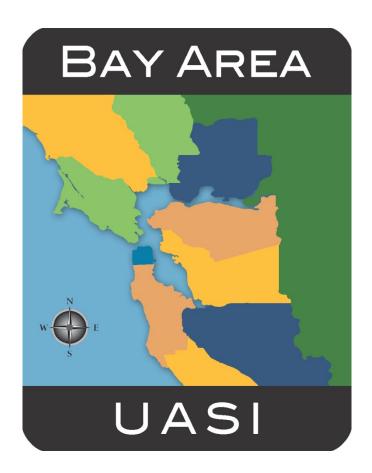## ATTACHMENT I

Bay Area Homeland Security Strategy
Implementation Guidance for Fiscal Year 2012

February 2012

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1.0 Bay Area Homeland Security Strategy

In 2011, the Bay Area Urban Area Security Initiative (UASI) conducted a region-wide risk validation analysis and capabilities assessment along with capabilities assessments across the region's twelve counties/operational areas. The results of these efforts were used to update the Department of Homeland Security (DHS) mandated Urban Area Homeland Security Strategy for the Bay Area UASI in 2012.

The 2012 *Bay Area Homeland Security Strategy* (Strategy) is a comprehensive, data driven document that outlines the Bay Area's risks, capabilities, vision, structure, and goals and objectives for homeland security. Having such a strategy ensures the Bay Area is in the best possible position to clearly track and articulate its risks and capability needs to local leaders, the State of California and DHS when seeking resources to reduce that risk and satisfy those capability needs.

The following sets forth final guidance for the Bay Area to implement the region's Strategy in the form of homeland security projects for FY 2012. This guidance should be viewed as a supplement to the FY 2012 DHS final guidance as it pertains to the UASI program. To the extent there is a conflict between this guidance and the DHS guidance, this guidance shall govern unless otherwise informed by the UASI Management Team on behalf of the Approval Authority. Over the coming weeks and months, the Bay Area UASI Management Team will hold a series of meetings to review this guidance in more detail and answer any questions stakeholders may have.

2.0 UASI Grant Program Overview

Since its inception in FY 2003, the intent of the UASI program has been to enhance regional terrorism preparedness in major metropolitan areas by developing integrated systems for terrorism prevention, protection, response, and recovery. Ultimately, the FY 2012 UASI program is intended to provide financial assistance to address the unique regional, multi-discipline terrorism preparedness planning, organization, equipment, training, and exercise needs of high-threat, high-density urban areas.

Activities implemented with UASI funds **must** support terrorism preparedness. However, as noted in the *Bay Area Homeland Security Strategy*, many capabilities which support terrorism preparedness simultaneously support preparedness for other hazards, including natural disasters and major accidents. Any FY 2012 Bay Area UASI funded projects **must** demonstrate the dual-use quality for any activities implemented that are not explicitly focused on terrorism preparedness.

3.0 2012 UASI Allocation

On Friday, February 17, 2012, DHS announced the grant allocations, guidance and timelines for FY 2012. Within that announcement the UASI program was funded as follows:

- **Urban Areas Security Initiative (UASI).** Just over $490 million to enhance regional preparedness and capabilities in 31 high-threat, high-density areas. The Bay Area UASI was allocated $26,423,268 of this $490 million. This represents a 38% cut from the FY 2011 UASI allocation to the Bay Area. With the State hold back of 20% of this allocation, **the final allocation to the Bay Area UASI will be $21,138,615.**

4.0 Role of the Planning Hubs

The Planning Hubs shall work collaboratively to develop regional projects in support of implementing the *Bay Area Homeland Security Strategy* using the attached project template in Appendix A. The project template must be used in tandem with this guidance to develop specific projects, which shall then be submitted to the Bay Area UASI Management Team and Advisory Committee for review prior to submission to the State of California and ultimately to DHS. **Each Planning Hub may submit up to eight project templates to the UASI Management Team. Each of the eight project templates covers a project area and may consist of one or several sub-projects that make up the total project template.**

All Planning Hub projects must meet the following overarching UASI grant funding policies (Bay Area UASI By-Laws, Article VIII, Sec. 8.2) in order to be eligible for UASI funding:

- ➢ Have a high threat, high density urban area terrorism focus.
- ➢ Build regional capabilities, defined as capabilities for two or more counties.
- ➢ Enhance regional preparedness and directly support the national priority on expanding regional collaboration in the National Preparedness Guidelines.
- ➢ Align with the Bay Area Urban Area Homeland Security Strategy and demonstrate a clear correlation between the goals, objectives, and priorities identified in the Strategy.
- ➢ Support the federal investment strategy.
- ➢ Incorporate the DHS grant program funding priorities as well as the relevant national priorities.

