Approval Authority Meeting
Thursday, January 14, 2016
10:00 a.m.

Location
Alameda County Sheriff's Office OES
4985 Broder Blvd., Dublin, CA 94568
OES Assembly Room

Agenda

1. **CALL TO ORDER**
    ROLL CALL

UASI Chair	Anne Kronenberg, City and County of San Francisco
UASI Vice-Chair	Rich Lucia, County of Alameda
Member	Raymond Guzman, City and County of San Francisco
Member	Cathey Eide, City of Oakland
Member	Ryan Broughton, City of San Jose
Member	Ken Kehmna, County of Santa Clara
Member	Mike Casten, County of Contra Costa
Member	Bob Doyle, County of Marin
Member	Sherrie L. Collins, County of Monterey
Member	Carlos Bolanos, County of San Mateo
Member	Al Terrell, County of Sonoma
General Manager	Craig Dziedzic

2. **APPROVAL OF THE MINUTES** (Discussion, Possible Action)
    Discussion and possible action to approve the draft minutes from the November 12, 2015 regular meeting or take any other action related to the matter. *(Document for this item includes draft minutes from November 12, 2015.)* 5 mins

3. **GENERAL MANAGER'S REPORT** (Discussion, Possible Action)
    General Manager Craig Dziedzic will present the General Manager's Report:

    a) FY 2016 Appropriations Omnibus Bill
    b) 2016 National Homeland Security Conference
    c) 2016 Calendar of Approval Authority Meetings
    d) Management Team Tracking Tool and Future Agenda Items

    (*Documents for this item are a report, the schedule of 2016 Approval Authority Meetings, and the Tracking Tool from Craig Dziedzic*.) 5 mins

4. **ELECTION OF UASI OFFICERS** (Discussion, Action)
    The Chair will receive nominations and conduct a vote on the positions of UASI Chair and Vice Chair for the 2016 term.   Possible action to support any recommendation(s) or take any other action related to this matter. *10* mins

5. **PROPOSED FY16 REGIONAL PROJECTS** (Discussion, Possible Action)
    Assistant General Manager Catherine Spaulding will provide an overview of the proposed regional FY16 projects. (*Document for this item is a report from Catherine Spaulding.) 5 mins*

6. **TRAINING & EXERCISE ANNUAL REPORT & PROPOSED FY16 ALLOCATION**
    (Discussion, Possible Action)
    Project Manager Tom Wright will present the Annual Report and proposed FY16 allocation for the Bay Area UASI Regional Training and Exercise Program. (*Documents for this item are a report, a PowerPoint, and an Appendix from Tom Wright.) 10 mins*

7. **NCRIC ANNUAL REPORT & PROPOSED FY16 ALLOCATION** (Discussion, Possible Action)
    NCRIC Director Mike Sena will present the Annual Report and proposed FY16 allocation for the Northern California Regional Intelligence Center. (*Documents for this item are a report and 2 Appendices from Mike Sena.) 10 mins*

8. **PUBLIC SAFETY INFORMATION SHARING PROPOSED FY16 ALLOCATION**
    (Discussion, Possible Action)
    NCRIC IT Director Brian Rodrigues will present the proposed FY16 allocation for the regional public safety information sharing project. (*Document for this item is a report and 3 appendices from Brian Rodrigues.) 5 mins*

9. **PREVENTATIVE RADIOLOGICAL/NUCLEAR DETECTION PROGRAM PROPOSED FY16 ALLOCATION** (Discussion, Possible Action)
    Project Manager Phil White will present the proposed FY16 allocation for the regional Preventative Radiological/Nuclear Detection program. (*Document for this item is a report and an Appendix from Phil White.) 5 mins*

10. **BAYRICS JPA QUARTERLY REPORT & PROPOSED FY16 ALLOCATION** (Discussion, Possible Action)
    BayRICS General Manager Barry Fraser will provide a quarterly report of the activities of the BayRICS JPA and the proposed FY16 allocation to the program. *(Documents for this item are a report, a PowerPoint, and an Appendix from Barry Fraser.) 10 mins*

11. **FY16 RISK MANAGEMENT CYCLE** (Discussion)
    Assistant General Manager Catherine Spaulding and Project Manager Dave Frazer will present on the FY16 Risk Management Cycle. (*Document for this item is a report and an Appendix from Catherine Spaulding and Dave Frazer.) 10 mins*

12. **URBAN SHIELD AFTER ACTION REPORT** (Discussion)
    Project Manager Tom Wright and Regional Program Manager Janell Myhre will present the After Action Report for the 2015 Urban Shield and Yellow Command Exercises. *(Documents for this item are a report, a PowerPoint, and an Appendix from Tom Wright and Janell Myhre.) 10 mins*

13. **FY15 UASI SPENDING REPORT** (Discussion)
    Chief Financial Officer Tristan Levardo will provide an update on expenditures of the Bay Area UASI. *(Document for this item is a report from Tristan Levardo.) 5 mins*

14. ANNOUNCEMENTS-GOOD OF THE ORDER

15. GENERAL PUBLIC COMMENT
Members of the Public may address the Approval Authority for up to three minutes on items within the jurisdiction of the Bay Area UASI Approval Authority.

16. ADJOURNMENT

If any materials related to an item on this agenda have been distributed to the Approval Authority members after distribution of the agenda packet, those materials are available for public inspection at the Bay Area UASI Management Office located at 711 Van Ness Avenue, Suite 420, San Francisco, CA 94102 during normal office hours, 8:00 a.m. - 5:00 p.m.

<u>**Public Participation:**</u>

It is the policy of the Approval Authority to encourage and permit public participation and comment on matters within the Approval Authority's jurisdiction, as follows.

- *Public Comment on Agenda Items*. The Approval Authority will take public comment on each item on the agenda. The Approval Authority will take public comment on an action item before the Approval Authority takes action on that item. Persons addressing the Approval Authority on an agenda item shall confine their remarks to the particular agenda item. For each agenda item, each member of the public may address the Approval Authority once, for up to three minutes. The Chair may limit the public comment on an agenda item to less than three minutes per speaker, based on the nature of the agenda item, the number of anticipated speakers for that item, and the number and anticipated duration of other agenda items.

- *General Public Comment*.   The Approval Authority shall include general public *comment* as an agenda item at each meeting of the Approval Authority. During general public comment, each member of the public may address the Approval Authority on matters within the Approval Authority's jurisdiction. Issues discussed during general public comment must not appear elsewhere on the agenda for that meeting. Each member of the public may address the Approval Authority once during general public comment, for up to three minutes. The Chair may limit the total general public comment to 30 minutes and may limit the time allocated to each speaker depending on the number of speakers during general public comment and the number and anticipated duration of agenda items.

- *Speaker Identification*. Individuals making public comment may be requested, but not required, to identify themselves and whom they represent.

- *Designated Public Comment Area*. Members of the public wishing to address the Approval Authority must speak from the public comment area.

- *Comment, Not Debate*. During public comment, speakers shall address their remarks to the

Approval Authority as a whole and not to individual Approval Authority representatives, the General Manager or Management Team members, or the audience. Approval Authority Representatives and other persons are not required to respond to questions from a speaker. Approval Authority Representatives shall not enter into debate or discussion with speakers during public comment, although Approval Authority Representatives may question speakers to obtain clarification. Approval Authority Representatives may ask the General Manager to investigate an issue raised during public comment and later report to the Approval Authority. The lack of a response by the Approval Authority to public comment does not necessarily constitute agreement with or support of comments made during public comment.

- *Speaker Conduct.* The Approval Authority will not tolerate disruptive conduct by individuals making public comment. Speakers who use profanity or engage in yelling, screaming, or other disruptive behavior will be directed to cease that conduct and may be asked to leave the meeting room.

Disability Access

The UASI Approval Authority will hold its meeting at the Alameda County Sheriff's Office OES located at 4985 Broder Blvd. in Dublin, CA 94568.

In compliance with the Americans with Disabilities Act, those requiring accommodations for this meeting should notify the UASI Administrative Assistant, at least 24 hours prior to the meeting at (415) 353-5223.

Bay Area UASI Program
Approval Authority Meeting
Thursday, November 12, 2015
10:00 AM

LOCATION
Alameda County Sheriff's Office OES
4985 Broder Blvd., Dublin, CA 94568
OES Assembly Room

REGULAR MEETING MINUTES
DRAFT

1. Roll Call

UASI Chair Anne Kronenberg called the meeting to order at 10:00 AM and General Manager Craig Dziedzic subsequently took the roll. Members Raymond Guzman, Cathey Eide, Ryan Broughton, Mike Casten, Bob Doyle, Sherrie Collins, and Al Terrell were present. Vice-Chair Rich Lucia and Members Ken Kehmna and Carlos Bolanos were absent, but their alternates, respectively Brett Keteles, Dana Reed, and Alma Zamora, were present.

2. Approval of the Minutes

Chair Kronenberg asked for any comments or questions concerning the minutes from the October 8, 2015 meeting. Seeing none, she requested a motion to approve the minutes.

Motion:	Approve the minutes from the October 8, 2015 Approval Authority Meeting
Moved:	Member Broughton **Seconded:** Member Casten
Vote:	The motion was passed unanimously.

3.   General Manager's Report

(a)   UASI FY16 Project Proposal Process

General Manager Craig Dziedzic updated the Board on the status of the Bay Area UASI FY16 project proposal process. The Management Team received 156 applications and is currently in the review process. Approval Authority Members will have the opportunity to review their jurisdiction's proposals from November 23 to December 11, 2015 to ensure consistency with their own operational area and/or core city priorities.

(b)   Risk Management/Asset Catalog Update

Mr. Dziedzic reported that the Management Team, the NCRIC, and Haystax are in the process of reviewing the asset catalog for duplicates and/or incorrectly indexed assets which could possibly lead to some small changes in jurisdictional risk percentages. The Management Team will keep the Members of the Board informed of the progress.

(c)   Management Team Update

Mr. Dziedzic announced the selection of Corey Reynolds for the position of Whole Community and Communications Project Manager. Mr. Reynolds earned a Bachelor's Degree from the University of Colorado and a Master's Degree from the Goldman School of Public Policy at UC Berkeley. He will be responsible for developing and implementing regional programs with a focus on citizen preparedness, emergency planning, community resiliency, public information and warning, and emergency communications.

(d)   The National Fusion Center Association (NFCA) Annual Training Event

Mr. Dziedzic reported on the recent NFCA Conference hosted in Alexandria, Virginia. The General Manager and NCRIC IT Director Brian Rodrigues presented on "Building Cyber Capabilities through Regional Collaboration." Keynote speakers at the event included Director of National Intelligence James Clapper, FBI Director James Comey, and Undersecretary for the National Protection and Programs Directorate Suzanne Spaulding.

Mr. Dziedzic reminded the Board that there is no December meeting and that the 2016 schedule of Approval Authority meetings will be released at the January 14, 2016 meeting.

There were no additions to the Tracking Tool.

4. **Brown Act Update**

Approval Authority attorney Robin Donoghue from the firm Meyers-Nave updated the Board on changes to the Brown Act requirements which govern open meetings for local government bodies (including the Approval Authority).

5. **2015 Threat and Hazard Identification and Risk Assessment (THIRA)**

Assistant General Manager Catherine Spaulding provided an update regarding the 2015 THIRA. The THIRA is a four step risk assessment process that helps stakeholders to understand risks and estimate capability requirements. FEMA requires all states, UASIs, and tribal nations to complete a THIRA on an annual basis in order to receive federal homeland security grant dollars.

