Approval Authority Meeting
Thursday, November 14, 2013
10:00 a.m.

LOCATION
Alameda County Sheriff's Office OES
4985 Broder Blvd., Dublin, CA 94568
OES Assembly Room

AGENDA

1. **CALL TO ORDER**
    ROLL CALL

UASI Chair	Anne Kronenberg, City and County of San Francisco
UASI Vice-Chair	Rich Lucia, County of Alameda
Member	Raymond Guzman, City and County of San Francisco
Member	Renee Domingo, City of Oakland
Member	David Hober, City of San Jose
Member	Ken Kehmna, County of Santa Clara
Member	Mike Casten, County of Contra Costa
Member	Bob Doyle, County of Marin
Member	Sherrie L. Collins, County of Monterey
Member	Carlos Bolanos, County of San Mateo
Member	Christopher Helgren, County of Sonoma
Member	Brendan Murphy, CalOES
General Manager	Craig Dziedzic

2. **APPROVAL OF THE MINUTES** (Discussion, Possible Action)
    Discussion and possible action to approve the draft minutes from the October 10, 2013 regular meeting or take any other action related to the matter.
    (Document for this item includes draft minutes from October 10, 2013.) 5 mins

3. **GENERAL MANAGER'S REPORT**
    The General Manager will give an update regarding the following:
    a) UASI FY 2014 Project Proposal Update (Discussion)
    b) Coalition of CA UASIs Update (Discussion)
    c) The National Fusion Center Association (NFCA) Annual Training Event (Discussion)
    (Document for this item is a report from Craig Dziedzic.) 10 mins

4. **FY14 RISK MANAGEMENT PROCESS RESULTS** (Discussion)
    David Frazer and Jason Carroll will provide a report on the results of the FY14 risk management process.
    (Documents for this item are a report and a PowerPoint from David Frazer and Jason Carroll.) 10 mins

5. **REGIONAL CATASTROPHIC PREPAREDNESS GRANT PROGRAM PLAN ADOPTION UPDATE** (Discussion, Possible Action)
Janell Myhre and Jennifer Chappelle will provide an update on the RCPGP plan adoption.
(*Documents for this item are a report and two appendices from Janell Myhre and Jennifer Chappelle.*) 10 mins

6. **AUTOMATED LICENSE PLATE READER FOCUS GROUP UPDATE** (Discussion)
David Frazer and NCRIC IT Manager Brian Rodrigues will provide an update of the automated license plate reader focus group.
(*Document for this item is a report from David Frazer and Brian Rodrigues.*) 5 mins

7. **REGIONAL PUBLIC SAFETY INFORMATION SHARING SYSTEMS UPDATE** (Discussion)
Mike Sena, David Frazer and Susan Merritt will provide an update on regional public safety information sharing systems. Juan Gallardo from Santa Clara County will demonstrate some of the new technology.
(*Documents for this item are a report from Mike Sena and David Frazer, a PowerPoint from Susan Merritt and a technology demonstration by Juan Gallardo.*) 10 mins

8. **AMERICA'S CUP REPORT** (Discussion)
Thomas Cleary will provide a report on the America's Cup events.
(*Document for this item is a PowerPoint from Thomas Cleary.*) 10 mins

9. **FY12 UASI SPENDING UPDATE**
Tristan Levardo will provide an update on FY12 UASI grant spending.
(*Document for this item is a report from Tristan Levardo.*) 5 mins

10. **UASI TRAVEL EXPENDITURES** (Discussion)
Tristan Levardo will provide a report on UASI Travel Expenditures.
(*Document for this item is a report from Tristan Levardo.*) 5 mins

11. **REPORT FROM THE BAY AREA REGIONAL INTEROPERABLE COMMUNICATIONS SYSTEM JOINT POWERS AUTHORITY (BayRICS JPA)** (Discussion, Possible Action)
Barry Fraser will provide a report regarding the BayRICS JPA. Possible action to approve the report or take any other action related to this matter.
(*Document for this item is a report from Barry Fraser.*) 10 mins

12. **TRACKING TOOL** (Discussion, Possible Action)
Review the tracking tool for accuracy and confirmation of deadlines. Possible action to add or clarify tasks for the Management Team or take other action related to the tracking tool.
(*Document for this item is the UASI Approval Authority Tracking Tool.*) 5 mins

13. **ANNOUNCEMENTS-GOOD OF THE ORDER**

14. **FUTURE AGENDA ITEMS** (Discussion)
The Approval Authority members will discuss agenda items for future meetings.

15. **GENERAL PUBLIC COMMENT**
Members of the Public may address the Approval Authority for up to three minutes on items within the jurisdiction of the Bay Area UASI Approval Authority.

16. ADJOURNMENT

If any materials related to an item on this agenda have been distributed to the Approval Authority members after distribution of the agenda packet, those materials are available for public inspection at the Bay Area UASI Management Office located at 711 Van Ness Avenue, Suite 420, San Francisco, CA 94102 during normal office hours, 8:00 a.m.- 5:00 p.m.

Public Participation:

It is the policy of the Approval Authority to encourage and permit public participation and comment on matters within the Approval Authority's jurisdiction, as follows.

- *Public Comment on Agenda Items*. The Approval Authority will take public comment on each item on the agenda. The Approval Authority will take public comment on an action item before the Approval Authority takes action on that item. Persons addressing the Approval Authority on an agenda item shall confine their remarks to the particular agenda item. For each agenda item, each member of the public may address the Approval Authority once, for up to three minutes. The Chair may limit the public comment on an agenda item to less than three minutes per speaker, based on the nature of the agenda item, the number of anticipated speakers for that item, and the number and anticipated duration of other agenda items.

- *General Public Comment*. The Approval Authority shall include general public *comment* as an agenda item at each meeting of the Approval Authority. During general public comment, each member of the public may address the Approval Authority on matters within the Approval Authority's jurisdiction. Issues discussed during general public comment must not appear elsewhere on the agenda for that meeting. Each member of the public may address the Approval Authority once during general public comment, for up to three minutes. The Chair may limit the total general public comment to 30 minutes and may limit the time allocated to each speaker depending on the number of speakers during general public comment and the number and anticipated duration of agenda items.

- *Speaker Identification*. Individuals making public comment may be requested, but not required, to identify themselves and whom they represent.

- *Designated Public Comment Area*. Members of the public wishing to address the Approval Authority must speak from the public comment area.

- *Comment, Not Debate*. During public comment, speakers shall address their remarks to the Approval Authority as a whole and not to individual Approval Authority representatives, the General Manager or Management Team members, or the audience. Approval Authority Representatives and other persons are not required to respond to questions from a speaker. Approval Authority Representatives shall not enter into debate or discussion with speakers during

public comment, although Approval Authority Representatives may question speakers to obtain clarification. Approval Authority Representatives may ask the General Manager to investigate an issue raised during public comment and later report to the Approval Authority. The lack of a response by the Approval Authority to public comment does not necessarily constitute agreement with or support of comments made during public comment.

- *Speaker Conduct.* The Approval Authority will not tolerate disruptive conduct by individuals making public comment. Speakers who use profanity or engage in yelling, screaming, or other disruptive behavior will be directed to cease that conduct and may be asked to leave the meeting room.

Disability Access
The UASI Approval Authority will hold its meeting at the Alameda County Sheriff's Office OES located at 4985 Broder Blvd. in Dublin, CA 94568.

In compliance with the Americans with Disabilities Act, those requiring accommodations for this meeting should notify Waimen Chee, at least 24 hours prior to the meeting at (415) 353-5223.

Bay Area UASI Program
Approval Authority Meeting
Thursday, October 10, 2013
10:00 a.m.

LOCATION
Alameda County Sheriff's Office OES
4985 Broder Blvd., Dublin, CA 94568
OES Assembly Room

REGULAR MEETING MINUTES
DRAFT

1. Roll Call

Chair Kronenberg called the meeting to order at 10:02 am. General Manager Craig Dziedzic took roll. Chair Anne Kronenberg, Members Raymond Guzman, David Hober, Sherrie Collins, Mike Casten, Christopher Helgren and Brendan Murphy were present. Vice-Chair Rich Lucia and Member Carlos Bolanos were absent, but their alternates, Brett Keteles and Jeff Kearnan, were present. Member Ken Kehmna arrived at 10:05 a.m. and Member Renee Domingo arrived at 10:16 a.m.

2. Approval of the Minutes

Motion: Approve the minutes from the September 12, 2013 Approval Authority meeting.

Moved: Member Collins   **Seconded:** Member Casten
Vote: The motion passed unanimously

3. General Manager's Report

(a) Management Team Staff Update

Public Health Project Manager Position

UASI General Manager Craig Dziedzic stated that Lani Kent, the public health project manager, will be leaving the UASI Management Team. She has accepted a position as Senior Health Advisor to Mayor Edwin M. Lee in the City and County of San Francisco. Her last day will be October 11, 2013.

The Management Team will be working with the San Francisco Department of Human Resources to begin the recruitment process to fill the position. Catherine Spaulding and

Janell Myhre will be the points of contact for the recruitment process. Since the position will manage a number of projects within the citizens' preparedness portfolio, the Management Team has renamed it as the Resilience and Recovery Project Manager position. The position will be responsible for developing and implementing regional program and project planning with a focus on citizen preparedness, emergency planning, resiliency, recovery, and health and medical preparedness.

Statewide Risk Management Position

Mr. Dziedzic stated that Captain Kevin Jensen of the Santa Clara County Sherriff's Office has announced his retirement from the Sheriff's Office. Since 2012, Captain Jensen has managed the CA Statewide Risk Management program, working with the CA Coalition of UASIs (CCU) and Digital Sandbox to ensure that each CA UASI has access and technical assistance to the various modules offered under the master contract with Digital Sandbox. Captain Jensen was also managing best practices for the CCU. Prior to managing the CA Statewide Risk Management program, Captain Jensen was assigned to the Bay Area UASI Management Team as the project manager for the Bay Area's regional risk management projects.

The California Coalition of UASIs will discuss this position at the next scheduled meeting on October 31, 2013.

