"><li>• Perform regional sub-recipient monitoring field visits and desk reviews of grants activities. Evaluate and review grants financial and accounting operation, and work with project managers to review programmatic requirements of various grants.</li><li>• Provide support in developing contracts and MOUs.</li></ul>		
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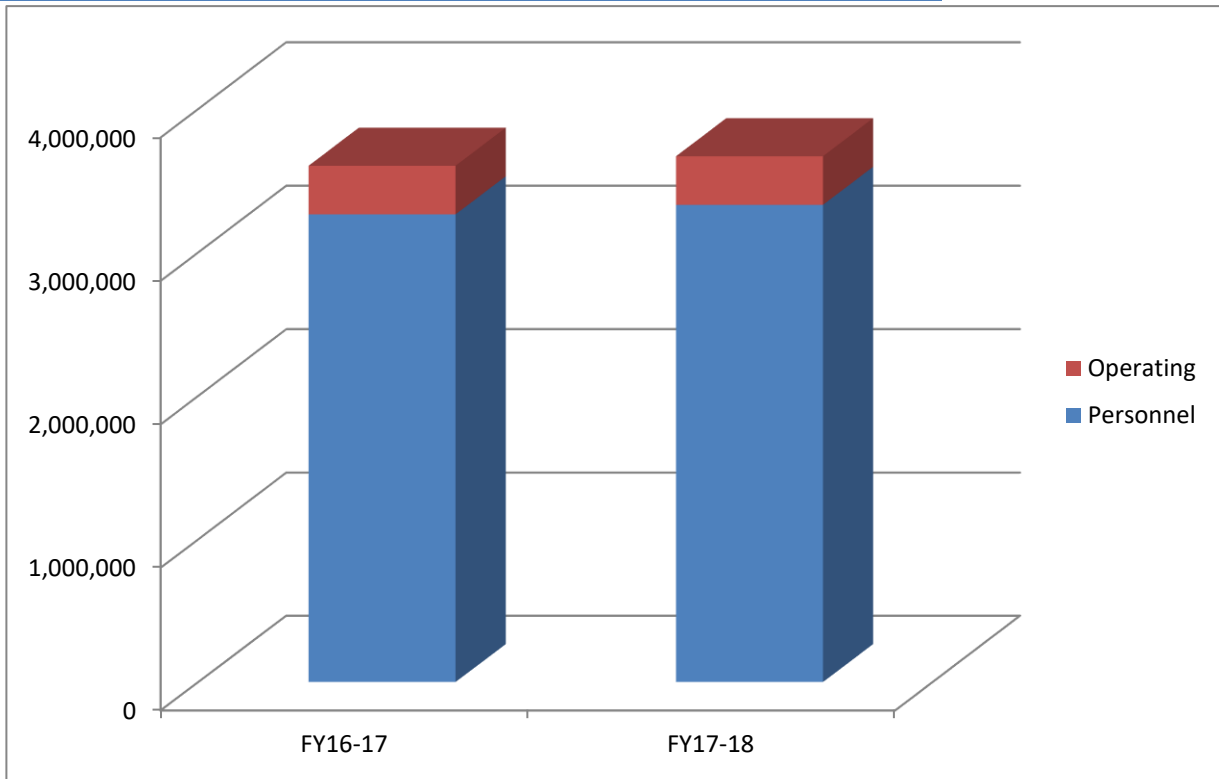
**Bay Area UASI Program  
FY2017-2018 Proposed Budget**

	FY17-18	FY16-17	Change Inc/(Dec)
<b><u>Revenue Sources</u></b>			
15 UASI (Planning and M&A)		\$ 3,002,884	
16 UASI (Organization and M&A)	\$ 2,048,300	\$ 600,577	
17 UASI (Organization and M&A)	\$ 1,621,440		
<b>TOTAL ALL SOURCES</b>	<b>\$ 3,669,740</b>	<b>\$ 3,603,461</b>	<b>\$ 66,280</b>
<b><u>Expenditures:</u></b>			
<b><u>Personnel</u></b>			
Salaries and Fringes - Project Management	\$ 2,069,079	\$ 2,054,958	\$ 14,121
Salaries and Fringes - Grants Management (M&A)	\$ 833,204	\$ 807,686	\$ 25,518
Salaries and Fringes - Compliance and Administration (M&A)	\$ 428,957	\$ 402,316	\$ 26,641
Subtotal	\$ 3,331,240	\$ 3,264,961	\$ 66,280
<b><u>Operating</u></b>			
Travel (Planning and M&A)	\$ 35,000	\$ 42,000	\$ (7,000)
Rents and leases (M&A)	\$ 249,500	\$ 200,000	\$ 49,500
Copy machines, website, on-line meetings, phones (M&A)	\$ 20,000	\$ 38,500	\$ (18,500)
Office supplies/printing (M&A)	\$ 20,000	\$ 25,000	\$ (5,000)
City Attorney Services (review of MOUs and contracts) (M&A)	\$ 12,000	\$ 18,000	\$ (6,000)
Legal Services (Approval Authority) (M&A)	\$ 2,000	\$ 15,000	\$ (13,000)
Subtotal	\$ 338,500	\$ 338,500	\$ -
<b>TOTAL EXPENDITURES</b>	<b>\$ 3,669,740</b>	<b>\$ 3,603,461</b>	<b>\$ 66,280</b>

**UASI Management Team  
FY2017-2018 Personnel Detail**

<b>Classification / Jurisdiction</b>	<b>Job Title</b>	<b>Project</b>	<b>Salary</b>	<b>Fringe Benefits</b>	<b>Total</b>
<b>Project Management Team</b>					
0954 Mgr. VIII/SF	General Manager	Program Management	205,296	71,854	277,150
0933 Mgr. VII/SF	Assistant General Manager	Program Management	167,986	58,795	226,781
0932 Mgr. IV/SF	Lead Project Manager	Program Management	155,766	54,518	210,284
0931 Mgr III/SF	Resiliency & Recovery PM	Resiliency and Recovery	145,106	50,787	195,893
0931 Mgr III/SF	Whole Community and Communications PM	Whole Community and Communications	145,106	50,787	195,893
0931 Mgr III/SF	Risk Management PM	Risk Mangement	145,106	50,787	195,893
Contract	CBRNE PM	CBRNE Detection and Response	100,000		100,000
Alameda Cnty SO	Training & Exercise PM	Training & Exercise Program	296,434	103,752	400,186
Captain/SF	SFPD Liaison	Risk Mangement	197,778	69,222	267,000
<b>Subtotal</b>			<b>1,558,577</b>	<b>510,501</b>	<b>2,069,079</b>
<b>Grants Management Unit</b>					
0932 Mgr. IV/SF	Chief Financial Officer	M&A	155,766	54,518	210,284
1824 Principal Admin Analyst/SF	Contract Specialist	M&A	125,060	43,771	168,831
1657 Acct IV/SF	Grants Accountant	M&A	120,302	42,106	162,408
1823 Sr Admin Analyst/SF	Grant Specialist	M&A	108,030	37,811	145,841
1823 Sr Admin Analyst/SF	Grant Specialist	M&A	108,030	37,811	145,841
<b>Subtotal</b>			<b>617,188</b>	<b>216,016</b>	<b>833,204</b>
<b>Compliance and Administration</b>					
0931 Mgr III/SF	Compliance Manager	M&A	145,106	50,787	195,893
8602 ESC 2/SF	Planner	M&A	94,718	33,151	127,869
8601 ESC 1/SF	Admin Support	M&A	77,922	27,273	105,195
<b>Subtotal</b>			<b>317,746</b>	<b>111,211</b>	<b>428,957</b>
<b>TOTAL: UASI MANAGEMENT TEAM</b>			<b>2,493,511</b>	<b>837,728</b>	<b>3,331,240</b>

