# FY 2014 Bay Area UASI Risk Management Program

## April 3, 2013

The Bay Area UASI has established a cross-jurisdictional, cross-functional risk management program for the urban area. The Program helps set priorities, develop or compare courses of action, and inform decision-making.  It is an on-going effort with regular data input, validation, assessments, and reporting.  A risk and capability-based methodology to allocate UASI funds is required by the Department of Homeland Security and is mandated in the Bay Area UASI Approval Authority Bylaws.

The risk management program follows a series of six steps:

## 1.  Kick-Off Meeting

WHO:     All Bay Area UASI stakeholders involved in risk management, including the Risk/Info Sharing Workgroup and other regional and subject matter experts.

WHAT:   The Bay Area UASI Management Team and Digital Sandbox provide an overview of the process and goals for the grant year as well as a high level overview of the Digital Sandbox Inc. Risk Analysis Center (RAC) tool box and Protected Critical Infrastructure Information (PCII) certification requirements.

WHEN:    May 29th, 2013 10:00am – 12:00pm

WHERE:  Alameda County Sheriff's Office OES, 4985 Broder Blvd., Dublin, CA  94568

## 2.  Risk Data Validation

WHO:     Operational Area subject matter experts who are PCII trained and certified RAC users, NCRIC, and other members assigned this task for an Operational Area.

WHAT:   Subject matter experts review and update the asset data in the Risk Analysis Center (RAC). The NCRIC reviews and validates the information, and then submits it to the PCII office for certification.

WHEN:    June 1st – August 15$^{th}$, 2013

WHERE:   In Digital Sandbox

## 3.  Operational Area Core Capability Assessment – *NEW THIS YEAR!*

WHO:      Operational Area subject matter experts.

WHAT:    Subject matter experts input Operational Area-level data into the new Measure and Metrics Module in Digital Sandbox to evaluate the level of preparedness in each core capability.  Digital Sandbox will then compile this information to inform the regional capabilities workshop (see next step).

WHEN:    July 15$^{th}$ – August 15$^{th}$, 2013

WHERE: In Digital Sandbox


## 4.   Regional Capabilities Assessment

WHO:      Subject matter and regional experts, NCRIC

WHAT:    The Bay Area UASI Management Team organizes a regional capabilities assessment workshop with subject matter and regional experts to assess core capabilities and levels of readiness to meet identified risks.  The product of this work is a regional gap analysis report showing areas in need of attention and mitigation.

WHEN:    Workshop date: Aug 27th, 2013 (save the date will be sent out in June 2013)

WHERE: Alameda County Sheriff's Office OES 4985 Broder Blvd., Dublin, CA 94568

- *An "outbrief" of steps 1-4 will be held in September to provide stakeholders with results and obtain participants' feedback on the process this year*


## 5.  Strategy and THIRA

Filler Security Strategies will review the risk and gap analysis data produced in steps 1-4 above and prepare an updated Bay Area Homeland Security Strategy, which is required by the Department of Homeland Security (DHS).  The Threat and Hazard Identification and Risk Assessment (THIRA), also required by DHS, is prepared by Digital Sandbox based on the risk management program results.  It lays out the region's threats and hazards and how the impacts may vary according to time of occurrence, season, location, and other community factors.  Drafts of these documents will be reviewed by the Risk/Info Working Group before presentation to the Approval Authority in December 2013.


## 6.  Grant Planning

The grant planning process involves meetings among regional stakeholders and subject matter experts to review risk formula and resource allocation strategy, as well as develop project proposals.  Project proposals and requested allocations will go to the Approval Authority for final project approval in the spring of 2014.  Details on this process will be provided pending Approval Authority guidance.

The Bay Area UASI Project Manager assigned to the UASI Risk Management Program is David Frazer.  He is responsible for oversight of Digital Sandbox as well as executing all of the steps in the risk management program described above.  For more information, please contact:

**David Frazer**
**Risk & Information Sharing Project Manager**
**Bay Area UASI Management Team**
**David.Frazer@sonoma-county.org**
**(707) 565-1108 (desk)**
**(707)-490-8276 (cell)**

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**Mark Your Calendar!**

**Kick off meeting**: May 29th, 2013, 10:00am– 12:00pm, Alameda County Sheriff's Office OES, 4985 Broder Blvd., Dublin, CA  94568

**Capability assessment workshop date**: Aug 27th, 2013, 9:00am -3:00pm, Alameda County Sheriff's Office OES 4985 Broder Blvd., Dublin, CA 94568