(b) Grant Applications Update

Mr. Dziedzic reported that on September 27, 2013, Federal Emergency Management Agency notified the Management Team that the two applications submitted for the FY 2013 Homeland Security National Training Program (HSNTP) Continuing Training Grants (CTG) had been turned down. The reason stated was that other applicants had been selected through the competitive process. The Management Team is following up with FEMA to obtain information on the selected applications and to seek advice as to how we can improve such applications for future consideration.

(c) Bay Area UASI Legal Services Contract

Mr. Dziedzic stated that the legal services contract with Myers & Nave will expire on December 31, 2013. He explained that the original legal service contract had been in the amount of $47,500 for a one year term (12/1/11-12/31/12) and that the Management Team has already extended the term for an additional year (through 12/31/13). So far, invoices paid through August 2013 amount to $34,085.24 with the average monthly billing amounting to $1,623. The Management Team recommends allowing this contract to conclude without an additional extension.

Members Hober, Kehmna and Domingo inquired if there was an on-call option for legal services from Myers & Nave if they were required in the future. Mr. Dziedzic informed them that he would look into an on-call option.

Motion: Discontinue UASI Legal Services Contract with an On-Call Option

Moved: Member Hober   **Seconded:** Member Kehmna

Vote: The motion passed unanimously

4. Bay Area Homeland Security Strategy

Josh Filler presented a PowerPoint on the Bay Area Homeland Security Strategy. Mr. Filler stated that the purpose of the Strategy is to ensure the Bay Area region has a comprehensive document and system that outlines the region's risks, capabilities, vision, structure, goals and objectives for homeland security. Having such a strategy will ensure the Bay Area is in the best possible position to clearly track and articulate its risk and capability needs to local leaders, the State of California, and the U.S. Department of Homeland Security when seeking resources and funding to enhance homeland security and public safety across the region. The Homeland Security Strategy is also a requirement of FEMA in order to receive Homeland Security Grant Program funding.

5. Priority Capability Objectives for FY14 Funding Cycle

Assistant General Manager Catherine Spaulding stated that the Bay Area's risk management program identifies capabilities that are the most "risk relevant" and where gaps in capability are of the greatest significance so that the region may target investments in this area. This prioritized and strategic approach is consistent with federal requirements and frameworks.

Ms. Spaulding stated that the Management Team developed the 2013 priority capability objectives based on the risk and gap analysis presented in the 2012-2015 Bay Area Homeland Security Strategy. Most of the core capabilities that had gaps indicating "needs extra attention" or "needs attention" have been included in the priority capability objectives. In addition, several core capabilities that are particularly relevant to the highest risk hazards faced in the Bay Area have been included given the critical need to sustain such capabilities.

Motion: Approve the Priority Capability Objectives for the FY14 Funding Cycle

Moved: Member Domingo   **Seconded:** Alternate Kearnan
Vote: The motion passed unanimously

6. Bay Area Incident Management Teams Assessment and Frameworks

CBRNE Project Manager Bruce Martin presented a report on Bay Area Incident Management Teams. Incident Management Teams are teams of people trained in various National Incident Management Systems (NIMS) positions to provide general non-operational work (e.g. planning, logistics, information) at larger, emerging incidents. The report was prepared by Citygate Associates and was the result of cross-disciplinary stakeholder e meetings. The study makes ten recommendations consistent with its findings.

Alternate Member Kearnan inquired about reimbursement to the participating agencies that are on these Incident Management Teams. Mr. Martin stated that participation in the Incident Management Team is covered under mutual aid agreements between cities and counties.

Reimbursement will only occur when the Federal Government or another entity reimburses the team.

7. South Bay Computer Aided Dispatch to Computer Aided Dispatch Project Update

Santa Clara County Communications Director Bert Hildebrand and Santa Clara County Senior IT Project Manager Eric Tingom presented a PowerPoint on The Silicon Valley Regional Interoperability Authority Project (SVRIA), which proposes to link the Public Safety Answering Point (PSAP) centers in both Santa Clara County and Santa Cruz County through their associated Computer Aided Dispatch (CAD) software. This will allow electronic sharing of data across multiple agencies and jurisdictions and improve the dispatching of fire and medical resources.

8. Update on FY11 UASI Grant Spending

Chief Financial Officer Tristan Levardo stated that the Management Team has processed about $4M in claims to the FY 11 UASI grant since the August 8, 2013 Approval Authority meeting. He indicated that overall cumulative spending is at 57% and the deadline for most project deliverables is November 30, 2013. Mr. Levardo has not seen any significant reimbursement requests from Contra Costa, Palo Alto, Santa Clara, and Watsonville and he has urged all jurisdictions to submit their claims as soon as payment has been made to the vendor.

9. Grants Manual

Assistant General Manager Catherine Spaulding presented the Grants Manual for review and stated that the document provides the standards, policies, and processes that govern grant and project management and administration for the Bay Area UASI. The purpose of the Manual is to provide clarity and transparency around Bay Area UASI grant and project management and ensure efficiency and compliance in implementation. Ms. Spaulding stated that the Management Team has made considerable clarifications and enhancements to the document in order to bring it into better alignment with current practices. The changes increase the transparency and accountability of the operation as well as remove redundant steps to improve efficiency.

Motion: Approve the Grants Manual

Moved: Member Kehmna   **Seconded:** Member Hober
Vote: The motion passed unanimously

10. Urban Shield 2013

Alameda County Sheriff's Captain Garrett Holmes presented a PowerPoint on Urban Shield 2013. Urban Shield is a continuous, 48-hour Full Scale Multi-Disciplinary Homeland Security/Disaster Preparedness Exercise hosted by the Alameda County Sheriff's Office. With the support of the UASI and more than 200 local, state, federal, international and private sector partners, the event will run from Friday, October 25, 2013 to Monday, October 28, 2013.

Capt. Holmes stated that during Urban Shield, first responders are presented with exercise scenarios that incorporate key elements of real-world emergencies and major critical incidents at various scenario sites in Alameda, Contra Costa, San Francisco, San Mateo and Santa Clara counties. The exercise includes: 31 tactical scenarios, 18 fire scenarios, 6 explosive ordnance disposal scenarios, 4 medical checkpoints, a mass fatality exercise and a technology showcase.

11. Report from the Bay Area Regional Interoperable Communications System Joint Powers Authority (BayRICS JPA)

Barry Fraser, General Manager of the BayRICS JPA, reported that the BayRICS JPA Board of Directors held a special meeting on September 16, 2013. The Board received an update on the status of Spectrum Lease negotiations with FirstNet. Mr. Fraser stated that the BayRICS spectrum lease agreement with FirstNet has been furloughed since October 1, 2013 due to the federal government shutdown. The next meeting with FirstNet on October 17, 2013 will probably be canceled due to the government shutdown.

12. Tracking Tool

Chair Kronenberg asked the Board for any questions or comments. There were no questions or comments.

13. Announcements-Good of the Order

Member Helgren provided an update on the North Bay planner position and indicated that two very qualified candidates were interviewed. They plan to make a job offer soon.

Member Hober announced that San Jose Fire Deputy Chief Colleen Mulholand will be serving as the San Jose alternate for Approval Authority meetings.

14. Future Agenda Items

Chair Kronenberg asked the Board for questions or comments. There were no questions or comments.

15. General Public Comment

Chair Kronenberg asked the public for questions or comments. There were no questions or comments.

The meeting adjourned at 11:43 a.m.

To: **Bay Area UASI Approval Authority**

From: **Craig Dziedzic, General Manager**

Date: **November 14, 2013**

RE: **Item #3: General Manager's Report**

Recommendations:

    (a) UASI FY 2014 Project Proposal Update (Discussion Only)
    (b) Coalition of CA UASIs Update (Discussion Only)
    (c) The National Fusion Center Association (NFCA) Annual Training Event (Discussion Only)

Action or Discussion Items:

    (a) UASI FY 2014 Project Proposal Update (Discussion Only)
    (b) Coalition of CA UASIs Update (Discussion Only)
    (c) The National Fusion Center Association (NFCA) Annual Training Event (Discuss Only)

Discussion/Description:

(a) UASI FY 2014 Project Proposal Update (Discussion Only)

On October 3, 2014, the Management Team hosted the FY 2014 BAUASI Project Proposal Submission Process Kickoff meeting at the Alameda County Sheriff's Office. The objective of the meeting was to provide information about submitting project proposals for consideration of UASI FY14 grant funding. The Management Team discussed the project proposal template, electronic submission process, proposal selection criteria and process, timelines, and grant requirements.

According to the feedback, the Kick-off meeting was successful. There were approximately 50-60 attendees and 40 others who participated via webinar. The meeting was recorded for future reference and the recording can be downloaded from our website.

(b) Coalition of CA UASIs Update (Discussion Only)

The Coalition of CA UASIs (CCU) held its quarterly meeting in Sacramento on October 31 and November 1. All the CA UASIs attended; except for Ventura and Bakersfield which were defunded from the UASI federal grant allocation. Representatives from the State of CA, including the Director of CalOES, Mark Ghilarducci, and Deputy Director Brendan Murphy also attended as well as representatives of Digital Sandbox, Inc (DSI).

The group discussed the THIRA process and working with the State of CA to assure alignment with the threats and hazards of the state. The group also discussed the CA Risk Management Contract with Digital Sandbox, Inc. to ensure that all the jurisdictions receive the services as stated in the contract. The group agreed to continue with the Statewide Risk Manager's Position but will define the scope and deliverables to include the additional DSI projects.

(c) The National Fusion Center Association (NFCA) Annual Training Event

The National Fusion Center Association (NFCA) Annual Training Event occurred November 5th through November 7th. Mike Sena of the NCRIC and the President of NFCA held the event in Washington, DC. Representatives from federal, state, and local agencies participated in training sessions and discussed strategies and best practices for information and sharing, outreach/training, collaborations. Keynote speakers included the Honorable Rand Beers, Acting Secretary of Defense, the Honorable Nick Rasmussen, Principal Deputy of the National Counterterrorism Center, and the Honorable James Comey, FBI Director, who discussed the evolving threats and risks facing the nation and the need to leverage security with information and sharing collaborative efforts. Dave Fraser, UASI Risk & Info Sharing Project Manager, Caroline Thomas-Jacobs (Regional East Bay Planner), and I attended this informative training.