5.0 Allocation of Funding

Based on the **$21,138,615** final allocation, the Bay Area will distribute funding and develop projects using the following process.

5.1 Federal Requirements

This year the period of performance for the UASI grant program will be 24 months down from the prior 36 months. This means sub-recipients will have less time to spend their funds and seek reimbursement under the grant. The sub-recipient performance period will be **October 1, 2012**

through November 30, 2013. All final cash reimbursement requests will be due to the UASI on **January 3, 2014**. There will be **NO EXTENSIONS**.

One of DHS' highest priorities in FY 2012 is the enhancement of state and major urban area fusion centers (i.e. the Northern California Regional Intelligence Center (NCRIC)). Although not a statutory requirement, in FY 2012, DHS **requires** that in the state or urban area in which one of the 74 DHS-recognized fusion centers reside, at least one investment justification must address funding support for that recognized fusion center. However, there is no minimum percentage or dollar amounted associated with this requirement.

In addition, the FY 2012 grant guidance requires that if **new** capabilities are being built using UASI funding, grantees must ensure that the capabilities are able to be deployable outside of the Bay Area Urban Area to support FEMA Region IX and national efforts.

5.2 Regional Sustainment Priorities

The Bay Area region has identified the following projects as priority projects for sustainment in FY 2012. These projects account for 69.5% of the Bay Area's final allocation of $21,138,615 leaving $6,448,330 for other projects.

FY 2012 Regional Sustainment Projects and Major City Allocation

Goal	Goal Title & Projects	FY 2012 Funding
1	**Planning and Risk Management**	
	Risk Management Project	$436,800
2	**Information Analysis and Infrastructure Protection**	
	NCRIC	$4,000,000
	COPLINK - San Mateo Maintenance	$360,000
	COPLINK - Santa Clara Maintenance	$290,000
	ARIES – Contra Costa Maintenance	$354,000
TBD	**Core City Allocations**	
	Oakland	$1,000,000
	San Jose	$1,000,000
	San Francisco	$1,000,000
4	**CBRNE Response**	
	Resource Typing Database Maintenance	$100,000
8	**Regional Training and Exercises**	
	Regional T&E Team	$1,692,555
	Training	$1,700,000
	Exercises	$1,700,000
Total Project Funding:	**$13,633,355**	
Management & Administration		$1,056,930
Grand Total:	**$14,690,285**	

Each regional sustainment project, including the core city allocations, shall be submitted using the project proposal template in Appendix A to Mary Landers by no later than **Friday, March 16, 2012**. Please email the project proposals to Mary Landers at mary.landers@sfgov.org. She will review the project proposals for compliance and ensure all elements of the project proposals meet the UASI grant requirements for FY 2012.

5.3 Additional UASI Funding

The remaining **$6,448,330** will be distributed among the four Planning Hubs based upon terrorism risk in order for the Hubs to develop projects that:

- Enhance the region's priority capabilities: those capabilities most relevant/important based on the region's risk profile and/or that have a low level of ability based upon the results of the 2011 Bay Area regional capabilities assessment.

The following are the priority capabilities, their corresponding goal and objective number in the *Bay Area Homeland Security Strategy* for FY 2012. Every project developed by a Planning Hub for FY 2012 **must** directly enhance or sustain capabilities in these areas.

