



To: Bay Area UASI Approval Authority

From: Catherine Spaulding
Assistant General Manager, Bay Area UASI

Date: September 13, 2012

RE: Item 5: Draft Proposal Implementation Guidance

For Discussion and Approval:

1. FY13 Draft Proposal Implementation Guidance:
 - Proposal Submission Process
 - Role of the Work Groups
 - Role of the Hubs
 - Role of the Advisory Group
 - Funding Criteria
2. Regional Project Priorities – Direct the Management Team and the Advisory Group to work together to develop ideas for FY13 regional project priorities for Approval Authority consideration at the next meeting

Attachments:

1. Summary Table (Attachment A)
2. Draft Proposal Implementation Guidance (selections) (Attachment B)

1. FY13 Draft Proposal Implementation Guidance

As directed by the Approval Authority at the last meeting, the Management Team presents a process for vetting, prioritizing, and selecting project proposals through working groups and hubs for FY13. Our update includes some clarifications and streamlining to that which was proposed by the Advisory Group at the last Approval Authority meeting. Please note that this update does not include funding allocations, which is pending recommendation from the Advisory Group.

The Management Team would like to thank the Advisory Group for their input and feedback to the development of this guidance.



Please see the attached summary table for a high level description of the process and the attached sections of the FY13 Draft Proposal Implementation Guidance for more detail.

Similar to prior years, the criteria for funding projects allocated to the work group/hub vetting and prioritization process is as follows:

- (1) **The proposed project must enhance the region's priority capabilities** – which are those capabilities most relevant and important based on the region's risk profile, and/or that have a low level of ability based upon the results of the 2012 Bay Area regional capabilities assessment developed through the Regional Risk Analysis Center. These priority capability objectives will be identified in the updated *Bay Area Homeland Security Strategy* in early November 2012
- (2) **The proposed project has a direct nexus to enhancing terrorism preparedness** – either preventing, protecting against, mitigating the damage from, responding to or recovering from threats or acts of terrorism; **and**
- (3) **The proposed project is regional** – it directly benefits two or more operational areas in the Bay Area region; **and**
- (4) **The proposed project budget is of a reasonable amount** – each element of the project is tied directly to a funding amount specified in the project budget section of the template

2. Regional Project Priorities

As the Management Team's role is to implement the policy direction of the Approval Authority, the Management Team would like to ask the Approval Authority if they wish to identify their priorities for regional projects in FY13. Such priorities could be included in the proposal implementation guidance so that proposers would be encouraged to develop and work towards such priorities. For example, regional project priorities might focus on interoperability, developing the role of the hub planners, public warning, and the sharing of regional assets across twelve counties.

The Management Team would like to facilitate and coordinate suggestions for regional projects based on federal guidance, feedback from operational areas, as well as ideas gleaned from other parts of the country. The Management Team asks for direction from the Approval Authority in this regard. One option is for us to brainstorm regional project priorities with the Advisory Group and then present them at the next Approval Authority meeting (October) for consideration.