To: **Bay Area UASI Approval Authority**

From: **David Frazer, UASI Risk & Information Sharing Project Manager**

Date: **November 14, 2013**

Re: **Item #4: FY14 Risk Management Process Results**

Staff Recommendation:

None.

Action or Discussion Items:

Discussion only.

Discussion:

The Risk and Capabilities Assessment process portion of the annual Risk Management Program is complete. The information has been entered into the RAC and the results regarding the Risk Asset portion process at both the regional and county level will be presented and compared to last year's results.
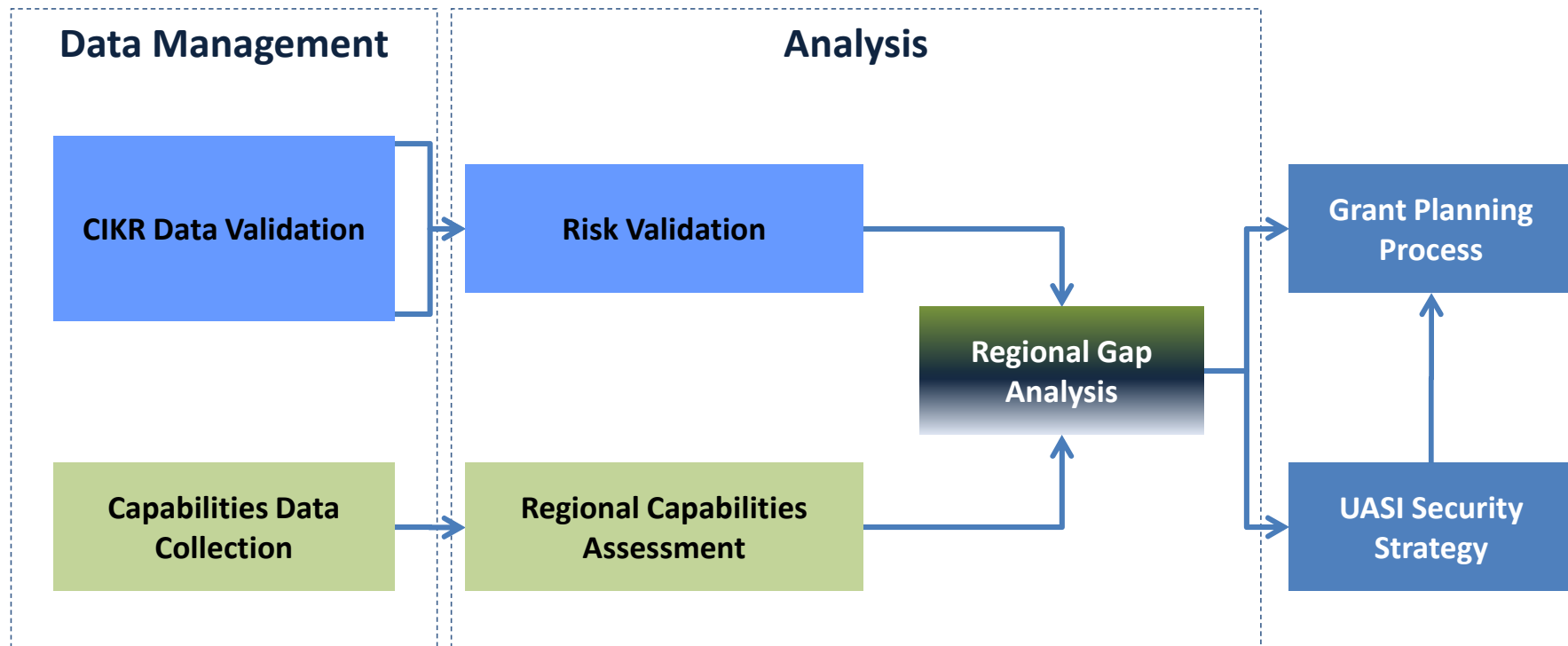
BAY AREA UASI

FY 2013-2014
Bay Area UASI
Risk and Grants Management
Program Update

November 14, 2013

Overview

FY 2013 Bay Area UASI Risk and Grants Management Program
May 2013 – December 2013

Background

- DHS and UASI Approval Authority Bylaws require a risk and capability-based methodology to apply for and allocate grant funds

- Since July 2009 the Bay Area UASI has conducted a cross-jurisdictional, cross-functional risk management program to:

  - Set priorities
  - develop/compare courses of action
  - inform decision-making

- An on-going effort with continuous data input, validation, regularly scheduled assessments, and annual reporting

Digital Sandbox 7 (DS7)- Risk Analysis Center

- Used by all eight California UASIs

- Supports a State/local approach to risk management

- Assists state and local risk management communities:

  ➢ understand and identify risk
  ➢ based on threats, vulnerabilities and consequences
  ➢ risk prioritization methodology to align resources to needs

- Supports a common view of risk
  – Complies with national guidelines, agency standards and regulations

FY 2014 Bay Area Risk Management Timeline

Tasks	2013							
	May	June	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Kickoff	◆							
Risk Validation & Data Mgt.		▬▬▬▬▬▬						
Capabilities Assessment & Gap Analysis				▬▬				
THIRA					▬▬▬▬▬▬			◆
Strategy Update					▬▬	◆		
Grant Planning Process*						▬▬▬▬▬▬▬▬		

Timelines tentative based on the release of the DHS budget and grant guidance.

Asset Risk

- Only one component of Allocation Formula

- As adopted by the Bay Area UASI Approval Authority

- Percentage distribution may change depending upon DHS guidance

- Formula to be presented to Approval Authority in December

- 49% Population Risk
- 29% Asset Risk
- 22% Economic Risk
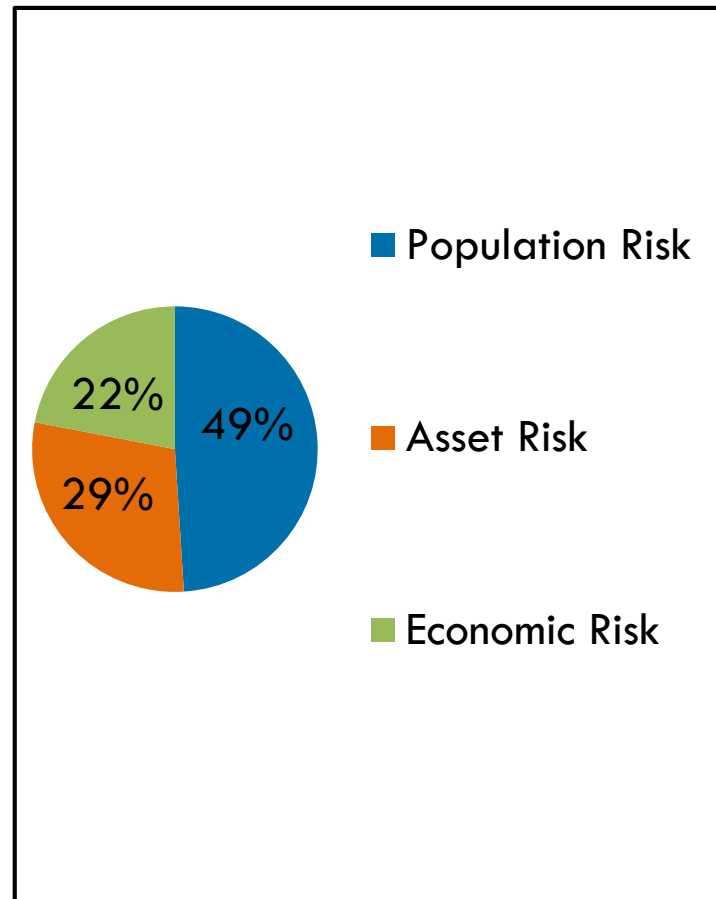
Risk Validation/Data Management

- ## June – July 2013
  - Added/updated asset details, priority levels, vulnerability and consequence scores (VHEMP), field assessments (where appropriate)
  - Identified new users
    - Protected Critical Infrastructure Information (PCII)
    - Asset Catalog Development Criteria
    - Priority Assessments

- ## August 2013
  - NCRIC reviewed updated asset information and validated data items that affect risk

Note: NCRIC submits updated asset information to the DHS PCII office for certification.

2013 Update

Bay Area Asset catalog increased from 8,583 to 12,923 assets

- All Jurisdictions were involved in process

- Major Sectors Updated: Banking, Chemical, Defense Industry, Energy, IT, Communications, Postal, Healthcare, Transportation, Water, Monuments, Commercial, Government, Dams, Nuclear, and Manufacturing

- NCRIC eliminated duplicate assets in DS7

- 56 Asset Priority Updates

- 111 VHEMP Assessments completed:

  – Alameda, Contra Costa, Monterey, San Benito, San Francisco, San Mateo, Santa Clara, Santa Cruz, Sonoma