Goal 1 Develop a Regional Risk Management and Planning Program
- Risk Management (Objective 1.1)
- Planning (Objective 1.1)

Goal 2 Enhance Information Analysis and Infrastructure Protection Capabilities
- Counter Terrorism and Law Enforcement (Objective 2.1)
- Information Gathering and Recognition of Indicators and Warnings (Objective 2.2)
- Critical Infrastructure Protection (Objective 2.5)

Goal 3 Strengthen Communications Capabilities
- Communications (Objective 3.1)

Goal 4 Strengthen CBRNE Detection, Response, and Decontamination Capabilities
- CBRNE Detection (Objective 4.3)
- On-site Incident Management (Objective 4.7)
- Responder Safety and Health (Objective 4.8)
- Public Safety and Security (Objective (4.9)

Goal 5 Enhance Medical, Public Health and Mass Care Preparedness
- Medical Surge (Objective 5.2)
- Fatality Management (Objective 5.7)

Goal 6 Strengthen Emergency Planning and Citizen Preparedness Capabilities
- Emergency Public Information and Warning (Objective 6.2)

Goal 7 Enhance Recovery Capabilities
- Economic and Community Recover (Objective 7.2)
- Restoration of Lifelines (Objective 7.4)

Goal 8 Training and Exercises
- Exercises (Objective 8.1)
- Training (Objective 8.2)

5.4 Planning Hub Funding Allocation Process

The Department of Homeland Security uses a risk-based formula to allocate funds under the Urban Areas Security Initiative grant program across the country. The Bay Area UASI is using a similar risk-based allocation formula at the regional level to allocate funds to the Planning Hubs. The allocations by Planning Hub are as follows:

Planning Hub	Funding Amount	Percentage of Additional Funding
North Bay	$ 450,025	6.98%
East Bay	$1,445,208	22.41%
South Bay	$1,712,578	26.56%
West Bay	$2,840,519	44.05%

In the event a Planning Hub is unable or unwilling to fully allocate its UASI funding allocation it shall immediately notify the Bay Area UASI Management Team, which shall re-allocate such funding to one or more of the other Planning Hubs using the risk based formula.

Once the projects are developed by each Planning Hub within their allotted budget, the Advisory Group will then review those projects using the following criteria, which shall be applied on a pass/fail or yes/no basis.

Planning Hub Project Review Criteria

- The project has a direct nexus to enhancing terrorism preparedness – the project has a direct nexus to either, preventing, protecting against, mitigating the damage from, responding to or recovering from threats or acts of terrorism, **and**
- The project maintains an existing priority capability, e.g., maintains a NIMS Typed response team, or is a self-contained project that will be completed or completes a phase of a larger initiative or completes the overall initiative already underway, e.g., completing equipment upgrades for a Regional Communications System Authority, **and**
- To the extent the project builds **new** capabilities those capabilities are deployable outside of the Bay Area Urban Area to support FEMA Region IX and national efforts; **and**
- The proposed project provides clear linkage between the project and the listed Strategy objective(s) and how the project will support implementation of the objective(s), addresses capability gaps from the regional 2011 Bay Area capability assessment, and buys down regional risk, **and**
- Each project is regional insofar as it directly benefits 2 or more OA's in the Bay Area region, **and**

- The project budget is of a reasonable amount with each element of the project tied directly to a funding amount specified in the project budget section of the template.

All Planning Hub projects must satisfy all six criteria in order to be put forward to the Approval Authority. The Bay Area UASI Management Team/Planning Hub Liaisons will work with the Planning Hubs to answer questions and assist with the review of the project proposals for compliance with the criteria prior to presentation to the Advisory Group.

6.0 Regional Meeting

The Bay Area UASI Management Team will host a regional meeting on **Tuesday, February 28, 2012** to review this Strategy Implementation Guidance and the FY 2012 project template. This meeting will occur as outlined in the draft timeline below.

7.0 Allowable Spending Areas

The following is a summary of allowable spending areas for FY 2012 under the UASI program as it pertains to the Bay Area UASI. Unless stated otherwise, this guidance supersedes any other guidance issued by DHS for purposes of the UASI grant program in the Bay Area. If Planning Hubs have questions regarding allowable cost items they should contact the Bay Area UASI Management Team for clarification.

The spending areas are broken out largely under the planning, organization, equipment, training and exercises (POETE) spending areas. This matches the Bay Area Strategy, which also divides recommended spending areas under POETE for each objective in the Strategy, as well as the DHS mandated budget sections for Investment Justifications that the Bay Area must submit in order to receive DHS funding.

The spending areas below simply outline what is allowable. They are not a list of what Planning Hubs should or must purchase. Rather, the spending areas in this guidance must be viewed in the context of the Bay Area Homeland Security Strategy's goals and objectives. The spending areas serve as a guide for what Planning Hubs can purchase in their efforts to implement the Bay Area Strategy, which is the document that should drive Planning Hub expenditures.