The Management Team updated the 2015 THIRA and presented it to the Approval Authority for approval and subsequent submission to Cal OES and then FEMA. The THIRA is based on information obtained during the year, local subject matter expert input, existing Bay Area plans and reports, real world scenarios, resource modeling and estimation resources, and other open source research.

Haystax Project Manager Jason Carroll presented a background briefing on the THIRA process and highlights from this year as well as results from the THIRA Workshop held in September. The results included whole community participation, scenario updates, capability target revisions, and resource requirements review.

Motion: Approve the THIRA for submission to Cal OES

Moved: Member Broughton **Seconded:** Member Eide

Vote: The motion was passed unanimously.

6. **Large Special Event (LSE) Concept of Operations (CONOPS) Template Project Update**

Project Manager Corinne Bartshire presented an update on the LSE CONOPS project. She outlined some features of the CONOPS Template and discussed how the Bay Area UASI can leverage the 2015 Yellow Command Exercise. Ms. Bartshire announced the next steps of the project which culminate in the final CONOPS Template being released on January 6, 2016 and the CONOPS Template Orientation occurring on January 11, 2016.

7.   <u>**FY14 UASI Reallocation of Grant Funds Report**</u>

Chief Financial Officer Tristan Levardo presented a report on the FY14 reallocation of grant funds for the period of May 1 through October 31, 2015. Mr. Levardo reported that a total of $1,053,000 has been reallocated for the FY14 grant and that a line-by-line report is available in Appendix A.

12.   <u>**Announcements – Good of the Order**</u>

Chair Kronenberg reminded the Board that there will not be a December meeting. She wished everyone happy holidays.

Chair Kronenberg announced that the new Approval Authority Alternate for the City and County of San Francisco is Kristin Hogan, Government Affairs Manager, for the San Francisco Department of Emergency Management. This will be a temporary appointment until such a time that the new Deputy Director for Emergency Services is named.

Jason Carroll, Project Manager from Haystax, introduced Sarah Kibreab to the Board. Ms. Kibreab will be working on software development for Haystax.

13.   <u>**General Public Comment**</u>

There were no comments from the public.

14.   <u>**Adjournment**</u>

The meeting adjourned at 10:54 AM.

To: **Bay Area UASI Approval Authority**

From: **Craig Dziedzic, Bay Area UASI General Manager**

Date: **January 14, 2016**

Re: **Item 3: General Manager's Report**

Staff Recommendations:

    (a)   FY 2016 Appropriations Omnibus Bill (Discussion Only)
    (b)   The 2016 National Homeland Security Conference (Discussion Only)
    (c)   Staff recommends approving the 2016 Calendar for Approval Authority Meetings (Discussion, Possible Action)
    (d)   Management Team Tracking Tool and Future Agenda Items (Discussion Only)

Action or Discussion Items:

    (a)   FY 2016 Appropriations Omnibus Bill (Discussion Only)
    (b)   The National Homeland Security Conference (Discussion Only)
    (c)   Approval of the 2016 Calendar for Approval Authority Meeting (Discussion, Possible Action)
    (d)   Management Team Tracking Tool and Future Agenda Items (Discussion Only)

Discussion:

(a)   FY 2016 Appropriations Omnibus Bill

Last month, President Obama signed the 2016 appropriations bill into law (Public Law 114-113). A key provision of the legislation includes providing $600 million for Urban Areas Security Initiative grant program, including $20 million for the non-profit set-aside. Thus, the net amount for UASI FY 2016 grants is $580 million, which is $7 million less than the amount received in FY 2015 (i.e., $587 million). Similar to FY 2015, it is expected that UASI funding will be limited to urban areas representing up to 85 percent of the nation's risk.

(b)   The National Homeland Security Conference

The 10th Annual National Homeland Security Conference, hosted by the National Homeland Security Association and the city of Tampa, FL, will be held June 28 - 30, 2016 at the Tampa Convention Center.

For information about the conference, including online registration, please visit the website at: http://nationaluasi.com.

(c)   Approval of the 2016 Calendar for Approval Authority Meeting

For the 2016 calendar year, staff requests that the time and place of the Approval Authority meetings occur at 10:00 AM at the Alameda County Sherriff's Office OES on the second Thursday of each month, except for the months of March, June, October and December. It is similar to the 2015 calendar except that we will meet in September instead of October.

Attached as Appendix A is the 2016 Schedule for the Approval Authority Meetings.

(d)   Management Team Tracking Tool and Future Agenda Items

Attached as Appendix B is the Management Team Tracking Tool. Members may submit future agenda items at any time to the General Manager.

2016 Approval Authority Meeting Schedule

Location:
Alameda County Sheriff's Office OES
4985 Broder Blvd.
Dublin, CA 94568

Meeting Time:
10:00 AM
Second Thursday of the Month

January 14, 2016
February 11, 2016
March 10, 2016 - Canceled
April 14, 2016
May 12, 2016
June 9, 2016 - Canceled
July 14, 2016
August 11, 2016
September 8, 2016
October 13, 2016 - Canceled
November 10, 2016
December 8, 2016 - Canceled

UASI Approval Authority and Management Team Tracking Tool

January 14, 2016 Approval Authority Meeting

#	Name	Who	Date Assigned	Due Date	Status / Comments
1	FEMA Regional Administrator Introduction	Robert Fenton, FEMA Region IX	10/5/15	2/11/16	
2	Lake County Fires Response Report	Sheriff Brian Martin, Lake County Jodi Traversaro, Cal OES Coastal Region (TBC)	10/20/15	2/11/16	
3	Lake County Fires Mental Health Mutual Aid Response	Fred Claridge, Alameda County Public Health (TBC)	10/20/15	2/11/16	
4	American Red Cross New Organizational Structure	Tracey McBroom, American Red Cross	6/3/15	2/11/16	
5	FY16 Hub Funding Formula	Catherine Spaulding	12/11/2015	2/11/16	
6	2016 Regional Procurement	Catherine Spaulding	10/20/15	2/11/16	
7	UASI FY16 Hub and Regional Projects	Catherine Spaulding	10/20/15	4/14/16	
8	UASI FY16 Allocations	Catherine Spaulding	5/19/15	4/14/16	
9	2016 THIRA Process	Catherine Spaulding	10/20/15	4/14/16	
10	Project Updates: Regional Large Special Events ConOps, POD Planning, Mutuallink, and State-Federal Catastrophic Earthquake Planning	Janell Myhre	7/14/15	4/14/16	
11	Bay Area UASI Management Team Annual Budget and Annual Report	Craig Dziedzic	10/19/15	5/12/16	
12	2015 Proposal Process Lessons Learned	Catherine Spaulding	11/16/15	5/12/16	
13	Cyber Program Report Out	Dave Frazer and Elizabeth McCracken	11/4/15	5/12/16	
14	Cal COP and Web EOC Integration Project Update	Dave Frazer	10/19/15	5/12/16	
15	Web EOC Assessment Update	Woody Baker-Cohen	11/4/15	5/12/16	
16	Urban Shield 2016	Tom Wright, Janell Myhre	11/16/15	7/14/16	

17	Risk and Gap Report	Catherine Spaulding	10/20/15	8/11/16	
18	FY17 Proposal Guidance	Catherine Spaulding	10/20/15	8/11/16	
19	Project Updates: POD Planning	Janell Myhre	11/16/15	8/11/16	
20	2016 THIRA	Catherine Spaulding and Jason Carroll	10/20/15	11/10/16	
21	FEMA IX Medical Countermeasures Planning Update	Dr. Erica Pan (TBC)	6/17/15	11/10/16	
22	Update to the Master MOU and Bylaws (expiration November 2017)	Catherine Spaulding	11/24/15	11/10/16	
	Reoccurring agenda items are below				

Regular Items/Assignments						
#	Name	Deliverable	Who	Date Assigned	Due Date	Status / Comments
A	UASI Financial Reports	Report	Tristan Levardo		~~1/14/16~~ 4/14/16 5/12/16 11/10/16	~~FY15 UASI Spending Report~~ UASI Travel Expenditures Reallocation of Grant Funds Reallocation of Grant Funds
B	BayRICS JPA Quarterly Report	Report	Barry Fraser		~~1/14/16~~ 4/14/16 10/13/16	BayRICS JPA Report
C	Election of UASI Officers	Discussion & Action Item	Chair		~~1/14/16~~ (Annually)	
D	NCRIC Annual Report	Report	Mike Sena		2/11/16 (annually)	
E	Training and Exercise Program Annual Report	Report	Tom Wright		2/11/16 (annually)	

To: **Bay Area UASI Approval Authority**

From: **Craig Dziedzic, Bay Area UASI General Manager**

Date: **January 14, 2016**

Re: **Item 4: Election of UASI Officers for 2016**

Staff Recommendations:

No recommendation

Action or Discussion Items:

Action

Discussion:

Following the process outlined in the Bay Area UASI Approval Authority Bylaws, the Approval Authority shall elect a Chair and Vice-Chair from among the Member's primary representatives on an annual basis. The General Manager will initiate the nomination process and candidates may make a statement and then hear public comment. After public comment, an open vote will take place at the pleasure of the board. Roll call will take place by Members in the following order: City of San Francisco, County of Alameda, County of San Francisco, City of Oakland, City of San Jose, County of Santa Clara, County of Contra Costa, County of Marin, County of Monterey, County of San Mateo, and County of Sonoma.

After the vote for Chair has taken place, the General Manager will open nominations for Vice-Chair. The candidates may make a statement and then hear public comment. After public comment, an open vote will take place at the pleasure of the board. Roll call will take place by Members in the following order: City of San Francisco, County of Alameda, County of San Francisco, City of Oakland, City of San Jose, County of Santa Clara, County of Contra Costa, County of Marin, County of Monterey, County of San Mateo, and County of Sonoma.

To: Bay Area UASI Approval Authority

From: Catherine Spaulding, Assistant General Manager

Date: January 14, 2016

Re: Item 5: FY16 Proposed Regional Projects

Staff Recommendations:

No recommendation – for discussion only

Action or Discussion Items:

Discussion

Discussion:

The FY16 UASI Project Proposal Guidance states that, at the January 2016 meeting, Approval Authority Members will review/approve proposals for "level one" FY16 regional projects in the categories of training and exercise, fusion center, public safety information sharing, Bay RICS/ interoperability, public health and medical, and projects implemented by the Management Team.

In today's meeting, proposers will present the five projects listed in the table on the next page along with annual report information when applicable. Approval Authority Members will be asked to consider approving the recommended project allocation after each presentation.

In the April 2016 meeting, Members will be asked to review/approve all hub projects recommended by hub voting members and all other regional ("level two") projects recommended by the Management Team, taking into account the Regional Proposal Work Group's recommendations. We also anticipate that by the May meeting we will have received our grant award and will be ready to present proposed grant allocations to Members for approval.