  – Oakland, San Jose

2013 County Asset Risk

County Asset Risk
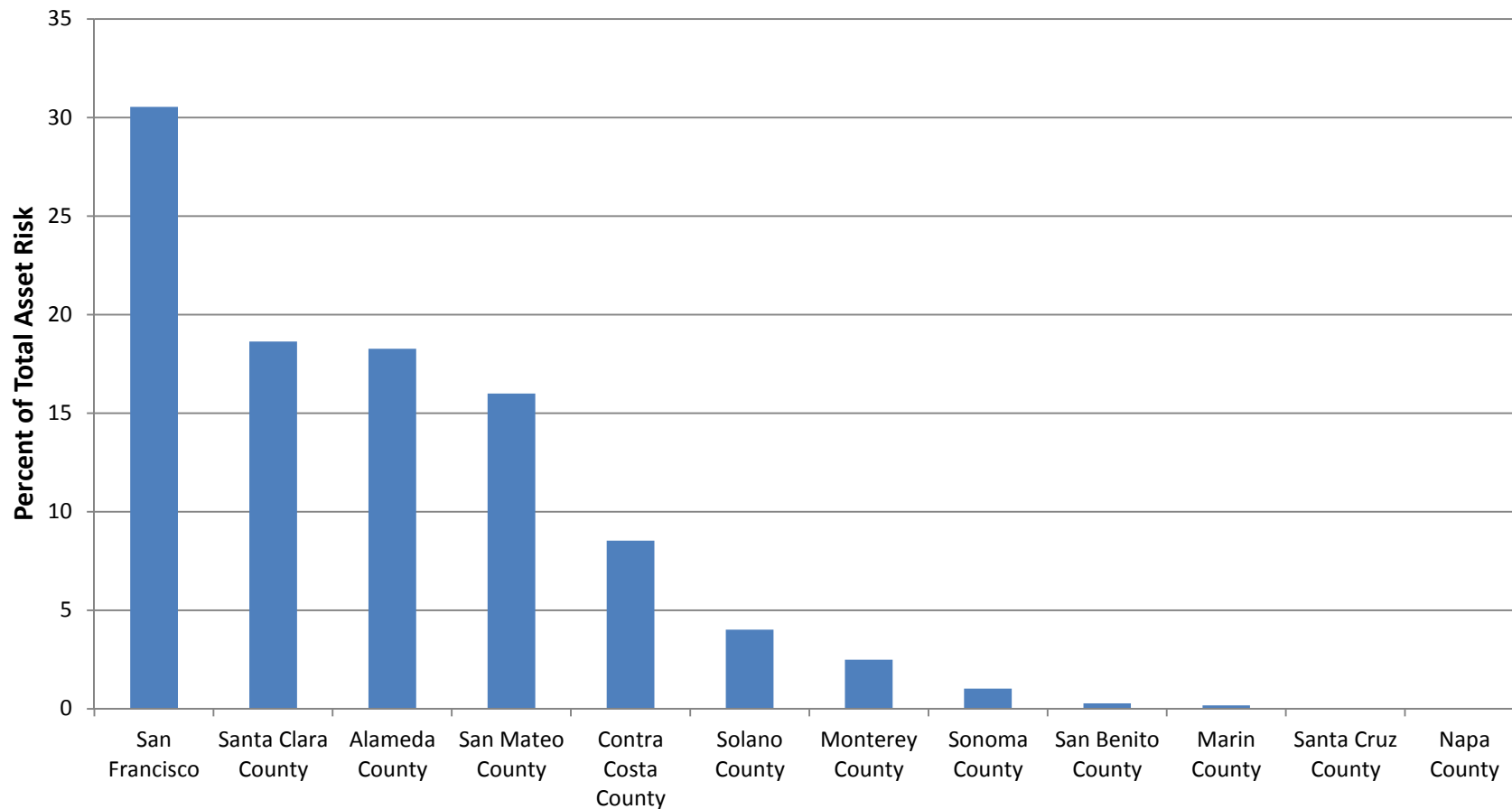
2013 Hub Asset Risk

Hub Asset Risk
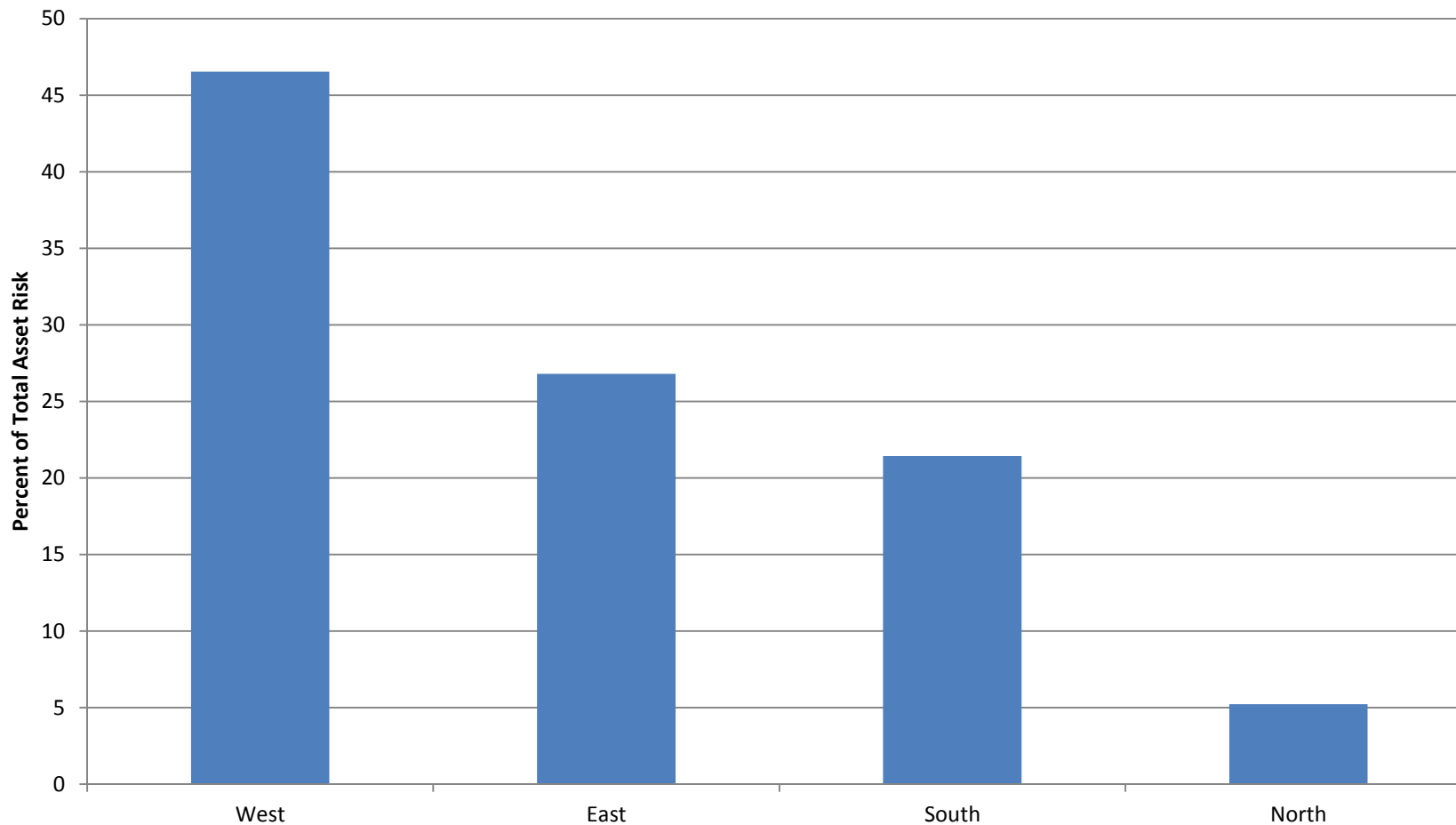
2012-2013 County Asset Risk Comparison

County Asset Risk
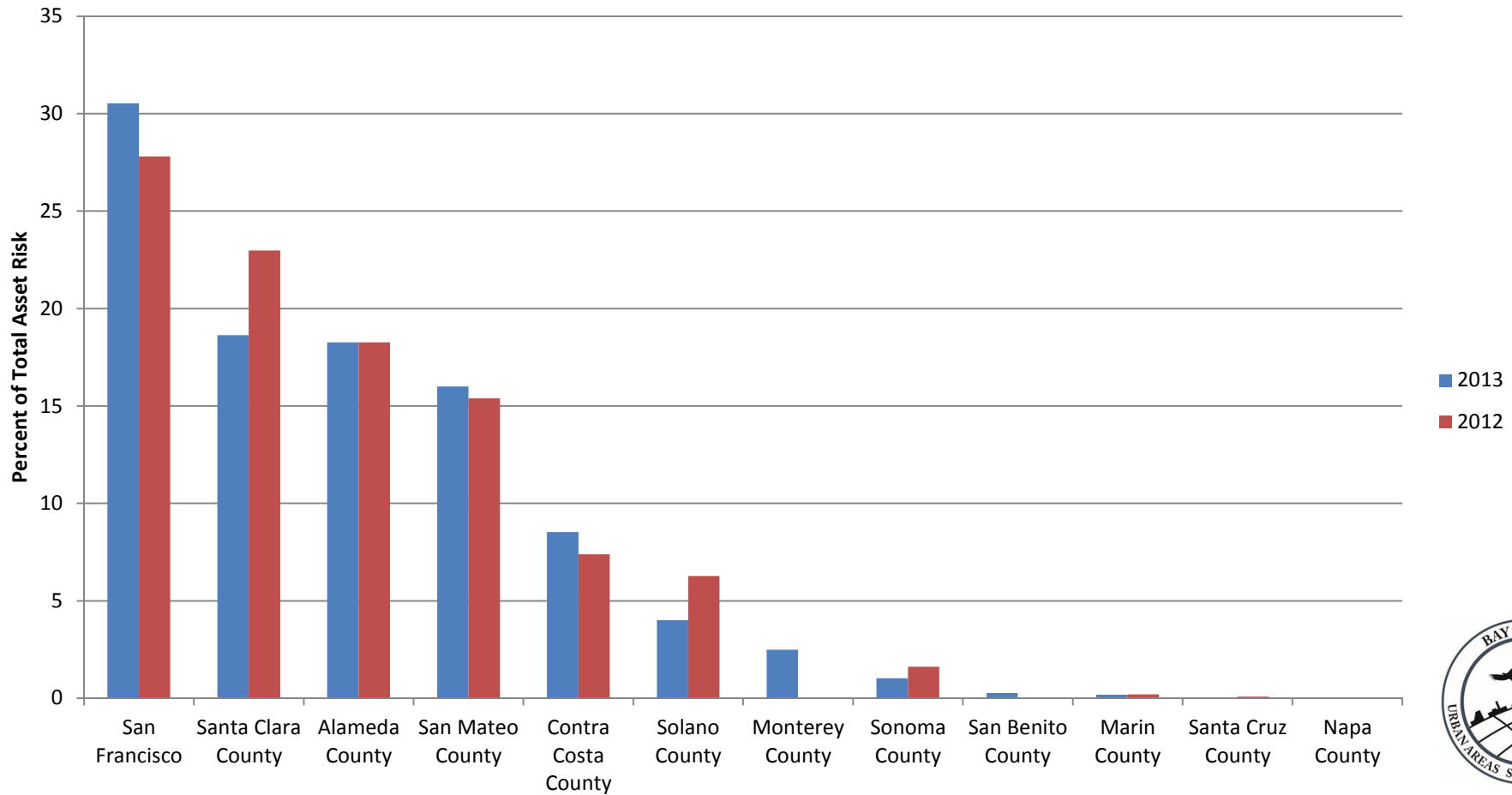
2012-2013 Hub Asset Risk Comparison