The following are definitions for the terms as used in this interim guidance:

- **Hiring** –Planning Hubs may use grant funding to cover the salary of newly hired personnel who are exclusively undertaking allowable DHS/FEMA program activities as specified in this guidance. This may not include new personnel who are hired to fulfill any non-FEMA program activities under any circumstances. Hiring will always result in a net increase of Full Time Equivalent (FTE) employees.

- **Overtime** – These expenses are limited to the additional costs which result from personnel working over and above 40 hours of weekly work time as a direct result of their performance of FEMA-approved activities specified in this guidance. Overtime associated with any other activity is not eligible.

- **Backfill-related Overtime** – Also called "Overtime as Backfill," these expenses are limited to overtime costs which result from personnel who are working overtime (as identified above) to perform the duties of other personnel who are temporarily assigned to FEMA-approved activities outside their core responsibilities. Neither overtime nor backfill expenses are the result of an increase of FTE employees.

- **Supplanting** – Grant funds will be used to supplement existing funds, and shall not replace (supplant) funds that have been appropriated for the same purpose. Applicants or grantees may be required to supply documentation certifying that a reduction in non-Federal resources occurred for reasons other than the receipt or expected receipt of Federal funds.

7.1 Planning Activities

Projects may use FY 2012 UASI funds for planning efforts that enable them to prioritize needs, build capabilities, update preparedness strategies, allocate resources, and deliver preparedness programs across disciplines (e.g., tribal governments, law enforcement, fire, EMS, emergency management, health care systems, public health, behavioral health, public works, water associations, agriculture, and information technology) and levels of government. Planning activities should focus on the five homeland security mission areas of prevention, protection, mitigation, response, and recovery. Planning activities include, but are not limited, to:
  o Developing hazard/threat-specific annexes that incorporate the range of prevention, protection, response, and recovery activities
  o Developing and implementing homeland security support programs and adopting ongoing DHS national initiatives
  o Developing related terrorism prevention activities
  o Developing and enhancing plans and protocols
  o Developing or conducting assessments
  o Hiring of full- or part-time staff or contract/consultants to assist with planning activities (not for the purpose of hiring public safety personnel fulfilling traditional public safety duties)
  o Conferences to facilitate planning activities
  o Materials required to conduct planning activities
  o Travel/per diem related to planning activities
  o Overtime and backfill costs (in accordance with operational Cost Guidance)
  o Other planning activities with prior approval from the Bay area UASI Management Team

7.2 Personnel Activities

Personnel hiring, overtime, and backfill expenses are permitted under the UASI grant in order to perform allowable FY 2012 HSGP planning, organization, equipment, training, and exercise activities.

The UASI grant has traditionally prohibited grantees from spending more than 50% of their UASI award on personnel costs. The combination of funding cuts and the fact that most of the regional sustainment projects are personnel related, requires a strict limit on how Planning Hubs

may use UASI funds for personnel. **Therefore, Planning Hubs are strictly limited to using no more than 10% of their allocated UASI funds for personnel costs**. **This restriction does not include personnel costs associated with the regional sustainment projects including the $1 million core city allocation to the cities of San Francisco, San Jose and Oakland.** Some examples of what constitutes personnel costs, and what does not, is set forth below:

Costs associated with:
- Work performed under contract for a specific deliverable **DOES NOT** count against the personnel cap, however,
- Work performed under contract for an undefined period, such as for personnel costs supporting operational activities, including general planning, training or exercise activities **DO** count against the personnel cap; and
- Work performed by all non-contractor personnel, including for full- or part-time staff and operational overtime **DO** count against the personnel cap.

The following examples **would not** count towards the personnel cap:
- Vendor installation of a radio tower;
- Vendor training on new equipment purchased;
- Contractor hired to create an Emergency Operations Plan or Strategic Plan;
- Contractor hired to provide deliveries of ICS 400; and
- Contractor hired to assist with planning, training, evaluating, and reporting the effectiveness of a specific exercise.

The following examples **would** count towards the personnel cap:
- Contractor hired to be the State's WMD training instructor with *no specific* deliverables under contract;
- Contractor hired to facilitate unidentified number of exercises throughout the performance period;
- Contractor hired to be the part-time auditor of HSGP grants throughout the year; and
- Contractor hired to be an intelligence analyst.