FY16 UASI Proposed Regional Projects

"Level One"

Agenda Item	Project	Proposer	Requested Amount	Recommended Allocation
6	Regional Training and Exercise	Alameda County	$4,901,339	$4,901,339
7	Information Analysis, Infrastructure Protection, and Cyber Security	NCRIC	$4,787,945	$4,485,200
8	Regional Law Enforcement Information Sharing and Analysis Systems	NCRIC	$999,750	$800,000
9	Preventative Radiological/Nuclear Detection Program Equipment	Management Team	$282,509	$282,509
10	Regional Broadband Planning and Governance Year 2	BayRICS	$59,000	$59,000
		TOTAL:	**$11,030,543**	**$10,528,048**

To: Bay Area UASI Approval Authority

From: Thomas Wright, Alameda County Sherriff's Commander

Date: January 14, 2016

Re: Item 6: Bay Area UASI Regional Training & Exercise Annual Report & Proposed FY16 Allocation

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Recommendation:

Approve $4,901,339 from the FY16 UASI grant to fund the Bay Area UASI Regional Training and Exercise Program
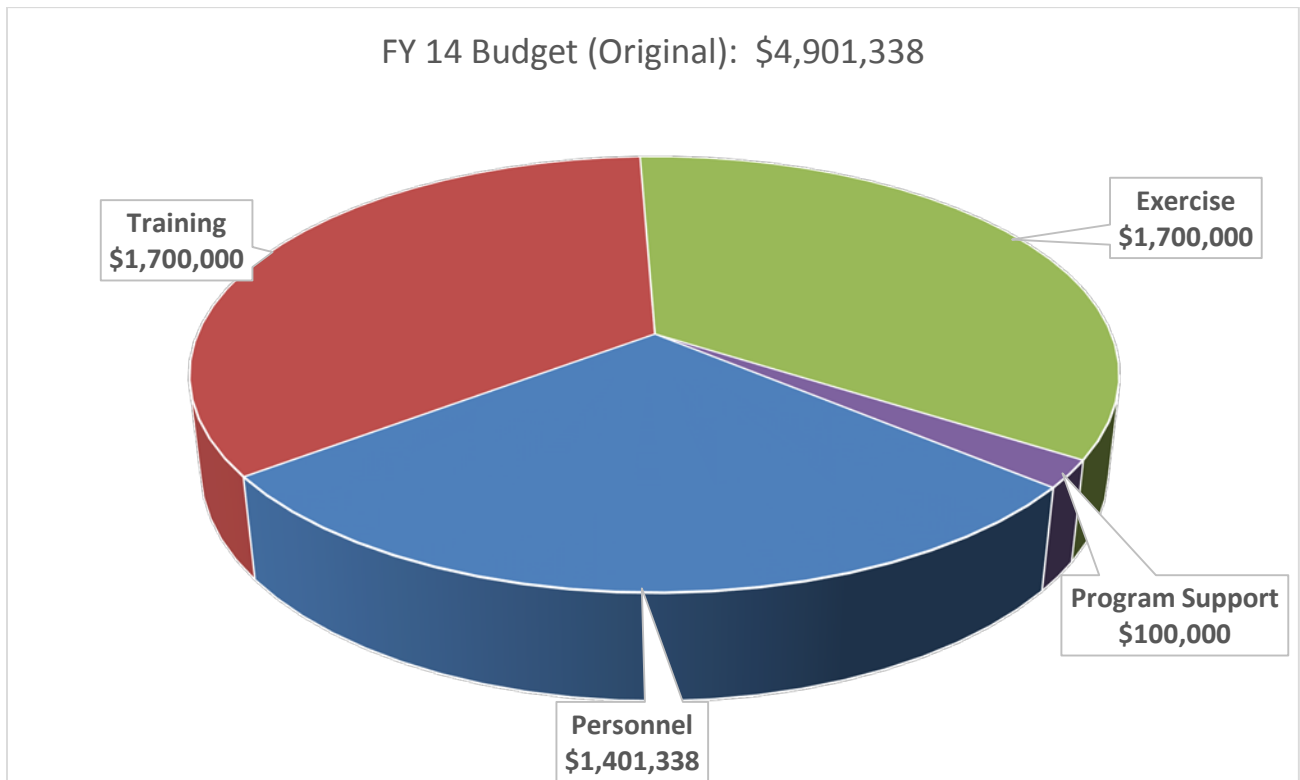
Action and Discussion Item:

Action

Discussion:

The Bay Area UASI has funded the Regional Training and Exercise Program since July 2011. The regional program was developed and continues to be managed by the Alameda County Sheriff's Office. As part of managing the Regional Training and Exercise Program, the Alameda County Sheriff's Office was tasked with producing an Annual Report. The attached Annual Report covers the training and exercise progress made primarily with UASI FY14 funding.

Summary of key achievements:

- Maintained the Regional Training and Exercise Team
- Updated the Regional Training and Exercise Website with Registration/Statistic component
- Continued utilizing the 23 approved vendors for updated and quality training throughout the region
- Continued to identify consortium courses (free training) through the National Domestic Preparedness Consortium (NDPC)
- Updated the Multi-Year Training and Exercise Plan (Jan 2016-Dec 2018)
- Provided Training to our 12 county BAUASI Region (Balanced delivery of training to multiple disciplines)

- Maintained POST presenter status
- Urban Shield 2015
- Urban Shield 2015 AAR
- Produced the 2015 Training and Exercise Annual Report
- Attached T&E Program Annual Report PP presentation and below pie charts reflecting previous UASI grant funding amount of $4,901,338 for sustainment of the RTEP
- For continued sustainment of the RTEP we are proposing the same amount of $4,901,338 for the FY 16 grant funding cycle

FY 14 Budget (Original): $4,901,338

Training
$1,700,000

Exercise
$1,700,000

Program Support
$100,000

Personnel
$1,401,338

Bay Area UASI

Regional Training and
Exercise Program
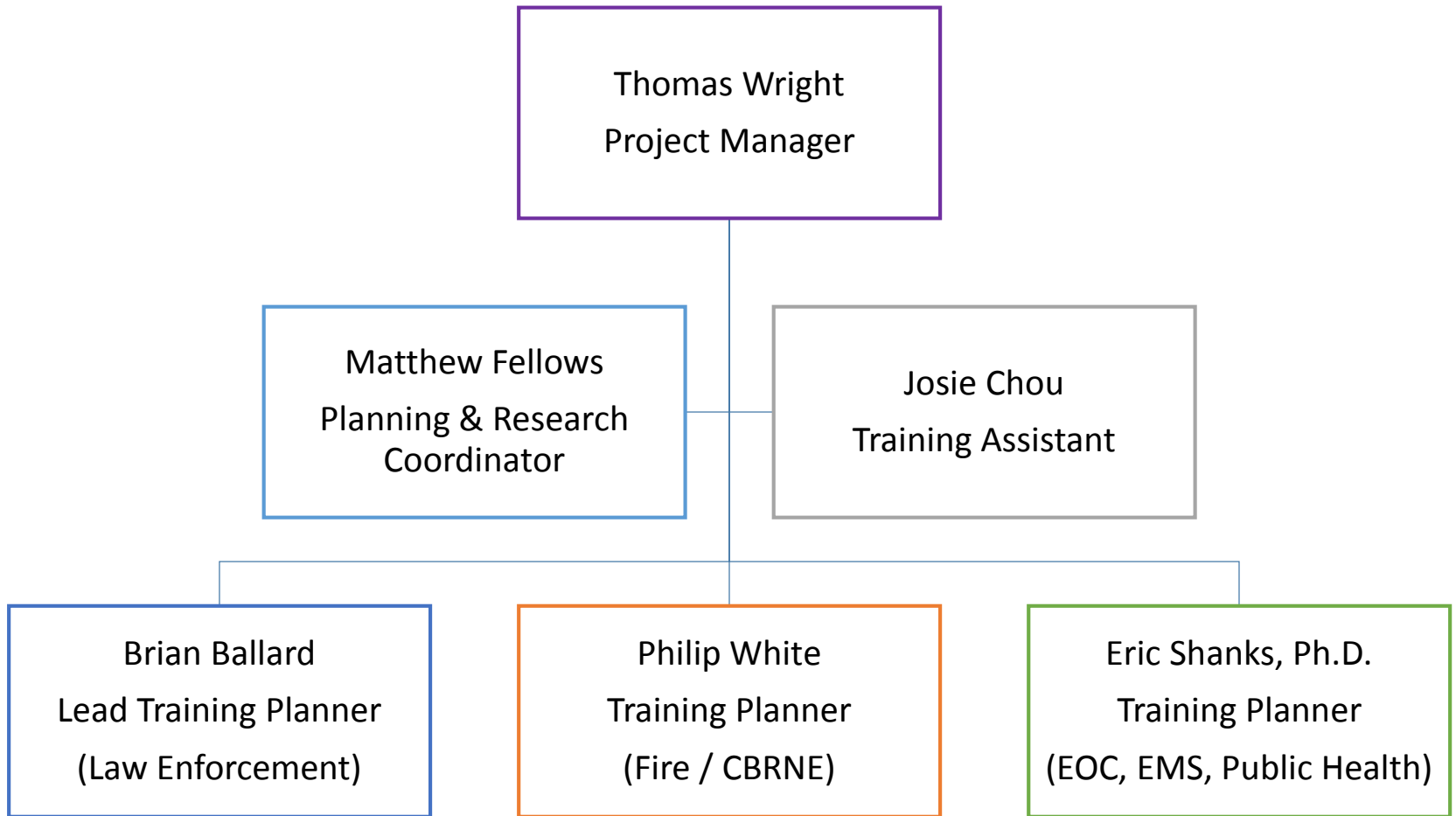2015 Annual Report

January 14, 2016

Overview

Alameda County has provided a sustainable regional training and exercise program for the region since July 2011. The Program serves multiple disciplines including:

- Law Enforcement
- Fire / Hazmat / USAR
- EMS
- Public Health / Health Care
- Emergency Management

Personnel

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                    │      Thomas Wright        │
                    │      Project Manager      │
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Thomas Wright
Project Manager

Matthew Fellows
Planning & Research Coordinator

Josie Chou
Training Assistant

Brian Ballard
Lead Training Planner
(Law Enforcement)

Philip White
Training Planner
(Fire / CBRNE)

Eric Shanks, Ph.D.
Training Planner
(EOC, EMS, Public Health)