Hub Asset Risk
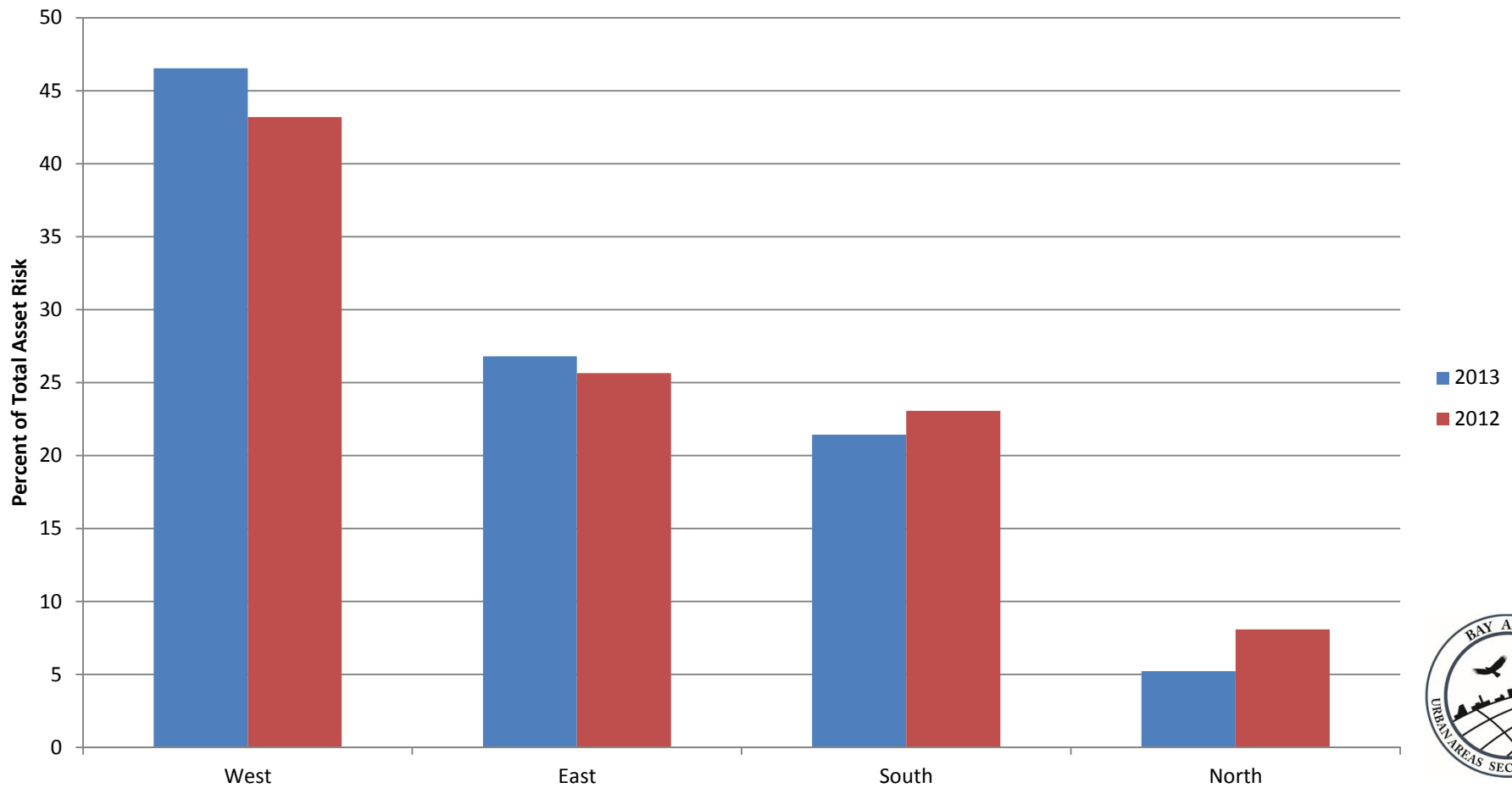
Core Capabilities Measures and Metrics

- Locally tailored performance and resource measures and metrics assessed ability and preparedness

- Compendium of Bay Area 31 Core Capabilities uploaded and stored in the DS7 tool
  - Capability gaps integrated into Strategy update and the priority objectives for project funding

Core Capabilities Work Performed

- 14 of 31 Core Capabilities (including cyber security) were identified as needing attention

- A Cyber Security Core Capability assessment was conducted for the first time

- At least one representative from each planning hub was asked to complete all 31 Core Capabilities (including cyber).

- A total of 217 Capabilities were assessed by the Operational Areas

Regional Capabilities Assessment/Gap Analysis

- Conducted using subject matter experts using the Core Capabilities' measures and metrics

- Results used to understand most relevant capabilities for the region's risk

- Subject Matter Experts obtained consensus through individual workshops

- The DS7 Capabilities Assessment Tool provided a Gap Analysis based on the updated data and results of the workshops

Note: The DS7 Capabilities Assessment is based on the DHS Core Capabilities List.

2013 Strategy Update

- Results helped inform updates to the Bay Area Homeland Security Strategy
  - Updated Strategy reflects the new National Preparedness Goal and related Core Capabilities

- Updated Bay Area Homeland Security Strategy presented to Approval Authority at the October 2013 meeting

THIRA

THIRA is organized around the following components:

STEP 1	STEP 2	STEP 3	STEP 4
Identify Threats and Hazards of Concern	Give Threats and Hazards Context	Establish Capability Targets	Apply the Results
List of Threats/ Hazards	Context Descriptions for Threats/ Hazards	Capability Target Statements	Resource Requirements

Note: Regional stakeholders will have the opportunity to provide feedback.

Next Steps

- Regional Stakeholders review THIRA

- THIRA Briefing to the Approval Authority

- THIRA Submittal to DHS

- Update Risk Allocation Formula

Questions?

BAY AREA UASI

To: **Bay Area UASI Approval Authority**

From: **Janell Myhre, UASI Regional Program Manager**

Date: **November 14th, 2013**

Re: **Item #5: Regional Catastrophic Preparedness Grant Program (RCPGP) Plan Adoption UPDATE**

Staff Recommendation:

None.

Action or Discussion Items:

Discussion only. This item updates the Approval Authority on RCPGP plan adoption by Cal OES. The last update was provided in May 2013.

Discussion:

Background

Bay Area RCPGP funding has produced all hazards catastrophic earthquake scenario-specific operations plans in eight subject areas:

- Mass Fatality
- Mass Transportation and Evacuation
- Mass Care and Shelter
- Interim Housing
- Volunteer Management
- Donations Management
- Logistics and Critical Lifelines

All of the plans were validated and delivered in 2012, with the exception of Logistics and Critical Lifelines which will be delivered this calendar year. The plans include one multi-county regional plan and one individual plan with local information customized to the local jurisdiction for each of the eleven local counties and three major cities in the Bay Area UASI footprint.

RCPGP plans have been developed for regional adoption as annexes to the Cal OES Coastal Region's San Francisco Bay Area Regional Emergency Coordination Plan (RECP) and for local adoption to county and core city emergency operations plans.

Without finalizing RCPGP regional and local plan adoption, over $14 million in tax dollars and thousands of staff hours throughout the Bay Area devoted to producing the plans and supporting projects will not be sustained. Neither local Operational Areas (OAs) nor Cal OES will exercise, maintain, or update plans that are not adopted. Adopting the plans at the local and regional level will bring OAs and the region into compliance with presidential and FEMA directives.

Progress on RCPGP Plan Adoption

We are pleased to report progress on the state's adoption of the RCPGP plans since the May 2013 report:

- The Bay Area UASI Management Team conducted plan validation exercises for all eight RCPGP regional plans during tabletop (TTX) exercises and Urban Shield. Over 300 people attended the RCPGP tabletop series and approximately 5,500 people attended the Urban Shield Full Scale Exercise. Please see Appendix A for a summary of related RCPGP TTX After Action Meeting (AAM) comments.

- Cal OES and Cal OES Coastal Region have committed to accepting the RCPGP regional plans in their current draft format. Appendix B is a letter of acknowledgment from Cal OES Coastal Region Administrator, Jodi Travesaro.

- Cal OES Coastal Region supports the local Bay Area counties and core cities in adopting their local RCPGP plans per their jurisdictions' protocols. Most OAs have adopted their local plans or are in progress to do so. (See Table A below).

- Cal OES will provide final acceptance of the RCPGP plans once After Action Meeting (AAM) comments are addressed and a decision is made concerning the regional plans' relationship to the San Francisco Bay Area Earthquake Readiness Response: Concept of Operations Plan (CONPLAN).

- Once Cal OES provides final acceptance of the regional plans, they will post them to their website. This is expected to be completed by 2015.

The UASI Management Team will continue to work closely with Cal OES and support local efforts on plan adoption through completion.