FY 2012 UASI funds **may not** be used to support the hiring of **any personnel** for the purposes of fulfilling traditional public health and safety duties or to supplant traditional public health and safety positions and responsibilities.

7.3 Organizational Activities

Organizational activities include:

- Program management;
- Development of whole community partnerships;
- Structures and mechanisms for information sharing between the public and private sector;
- Tools, resources and activities that facilitate shared situational awareness between the public and private sectors;
- Operational Support;

- Utilization of standardized resource management concepts such as typing, inventorying, organizing, and tracking to facilitate the dispatch, deployment, and recovery of resources before, during, and after an incident;
- Responding to an increase in the threat level under the National Terrorism Advisory System (NTAS), or needs in resulting from a National Special Security Event; and
- Paying salaries and benefits for personnel to serve as qualified intelligence analysts.

Additional detail on organizational activities is broken down for the following two major categories:

Overtime Costs – Overtime costs are allowable for personnel to participate in information, investigative, and intelligence sharing activities specifically related to homeland security and specifically requested by a Federal agency. Allowable costs are limited to overtime associated with Federally requested participation in eligible fusion activities including anti-terrorism task forces, Joint Terrorism Task Forces (JTTFs), Area Maritime Security Committees, DHS Border Enforcement Security Task Forces, and Integrated Border Enforcement Teams. Grant funding can only be used in proportion to the Federal man-hour estimate, and only after funding for these activities from other Federal sources (i.e. FBI JTTF payments to State and local agencies) has been exhausted. Under no circumstances should DHS grant funding be used to pay for costs already supported by funding from another Federal source.

Operational Overtime Security Costs – In support of efforts to enhance capabilities for detecting, deterring, disrupting, and preventing acts of terrorism, operational overtime costs are allowable for increased security measures at critical infrastructure sites across the Bay Area. FY 2012 UASI funds for organizational costs may be used to support select operational expenses associated with increased security measures at critical infrastructure sites in the following authorized categories:

- Backfill and overtime expenses (as defined in this Guidance) for staffing State or Major Urban Area fusion centers
- Hiring of contracted security for critical infrastructure sites
- Public safety overtime (as defined in this Guidance)
- Increased border security activities in coordination with Customs and Border Protection (CBP), as outlined in DHS Information Bulletin #135

FY 2012 UASI funds may only be spent for operational overtime security costs upon **prior approval** provided in writing by the FEMA Administrator. Therefore, Planning Hubs must notify the UASI Management Team in writing of their intent to use UASI funds for operational overtime security costs prior to any expenditure of funds for that purpose. The UASI Management Team will then seek permission from FEMA through the State of California Emergency Management Agency (CalEMA) and then notify the requesting Planning Hub(s) of FEMA's decision.

7.4 Equipment Activities

The 21 allowable prevention, protection, response, and recovery equipment categories and equipment standards for FY 2012 are listed on the web-based version of the Authorized

Equipment List (AEL) on the Responder Knowledge Base (RKB), at *https://www.rkb.us*. Unless otherwise stated, equipment must meet all mandatory regulatory and/or DHS-adopted standards to be eligible for purchase using these funds.

In addition, Planning Hub agencies will be responsible for obtaining and maintaining all necessary certifications and licenses for the requested equipment. Planning Hubs that are using FY 2012 UASI funds to purchase interoperable communications equipment must do so in coordination with the Bay Area's Regional Interoperable Communications Strategy as well as the SAFECOM's coordinated grant guidance, which outlines standards and equipment information to enhance interoperable communications. The SAFECOM guidance can be found at *http://www.safecomprogram.gov*.

7.5 Training Activities

The Regional Exercise and Training Program will be responsible for reviewing and approving Training requests. Allowable training-related costs under UASI include the establishment, support, conduct, and attendance of training specifically identified under the UASI grant program and/or in conjunction with emergency preparedness training by other Federal agencies (e.g., HHS, DOT). Allowable training activities include, but are not limited to:
- Overtime and backfill for public safety, emergency preparedness and response personnel attending FEMA-sponsored and approved training classes
- Overtime and backfill for public safety, emergency preparedness and response personnel attending FEMA-sponsored and approved training classes
- Overtime and backfill expenses for part-time and volunteer public safety and emergency response personnel participating in FEMA training
- Training workshops and conferences
- Full-time or part-time staff or contractors/consultants
- Travel
- Supplies
- Tuition for higher education

Training conducted using UASI funds should seek to address a gap identified in the Strategy, or through the Bay area's several specific training plans, an After Action Report/Improvement Plan (AAR/IP) or contribute to building a capability that will be evaluated through an exercise.