	Personnel	Operating		
FY16-17	3,264,961	338,500	3,603,461	
FY17-18	3,331,240	338,500	3,669,740	
Increase	66,280	-	66,280	1.84%



# UASI Approval Authority and Management Team Tracking Tool

May 11, 2017 Approval Authority Meeting

#	Name	Who	Date Assigned	Due Date	Status / Comments
1	FY17 UASI Allocations and Projects	Catherine Spaulding	5/17/16	TBD	As soon as possible after the NOFO is released
2	FY18 Proposal Guidance	Catherine Spaulding	10/13/16	7/13/17	
3	Risk and Gap Report	Catherine Spaulding	2/15/17	7/13/17	
4	Updated Bay Area UASI Management Team Policies and Procedures and Grants Manual Policies and Procedures	Mary Landers, Tristan Levarado	3/13/17	7/13/17	
5	Asset Risk and Capability Assessment Update	Amy Ramirez	10/13/16	7/13/17	
6	Interoperability Analysis Project	Corey Reynolds	1/26/17	7/13/17	
7	Cyber Analysis Project	TBD	1/26/17	7/13/17	
8	Stakeholder Feedback Report	Janell Myhre	2/14/17	8/10/17	
9	Urban Shield Planning Update	Tom Wright	02/14/17	8/10/17	
10	Cyber Program Update	NCRIC	6/20/16	8/10/17	
11	HayWired Scenario	TBD	4/25/17	11/9/17	
13	Hub Funding Formula	Catherine Spaulding	2/14/17	11/9/17	
14	2017 THIRA	Amy Ramirez	2/14/17	11/9/17	
15	PRND Program Update	Phil White	11/9/16	11/9/17	
16	Care and Shelter Program and JIC-JIS Update	Corinne Bartshire	11/17/16	11/9/17	
17	Vigilant Guardian Full Scale Exercise After Action Report	Phil White	1/17/17	2/8/18	

Regular Items/Assignments						
#	Name	Deliverable	Who	Date Assigned	Due Date	Status / Comments
A	UASI Financial Reports	Report	Tristan Levarado		7/13/17 8/10/17 11/9/17 1/11/18 2/8/18 4/12/18 5/10/18	UASI Travel Expenditures FY15 UASI Spending Report UASI Travel Expenditures UASI Travel Expenditures FY16 UASI Spending Report FY17 UASI Spending Report Reallocation of Grant Funds
B	BayRICS JPA Quarterly Report	Report	Barry Fraser		7/13/17 11/9/17 1/11/18 4/12/18	BayRICS JPA Report
C	Election of UASI Officers	Discussion & Action Item	Chair		1/11/18 (annually)	
D	NCRIC Annual Report	Report	Mike Sena		1/11/18 (annually)	
E	Training and Exercise Program Annual Report	Report	Tom Wright		1/11/18 (annually)	
F	NCRIC Threat Briefing	Report	Mike Sena		11/9/17 (annually)	





**To: Bay Area UASI Approval Authority**

**From: Eric Lamoureux, Inland Region Administrator, Governor's Office of Emergency Services**

**Date: May 11, 2017**

**Re: Item 4: Oroville Dam Incident Brief**

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**Action or Discussion Items:**

Discussion, Possible Action

**Background:**

In February 2017, the Oroville Dam main and emergency spillways were significantly damaged, prompting the evacuation of more than 180,000 people living downstream along Feather River and the relocation of a fish hatchery. In the midst of widespread rainfall during the 2017 California floods, damage to the dam's main spillway appeared on February 7, resulting in its closure as management tried to assess the extent of damage and ways to mitigate further damage.

As storms dumped significant precipitation on the area, the lake level rose until it flowed over a concrete weir at the top of the dam's emergency spillway, despite the reopening of the damaged main spillway. As water flowed uncontrolled over the weir, head ward erosion of the emergency spillway threatened to undermine and collapse the concrete weir, which could have sent a 30-foot wall of water into the Feather River below and flooded communities downstream.

A collapse never occurred, but the main spillway suffered significant damage and the bare slope of the emergency spillway was significantly eroded.

**Discussion:**

Eric Lamoureux, Inland Region Administrator from the California Governor's Office of Emergency Services will provide a Powerpoint presentation. An incident overview and lessons learned will be discussed.

# Lake Oroville Spillway Incident Brief

Eric Lamoureux, Inland Region Administrator  
California Governor's Office of Emergency Services



**Cal OES**  
GOVERNOR'S OFFICE  
OF EMERGENCY SERVICES

# Site Orientation



# Timeline

## February 7, 2017 (Tuesday):

- 1158: SOC activated at Level 1 in support of February Statewide Winter Storm events
- 1210: DWR receives report from Oroville Dam of initial damage to main spillway liner
- 1244: Liaisons for SOC report to DWR FOC to facilitate information exchange





# Oroville Timeline

February 9, 2017  
(Thursday):

- Cal OES Asst. Director of Response & Fire Chief receive on-site briefing at ICP & conduct field coordination.
- DWR requests CALFIRE assign an Incident Management Team to support the ICP
- Cal OES Fire Branch and Law Enforcement Branch Asst. Chiefs assigned as Agency Representatives to the Oroville ICP



**Cal OES**  
GOVERNOR'S OFFICE  
OF EMERGENCY SERVICES

# Oroville Timeline

## February 10, 2017 (Friday):

- 0800: Type 1 IMT assigned to ICP at Oroville Dam
- 0830: CalOES Operational staff deployed to DWR Department Operations Center (DOC)



# Oroville Timeline

Perspective on February 10, 2017

<https://www.youtube.com/watch?v=0VMn79dV6>

[BI](#)



**Cal OES**  
GOVERNOR'S OFFICE  
OF EMERGENCY SERVICES



# Oroville Timeline

February 12, 2017 (Sunday):

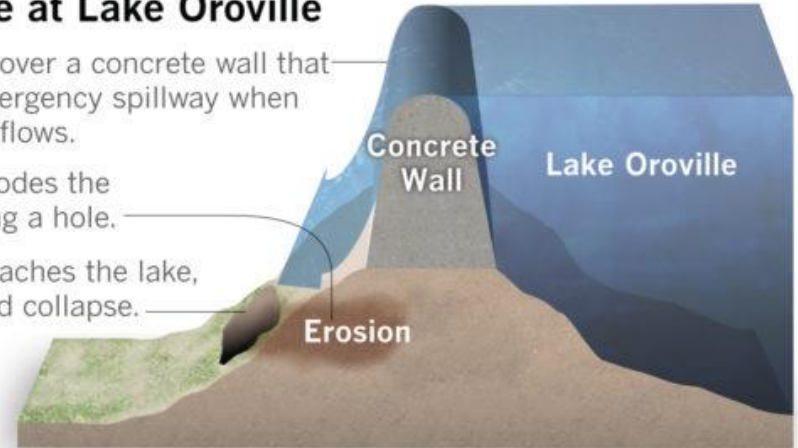
- 1610: CSWC receives “Robo-call” from DWR advising of “imminent failure of the Oroville Dam Axillary Spillway due to erosion and evacuations will be needed”.

## The erosion that could lead to a collapse at Lake Oroville

Water pours over a concrete wall that forms an emergency spillway when the lake overflows.

The water erodes the earth, forming a hole.

If the hole reaches the lake, the wall could collapse.