Table A:
Local RCPGP Plan Adoption Status

Plan Subject	Jurisdiction													
	Alameda* County	Contra Costa* County	Solano* County	Sonoma* County	Marin County	Monterey* County	San Mateo* County	San Benito* County	Santa Cruz* County	Santa Clara* County	Napa County	San Francisco* City/ County	San Jose* City	Oakland* City
Mass Fatality	WIP	WIP	WIP	Y	Y	WIP	WIP	Y	N	WIP	WIP	WIP	WIP	WIP
Debris Removal/Mgmt	WIP	WIP	Y	N	N	WIP	WIP	Y	N	WIP	WIP	Y	WIP	WIP
Mass Transportation & Evacuation	WIP	WIP	Y	N	N	WIP	WIP	Y	N	WIP	WIP	Y*	WIP	WIP
Mass Care & Shelter	WIP	WIP	Y	N	N	WIP	WIP	Y	N	WIP	WIP	Y	WIP	WIP
Interim Housing	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Volunteer Mgmt	WIP	WIP	Y	Y	N	WIP	WIP	Y	N	WIP	WIP	WIP	WIP	WIP
Donations Mgmt	WIP	WIP	WIP	Y	N	WIP	WIP	Y	N	WIP	WIP	WIP	WIP	WIP
Logistics & Critical Lifelines	WIP	WIP	WIP	WIP	WIP	WIP	WIP	WIP	WIP	WIP	WIP	WIP	WIP	WIP

Comment LEGEND

Y:	yes
N:	no
WIP:	work in progress

*additional comments
Alameda County: All plans are expected to be adopted as Annexes to the county EOP. Estimated adoption time frame is undetermined.
Contra Costa County: All plans are expected to be adopted as Annexes with the updated county EOP by mid 2014.
Solano County: Mass Fatality and Donations Management are going through stakeholder review and expected to be adopted as Annexes to county EOP by end of 2013.
Sonoma County: The plans with ''Y '' comment will be used during an applicable incident. There is no formal adoption process for the RCPGP plans as county EOP Annexes.
Monterey County: All plans are approved by county Disaster Council and are expected to be adopted as Annexes with the county revised/updated EOP by end of 2013.
San Mateo County: All plans are in the process of being reviewed, revised and planned for adoption. Estimated adoption time frame is undetermined.
San Benito County: All plans have been incorporated into the county December 2012 EOP Annexes by reference. There is no formal adoption process for RCPGP plans as Annexes to county EOP.
Santa Cruz County: All plans need stakeholder review and validation to adopt. Requesting funds this year for staff to complete this process. Estimated adoption time frame is undetermined.
Santa Clara County: All plans are expected to be adopted as Annexes with the revised/updated county EOP. Estimated adoption time frame is undetermined.
San Francisco City/County: Emergency Functions to city/county EOP are all hazards and include RCPGP subjects. Vol/Don Mgmt are being revised. Evacuation is a section within the Transportation Plan.
San Jose City: All plans have been through stakeholder review and are expected to be adopted as Annexes with the revised/updated city EOP by end of 2013.
Oakland City: All plans have been through stakeholder review and are expected to be adopted as Annexes with the city EOP by end of 2013.

EXECUTIVE SUMMARY

Regional Catastrophic Preparedness Grant Program Tabletop Exercise
After Action Report DRAFT

The Regional Catastrophic Preparedness Grant Program (RCPGP) Tabletop Exercise (TTX) Series was developed to provide a forum to discuss the status of the RCPGP Regional Catastrophic Earthquake plans, identify strengths and areas of improvement within the plans and discuss next steps. The overarching goal of the exercise series was to bring together all levels of government and private sector stakeholders to have a positive, no-fault, open discussion on current and future Regional Catastrophic Earthquake planning efforts. The six TTXs were conducted in Dublin, California at the Alameda County Office of Emergency Services (OES) between July 9, 2013 and August 21, 2013.

Based on the exercise planning team's deliberations, the following overarching objectives were developed for the RCPGP TTX Series:

1. Review the major components of the Plan to vet and align Federal, State, Bay Area region and local government roles and responsibilities, notification and activation procedures.

2. Discuss critical elements identified during Golden Guardian 2013.

3. Identify gaps and develop recommendations for adoption of the Regional Catastrophic Preparedness Grant Program (RCPGP) plans as Annexes to the Coastal Region Regional Emergency Coordination Plan (RECP) and Local Government Emergency Operations Plans (EOPs).

The purpose of this report is to analyze exercise results, identify strengths to be maintained and built upon, identify potential areas for further improvement, and support development of corrective actions.

The major strengths identified during this exercise are as follows:

- The plans have been validated in recent years and many exercise participants were part of the planning efforts, vetting sessions and workshops providing a unique and important perspective on plan content and operations in the private sector and at all levels of government.

- Participants noted that the plans as currently developed are aligned with local, state and federal agency roles and responsibilities and follow SEMS regulations. Despite the areas that need updating, they supported the California Governor's Office of Emergency Services (Cal OES) approval and adoption of the plans.

* This is an excerpt from the larger document titled, "Regional Catastrophic Preparedness Grant Program (RCPGP) Tabletop Exercise Series After Action Report" (Draft October 28, 2013).

- The exercise provided a forum to identify necessary updates and new information that can be included in future iterations of the RCPGP Regional Catastrophic Earthquake plans.

- Each tabletop exercise began with an educational session titled, "Comprehensive Plan Review" that provided an overview of the supporting planning documents from Federal, State, Coastal Region and Operational Area jurisdictions. Theses educational sessions were generally met with positive feedback – and participants requested additional information about the plan relationships to be included in this After Action Report.

Throughout the exercise, several opportunities for improvement were identified. The primary areas for improvement are as follows:

- The level of knowledge and understanding of the RCPGP Regional Catastrophic Earthquake plans, Regional Emergency Coordination Plan (RECP) Base Plan and supporting plans was remarkably diverse.

  - Corrective Action: Continue to train on the plan integration and coordination aspect, including adding additional information in this After Action Report, distribution of the RCPGP Plan Analysis Report and by evaluating plans in upcoming exercise oppurtunities.

- The current unapproved status of the RCPGP Regional Catastrophic Earthquake Plans by Cal OES puts the operational areas and local governments in a difficult planning posture – without the ability to clearly move forward utilizing these important tools.

  - Corrective Action: Cal OES Coastal Region will accept the Regional Catastrophic Earthquake plans as working drafts and work with the bay area UASI jurisdictions and the State Planning and Preparedness Branch to complete a plan review and revision process using identified RCPGP plan AAR gaps – with the ultimate goal of plan approval and adoption by mid-late 2015.

- The tabletop exercises identified opportunities for plan updates, including the use of the term "people with disabilities and others with access and functional needs (AFN)", referencing the California Department of Public Health (CDPH) Emergency Operations Manual (EOM) and the RCPGP Logistics and Restoration of Critical Lifelines Plan operations when completed.

  - Corrective Action: Cal OES Coastal Region will accept the Regional Catastrophic Earthquake plans as working drafts and work with the Bay Area UASI jurisdictions and the State Planning and Preparedness Branch to complete a plan review and revision process using identified RCPGP plan AAR gaps, including items related to AFN populations, the CDPH EOM and the RCPGP Logistics and Restroation of Critical Lifelines plan – with the ultimate goal of plan approval and adoption by mid-late 2015.

* This is an excerpt from the larger document titled, "Regional Catastrophic Preparedness Grant Program (RCPGP) Tabletop Exercise Series After Action Report" (Draft October 28, 2013).

Cal OES

GOVERNOR S OFFICE
OF EMERGENCY SERVICES

November 1ˢᵗ, 2013

Janell Myhre, Bay Area UASI Regional Program Manager
Bay Area Urban Areas Security Initiative (UASI)
711 Van Ness Avenue #420
San Francisco, CA 94102

Subject: Cal OES Review of the 8 Bay Area Regional Catastrophic Earthquake Plans

Dear Ms. Myhre:

The California Governor's Office of Emergency Services (Cal OES) has reviewed the 8 "draft" Regional Catastrophic Preparedness Grant Program (RCPGP) Bay Area Urban Areas Security Initiative (BAUASI) Regional Catastrophic Earthquake Plans. The draft plans consist of:

- Debris Removal Plan*
- Mass Transportation Evacuation Plan
- Mass Care and Shelter Plan
- Interim Housing Plan
- Volunteer Management Plan
- Donations Management Plan
- Mass Fatality Plan
- Logistics Plan

*not compliant with FEMA debris plan requirements

Together with the current San Francisco Bay Area Earthquake Readiness Response: Concept of Operations Plan (CONPLAN), Bay Area county Emergency Operations Plans, and our Standardized Emergency Management System (SEMS), the RCPGP draft plans will ensure a more effective response to a catastrophic earthquake striking the region.

Cal OES is initiating the revision of the CONPLAN will be working with Operational Areas to ensure that all plans are aligned, in accordance with SEMS. Our goal for the RCPGP draft plans is to partner with the BAUASI and incorporate the draft plans as annexes into the updated CONPLAN and, where appropriate, into the Regional Emergency Coordination Plan (RECP).

EDMUND G. BROWN JR.
GOVERNOR

MARK S. GHILARDUCCI
DIRECTOR

Cal OES
GOVERNOR S OFFICE
OF EMERGENCY SERVICES

The Bay Area UASI Management team has demonstrated tireless leadership, dedication, communication, and coordination with all levels of government to develop these plans. Reaching consensus and ensuring consistency of the roles and responsibilities of government through these draft plans helps ensure local, State, and Federal responders have a framework to build upon as we train to exercise our CONPLAN. The resulting deliverables have many uses beyond the BAUASI and we encourage our Operational Areas and other emergency management partners to use or leverage the information in the 8 draft plans to create their own plans.

Cal OES looks forward to continuing our partnership with the BAUASI and the RCPGP as we strive to make our communities resilient to disasters.

Sincerely,

Jodi Traversaro, Coastal Region Administrator
Governor's Office of Emergency Services (Cal OES)

cc: Charles Simpson, Deputy Director for Response and Recovery, Cal OES
       Christina Curry, Deputy Director for Planning, Preparedness, and Prevention, Cal OES
       Brendan Murphy, Deputy Director for Finance and Administration, Cal OES
       Steve Sellers, Assistant Director for Response, Cal OES
       Jennifer Chappelle, Deputy Coastal Region Administrator, Cal OES
       Craig Dziedzic, General Manager Bay Area UASI
       Catherine Spaulding, Assistant General Manager Bay Area UASI

To: **Bay Area UASI Approval Authority**

From: **David Frazer, UASI Risk & Information Sharing Project Manager**

Date: **November 14, 2013**

Re: **Item #6: Automated License Plate Readers Focus Group Update**

Staff Recommendation:

None.