7.6 Exercise Activities

The Regional Exercise and Training Program will be responsible for reviewing and approving Training requests. Exercises should be used to provide the opportunity to demonstrate and validate skills learned in training, as well as to identify training gaps. Any training or training gaps should be identified in the Strategy, AAR/IP and/or addressed in the Bay Area training plans and cycle. Exercises must be managed and executed in accordance with the Bay Area's Homeland Security Exercise and Evaluation Program (HSEEP). HSEEP Guidance for exercise design, development, conduct, evaluation, and improvement planning is located at *https://hseep.dhs.gov*. The HSEEP Library provides sample exercise materials and templates. Allowable exercise activities include, but are not limited to:

- Design, develop, conduct, and evaluate an exercise
- Exercise planning workshop
- Full-time or part-time staff or contractors/consultants
- Overtime and backfill costs, including expenses for part-time and volunteer emergency response personnel participating in FEMA exercises
- Implementation of HSEEP
- Travel
- Supplies

All exercises using UASI funding must be NIMS/SEMS compliant. More information is available online at the NIMS Integration Center, *http://www.fema.gov/emergency/nims/index.shtm*.

7.7. Law Enforcement Terrorism Prevention Activities

For FY 2012, any LETPA funded projects must be based on the following:

- Maturation and enhancement of recognized State and major Urban Area fusion centers, including information sharing and analysis, target hardening, threat recognition, and terrorist interdiction, and training/ hiring of intelligence analysts;
- Implementation and maintenance of the Nationwide SAR Initiative (NSI), including training for front line personnel on identifying and reporting suspicious activities;
- Implementation of the "If You See Something, Say Something™" campaign to raise public awareness of indicators of terrorism and violent crime and associated efforts to increase the sharing of information with public and private sector partners, including nonprofit organizations;
- Training for countering violent extremism; development, implementation, and/or expansion of programs to engage communities that may be targeted by violent extremist radicalization; and the development and implementation of projects to partner with local communities to prevent radicalization to violence, in accordance with the Strategic Implementation Plan (SIP) to the National Strategy on Empowering Local Partners to Prevent Violent Extremism in the United States; and
- Increase physical security, via law enforcement personnel and other protective measures by implementing preventive and protective measures related to at-risk nonprofit organizations.

7.8 Construction and Renovation

Use of UASI funds for construction is generally prohibited except as outlined below. Such construction shall be strictly limited and allowable only when it is a necessary component of a security system at critical infrastructure facilities. Project construction using UASI funds may not exceed the greater of $1,000,000 or 15% of the grant award.

The erection of communications towers that are included in a jurisdiction's interoperable communications plan is allowed, subject to all applicable laws, regulations, and licensing provisions. Communication tower projects must be submitted to the Bay Area UASI

Management Team for submission to CalEMA and then to FEMA for EHP review. Per the Department of Homeland Security Appropriations Act, 2010 (Public Law 111-83), communications towers are **not** subject to the $1,000,000 construction cap.

7.9 Maintenance and Sustainment

The use of UASI grant funds for maintenance contracts, warranties, repair or replacement costs, upgrades, and user fees are allowable under all active and future grant awards, unless otherwise noted. **In order to provide grantees the ability to meet this objective, the policy set forth in FEMA's Information Bulletin 336 (Maintenance and Sustainment) has been expanded to allow for the support of equipment that has previously been purchased with both Federal grant and non-Federal grant funding.**

Planning Hubs are reminded to be sensitive to supplanting issues. Routine upkeep (e.g., gasoline, tire replacement, routine oil changes, monthly inspections, grounds, and facility maintenance, etc.) is the responsibility of the grantee and may not be funded with preparedness grant funding.