Source: DWR  
Graphics reporting by Rong-Gong Lin II, Chris Megerian

@latimesgraphics



GOVERNOR'S OFFICE  
OF EMERGENCY SERVICES



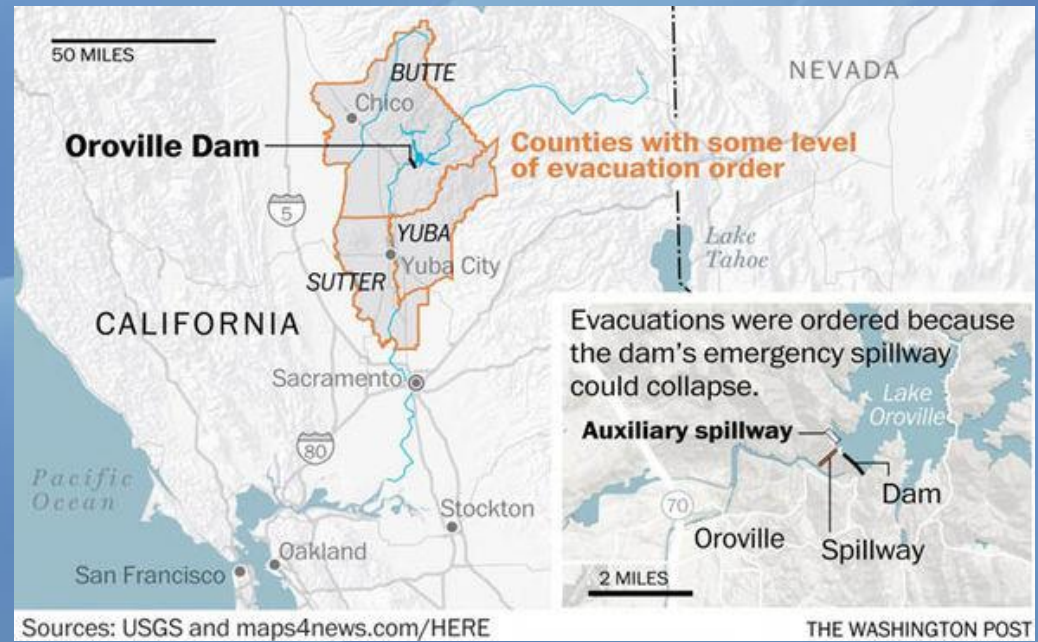
# Full Cal OES Activation

- SOC Activated
- Cal OES Fire, Law & Region staff deployed
- UCG Stood Up
- DWR DOC relocated to SOC



# Evacuation & Sheltering

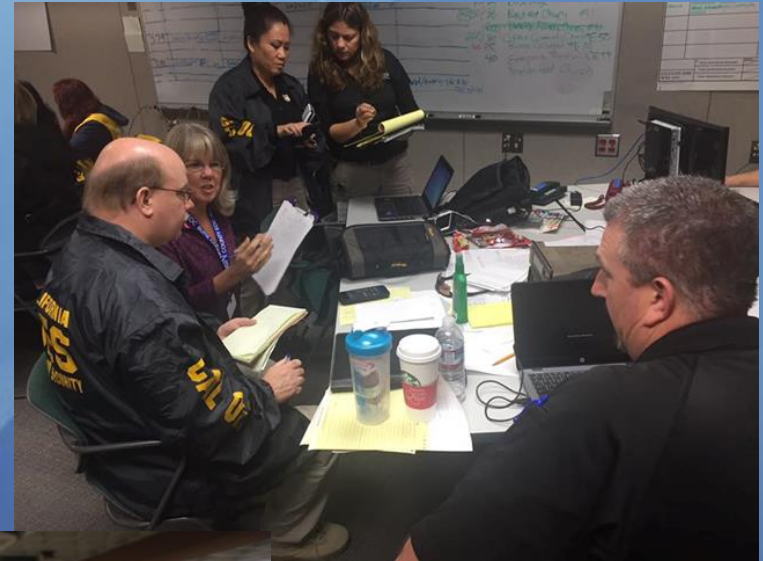
- 170,000 evacuated
- 33 shelters open in 7 counties
- 9,100 people sheltered





# High Level Observations

- Geo Ops - Cal OES immediately went to Geo Ops posture
- Continuity Plans – Butte & Yuba OAs fully relocated EOCs
- Care & Shelter - OAs not prepared for spontaneous shelters



# High Level Observations

- Coordination/Communication – Communication to downstream OAs & populations challenged
- State Support – Nearly 100 missions executed & 18 agencies mobilized



# QUESTIONS

**Eric Lamoureux | Regional Administrator**

**Governor's Office of Emergency Services (CalOES)**

**Inland Region**

**Cell: (916) 524-0350**

**[Eric.Lamoureux@caloes.ca.gov](mailto:Eric.Lamoureux@caloes.ca.gov)**



**Cal OES**  
GOVERNOR'S OFFICE  
OF EMERGENCY SERVICES



**To: Bay Area UASI Approval Authority**

**From: Arrietta Chakos, Policy Advisor, Association of Bay Area Governments (ABAG)**

**Date: May 11, 2017**

**Re: Item 5: HayWired Project Brief**

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**Action or Discussion Items:**

Discussion, Possible Action

**Background:**

The “HayWired” project is currently developing an earthquake scenario for the Bay Area. Since 2014, the project, led by the United States Geological Survey (USGS), has been modeling and studying impacts on the San Francisco Bay Area as a result of a magnitude 7.1 earthquake on the Hayward fault.

The study builds upon understanding of the last large earthquake to occur on the Hayward fault in 1868, using the realization that modern urban infrastructures are made vulnerable by multiple layers of interdependencies between lifelines, with a major reliance on the Internet. This scenario also considers impacts from a sequence of aftershocks following the main earthquake – an aspect often overlooked, but an important component of the physical and emotional damage associated with large earthquakes extending into the days and months following.

**Discussion:**

A five minute video presentation on the HayWired scenario will be provided by Arrietta Chakos, from the Association of Bay Area Governments. Regional coordination opportunities with the HayWired project will be discussed.





**To: Bay Area UASI Approval Authority**

**From: Philip D. White, CBRNE Project Manager**

**Date: May 11, 2017**

**Re: Item 6: Preventative Radiological, Nuclear Detection (PRND) Program Update**

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**Action or Discussion Items:**

Discussion, Possible Action

**Background:**

In January 2014, a Bay Area Preventive Radiological Nuclear Detection (PRND) Executive Task Force was established to address the identified gap of the Bay Area's ability to detect and interdict radiological/nuclear materials taken out of regulatory control for use as a weapon of mass destruction by terrorists. A PRND strategic plan was developed, forming a PRND Focus Group under the CBRNE Workgroup. In April 2014, the focus group identified Principal Partner voting members to facilitate recommendations to the UASI General Manager [in support of a Securing the Cities grant submission from the Bay Area].

To date, the PRND Focus Group has developed, recommended, and received Bay Area UASI Approval Authority approval and/or adoption of numerous plans, operational tools, exercises, and funding allocations such as the:

- Bay Area PRND [land based] Concept of Operations including a regional equipment procurement recommendation and a multi-year training and exercise plan (April 2014)
- Bay Area PRND San Francisco Bay [maritime] Concept of Operations Plan and Standard Operations Procedures (December 2015)
- PRND SF Bay Concept of Operations Bay Ferry IV Full Scale Exercise in preparation for Super Bowl 50 (January 2016)
- PRND Policy and Procedures Manual (November 2016)
- FY16 \$200K regional equipment funding request (January 2017)

### **Current Status:**

The PRND focus group continues its multi-year effort to build the Bay Area's capacity to respond to a radiological/nuclear incident. Year-to-date, over 250 law enforcement and fire personnel have received training in primary and secondary screening for radiological/nuclear materials, enabling the Bay Area to form hazardous materials and explosive ordnance teams when needed.

Through the PRND focus group, numerous federal resources have engaged with the Regional Training and Exercise Program in 2017. The Domestic Nuclear Detection Office (DNDO) and the Center for Radiological/Nuclear Training (CTOS) sponsored radiological/nuclear train-the-trainer and a maritime PRND courses. Additional PRND Team Operations and Team Leader courses are scheduled for June.