Action or Discussion Items:

Discussion only.

Discussion:

Background

Utilization of Automated License Plate Reader (ALPR) technology has rapidly expanded throughout the Bay Area. In 2013, no fewer than 30 police and sheriff departments are employing these systems, with some operating more than ten fixed or mobile units. Through data integration and information sharing efforts led by the Northern California Regional Intelligence Center (NCRIC), Contra Costa Sheriff's Office, and Santa Clara Sheriff's Office, ALPR data is aggregated cross-vendor and cross-agency to present a unified regional system with regional access.

ALPR Focus Group

With further expansion of this technology expected, and the potential associated requests for regional UASI funding, UASI Risk/Information Sharing Project Manager David Frazer teamed with NCRIC IT Manager Brian Rodrigues, to form an "Automated License Plate Reader Technology Focus Group" to assess the current state of ALPR use in the Bay Area and define a regional strategy for the future. Membership included representatives from multi-jurisdiction agencies throughout the region, including the NCRIC, California Highway Patrol, Central Marin Police Authority, and the Sheriff's Offices of Alameda, Contra Costa, Marin, and Santa Clara Counties. The ALPR Focus Group met in person on July 31st and September 24th of 2013.

ALPR Focus Group Results

- *ALPR Asset Identification* –The Focus Group identified over 30 agencies in the Bay Area region that are using ALPR camera technology. Of those, approximately 75% employ devices by 3M/PIPS and 25% from Vigilant Video. Nearly all units are mobile – attached to patrol or covert law enforcement vehicles.

- *Regional Data* – The NCRIC has developed a regional data warehouse of all ALPR data coming in from law enforcement agencies in the Bay Area region and is available to all regional law enforcement.

- *Standards and Guidelines* – In the absence of national or statewide regulations governing proper or permissible use of ALPR technology by law enforcement, agencies are left with the responsibility to define and document their own policies or procedures. The Focus Group agreed that achieving standardization and as much uniformity as possible throughout the region presents the strongest stance possible to both law makers and privacy-minded groups such as the ACLU. The NCRIC has invested considerable effort into defining its ALPR Guidelines, and offered this policy either for adoption or use as a starting point for other participants.

- *Identification of Strategic Locations* – The Focus Group agreed that strategically selected high-traffic "choke points" present the most effective and cost-efficient locations to deploy new ALPR cameras. Examples include entrance and exits of major bridges and tunnels, or intersections of major expressways, where stolen vehicles or other actionable traffic is pervasive.

- *Lessons Learned* – The Long Beach Police Department ALPR Program Manager (via video conference) shared valuable insight and guidance based on experiences building the ALPR Program for the Port of Long Beach/LA.

To: **Bay Area UASI Approval Authority**

From: **David Frazer, UASI Risk & Information Sharing Project Manager**

Date: **November 14, 2013**

Re: **Item #7: Regional Public Safety Information Sharing Update**

Staff Recommendation:

None.

Action or Discussion Items:

Discussion only. This item updates the Approval Authority on regional public safety information sharing initiatives supported with UASI funds. The last update was provided in May 2013. The Management Team will provide another update in six months to ensure that progress continues as expected.

Discussion:

The table below provides a status update on regional public safety information sharing systems by UASI Operational Area. Highlights include:

- Alameda County law enforcement agencies have agreed to join ARIES, increasing the number of agency users by 18.
- Contra Costa County is leading a project to re-architect the current data warehouse to provide a more efficient and robust system. This work has delayed the full integration of ARIES and CopLink by another six months.
- San Francisco PD is currently working with FBI N-DEX to integrate their data. N-DEX will exchange this data with CopLink and then ARIES after full of integration of CopLink and ARIES.

Status of Regional Data Sharing Systems by UASI Operational Area, October 2013

UASI Operational Area	Regional Data Sharing System	Extent of Regional Data Sharing	TOTAL UASI Investment FY09-FY13	UASI FY13 Investment	Ongoing Cost Estimate	Notes
San Mateo Marin Sonoma Napa	CopLink – West Bay Node	Marin not yet connected but will be in a matter of weeks. Full data integration with CopLink West Bay and South Bay Nodes, as well as many other nodes in California. Linkage with ARIES after new data warehouse and data migration completed. (6 months out)	$1,360,000	$282,000	About $250,000 annually to maintain system	Participating organizations working on a sustainment plan for when grant funds are no longer available
Santa Clara Santa Cruz San Benito Monterey	CopLink – South Bay Node	Full data integration with CopLink West Bay and South Bay Nodes, as well as nearly all of the other nodes in California. Built ALPR data warehouse. Added facial recognition.	$1,204,000	$350,000	About $250,000 annually to maintain system	Participating organizations have a sustainment plan for when grant funds are no longer available
Alameda Contra Costa Solano	ARIES	Building robust data warehouse to allow access for all Bay Area data sharing systems including NCRIC. Working with CopLink West Bay and NCRIC as partners. Solano is currently sharing data with ARIES and has been invited to join ARIES when new data architecture is mapped and built	$1,008,000	$354,000	About $350,000 annually to maintain system	Numerous Alameda County LEAs to join ARIES including San Joaquin County
San Francisco	Crime Data Warehouse	SFPD has moved from primarily paper based records to a state of the art data warehouse, called Crime Data Warehouse. Initial phases included incidents, mapping, and search. Later phases include field interviews, traffic accidents, and other reports.	$2,000,000	0	No further UASI funds requested at this time.	Working with FBI N-DEX to share data, and in turn will share with CopLink and ARIES.

SFPD
Crime Data Warehouse

- State of the Art Web Portal and Data Warehouse

- Prevent, Solve Manage Crime

- Includes:
  - Incidents
  - Search
  - Maps
  - Cases
  - People
  - Reports

- Police vehicles, smart phones, hand held devices, laptops, workstations

BAY AREA UASI

34ᵗʰ America's Cup

July 4 – September 25, 2013

America's Cup Goals

- Ensure Public Safety through high visibility

- Facilitate safe and effective transportation corridors

- Maintain communication with regional public and private partner agencies

America's Cup Local Planning Team

- San Francisco Mayor's Office
- San Francisco Police Dept.
- San Francisco Fire Dept.
- San Francisco Sheriff Dept.
- Port of San Francisco
- San Francisco Dept. of Emergency Management
- San Francisco MTA

Regional, State, & Federal Partners

- Alameda Co. Sheriff
- San Mateo Co. Sheriff
- City of Sausalito
- Golden Gate Bridge Highway & Transportation District

- US Coast Guard
- NCRIC
- National Park Service
- DHS
- CalOES
- FBI & Secret Service

America's Cup Sites and Course

Key Events

LOUIS VUITTON CUP — AMERICA'S CUP CHALLENGER SERIES	July 4 – Aug. 30
Red Bull Youth AMERICA'S CUP	Aug. 31 – Sept. 4
34 SAN FRANCISCO 2013 AMERICA'S CUP	Sept. 7 – 25

America's Cup Pavilion

17 Concerts

May 31- Oct. 12

Pavilion Capacity: 9,200

Average Attendance: 6,000

Attendance & Media

Over 1 million visitors to America's Cup Park & Village

575 accredited media from 32 countries

Enhanced Regional Capabilities

Regional assets allowed
for better
communication
between regional, state,
and federal entities:

➢ LEO On-line Virtual
   Command Center

➢ Digital Sandbox Special
   Events Module

Lessons Learned

Anticipated:

- Staffing issues
- Funding issues
- Attendance issues
- Conflicting events
- Weather
- Traffic

Unanticipated:

- Death of Artemis Racer Andrew Simpson
- Racing/Event Schedule Changes
- International politics
- Extension of Racing Deadline

BAY AREA UASI

To: **Bay Area UASI Approval Authority**

From: **Tristan Levardo, CFO**

Date: **November 14, 2013**

Re: **Item 9: FY2012 UASI Spending Update**

Staff Recommendation:

Information only

Action or Discussion Item:
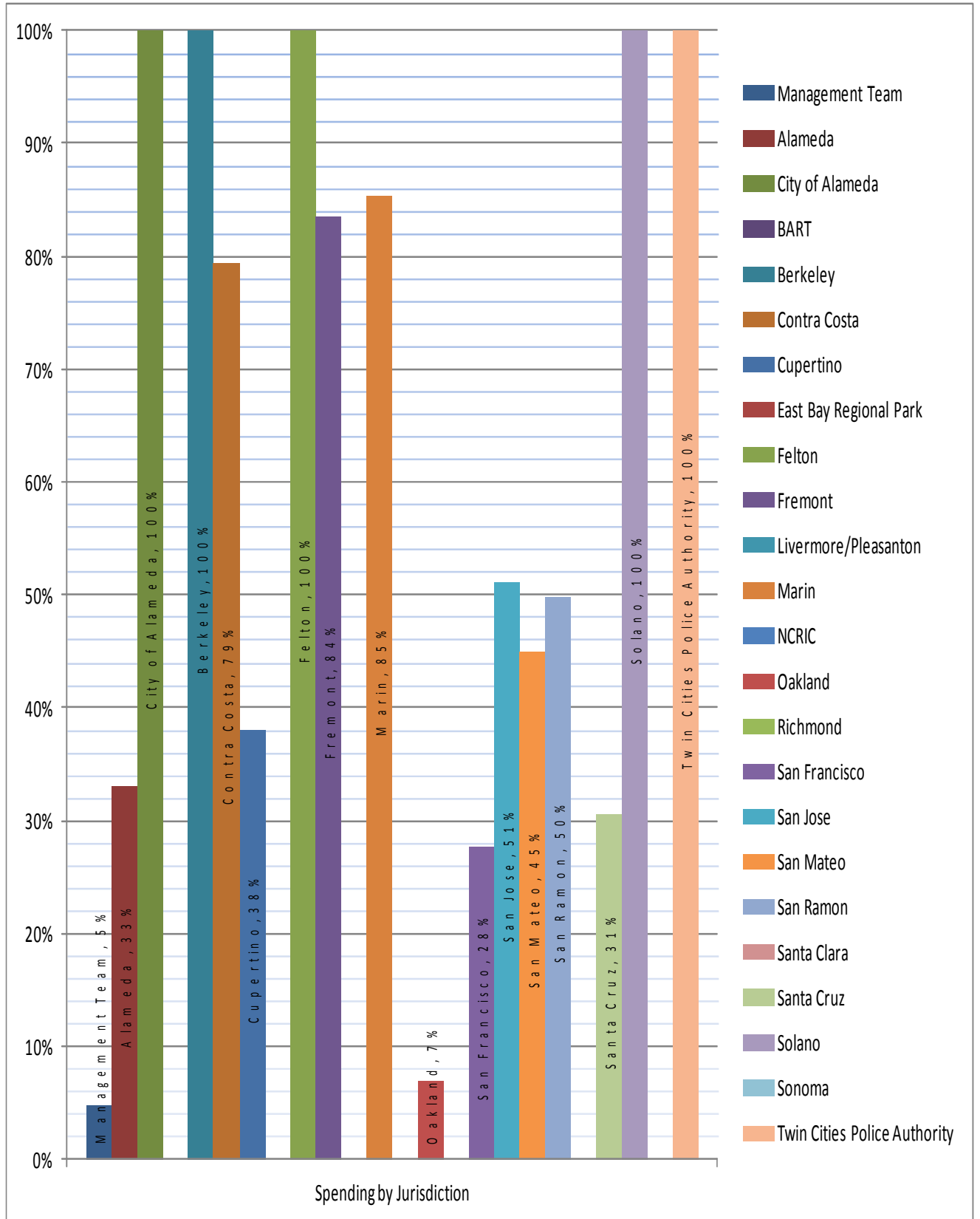
Discussion only