2015 Training

UASI Funded Training & Exercise

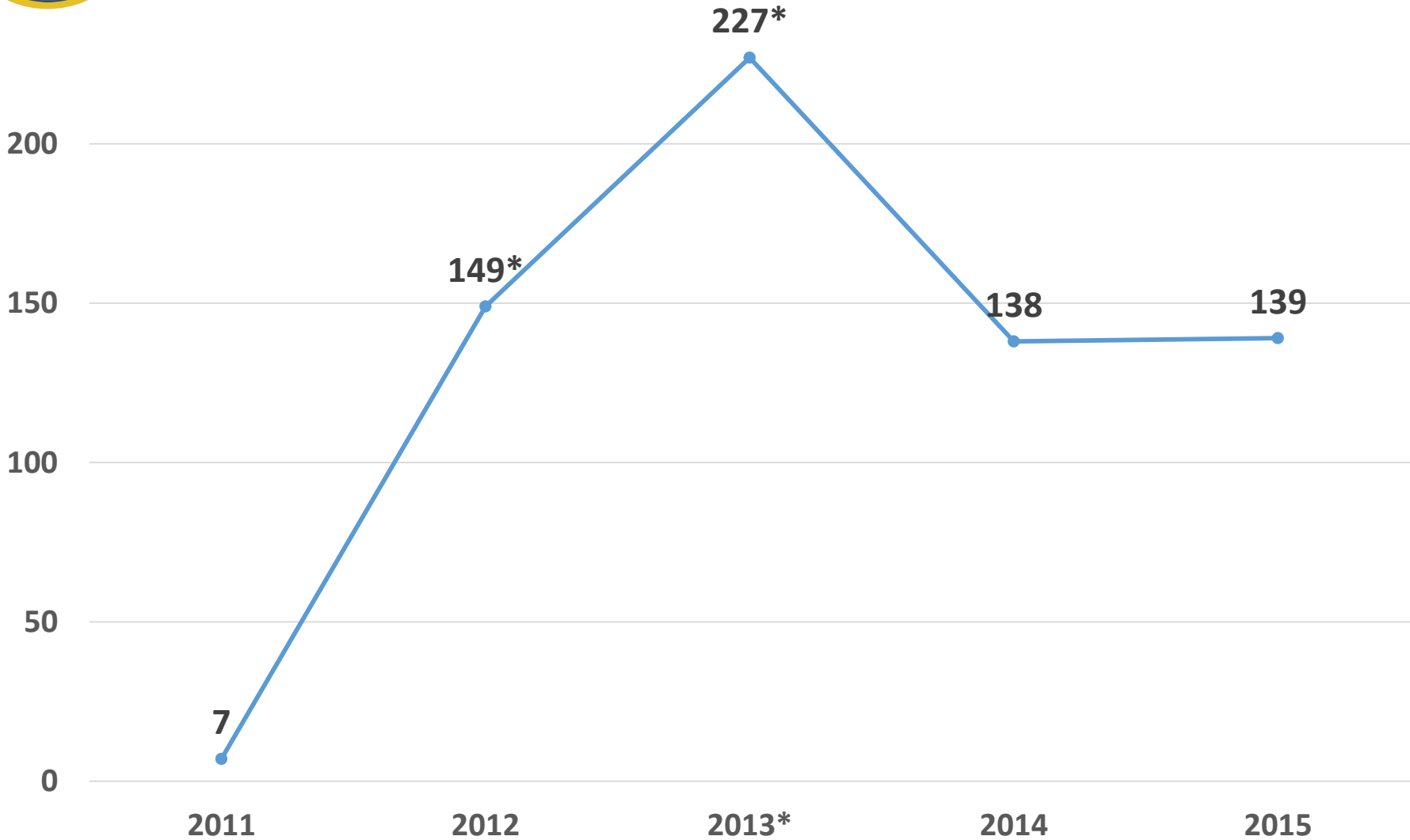|	
139	Training Courses Provided
4,406	Students Trained (RTEP)
5,400+	Participants in Exercises
15,000+	Registered Participants in the Program

National Domestic Preparedness Consortium

26	Courses Conducted
728	Students Trained

Total Courses Offered (2011 – 2015)

227*

149*

138   139

7

2011   2012   2013*   2014   2015
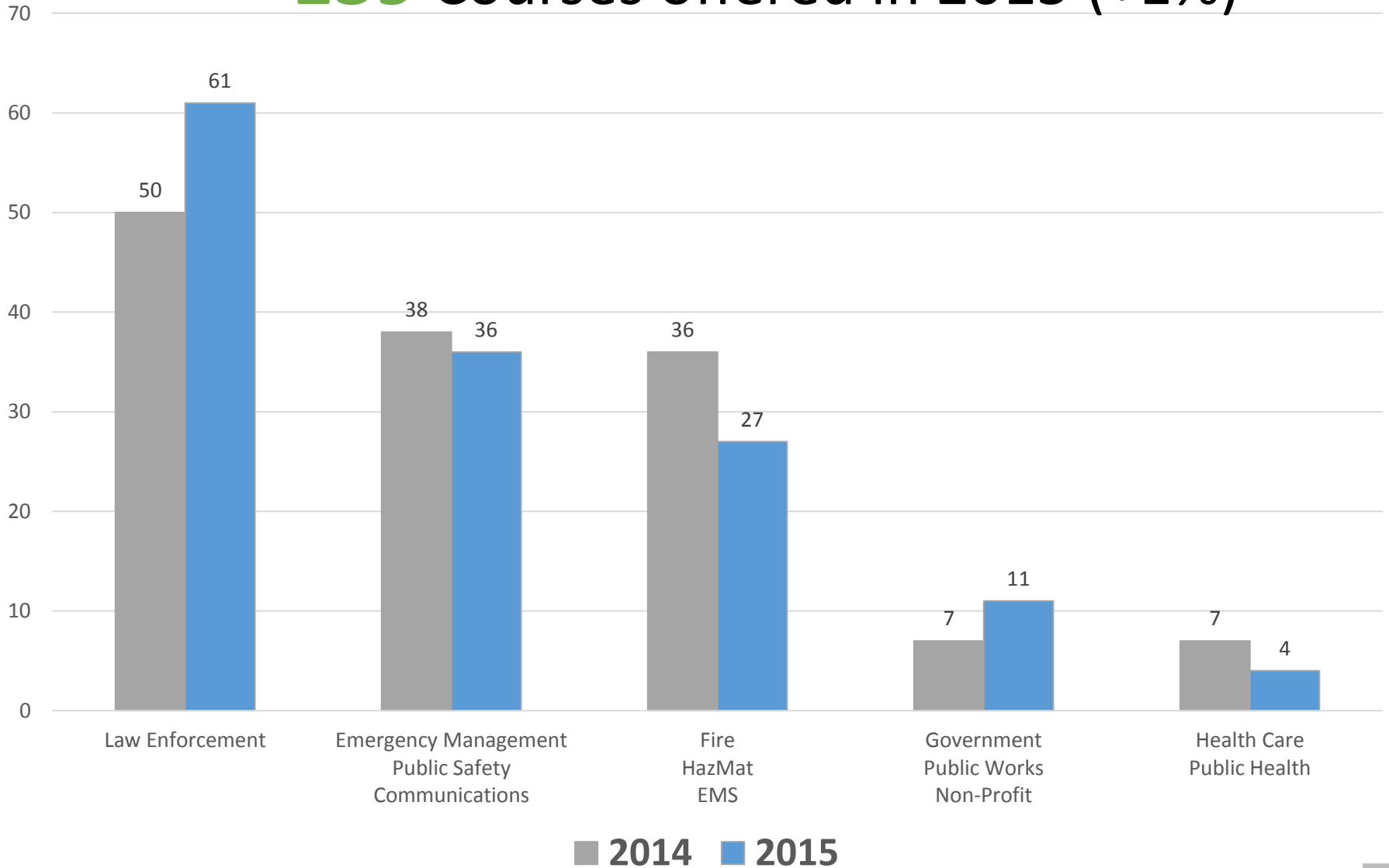
*Note: Includes additional courses funded by the Regional Catastrophic Planning Grant Program (RCPGP).
RCPGP ended 12/31/2013.

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Courses by Discipline (2014-2015)

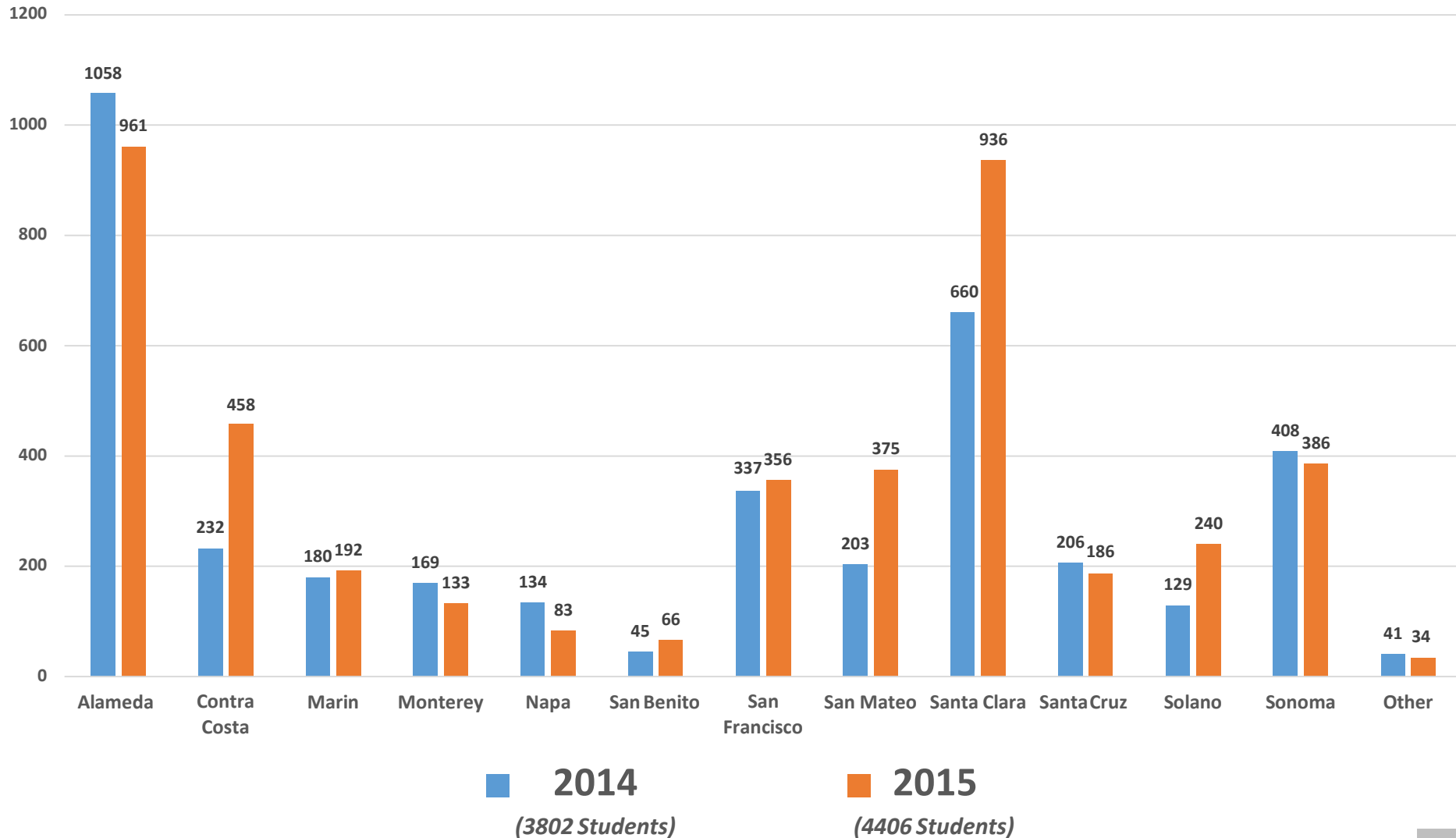**139** Courses offered in 2015 (+1%)

Students Trained by County (2014-2015)

15.9% Increase in Students Trained Compared to 2014

County	2014	2015
Alameda	1058	961
Contra Costa	232	458
Marin	180	192
Monterey	169	133
Napa	134	83
San Benito	45	66
San Francisco	337	356
San Mateo	203	375
Santa Clara	660	936
Santa Cruz	206	186
Solano	129	240
Sonoma	408	386
Other	41	34