Appendix A
Bay Area UASI FY 2012 PROJECT PROPOSAL FORM

All projects should be saved using the following naming convention: Planning Hub Name_Project Name_Primary Bay Area Strategy Goal or Core City Name_Project Name_Primary Bay Area Strategy Goal or Sustainment Project Name_Primary Bay Area Strategy Goal. For example, an East Bay project focused on interoperable communications could be saved as East Bay_Interoperable Communications Equipment_Goal 3 and a core city training and exercise project could be saved as San Francisco_Training Coordinator_Goal 8.

I. BACKGROUND INFORMATION

I.A. Primary Point of Contact Information:	
Name	
Agency	
Position Title	
Phone	
Fax	
Email	

I.B Operational Area/Major City Review and Signature:

I.C Project Name:

I.D Total Project Cost:	Annual Sustainment Costs:

I.E MISSION AREAS Place an X in the box(s) that correspond to the mission area your project supports								
Prevent		**Protect**		**Respond**		**Recover**		**Mitigation**

I.E Description - *Briefly describe exactly what the project entails and what would be accomplished by funding the project. Describe what, if any, existing capabilities the Bay Area Region currently has in place concerning this project such as any plans developed, training delivered, or equipment purchased, etc.*

II. ALIGNMENT WITH THE BAY AREA HOMELAND SECURITY STRATEGY

		II.A BAY AREA SECURITY GOALS AND OBJECTIVES *Check the Bay Area goal(s) that this project directly supports.*
1	☐	Develop a Regional Risk Management and Planning Program
2	☐	Enhance Information Analysis and Infrastructure Protection Capabilities
3	☐	Strengthen Communications Capabilities
4	☐	Strengthen Chemical, Biological, Radiological, Nuclear, and Explosives (CBRNE) Detection, Response, and Decontamination Capabilities
5	☐	Enhance Medical and Public Health Preparedness
6	☐	Strengthen Emergency Planning and Citizen Preparedness
7	☐	Enhance Recovery Capabilities
8	☐	Enhance Homeland Security Exercise, Evaluation and Training Programs

II.B List each Objective and Implementation Step (by number) from the Bay Area Homeland Security Strategy the project supports, and explain how the project supports the Objective and addresses gaps from the 2011 regional capability assessment.

OBJECTIVE	IMPLEMENTATION STEP(S)	EXPLAIN HOW THE PROJECT SUPPORTS IMPLEMENTATION OF THE OBJECTIVE	EXPLAIN WHICH GAP(S) FROM THE 2011 REGIONAL CAPABILITIES ASSESSMENT THIS PROJECT WILL HELP ADDRESS.

III. FUNDING

ELEMENT		PROPOSED FUNDING
☐	Planning	$
☐	Organization	$
☐	Equipment	$
☐	Training	$
☐	Exercises	$
TOTAL PROJECT COSTS		**$**

III.A Provide the Proposed Funding amount for this project towards applicable Planning, Organization, Equipment, Training, and Exercises (POETE) elements. *(Please check the appropriate box(es) on the left side for all that may apply). Also, for each funding area selected, provide a brief narrative describing the items or services being funded.*

If applicable, provide the proposed funding amount from the project that can be obligated towards Law Enforcement Terrorism Prevention Activities (LETPA) funding.

$

Planning

Organization

Equipment   List the equipment and the Authorized Equipment List number from the www.rkb.us website

Training

Exercises

III. B Other Source(s) of funding that is being requested or utilized for this project *(check the appropriate box(es) on the left side)*	
FUNDING SOURCE	**PROPOSED FUNDING**
☐ SHSP	$
☐ CCP	$
☐ MMRS	$
☐ General Funds	$
☐ Other Grant Funds	$
TOTAL OTHER FUNDING	**$**

Other Funds: Explain how any non-UASI funds, such as general funds, SHSP, ASPR grants, etc., will be used to implement this project.