Per the recommendation in the PRND Policy/Procedures manual, a regional equipment procurement process for radiation detectors and monitoring equipment using UASI regional funds will be completed this year. A risk based approach was used by stakeholders to determine equipment distribution.

### **Next Steps:**

These trainings and procured equipment will be used in the October 2017 Vigilant Guardian Full Scale Exercise. The exercise is scheduled to take place over a six day period from Monday, October 23 through Saturday, October 28. There will be a series of trainings, workshops and tabletop exercises preceding the Vigilant Guardian Full Scale Exercise.

The beginning of Vigilant Guardian will include training opportunities for law enforcement, hazardous materials and explosive ordnance team's personnel to demonstrate their knowledge and skills by participation in a series of realistic PRND scenarios. The last day of the exercise culminates in the simulated detonation of a Radiation Dispersal Device (RDD) in the financial district of San Francisco. This scenario will provide fire, EMS, law enforcement, emergency managers and public health agencies the opportunity to assess their ability to provide fire, rescue and emergency services in the "First 100 Minutes" following such an incident using a science based approach.

Following the 2017 Vigilant Guardian exercise, an After Action Report and Improvement Plan will be published. An update on the PRND Program will be provided to the Approval Authority in early 2018.





# Bay Area PRND Program Update

UASI Approval Authority Meeting  
May 11, 2017 10am-12n  
Dublin, CA

Philip White, Retired Fire Chief  
CBRNE Regional Project Manager

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**San Francisco is Ranked 5th  
Nationally at Risk of a Terrorist Attack**

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- Strategic Plan
- Con OPs
- SOPs
- Policy & Procedures Manual
- Equipment procurement
- Trainings
- Exercises



## **Bay Area's Response to the Threat of Rad/Nuc Terrorism**

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Over 250 law and fire personnel have received PRND training:

- PRND Train-the-Trainer
- PRND Team Ops
- PRND Team Leader
- Maritime PRND Ops



# Activities YTD for 2017

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- Primary screening capability established as the #1 priority
- Some secondary screening equipment also purchased
- Distribution plan uses a risk based approach



# FY16 Equipment Procurements

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- RDD based scenario
- October 23-28, 2017
- Training is being provided in the lead-up to the exercise
- Three tabletop exercises are scheduled
- Evaluate radiological & nuclear preparedness and response



*"Building Radiological Preparedness and Security Capabilities throughout the Bay Area"*

## **Vigilant Guardian 2017 Exercise**

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# Questions ?

Philip White, Retired Fire Chief

[Phil.White@sfgov.org](mailto:Phil.White@sfgov.org)

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**To: Bay Area UASI Approval Authority**

**From: Corinne Bartshire, Regional Project Manager**

**Date: May 11, 2017**

**Re: Item 7: Regional Care and Shelter Capability Building Project Update**

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**Staff Recommendations:**

None

**Action or Discussion Items:**

Discussion, Possible Action

**Background:**

The Regional Care and Shelter Capability Building Project, (\$150,000) originated with local governments' need to update non-government agency agreements and identify facility, staff, and/or resources required to satisfy local government regulated care (feeding) and sheltering obligations to meet the anticipated needs of moderate to catastrophic level disasters in the Bay Area. The Emergency Management Work Group established a Care & Shelter Subcommittee, which meets monthly to lead these efforts.

**Discussion:**

Regional Project Manager Corinne Bartshire will provide an update on the Regional Care and Shelter Capability Building Project. The attached Appendix A is an accompanying PowerPoint presentation.



# Bay Area UASI

## Regional Care and Shelter Capability Building

### Project Update

*Approval Authority Meeting*

*Agenda Item 7*

*May 11, 2017*





# Project Overview

## **Goals:**

### **1) Assess local care and shelter capabilities:**

*Evaluate local plans against best practices.*

*Identify gaps using customizable scenario-based tool*

### **2) Build understanding of local government roles and responsibilities within the mass care services core capability.**

### **3) Train local government employees to serve as shelter workers / shelter managers.**

### **4) Establish action plans to update local government care and shelter MOUs with American Red Cross and other non-government partners as appropriate.**

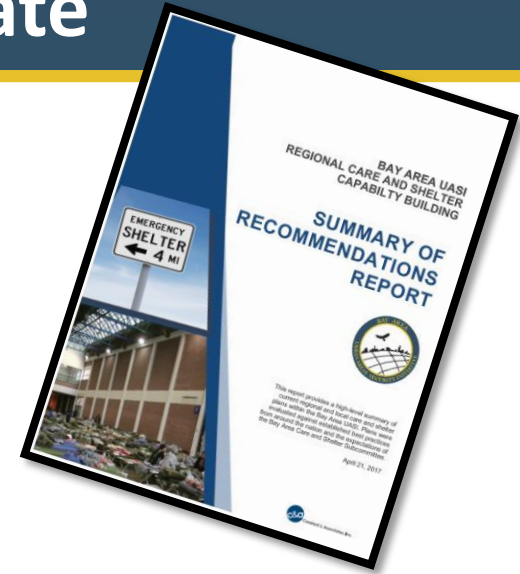
### **5) Document sheltering facilities and supplies locations throughout the Bay Area**

### **6) Develop a regional strategy to improve emergency care and shelter operations throughout the region.**



# Completions To Date

- ✓ Evaluated Local Plans
- ✓ Developed Eight Customizable Scenarios
- ✓ Drafted Gap Identification Tool
- ✓ Planned Workshop #1
- ✓ Reviewed Care & Shelter Resource Database Solutions



Bay Area UASI  
**Regional Care and Shelter Capability Building**  
 Summary of Recommendations Report



## APPENDIX A: PLAN STATUS CHECKLIST

**Care & Shelter Capability Building Project**  
**Plan Status Checklist - Plan Evaluation Criteria**

*Constant & Associates will review each plan received to determine if the following areas are addressed within the plan. Each item that is present in the plan will receive one point, for a possible total score of 13 for each plan.*

	Alameda County	Contra Costa County	Marin County	Monterey County	Napa County	Oakland	San Benito County	San Francisco	San Jose	San Mateo County	Santa Clara County	Santa Cruz County	Solano County	Sonoma County	Bay Area Earthquake Plan	Regional Catastrophic Earthquake Mass Care and Sheltering Plan	San Francisco Bay Area Regional Emergency Coordination Plan (Subsidiary Plan)
Disabilities and Others with Access and Functional Needs	0.5	0.5	1	1			0.5	1		0.5	0.5		1	0	1	1	1
Mass Feeding	1	0.5		0.5				1		1	1		1	0.5	1	1	1
Shelter Sites, Agreements, Operations	1	1		1			1	1			1		1	1			1
Shelter Site Staffing	1			1						0.5	1		0.5	1			1
Disaster Welfare (Rationification, Information Sharing)	1	1		0.5			1	1			0.5		1		1	1	1
Animal Sheltering	1	1		0.5			1	1		1	1		1				1
Evacuation	1																
Medical and Health Services	1							1			0.5		0.5		1	1	1
Transportation	1	1		1										0.5			1
Registration / Arrival Planning	1						0.5	0.5								1	1
Crisis Care and Welfare	1	0.5											0.5	0.5			
Adopted by Board of Supervisors (or State Equivalent for Regional Plans)	1	1	1	not specified			not specified	not specified		1	not specified	1	not specified	draft	1	Not Adopted	1
Listing of Local, Regional, and State Agencies Involved (detailed below)	1	1	1	1	0.5		1	1		1	1	1	1	1	1	1	0.5
<b>Evaluation Score</b>	<b>11.5</b>	<b>7.5</b>	<b>4.5</b>	<b>6</b>	<b>0</b>	<b>0</b>	<b>3</b>	<b>5.5</b>	<b>0</b>	<b>4</b>	<b>8.5</b>	<b>1</b>	<b>7.5</b>	<b>4</b>	<b>8</b>	<b>5</b>	<b>6.5</b>