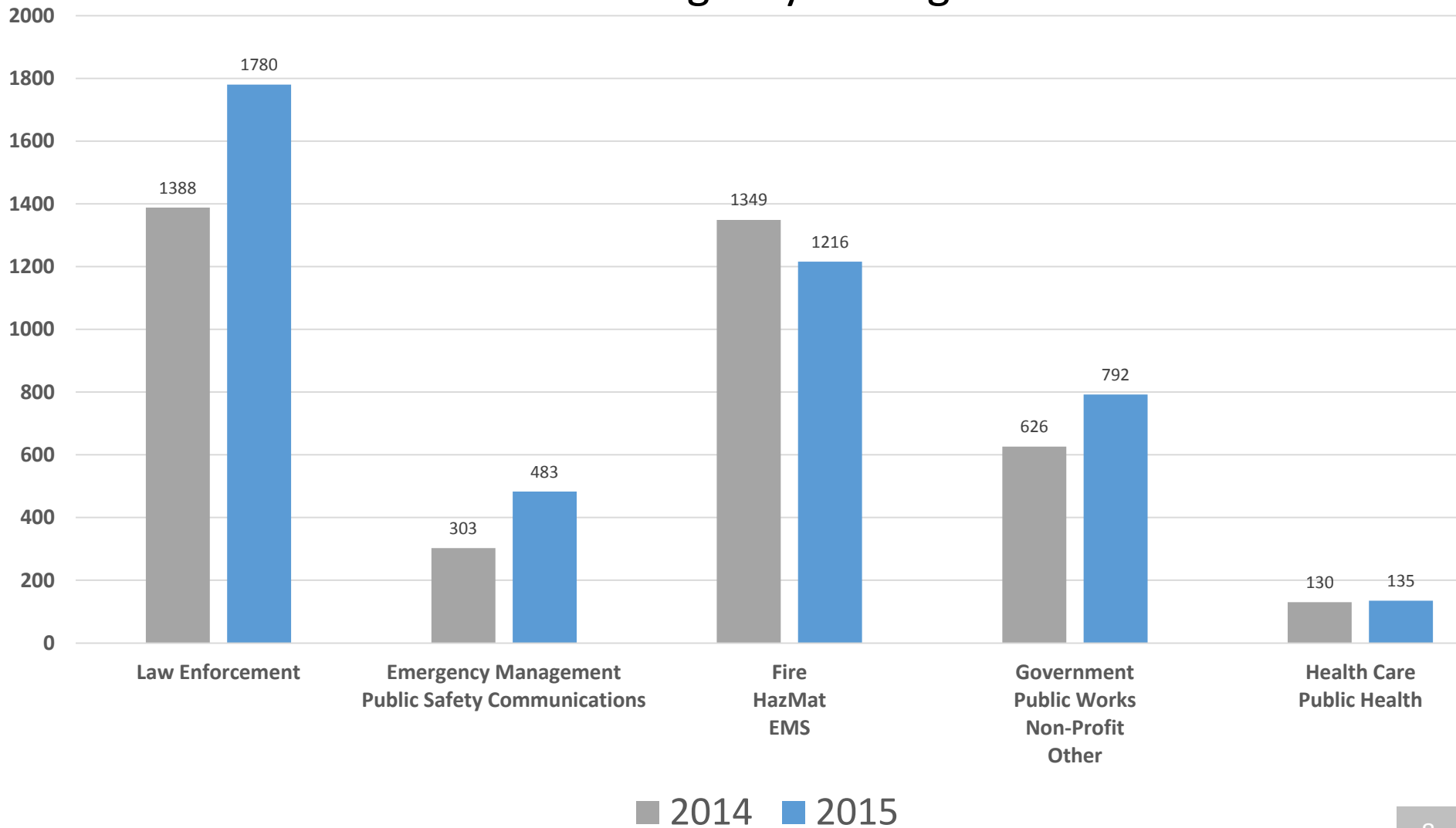**2014** *(3802 Students)*   **2015** *(4406 Students)*

Students Trained by Discipline (2014-2015)

28% increase in law enforcement students trained

59% increase in emergency management students trained

Discipline	2014	2015
Law Enforcement	1388	1780
Emergency Management / Public Safety Communications	303	483
Fire / HazMat / EMS	1349	1216
Government / Public Works / Non-Profit / Other	626	792
Health Care / Public Health	130	135

■ 2014 ■ 2015

Quality Control

- Planners review evaluations from each of their courses
- Overall the evaluations have been very good
- Issues are always addressed on a case by case basis, followed up, and solved
- Each year only a few concerns are raised

Urban Shield 2015

48 Hour Continuous Exercise (September 12-13, 2015)
- 58 scenarios across 5 Bay Area Counties
- Over 5,400 people trained

Yellow Command (September 11, 2015):
- 3 hour Full Scale Exercise
- Tested Regional Catastrophic Earthquake Mass Transportation/Evacuation Plan
- Two live-play locations
- Unified command posts in Santa Clara & Marin Counties

FY 14 UASI Budget

Budget: $4,901,338

Training
$1,700,000

Exercise
$1,700,000

Personnel
$1,401,338

Program
Support
$100,000
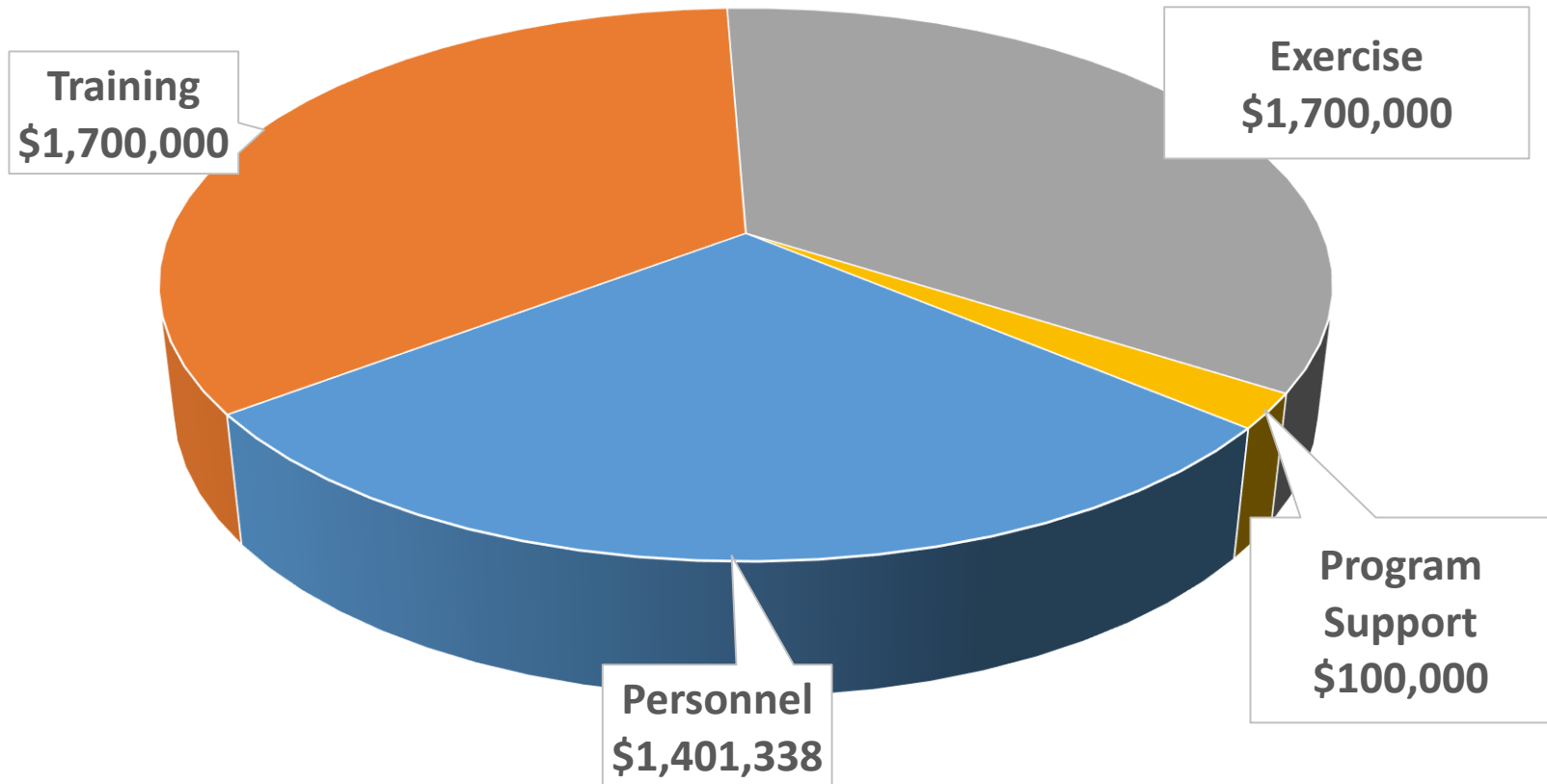
2016 Plans

- Build sustainability of Training and Exercise Program
- Update Regional Training & Exercise Program Guidance Manual
- Work closely with the NDPC to provide free training (25 courses)
- Support Urban Shield and the continuation of Yellow Command
- Enhance and improve user experience on T&E website
- Maintain transparency through input from T&E working group stakeholders
- Meet regional training needs to close identified gaps

Thank you!

Bay Area UASI
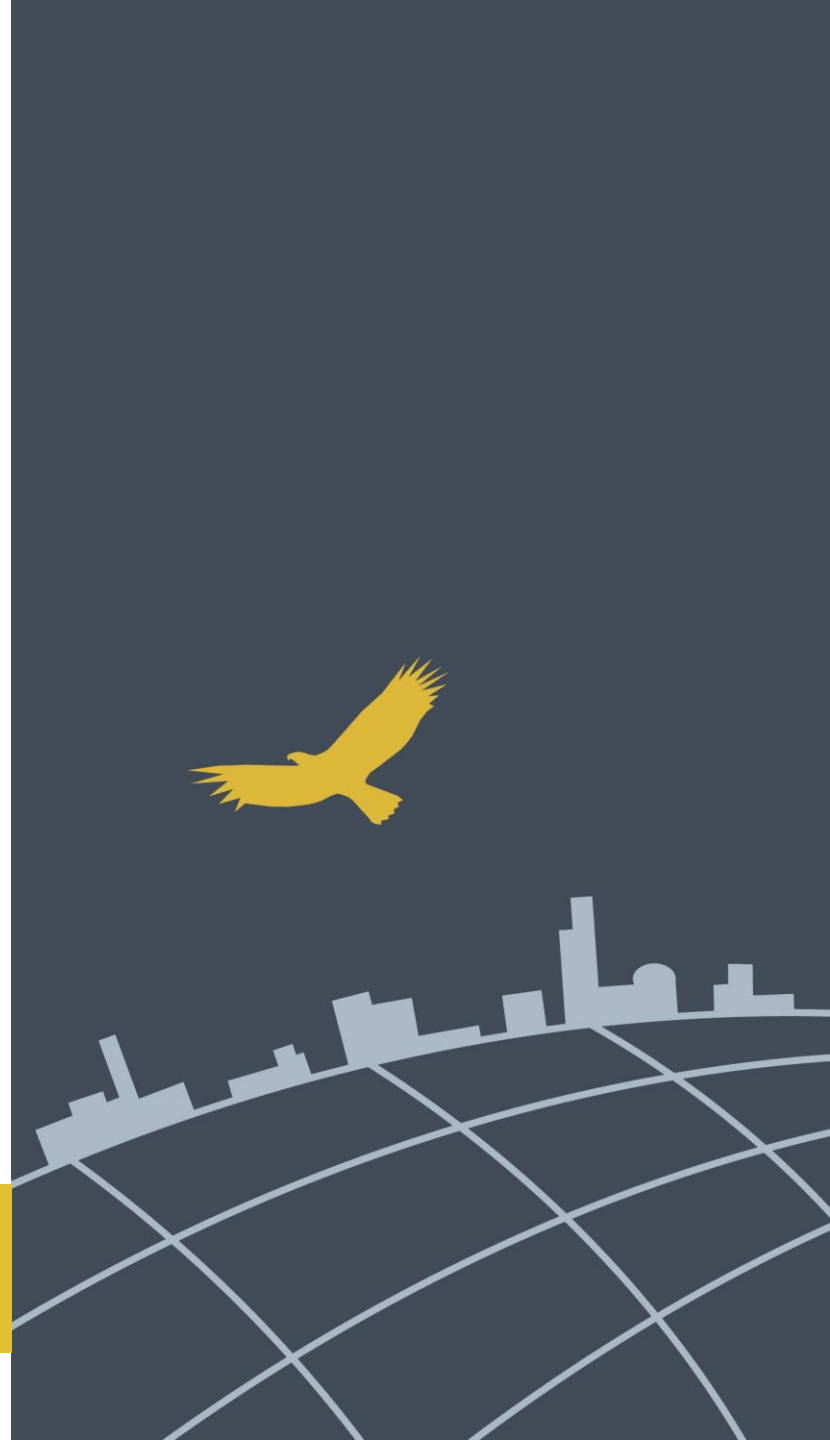
Application

00000 - FY16 Bay Area UASI

00128 - BAUASI Training and Exercise Program

UASI Grant Program

Status:	Under Review
Submitted Date:	10/13/2015 2:38 PM

Project Lead

Name:*

Mr.	thomas	eugene	wright
Salutation	First Name	Middle Name	Last Name

Title: ACSO Division Commander

Work Email: twright@acgov.org

Work Address: 6289 madigan road, room G

*

dublin	California	94568
City	State/Province	Postal Code/Zip

Phone:*

925-560-5869	
Phone	Ext.