III.C. For each selected Strategy Objective(s)/Target Capability listed in Question II.B., provide the proposed funding amount to be obligated from this project. The total funding listed for all Objectives/Capabilities should equal the total funding for the project.	
Strategy Objective/Target Capabilities (Capabilities Selected Must Match with Section II.B.)	**Amount of Funding per Objective/Capability**
Planning	
Communications	
Community Preparedness and Participation	
Risk Management	
Intelligence and Information Sharing and Dissemination	
Information Gathering and Recognition of Indicators and Warnings	
Intelligence Analysis and Production	
Counter Terror Investigation and Law Enforcement	
CBRNE Detection	
Critical Infrastructure Protection	
Epidemiological Surveillance and Investigation	
Laboratory Testing	
On-Site Incident Management	
Emergency Operations Center Management	
Critical Resource Logistics and Distribution	
Volunteer Management and Donations	
Responder Safety and Health	
Emergency Public Safety and Security	
Environmental Health	
Explosive Device Response Operations	
Fire Incident Response Support	
WMD and Hazardous Materials Response and Decontamination	
Citizen Evacuation and Shelter-in-Place	
Isolation and Quarantine	
Search and Rescue (Land-Based)	
Emergency Public Information and Warning	
Emergency Triage and Pre-Hospital Treatment	
Medical Surge	
Medical Supplies Management and Distribution	
Mass Prophylaxis	
Mass Care (Sheltering, Feeding, and Related Services)	
Fatality Management	
Structural Damage Assessment	
Restoration of Lifelines	
Economic and Community Recovery	

IV. Project Impacts and Outcomes

IV.A Project Outcomes: Describe the <u>regional</u> outcomes and benefits that will be achieved as a result of this project. When describing the regional outcomes and benefits, describe the number of operational areas in the region that will directly benefit from this project. The outcomes and benefits should demonstrate improvement towards building or maintaining capabilities and reducing risk. To the extent the project builds new capabilities, describe how those capabilities can be deployed outside the Bay Area to the FEMA Region IX and national level.

V. Project Management

V.A Identify up to ten milestones, with start and end dates, which will be achieved within the twelve month (12) period of performance under the FY 2012 UASI grant. No start date should begin before October 1, 2012 and no end date should end after November 30, 2013.

MILESTONE NUMBER	MILESTONE NAME/DESCRIPTION	START DATE (MM/DD/YYYY)	END DATE (MM/DD/YYYY)
1			
2			
3			
4			
5			
6			
7			
8			
9			
10			

V.B Project Status. Place an X in the corresponding box:	
☐	This project is a maintenance project.
☐	This project is a self-contained project.
☐	This project is part of an ongoing initiative.

Explain how funding for this project will either maintain a capability in the region, complete a self-contained project, or complete a larger initiative or a phase of a larger initiative of which this project is a part of? Explain how the project will result in completion.

V.C Sustainment: Describe the long-term approach to sustaining the capabilities maintained or enhanced by this project without UASI funds once the grant performance period is over. To the extent funds are needed for sustainment in the future, will future grants be needed for sustainment or will local funds be used? If no funds are needed, explain why.

Appendix B

Bay Area UASI FY 2012 UASI Grant Calendar for the Planning Hubs

Activity	When	Who
Identify Hub Coordinators and Hub Planning members	Tue, Feb 7, 2012	BAUASI Management Team/Planning Hub Liaisons
Coordinate with Hub Coordinators to solicit projects form Stakeholders and Subject Matter Experts	Tue, Feb 14, 2012	BAUASI Management Team/Planning Hub Liaisons
Conduct Strategy Implementation Guidance Planning Hubs Workshop	Tue, Feb 28, 2012 9:30 am – 2:30 pm	Planning Hub Members
Planning Hub Meetings • North Bay Hub	Thu, Mar 15, 2012 -projects due Mar 12, 2012	Jeff Blau
• East Bay Hub	Wed, Mar 21, 2012 -projects due Mar 16, 2012	Dennis Houghtelling
• West Bay Hub	Mon, Mar 19, 2012 -projects due Mar 14, 2012	Kathleen McKenna
• South Bay Hub	Tue, Mar 20, 2012 -projects due Mar 15, 2012	Kevin Jensen
Regional Sustainment and Core City Project Proposals Due	Fri, Mar 16, 2012	Mary Landers
Approval Authority-Review and approve allocation formula	Thu, Mar 8, 2012	Approval Authority
Advisory Group Review	Thu, Mar 29, 2012	Advisory Group
Prepare Draft FY 2012 UASI grant application for submittal to CalEMA	Fri, Mar 30, 2012	BAUASI Management Team
Approval Authority – Review and approve vetted & prioritized projects	Thu, Apr 12, 2012	Approval Authority
Submit Final FY 2012 UASI grant application to CalEMA	Fri, Apr 27, 2012	BAUASI Management Team

Updated 02.29.12