# Local Plan Evaluations

## Local Plan Evaluation Criterion

Persons with Disabilities and Others with Access and Functional Needs

Mass Feeding

Shelter Sites, Agreements, Operations

Shelter Site Staffing

Disaster Welfare (Reunification, Information Sharing)

Animal Sheltering

Forms

Medical and Health Services

Transportation

Reception / Arrival Planning

Child Care and Welfare

Board Adoption

Listing of Local, Regional and State Agencies Involved

## Accompanying Guidance:

Performance Metric

Explanation of the Best Practice

What Plans Should Include

Information Resources

Jurisdiction Specific Recommendations

Tips for Local Planners

Plan Status Checklist

Resources



# Customizable Scenarios

Catastrophic Earthquake	7.8 magnitude earthquake
Severe Weather	Extended Storms with up to 24 inches of rain over 5 days
Wildfire	Santa Ana Fire Season, August
Minor Earthquake & Fuel Disruption	Shallow 5.2 magnitude earthquake
Flooding	Historic rainfall and snowpack melt
HazMat Release – Transportation	Train derailment releasing toxic chlorine gas
Cyber Attack on the Power Grid	Extended malicious power outage
Complex Coordinated Terrorist Attack	Coordinated Improvised Explosive Device and shooting events



# Customizable Scenarios

## COMPLEX COORDINATED TERRORIST ATTACK

**Setting:** Daytime during a workday  
**Event:** Coordinated Improvised Explosive Device and shooting events in multiple locations  
**Location:** **San Francisco**

A terrorist cell organizes a complex coordinated attacks across the Bay Area during a workday. In several downtown areas across the region, Improvised Explosive Devices (IED) are detonated in the parking garages of high rise office buildings and major hotels. Threats have also been received against the **Golden Gate Bridge, Bay Area Rapid Transit (BART), Transbay Tube, and ferry terminals** in **San Francisco and Oakland**. Casualties are reported and people are transferred to hospitals through normal Emergency Medical Service (EMS) procedures. In **San Francisco** the **San Francisco and Oakland** office buildings and two **Embarcadero** hotels must be evacuated and may not be structurally sound. Within an hour of the explosions, individuals walk into the Emergency Departments of major hospitals. IEDs are also detonated in the lobbies of **San Francisco General Hospital Emergency Medical** and the University of **California San Francisco Medical Center** causing panic, significant casualties also reducing bed capacity. In one jurisdiction, as responders rush to the hospital explosion scene, two secondary IEDs are set off outside the buildings where equipment is staged. Immediately people begin coughing, report stinging in their eyes, difficulty breathing and burning skin.

Due to the concern of the contents of the last explosives, a five-block radius must be evacuated and evaluated for possible contamination. Residents need to be decontaminated and then sheltered for several days.

Many calls are coming in inquiring into the status and location of residents or employees known to be in the area. Persons experiencing homelessness need transportation to shelter locations. The American Red Cross (ARC) has some shelter staff but their primary shelter location is in the evacuation area. The jurisdiction must find an alternative shelter location, communicate the address to the public, accommodate people's pets, and feed shelter residents for at least three days.

Shelter workers from the community are shaken by the attack and reluctant to volunteer. The jurisdiction must reach out to surrounding communities to recruit volunteer workers to staff the shelter. The jurisdiction must coordinate alternate shelter supplies as the one brought in in the ARC shelter are possibly contaminated.

Due to damage at the hospitals, hospital administration is seeking an additional shelter and assistance in transporting non-critical patients. The jurisdiction needs to work with administration to determine requirements and feasibility.

Shelters are concerned about ongoing threats and must receive updates from the Emergency Operations Center for situational awareness.

### Regional Impacts:

Number of persons needing sheltering	4,500 local residents 1,250 hotel occupants
Availability of Mutual Aid	Urban centers in Alameda and Santa Clara counties also hit. Other UASI counties may provide aid.
Number of meals	5,750/day
Number of days of shelter	3

### Resources:

1. **Case Studies about Terrorist Attacks Against Hospitals**  
<https://www.ict.org.il/Article/77/Terrorist-Attacks-against-Hospitals-Case-Studies>





# Gap Identification Tool

**Bay Area Urban Areas Security Initiative**  
**Gap Identification Tool**

## Scenario Overview Worksheet

Condition A - High Initial Shelter Need (short to medium duration, 3-14 days)	Condition B - Low Initial Shelter Need (more shelter available, 15-30 days) Note: depending on release (gas release) may require evacuation
Flood	Radiological Attack
Dam Failure	Hazmat - Aerial
Wildfire	Hazmat - Transport

Condition A	Condition B
Total Population	100,000
Estimated # of Displaced	20,000

### Shelter Resource Worksheet

Shelter Spaces	# of Shelter Spaces Needed Based on	# of Shelter Spaces Available Through	# of Shelter Spaces Available from Community Partners / etc.	Gap / Surplus
Condition A				
Condition B				
Condition C				

### Resource Assessment Worksheet

Resource	Score:
	1 (not at all prepared)
	2 (slightly prepared)
	3 (moderately prepared)
	4 (very prepared)
	5 (extremely)

Instructions: On a scale of 1-5, rate the preparedness level for the following resources.

### Shelter Staffing Resource Worksheet

Position (Based on a per shift need)
Shelter manager (one manager per shift per response operation,

### Demographics Worksheet

Instructions: On a scale of 1-5, rate the preparedness level for the following services for those with disabilities.

Jurisdiction Demographic Analysis			
Demographics	% of Population	Specific Client Needs	Partner Resource(s)
<b>Age and Gender</b>			
Under 5 years			
Under 18 years			
65 years and over			
Male			
Female			
<b>Race</b>			
White			
Black or African American			
American Indian/Alaskan Native			
Asian			
Native Hawaiian and Other Pacific Islander			
Hispanic or Latino			
<b>Income and Poverty</b>			
Medium Household Income			
Per capita income in past 12 months			
Persons in poverty			
Average Homeless Population			
<b>Religions</b>			
Jewish			
Christian			
Muslim			
Sikh			

### Community Partners Worksheet

Community Partnerships						
Partner Name	Local Contact Information	Resource Provided?	Conducted Joint Planning?	Conducted Joint Training / Exercise?	Conducted Joint Response Efforts?	MOU or Official Agreement?



# WebEOC Shelter Board

Welcome, [wbaker-cohn@marinsheriff.org](mailto:wbaker-cohn@marinsheriff.org) ( Logout ). You are logged in as MRN-OA Duty Officer in \*\* Test \*\*

Statewide Shelter Status - beta 5



## Statewide Shelter Status BETA 5

Incident Independent

Filter by Op Area Marin Status Show All Shelter Type Show All ADA Show All

Search

Op Area	Name	Status	Type	ADA Compliant	AFN	Pet Friendly	Back-up Generator	Evac Capacity	Shelter Capacity	Occupancy	Availability (based on sheltering)	Last Updated	Details
MARIN	PARK ELEMENTARY SCHOOL	Inactive	ARC Managed	YES		NO	NO	150	75	0	75	04/13/2017 18:24:27	Map View Update
MARIN	MILL VALLEY MIDDLE SCHOOL	Open	ARC Managed									2017 44	Map View Update
MARIN	REED SCHOOL	Alert	ARC Managed									2017 59	Map View Update

Page 1 of 6  Disable Refresh?