What Program Area are you interested in?

Organization Information

Organization Name: BAUASI Training and exercise program

Organization Type: Government

Organization Website: www.bauasitep.org

Address: 6289 madigan road, room G

*

dublin California 94568

City State/Province Postal Code/Zip

Phone:* 925-560-5869

Ext.

Fax:

If you are unsure of your agency's DUNS number please contact your finance department.

DUNS Number 064165053

Funding Categories

Select your Operational Area (County): Alameda County

Is your agency directly affiliated with the City of Oakland? Yes

Will your project benefit two or more operational areas? Yes

All projects must benefit 2 or more operational areas.

Please state which operational areas your project will benefit and how* The Alameda County Sheriffs Office manages a comprehensive sustainable regional T&E program for the 12 counties Bay Area UASI, serving multi-disciplines including; Law Enforcement, Fire, EMS, Public Health and Emergency Management.

250 Characters Maximum

Will your project benefit three or more hubs? Yes

Select an available funding category: Regional

Project Description

Select a goal: Goal 8: Managment Team

Bay Area UASI Homeland Security Goals & Objectives

Select a Priority Capability Objective: Objective 8.1 Training and Exercise (Alameda County Sheriffs Office Use Only)

Objective

Select the applicable FEMA Core Capability for your project: Planning

FEMA Core Capabilities

Select a nexus to terrorism: This project will enhance regional capacity to: Prevent Terrorist Attacks, Protect Against Terrorist Attacks, Mitigate Effects of Terrorist Attacks, Respond to Terrorist Attacks, Recover from Terrorist Attacks

Select all that apply

Describe the nexus to terrorism in detail:

The primary goal of the Regional T&E Program is to prevent, protect against, respond to and recover from threats and acts of terrorism and major disasters.

300 Characters Maximum

Yes

a) Implement a training program that is multi-disciplinary, enhances the regions core capabilities, and is integrated into the regions risk management and planning process.

Yes

b) Implement an exercise program that is multi-disciplinary, enhances and evaluates the regions core capabilities, and is integrated into the regions risk management and planning process.

Other - Describe Below:

Project Summary- Provide a brief description of your project:

Sustain a Regional Training and Exercise Program designed to serve the 12 counties in the San Francisco Bay Area UASI Region.

500 Characters Maximum

Compliance Requirements

–

Sole Source Approval

This project will require Sole Source Approval

Sole Source Request Form

–

Enviromental and Historic Preservation Request
Required for:

This project will require an Environmental & Historic Preservation Form

Enviromental and Historic Preservation Screening Form

—

Watercraft Projects

If project includes purchase of watercraft or watercraft equipment

the California Office of Emergency Services (CalOES) has a seperate request form to complete.

This project will require a Watercraft Request Form

Watercraft Request Form

—

Aviation Projects

If project includes the purchase of aircraft or aviation equipment

the California Office of Emergency Services (CalOES) has a seperate request form to complete.

This project will require an Aviation Request Form

Aviation Request Form

—

Establish/ Enhance Emergency Operations Center (EOC)

If project includes establishing or enhancing an Emergency Operations Center

the California Office of Emergency Services (CalOES) has a seperate request form to complete.

This project will require an Emergency Operations Center Request Form

Establish/ Enhance Emergency Operations Center Request Form

—

Performance bonds

Required for:

This project will require a Performance Bond

–

This project will require grant funded personnel (no supplanting)   Yes

Project Timeline

Project Dates*

01/01/2017	12/31/2017
Project Start Date	Project End Date

Milestones

Milestone	Please Describe	Estimated Completion Date
Other	Create and formalize new Multi-Year T&E Plan	01/01/2017
Other	Formalize an Annual Training Plan	06/15/2017
Other	Create After Action Reports as needed	06/15/2017
Other	Maintain staff necessary to sustain T&E Program	12/31/2017
Other	Obtain EHP memo's as needed	12/31/2017
Other	Manage the Urban Shield Full Scale Exercise	12/31/2017
Other	Facilitate local exercises when applicable	12/31/2017
Other	Support Rad/Nuc Detection and Prevention Training	12/31/2017

Equipment

Select a category of FEMA Authorized Equipment	Select the appropriate AEL #	Quantity	Price Each	Sales Tax	Shipping Cost	Training Cost	Installation Cost	Total
			$0		$0	$0	$0	$0

Planning

Category	Planning Total
Planning	$1,501,339

Organization

Category	Organization Total
Organizations	$0

Equipment

Category	Equipment Total
Equipment	$0

Training

Category	Training Total
Training	$1,700,000

Exercise

Category	Exercises Total
Exercise	$1,700,000

Totals

Total Project Cost $4,901,339

To: **Bay Area UASI Approval Authority**

From: **Mike Sena, Director of NCRIC**

Date: **January 14, 2016**

Re: **Item 7: NCRIC Annual Report and Proposed FY16 Allocation**

Recommendation:

Approve $4,485,200 from the FY16 UASI grant to fund the NCRIC Information Analysis, Infrastructure Protection, and Cyber Security Program

Action or Discussion Item:

Action

Discussion:

Northern California Regional Intelligence Center Director Mike Sena will present the NCRIC's Annual Report. The report discusses the sub-units of the NCRIC as well as provides updated information on activities and capabilities from the past 12 months.

Mr. Sena will also present to the Board the proposed FY16 allocation for the NCRIC.

The 2015 NCRIC Annual Report for the Bay Area UASI -

The Northern California Regional Intelligence Center is a multi-jurisdictionally staffed center with many sub-units and disciplines all dedicated to the mission of information sharing and providing analytical support to their partners in both the public and private sectors. It is overseen by Director Mike Sena along with Deputy Directors Daniel Mahoney and Karen Sherwood.

OUTREACH—(TLOOP)

The NCRIC has ten (10) members assigned from various public safety agencies who are dedicated to providing outreach and training to our partners, as well as reviewing all submitted Suspicious Activity Reports (SAR).

UPDATE
Total Number in our Distribution List:
Approved Law Enforcement—   13,124
Approved Public Safety Government—   2,418
Approved Private Sector Partners—   916

For Calendar Year 2015
* 1024 public safety officers and 124 civilians received formal training at 28 classes
* 3564 people trained at 126 briefings
      Total trained—***4,712***
* 805 Suspicious Activity Reports reviewed for FBI follow-up / 333 were forwarded to FBI

This past year, this team continued to implement the Threat Evaluation Unit (TEU) whereby each member is assigned a Suspicious Activity Report for follow-up investigation/evaluation.

INFORMATION TECHNOLOGY—CYBER

The NCRIC had four (4) members assigned to IT & Cyber-related functions.

UPDATE—This past year, numerous Cyber presentations were made to attendees at national conferences, such as IACP, ASCIA (Association of State Investigative Agencies), NFCA (National Fusion Center Association) and cyber presentations are being considered for the National Homeland (UASI) 2016 Conference. Outreach was made to various private sector cyber units to for partnership engagement. Additionally, the Cyber-ATIX (Cyber Automated

Threat Information Exchange) program rolled out throughout the State of California with hardware devices placed in Fusion Centers to capture Indicators of Compromise. Also, a NCRIC member is being considered for inclusion on the MS-ISAC (Multi-State—Information Sharing Analysis Center) Executive Board.

DIGITAL FORENSIC EVIDENCE LABORATORY
The NCRIC has continued with its support for local law enforcement through the creation and staffing of the NCRIC Digital Forensic Evidence Lab (DFEL), which in 2015, handled 140 cases. Senior Analyst Jason Hutchinson completed the certification process for LEEDIR (Large Emergency Event Digital Information Repository), is a Certified Forensic Multimedia Analyst, and has qualified as an expert in the field of digital evidence analysis. Here are some key statistics on the performance of the DFEL:

- 74% of cases have yielded actionable deliverables for our partners
- Arrests have been reported back in 40 cases (we expect that there have been many more arrests that just haven't been reported back to us)
- 66% of cases involve video evidence
- 62% of case types have been either homicide, robbery, or burglary
- DFEL has supported 51 different law enforcement agencies thus far
- San Francisco Police Dept. has brought the most cases for analysis (25), followed by Alameda County SO (16), and CHP (12)

RISK MANAGEMENT UNIT

The NCRIC had four (4) members assigned to the Risk Management Unit, composed of personnel from the San Mateo Sheriff's Office, SF DEM, and SF DA. The RMU's function is to analyze and mitigate threat, risk and vulnerability, as well as providing Special Event, crime, threat, and disaster support and planning.

Activities include, but are not limited to:

- Targeted Vulnerability Assessments (14 completed in 2015)
- Special Events Vulnerability/Threat Assessments
- Special Events Support (22 events supported in 2015)

UPDATE— This past year, the RMU provided threat assessments for venues such as AT&T Park's Giants Season, O.Co Stadium's Raider Season and Levi Stadium's 49er Season. Also the Oakland A's, Oakland Raiders Seasons, Oracle Arena's Golden State Warrior Season, as well as various municipality requests.

Special Event Support was conducted for:

- May/June 2015—NBA Golden State Warriors Playoffs and Finals
- June 2015- San Francisco Pride Parade
- July 4, 2015 – 4th of July events in San Francisco
- September 2015- Urban Shield Exercises
- August-December 2015—Levi's Stadium Support—All Events
- October-December 2015—O.Co Stadium Support—specific events.
- December 2015- New Year's Eve – San Francisco

The RMU co-authored the Statewide Protective Measures for Enhanced Security" guide, which outlines protective measures

PRIVATE SECTOR OUTREACH

There are two (2) members assigned to the Private Sector Outreach Program.

UPDATE- During calendar year 2015, the NCRIC Private Sector Program (PSP) added 99 new members, closing the year with a total membership of 916.

During 2015, the Private Sector Program Director Chaired the following subcommittees to further enhance information sharing efforts between fusion center personnel and the private sector:

- The Center for Analytic Excellence -Private Sector Engagement Subcommittee which was established by the National Fusion Center Association (NFCA) to enhance the overall ability of the National Network Fusion Centers to support efforts to secure critical infrastructure by working more effectively with the private sector and the 16 Critical Sector Information Sharing & Analysis Centers (ISAC). This committee involves national participation by Federal, State and local government officials, and is being supported by the Program Manager for the Information Sharing Environment (PM-ISE) from the White House.