Summary

The sub-recipient performance period for the FY2012 UASI grant is December 1, 2012 – November 30, 2013, with the final claim for reimbursement due no later than January 4, 2014.

Total grant spending is now at 26% of the overall budget. While we have recently confirmed project savings from FY2011 UASI grant, our review of FY2012 UASI projects did not disclose any savings from the jurisdictions, except that the County of Santa Clara is working on swapping some projects with FY13 approved projects. As the deliverable deadline approaches, we urge the project leads of our jurisdictions to work closely with the UASI Management Team in closing out their projects.

Financial Information:

Jurisdiction	Budget	Spent	Spent %	Obligated
Management Team	2,019,488	96,753	5%	1,922,735
Alameda	4,549,332	1,504,719	33%	3,044,613
City of Alameda	79,290	79,290	100%	
BART	19,170			19,170
Berkeley	327,226	327,226	100%	
Contra Costa	508,700	403,950	79%	104,750
Cupertino	100,000	38,000	38%	62,000

East Bay Regional Park	88,180			88,180
Felton	175,000	175,000	100%	
Fremont	50,000	41,794	84%	8,206
Livermore/Pleasanton	65,000			65,000
Marin	477,235	407,306	85%	69,929
NCRIC	2,293,158			2,293,158
Oakland	1,091,000	75,840	7%	1,015,160
Richmond	32,300			32,300
San Francisco	3,451,712	957,830	28%	2,493,882
San Jose	1,000,000	511,625	51%	488,375
San Mateo	2,164,623	974,371	45%	1,190,252
San Ramon	55,000	27,400	50%	27,600
Santa Clara	2,806,629			2,806,629
Santa Cruz	432,000	131,973	31%	300,027
Solano	45,000			45,000
Sonoma	48,409			48,409
Twin Cities Police Authority	52,860	52,860	100%	
Total	21,931,312	5,805,937	26%	16,125,375

Spending by Jurisdiction

Legend:
- Management Team
- Alameda
- City of Alameda
- BART
- Berkeley
- Contra Costa
- Cupertino
- East Bay Regional Park
- Felton
- Fremont
- Livermore/Pleasanton
- Marin
- NCRIC
- Oakland
- Richmond
- San Francisco
- San Jose
- San Mateo
- San Ramon
- Santa Clara
- Santa Cruz
- Solano
- Sonoma
- Twin Cities Police Authority

Data labels:
- Management Team, 5%
- Alameda, 33%
- City of Alameda, 100%
- Berkeley, 100%
- Contra Costa, 79%
- Cupertino, 38%
- Felton, 100%
- Fremont, 84%
- Marin, 85%
- Oakland, 7%
- San Francisco, 28%
- San Jose, 51%
- San Mateo, 45%
- San Ramon, 50%
- Santa Cruz, 31%
- Solano, 100%
- Twin Cities Police Authority, 100%

To: **Bay Area UASI Approval Authority**

From: **Tristan Levardo, CFO**

Date: **November 14, 2013**

Re: **Item 10: UASI Travel Expenditures**

Staff Recommendation:

Information only

Action or Discussion Item:

Discussion only

There are no travel expenses to report for the quarter ended September 30, 2013.

To: **Bay Area UASI Approval Authority**

From: **Barry Fraser, General Manager**

Date: **November 14, 2013**

Re: **Item #11: Report from the Bay Area Regional Interoperable Communications System Joint Powers Authority (BayRICS Authority)**

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Recommendations:

None

Action or Discussion Items:

Report from General Manager of the BayRICS Authority on the activities of the BayRICS Authority for October 2013.

Discussion/Description:

1. BayRICS Administration

The regular BayRICS Board meeting scheduled for October 10 was cancelled. The BayRICS Authority's next regular meeting is scheduled for Thursday November 14 at 1:30 PM, at the Alameda County Sheriff OES in Dublin.

Staff has completed a financial audit for Fiscal Year 2012-2013. The audit report is available at http://www.bayrics.net/uploads/1/2/4/6/12466172/final_bayrics_financial_audit_fy_2013.pdf

BayRICS Director Jim Bouziane (South Bay Hub) and Alternate Director Chris Godley (San Jose) have resigned from the Board. Director Bouziane will be replaced by Captain Dan Winter with the City of Santa Clara Police Department. Alternate Director Godley's replacement will be named later.

2. Staff Activities

GM Fraser spoke at the California Emergency Services Association (CESA) annual conference in Santa Rosa, CA on October 16, 2013. Fraser spoke on a panel with Karen Wong and Michael Crews (Cal-OES), and Pat Mallon (LA-RICS) on the topic of FirstNet and the Nationwide Public Safety Broadband Network.

3. FirstNet and Spectrum Lease Negotiations.

FirstNet activities and spectrum lease negotiations were delayed by the federal government shutdown from October 1-October 16. The FirstNet Board Meeting scheduled for October 17 in Concord, CA was cancelled, and several negotiating sessions between BayRICS and FirstNet staff had to be rescheduled.

As of the date of this report, BayRICS has not yet executed a spectrum lease. GM Fraser and BayRICS officers have continued to meet with FirstNet and Motorola during and after the federal government shutdown, with the goal of completing the negotiations by the first week of November. Outstanding issues involve a request by FirstNet to make several changes to the "BOOM" master agreement with Motorola Solutions, Inc. Changes include revisions of certain technical specifications, revenue sharing arrangements with FirstNet and changes to the timing of the transfer title to the BayWEB system from Motorola to BayRICS.

The FirstNet Board held a meeting by teleconference on October 25 and took action on the following items:

- The spectrum lease negotiation deadline for BayRICS was extended until Friday, Nov 15th, 2013.
- FirstNet is still negotiating for spectrum leases with BayRICS/Motorola, Mississippi, New Jersey, and Adams County, Colorado.
- FirstNet voted to establish headquarter offices in Northern Virginia, and technical headquarters in Boulder Colorado.
- They plan to stand up 10 satellite offices, one in each of the FEMA regions, but exact locations have not been announced.

UASI Approval Authority and Management Team Tracking Tool

November 14, 2013 Approval Authority Meeting

Special Request Items/Assignments						
#	Name	Deliverable	Who	Date Assigned	Due Date	Status / Comments
1	Medical Surge Project close out	Presentation	Janell Myhre/Eric Shanks	3/6/13	12/12/13	
2	THIRA	Presentation	Jason Carroll	3/6/13	12/12/13	
3	Election of UASI Officers	Discussion & Action Item	Anne Kronenberg	12/13/12	12/12/13	
4	Regional procurement to close out FY11 and FY12 - orders placed and status of delivery	Report	Jeff Blau	3/6/13	1/9/14	
5	Update on Resource Inventory Project	Report	Jeff Blau	5/16/13	1/9/14	
6	Sub-recipient grant monitoring	Presentation	Tristan Levardo	10/1/13	1/9/14	
7	Urban Shield 2013 after action results	Presentation	Dennis Houghtelling	3/6/13	2/13/14	
8	RCPGP catastrophic plan full scale exercise integration with Urban Shield 2013 after action results	Presentation	Janell Myhre	3/6/13	2/13/14	
9	P25 Systems Update	Presentation	Jeff Blau	9/17/13	2/13/14	
10	RCPGP catastrophic plan just in time training close out	Presentation	Janell Myhre/Ethan Baker	3/6/13	3/14/14	
11	FY14 proposed projects	Presentation	Catherine Spaulding	8/1/13	3/14/14	
12	Risk Allocation Formula	Presentation	Catherine Spaulding	5/13/13	4/10/14**	**To be presented as soon as DHS releases its FY14 risk formula
13	Logistics and Critical Lifelines Planning – Project Completion	Presentation	Janell Myhre/ Caroline Thomas-Jacobs	8/22/13	4/10/14	
14	RCPGP Sustainment Plan Close-Out	Presentation	Mary Landers	10/15/13	4/10/14	
15	Public/Private Partnership	Presentation	Caroline Thomas-Jacobs	10/15/13	4/10/14	
16	FY13 Regional Recovery Project Update	Presentation	Janell Myhre	10/15/13	6/12/14	

November 14, 2013 Approval Authority Meeting

			Regular Items/Assignments			
#	Name	Deliverable	Who	Date Assigned	Due Date	Status / Comments
A	UASI Quarterly Reports	Report	Tristan Levardo		12/12/13	12/12- FY12 RCPGP;
B	Election of UASI Officers	Discussion & Action Item	Chair		12/12/13 (Annually)	