**Update Shelter Status** Cancel Save

---

**Name and Location**

Op Area / County: [Select]

Shelter Name: PARK ELEMENTARY SCHOOL

Address: 360 E BLITHEDALE AVENUE, MILL VALLEY, CA

NSS Number: 2350

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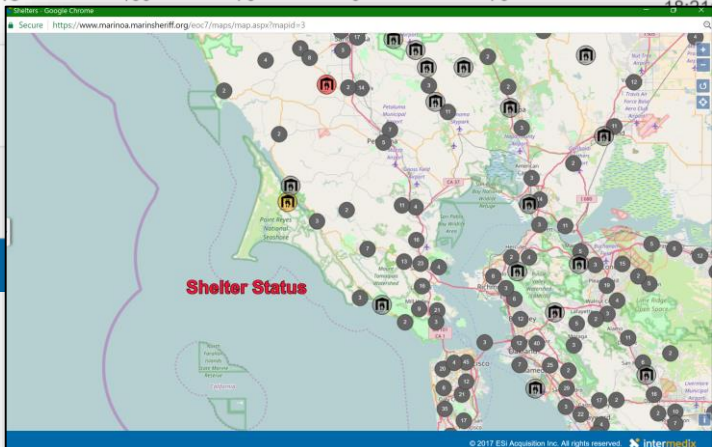
**Shelter Status**

Facility Contact Information

Facility Capabilities

Shelter Type

Attachments





# Next Steps

- Roll out online Shelter Fundamentals / Manager Training Courses
- Continued development of WebEOC Shelter Board
- MOU Action Plans
- Shelter Fundamentals Exercises

## **Upcoming Workshops / Exercises:**

- May 31<sup>st</sup> – Roles and Responsibilities Workshop
- June – Local Care and Shelter TTXs
- August 23<sup>rd</sup> – Capability / Gap Analysis Workshop
- September 7<sup>th</sup> – Regional Care and Shelter TTX
- November 1<sup>st</sup> – Regional Strategy Workshop



**To: Bay Area UASI Approval Authority**  
**From: Corinne Bartshire, Regional Project Manager**  
**Date: May 11, 2017**  
**Re: Item 8: Regional Joint Information System Framework Update**

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**Staff Recommendations:**

None

**Action or Discussion Items:**

Discussion, Possible Action

**Background:**

The FY16 Regional Joint Information System (JIS) Framework Project (\$150,000) builds upon past efforts and Yellow Command After Action Report improvement items to establish an operational JIS in partnership with Bay Area UASI jurisdictions. The JIS provides a mechanism to organize, integrate, and coordinate information to ensure timely, accurate, accessible, and consistent messaging across multiple jurisdictions and/or disciplines with nongovernmental organizations and the private sector. Deliverables for this effort include a JIS Framework, JIS Tools, a comprehensive planning process, and a series of trainings and exercises.

**Discussion:**

Regional Project Manager Corinne Bartshire will provide an update on the FY16 Regional Joint Information System (JIS) Framework Project. The attached Appendix A is an accompanying PowerPoint presentation.

# Bay Area UASI

## Regional Joint Information System

### Project Update

*Approval Authority Meeting*

*Agenda Item 8*

*May 11, 2017*

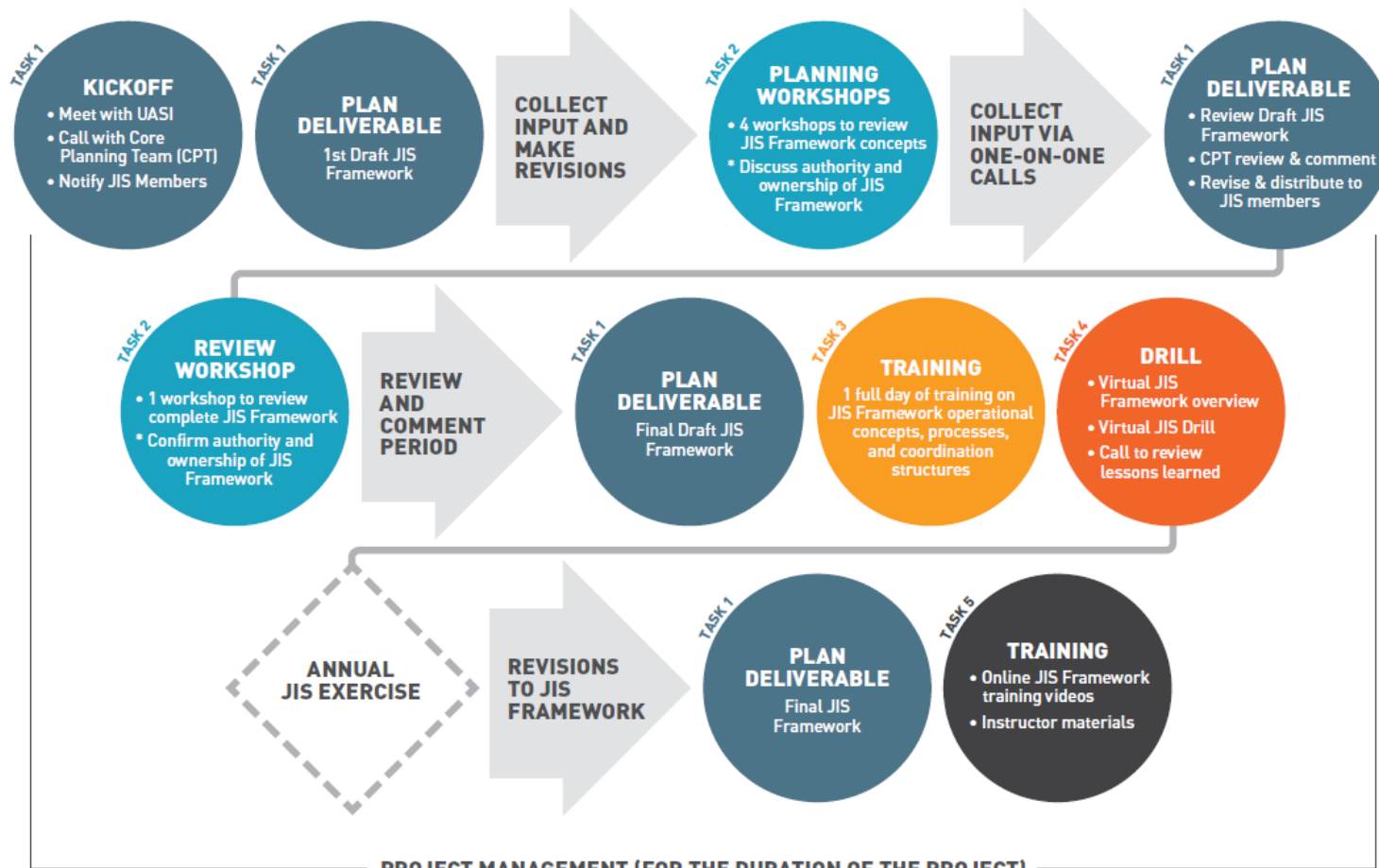




# Project Overview

## Goal:

*Establish a self-operating regional joint information system through which Bay Area jurisdictions / partners support each other in providing timely, accurate, accessible, and coordinated public messaging.*



**PROJECT MANAGEMENT (FOR THE DURATION OF THE PROJECT)**

Weekly status meetings and monthly progress reports





# Completions To Date

- ✓ Established Core Planning Team
- ✓ Initial Draft JIS Framework
- ✓ Four Hub Workshops
- ✓ Review Draft JIS Framework & Tools