The NCRIC Private Sector Program Director was a guest lecturer on several occasions at the Naval Postgraduate School International Maritime Security Course.

The Private Sector Outreach Team coordinated the Building Communities of Trust meeting held in San Francisco whereby members of the community (CAIR, ADL, ACLU, etc.) were invited to meet with Federal, State and Local law enforcement in a trust-building and information-sharing environment.

ANALYTICAL SUPPORT

There are seventeen (17) members assigned for various analytical disciplines. Those assigned to the Assessment & Monitoring Team (AMT) conduct analysis in both transnational and domestic terrorist organizations. The Vetting & Awareness Team (VAT) conducts analytical support for other law enforcement's request for information, as well as providing analysis of inputted Suspicious Activity Reports. The Priority Crimes Unit provides analysis of those who are released from custody under the provisions of Assembly Bill 109 (AB109).

HIGH INTENSITY DRUG TRAFFICKING AREA (HIDTA)

The Northern California High Intensity Drug Trafficking Area (NCHIDTA) is part of the NCRIC and has twenty-two (22) members assigned. The NCHIDTA team members represent the Investigative Support Center (ISC), the Investigative Equipment Program, and the Training Program. The NC HIDTA ISC supports sixteen (16) counter drug task forces in the twelve (12) county area of responsibility, providing analytical case support, deconfliction services, geo-mapping, information requests and case referrals. The ISC is made up of Intelligence Analysts from the CA National Guard, Drug Enforcement Administration, Federal Bureau of Investigation, and the County of San Mateo. The Investigative Equipment Program lends high-tech equipment to counter-drug initiatives and local law enforcement for use in a variety of operations and enforcement activities. In 2015 the Training Program provided *4,594 students* with professional, class room style training. The training is provided to law enforcement officers, analysts, and management level personnel.

UPDATE- During 2015, the NCHIDTA support function assisted in the following:

Total narcotic seizures with wholesale street value: $443,028,262.00

Total non-narcotic assets seized: $1,338,589.00

Cash assets seized: $21,044,221.81

Total narcotics and assets seized in 2015: $465,411,072.81

In 2014, for every $1 invested in law enforcement initiatives, the NC HIDTA generated an average return on investment (ROI) of $150.01 in drug seizures and $5.87 in cash and asset seizures for a total ROI of $155.88 for drug and assets seized. ROI refers to the ratio of the investigative budget (i.e. funds budgeted for enforcement, intelligence, and information sharing initiatives) to the total combination of cash, wholesale value of drugs, and resale value of other assets seized from traffickers. In the process of investigating Drug Trafficking Organizations (DTOs), the NC HIDTA task forces seize substantial amounts of drugs, cash, and other assets from traffickers. Seizures increase drug costs and slash dealer profits, leaving DTOs with nothing to sell. The ROI

provides clear evidence of the effective and efficient use of ONDCP/HIDTA funds by the NC HIDTA.

FEDERAL, STATE & LOCAL SUPPORT

The NCRIC has members assigned from the following Federal, State, and Local agencies:

- Federal Agencies represented consist of:
  - Federal Bureau of Investigation
  - Department of Homeland Security
  - United States Attorney Office
  - Drug Enforcement Agency

- State Agencies represented consist of:
  - California Department of Justice
  - California Highway Patrol
  - California National Guard

- Local Agencies represented consist of:
  - San Mateo County Sheriff's Office
  - San Francisco Police Department
  - San Francisco Sheriff's Department
  - Marin County Sheriff's Office
  - Contra Costa County Sheriff's Office
  - Alameda County Sheriff's Office
  - Santa Clara County Sheriff's Office
  - San Jose Police Department

PILOT PROJECTS

The NCRIC was involved in several national Pilot Projects, which consisted of:

- The National Mission Cell, which is a joint FBI, DHS, ISE, Fusion Center collaborative project focusing on the sharing and dissemination of actionable CT information. This Pilot concluded in June of 2015. This was Chaired by a NCRIC member.

- The Fusion Center-Cyber Pilot, which is a joint FBI. DHS, ISE, CIS, Fusion Center collaborative project focusing on the analysis and dissemination of cyber threats. This Pilot concluded on April or 2015 and was co-Chaired by a NCRIC member.

- Analysis of Social Media—which is a Fusion Center collaborative project focusing on the identification of best practices in regard to the analysis of information posted on social media. This is an on-going Pilot and Chaired by a NCRIC member. It involves

collaboration with the FBI, DHS, ISE, other Fusion Centers around the US, Johns Hopkins University and other federal agencies.

Application

00000 - FY16 Bay Area UASI

00171 - Information Analysis, Infrastructure Protection and Cyber Security

UASI Grant Program

Status:	Under Review
Original Submitted Date:	10/14/2015 1:07 PM
Last Submitted Date:	12/15/2015 2:02 PM

Project Lead

Name:*

Ms.	Jennifer		Gragasin
Salutation	First Name	Middle Name	Last Name

Title: Financial Manager

Work Email: jgragasin@ncric.ca.gov

Work Address: PO Box 36102

*

San Francisco	California	94102
City	State/Province	Postal Code/Zip

Phone:*

415-436-8098	
Phone	Ext.

What Program Area are you interested in?

Organization Information

Organization Name: San Mateo County Sheriff's Office

Organization Type: Government

Organization Website: www.smcsheriff.org

Address: 400 County Center

3rd Floor

*   Redwood City   California   94063
                                            City   State/Province   Postal Code/Zip

Phone:*   650-363-4790
                                                                  Ext.

Fax: 650-363-1868

If you are unsure of your agency's DUNS number please contact your finance department.

DUNS Number   009159190

Funding Categories

Select your Operational Area (County):   San Mateo County

Will your project benefit two or more operational areas?   Yes

All projects must benefit 2 or more operational areas.

Please state which operational areas your project will benefit and how*

The NCRIC will serve as the focal point within the federal Northern District of California's local government for the receipt, analysis, gathering and sharing of threat-related information between federal, SLTT and private sector partners.

250 Characters Maximum

Will your project benefit three or more hubs?   Yes

Select an available funding category: Regional

Project Description

Select a goal: Goal 2: Information Analysis and Infrastructure Protection

Bay Area UASI Homeland Security Goals & Objectives

Select a Priority Capability Objective: Objective 2.1 Intelligence Collection, Analysis and Sharing

Objective

Select the applicable FEMA Core Capability for your project: Intel and Info Sharing

FEMA Core Capabilities

Select a nexus to terrorism: This project will enhance regional capacity to:

Prevent Terrorist Attacks, Protect Against Terrorist Attacks, Mitigate Effects of Terrorist Attacks, Respond to Terrorist Attacks

Select all that apply

Describe the nexus to terrorism in detail:

The NCRIC provides interdisciplinary expertise&situational awareness to inform decision making at all levels of govt. The NCRIC conducts analysis&facilitates info sharing while assisting LE&homeland security partners in preventing, protecting against&responding to crime,terrorism&cyber security.

300 Characters Maximum

Select all applicable outcomes:

Yes

a) Ensure policies, procedures and systems are in place to routinely collect, analyze and share actionable information, and intelligence in order to detect, prevent and protect against acts of terrorism and other major crimes from occurring.

Yes

b) Build the regions intelligence fusion center (Northern California Regional Intelligence Center NCRIC) to report suspicious activities associated with potential terrorist or criminal planning.

Yes

c) Coordinate with the FBI to receive classified and unclassified information on attacks and declassify and share information with key stakeholders (THIRA).

Other - Describe Below:

Project Summary- Provide a brief description of your project:

The NCRIC serves as the focal point within the federal Northern District of Californias local environment for the receipt, analysis, gathering, & sharing of threat-related information between the federal government, SLTT & private sector partners. As maturation of the National Network of Fusion Centers is one of DHS's highest priorities, the NCRIC requests funding in order to sustain, maintain & enhance the Core Operational Capabilities & directly align to its capability gaps.

500 Characters Maximum

Compliance Requirements

–

Sole Source Approval

This project will require Sole Source Approval

Yes

Sole Source Request Form

—

Enviromental and Historic Preservation Request

Required for:

This project will require an Environmental & Historic Preservation Form

Enviromental and Historic Preservation Screening Form

—

Watercraft Projects

If project includes purchase of watercraft or watercraft equipment

the California Office of Emergency Services (CalOES) has a seperate request form to complete.

This project will require a Watercraft Request Form

Watercraft Request Form

—

Aviation Projects

If project includes the purchase of aircraft or aviation equipment

the California Office of Emergency Services (CalOES) has a seperate request form to complete.

This project will require an Aviation Request Form

Aviation Request Form

—

Establish/ Enhance Emergency Operations Center (EOC)

If project includes establishing or enhancing an Emergency Operations Center

the California Office of Emergency Services (CalOES) has a seperate request form to complete.

This project will require an Emergency Operations Center Request Form

Establish/ Enhance Emergency Operations Center Request Form

–

Performance bonds

Required for:

This project will require a Performance Bond

–

Personnel Declaration

If project includes hiring personnel, this field is required.

This project will require grant funded personnel (no supplanting)   Yes

Project Timeline

Project Dates*

11/01/2016	12/31/2017
Project Start Date	Project End Date

Milestones

Milestone	Please Describe	Estimated Completion Date
Conduct Training		01/31/2017
Sole Source Approval		02/28/2017
Issuance of PO		03/15/2017
Submit Reimbursement Documentation		04/30/2017
Submit Reimbursement Documentation		07/31/2017
Submit Reimbursement Documentation		10/31/2017
Project Completion		12/31/2017
Submit Reimbursement Documentation		12/31/2017

Equipment

Select a category of FEMA Authorized Equipment	Select the appropriate AEL #	Quantity	Price Each	Sales Tax	Shipping Cost	Training Cost	Installation Cost	Total
Other Authorized Equipment	21GN-00-MAIN Maintenance	1.0	$180,000	8.75	$0	$0	$0	**$195,750**
			$180,000		**$0**	**$0**	**$0**	**$195,750**

Planning

Category	Planning Total
Planning	$70,000

Organization

Category	Organization Total
Organizations	$4,271,470

Equipment

Category	Equipment Total
Equipment	$195,750

Training

Category	Training Total
Training	$150,000

Exercise

Category	Exercises Total
Exercise	$0

Totals

Total Project Cost $4,687,220

To: **Bay Area UASI Approval Authority**

From: **Brian Rodrigues, IT Director of NCRIC**

Date: **January 14, 2016**

Re: **Item 8: Public Safety Information Sharing Proposed FY16 Allocation**

Recommendation:

Approve $800,000 from the FY16 UASI grant to fund the Regional Law Enforcement Information Sharing and Analysis Systems Project

Action or Discussion Item:

Action

Discussion:

Northern California Regional Intelligence Center IT Director Brian Rodrigues will present to the Board the proposed FY16 allocation. Please see attached project description and information sharing flow diagram for further details.

Application

00000 - FY16 Bay Area UASI

00107 - Regional Law Enforcement Information Sharing and Analysis Systems

UASI Grant Program

Status: Under Review

Submitted Date: 10/09/2015 2:07 PM

Project Lead

Name:*   Mr.   Brian   Matthew   Rodrigues
                        Salutation   First Name   Middle Name   Last Name

Title:

Work Email: brodrigues@ncric.ca.gov

Work Address: 450 Golden Gate Ave

                        14th Floor

*   San Francisco   California   94102
                        City   State/Province   Postal Code/Zip

Phone:*   415-710-9702
                        Phone   Ext.