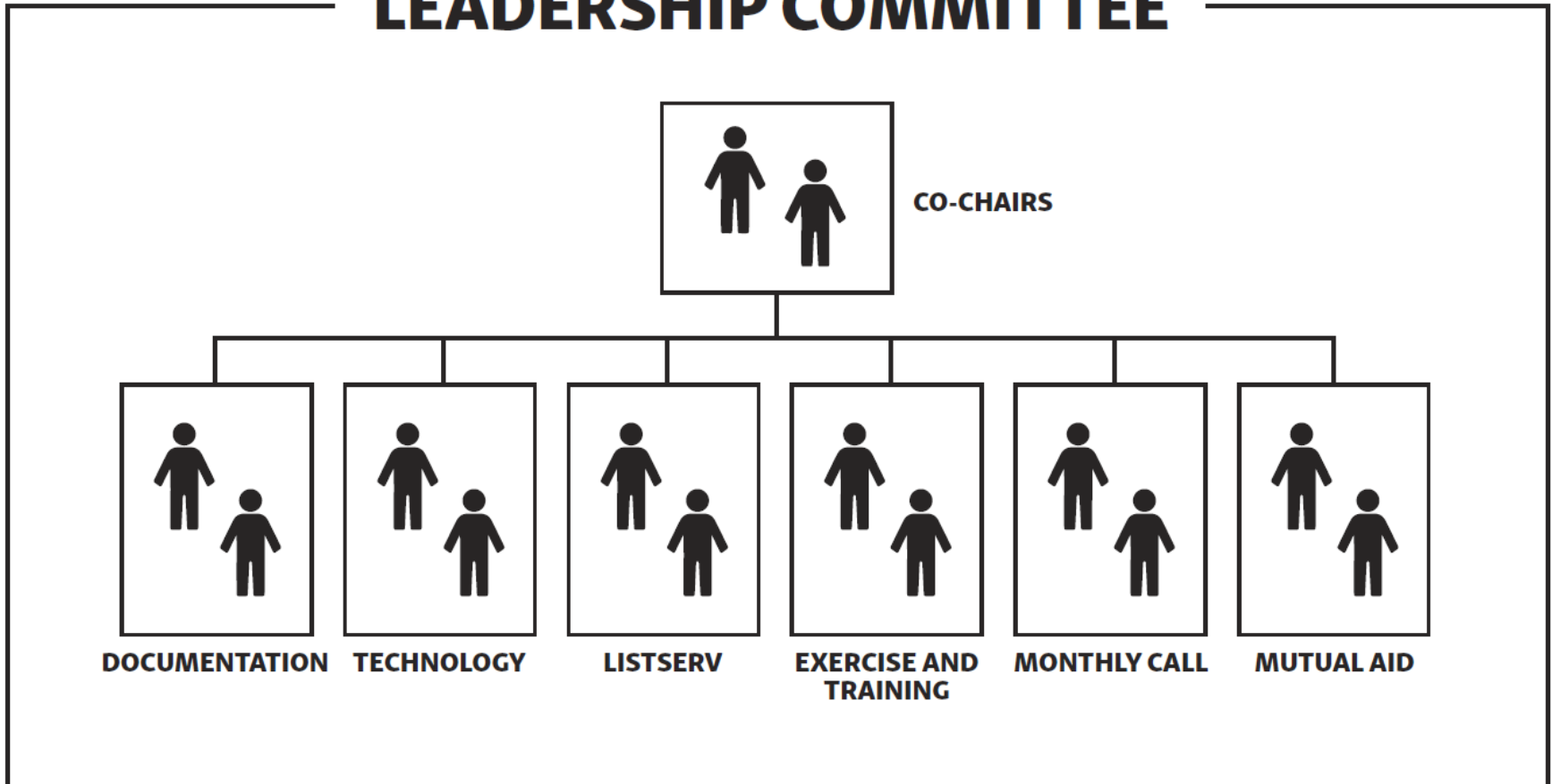
# Highlights





# DRAFT Structure of the JIS

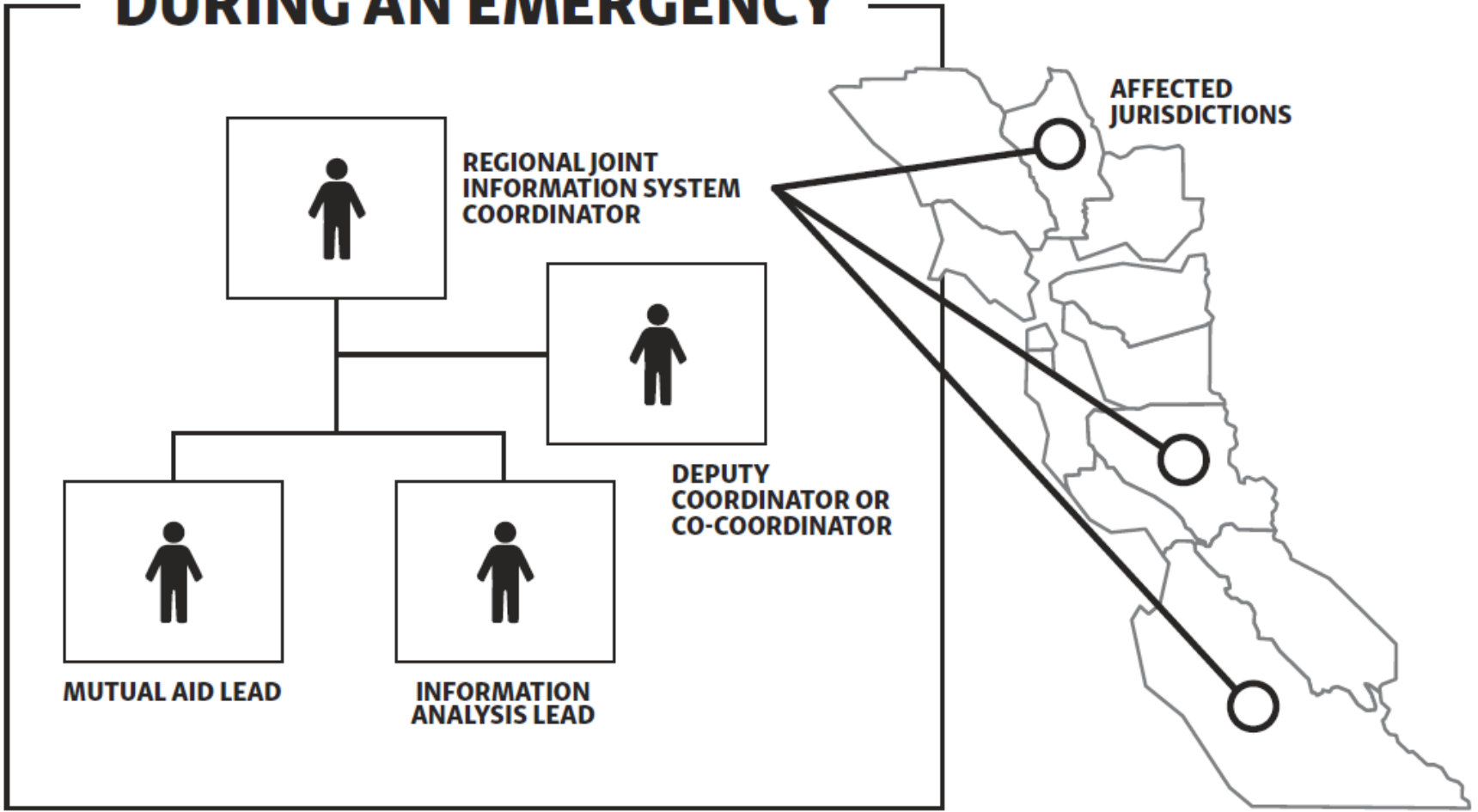
## THE BAY AREA JOINT INFORMATION SYSTEM LEADERSHIP COMMITTEE





# DRAFT Structure of the JIS

## DURING AN EMERGENCY







# Next Steps

- May 24<sup>th</sup> – Regional Workshop
- July 26<sup>th</sup> – JIS Training
- August 8<sup>th</sup> – Slack / Google Drive Training
- August 16<sup>th</sup> – Virtual Coordination Drill
- September 6<sup>th</sup> – Virtual JIS Exercise
- November – Final JIS Framework and Tools
- December – Online Regional JIS Training Modules
- Jurisdiction Adoption / JIS Maintenance



**To: Bay Area UASI Approval Authority**  
**From: Mikyung Kim-Molina, Contracts Specialist**  
**Date: May 11, 2017**  
**Re: Item 9: Countering Violent Extremism (CVE)**

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**Staff Recommendations:**

None

**Action or Discussion Items:**

Discussion, Possible Action

**Discussion/Description:**

Over the past few months, the UASI Management Team has been meeting with regional partners and stakeholders to explore the possibility of developing a Countering Violent Extremism (CVE) project in the Bay Area UASI Region. The federal government's goal of the CVE program is to prevent violent extremists from inspiring, radicalizing, or recruiting individuals or groups in the United States to commit acts of violence. The UASI CVE Project Manager, Mikyung Kim-Molina, will provide a presentation on the following information:

- Overview of the Federal CVE Framework
- Review of a best practice CVE Framework (Los Angeles)
- Summary of UASI's efforts to date regarding CVE
- Future of CVE from the Federal perspective

The attached Appendix A is an accompanying PowerPoint presentation.

# BAY AREA UASI

## Countering Violent Extremism (CVE)

*May 11, 2017*

Mikyung Kim-Molina  
Contracts Specialist  
Bay Area UASI





# Federal CVE Framework

Released in August 2011, the U.S. government's CVE strategy is entitled, *Empowering Local Partners to Prevent Violent Extremism in the United States*.

Three pronged approach:

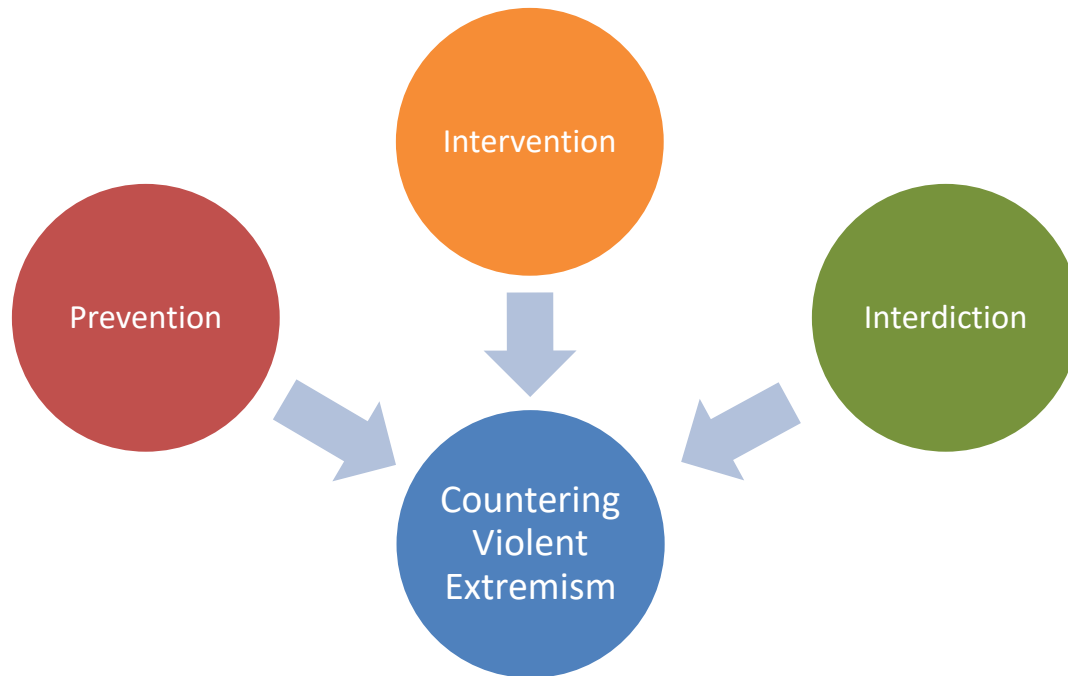
- 1) Enhance engagement with communities
- 2) Build CVE expertise
- 3) Counter violent extremist propaganda





# Best Practice CVE Framework

## *The Los Angeles Framework for Countering Violent Extremism*





## Bay Area UASI Efforts

- Met with NCTC
- Interviewed Los Angeles Director of CVE Programs
- Met with SFPD Homeland Security Unit
- Met with NCRIC
- Attended CVE Training sponsored by DHS and NCTC
- Met with DHS NorCal Regional CVE Coordinator





## Future of CVE and Next Steps

- UASI will continue to work with DHS to identify possible areas to engage the region in CVE efforts.
- Mikenzie Howard, DHS NorCal Regional CVE Coordinator, will provide an update on the future of CVE from the federal perspective.



# Questions

**BAY AREA UASI**







**To: Bay Area UASI Approval Authority**  
**From: Tristan Levardo, CFO**  
**Date: May 11, 2017**  
**Re: Item 10: Reallocation of Grant Funds**

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**Staff Recommendations:**

None

**Action or Discussion Items:**

Discussion, Possible Action

**Summary**

Pursuant to the Approval Authority Bylaws, *Section 8.6 Modification of Grant Allocations*, the Management Team shall report project budget changes under \$250,000 to the Approval Authority on a biannual basis.

Item 10 - Appendix A illustrates the pertinent budget changes for the six months ended April 30, 2017.

## Reallocation of Grant Funds (less than \$250K)

For the Period November 1, 2016 through April 30, 2017

Jurisdiction	Project, Solution Areas	Project Categories	Initial Allocation	Reallocation	Cumulative Change	Justification
San Francisco	F-Equipment	Notification System	123,500	45,495	(78,005)	Repurposed savings from hub allocations
San Francisco	F-Planning	Community Preparedness & Resilience Planning	299,068	325,686	26,618	Repurposed savings from hub allocations
San Francisco	H-Planning	Training and Exercise Planning	405,611	456,998	51,387	Repurposed savings from hub allocations
San Jose	C-Planning	OES Planning Staff	300,000	235,510	(64,490)	Repurposing of salary savings
San Jose	D-Equipment	Spectroscopic Personal Radiation Detector	35,888	32,768	(3,120)	Repurposed core city allocations
San Jose	F-Equipment	EOC Enhancement	50,000	111,911	61,911	Repurposed core city allocations
San Jose	C-Equipment	Vehicular C-POD Package	20,512	26,211	5,699	Repurposed core city allocations
		<b>Grand Total for FY2015 UASI Reallocation</b>	<b>1,234,579</b>	<b>1,234,579</b>	<b>-</b>	
San Francisco	B-Equipment	Tactical Headsets	38,193	-	(38,193)	Repurposed project for high-risk search warrants and critical incidents
San Francisco	B-Equipment	Night Vision and Detection Equipment	-	38,193	38,193	Repurposed project for high-risk search warrants and critical incidents
San Jose	B-Planning	Interoperability Manager	150,000	75,000	(75,000)	Repurposing of salary savings for the purchase of dispatch consoles
San Jose	C-Equipment	Dispatch Consoles	500,000	575,000	75,000	Repurposing of salary savings for the purchase of dispatch consoles
San Mateo	B-Equipment	Alert and TENS Notification Systems	125,000	109,250	(15,750)	For the purchase of additional radios
San Mateo	B-Equipment	Portable Radios	5,074	20,824	15,750	For the purchase of additional radios
		<b>Grand Total for FY2016 UASI Reallocation</b>	<b>818,267</b>	<b>818,267</b>	<b>-</b>	