What Program Area are you interested in?

Organization Information

Organization Name: San Mateo County Sheriff's Office

Organization Type: Government

Organization Website: www.smcsheriff.org

Address: 400 County Center

                                3rd Floor

		Redwood City	California	94063
*		City	State/Province	Postal Code/Zip

Phone:* 650-363-4790

Ext.

Fax: 650-363-1868

If you are unsure of your agency's DUNS number please contact your finance department.

DUNS Number 009159190

Funding Categories

Select your Operational Area (County): San Mateo County

Will your project benefit two or more operational areas? Yes

All projects must benefit 2 or more operational areas.

Please state which operational areas your project will benefit and how*

This is a Regional Project that will include participation from and utilization by over 100 agencies across all hubs, enhancing their law enforcement capabilities by providing advanced analysis software with access to region-wide pooled information.

250 Characters Maximum

Will your project benefit three or more hubs? Yes

Select an available funding category: Regional

Project Description

Select a goal: Goal 2: Information Analysis and Infrastructure Protection

Bay Area UASI Homeland Security Goals & Objectives

Select a Priority Capability Objective: Objective 2.1 Intelligence Collection, Analysis and Sharing

Objective

Select the applicable FEMA Core Capability for your project: Intel and Info Sharing

FEMA Core Capabilities

Select a nexus to terrorism: This project will enhance regional capacity to: Prevent Terrorist Attacks, Respond to Terrorist Attacks

Select all that apply

Describe the nexus to terrorism in detail:

The unified law enforcement information will be available for cross-analysis with regional critical infrastructure, suspicious activity reporting, and other applicable terrorism threat and potential target vulnerability information; enhancing regional counter-terrorism capability.

300 Characters Maximum

Select all applicable outcomes:

Yes

a) Ensure policies, procedures and systems are in place to routinely collect, analyze and share actionable information, and intelligence in order to detect, prevent and protect against acts of terrorism and other major crimes from occurring.

Yes

b) Build the regions intelligence fusion center (Northern California Regional Intelligence Center NCRIC) to report suspicious activities associated with potential terrorist or criminal planning.

c) Coordinate with the FBI to receive classified and unclassified information on attacks and declassify and share information with key stakeholders (THIRA).

Other - Describe Below:

Project Summary- Provide a brief description of your project:

Enhance the public safety capabilities of law enforcement agencies throughout the region by aggregating discrete criminal information sources into unified platforms that provide enhanced analytic potential across the unified dataset. Expand existing systems to participate in other state, regional, and national initiatives. Continue to provide training and receive stakeholder feedback to optimize effectiveness and ROI.

500 Characters Maximum

Compliance Requirements

–

Sole Source Approval

This project will require Sole Source Approval

Sole Source Request Form

Enviromental and Historic Preservation Request

Required for:

This project will require an Environmental & Historic Preservation Form

Enviromental and Historic Preservation Screening Form

—

Watercraft Projects

If project includes purchase of watercraft or watercraft equipment

the California Office of Emergency Services (CalOES) has a seperate request form to complete.

This project will require a Watercraft Request Form

Watercraft Request Form

—

Aviation Projects

If project includes the purchase of aircraft or aviation equipment

the California Office of Emergency Services (CalOES) has a seperate request form to complete.

This project will require an Aviation Request Form

Aviation Request Form

—

Establish/ Enhance Emergency Operations Center (EOC)

If project includes establishing or enhancing an Emergency Operations Center

the California Office of Emergency Services (CalOES) has a seperate request form to complete.

This project will require an Emergency Operations Center Request Form

Establish/ Enhance Emergency Operations Center Request Form

–

Performance bonds

Required for:

This project will require a Performance Bond

–

Personnel Declaration

If project includes hiring personnel, this field is required.

This project will require grant funded personnel (no supplanting)

Project Timeline

Project Dates*

11/01/2016	12/31/2017
Project Start Date	Project End Date

Milestones

Milestone	Please Describe	Estimated Completion Date
Other	Complete integrations in progress, including ARIES	03/01/2017
Other	Share outside region (LA, Sac, San Diego, etc)	03/01/2017
Other	Plan for cost-sharing or other self-sustainment	06/01/2017
Other	50+ Local Agency Participation	06/01/2017
Other	Bi-Directional Sharing with DOJ Smart Justice	09/01/2017
Conduct Training		12/01/2017
Other	100+ Local Agency Participation	12/01/2017

Equipment

Select a category of FEMA Authorized Equipment	Select the appropriate AEL #	Quantity	Price Each	Sales Tax	Shipping Cost	Training Cost	Installation Cost	Total
Information Technology	04SW-04-NETW Software, Network	1.0	$930,000	7.5	$0	$0	$0	**$999,750**
			$930,000		**$0**	**$0**	**$0**	**$999,750**

Planning

Category	Planning Total
Planning	$0

Organization

Category	Organization Total
Organizations	$0

Equipment

Category	Equipment Total
Equipment	$999,750

Training

Category	Training Total
Training	$0

Exercise

Category	Exercises Total
Exercise	$0

Totals

Total Project Cost $999,750

Three UASI-supported aggregation points interconnect the Bay Area into one Sharing System

100+ local agencies enhance public safety through expanded visibility and analytic potential

National or Statewide

Cal DOJ
Smart Justice

FBI NDex

Other Coplink in CA and Nationwide including: Sacramento, Los Angeles, San Diego, Riverside, Oregon, Washington, Arizona, Texas, Colorado

Regional Sharing Systems (UASI Supported)

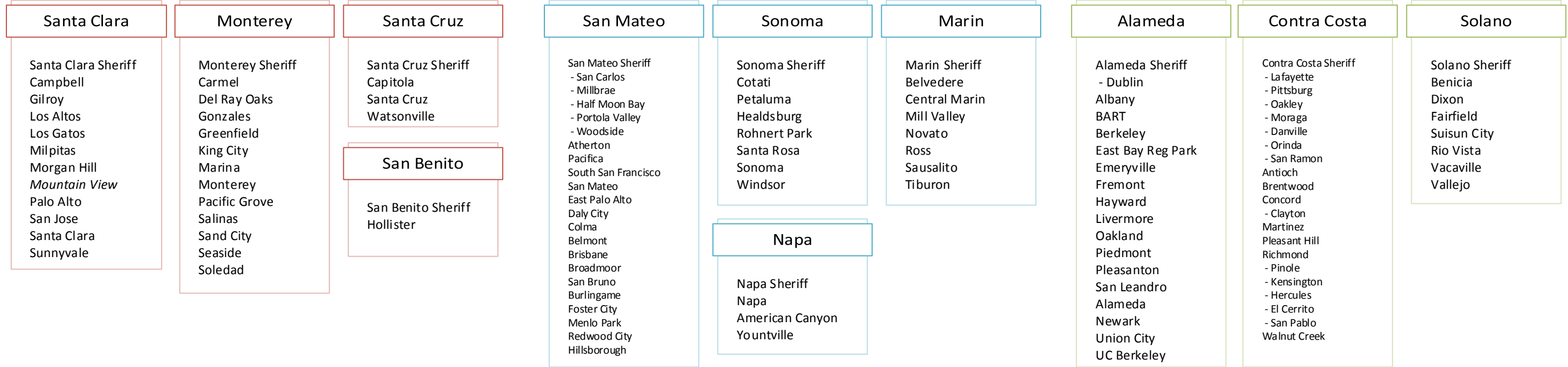South Bay Regional System (COPLINK)
Santa Clara Sheriff's Office

West/North Bay Regional System (COPLINK)
Northern California Regional Intelligence Center

East Bay Regional System (ARIES)
Contra Costa Sheriff's Office

Local Public Safety (Agency Funded)

Santa Clara	Monterey	Santa Cruz	San Mateo	Sonoma	Marin	Alameda	Contra Costa	Solano
Santa Clara Sheriff	Monterey Sheriff	Santa Cruz Sheriff	San Mateo Sheriff	Sonoma Sheriff	Marin Sheriff	Alameda Sheriff	Contra Costa Sheriff	Solano Sheriff
Campbell	Carmel	Capitola	- San Carlos	Cotati	Belvedere	- Dublin	- Lafayette	Benicia
Gilroy	Del Ray Oaks	Santa Cruz	- Millbrae	Petaluma	Central Marin	Albany	- Pittsburg	Dixon
Los Altos	Gonzales	Watsonville	- Half Moon Bay	Healdsburg	Mill Valley	BART	- Oakley	Fairfield
Los Gatos	Greenfield		- Portola Valley	Rohnert Park	Novato	Berkeley	- Moraga	Suisun City
Milpitas	King City		- Woodside	Santa Rosa	Ross	East Bay Reg Park	- Danville	Rio Vista
Morgan Hill	Marina		Atherton	Sonoma	Sausalito	Emeryville	- Orinda	Vacaville
Mountain View	Monterey	San Benito	Pacifica	Windsor	Tiburon	Fremont	- San Ramon	Vallejo
Palo Alto	Pacific Grove		South San Francisco			Hayward	Antioch	
San Jose	Salinas	San Benito Sheriff	San Mateo			Livermore	Brentwood	
Santa Clara	Sand City	Hollister	East Palo Alto	Napa		Oakland	Concord	
Sunnyvale	Seaside		Daly City			Piedmont	- Clayton	
	Soledad		Colma	Napa Sheriff		Pleasanton	Martinez	
			Belmont	Napa		San Leandro	Pleasant Hill	
			Brisbane	American Canyon		Alameda	Richmond	
			Broadmoor	Yountville		Newark	- Pinole	
			San Bruno			Union City	- Kensington	
			Burlingame			UC Berkeley	- Hercules	
			Foster City				- El Cerrito	
			Menlo Park				- San Pablo	
			Redwood City				Walnut Creek	
			Hillsborough					

Regional Information Sharing and Analysis for Law Enforcement

Mission: Enhance the public safety capabilities of Law Enforcement agencies throughout the region by providing connected technology systems which aggregate criminal intelligence sources and offer enhanced potential utilization and analysis across the unified dataset, in a manner which is both continually improving and cost-efficient.

This is achieved by focusing on three ongoing Strategic Goals:

1) **Expand, Support, and Sustain Regional Information Sharing**:
   - Provide and execute best practice processes and resource collaboration to facilitate and promote information sharing throughout the region.
   - Unify and normalize disconnected datasets across jurisdictions and operating platforms.
   - Connect with similar sharing systems across California and nationwide.

2) **Enhance Capabilities from Shared Information and Explore New Technologies:**
   - Transform the region's information sharing and information utilization practices to better support intelligence and operations at the strategic, investigative, and tactical levels.
   - Provide enhanced link analysis, geospatial/temporal filtering, and other analytic capabilities to augment effective use of the unified information.
   - Track emerging needs of local agencies and respond.
   - Explore system enhancements, or introduction of new technologies, to provide additional value or capability.

3) **Optimize Return on Investment:**
   - Architect a tiered solution to provide a reasonable and predictable costs for on-boarding new agencies or re-integrating agencies that deploy new systems locally.
   - Initiate transition to cost-sharing model for system continuity and data modeling
   - Gain agility to pivot systems or vendors without needing a full reinvestment
   - Host frequent training and outreach opportunities to introduce new users and respond to feedback from current users.

Estimated Annual Operating Costs:

Information Technology Infrastructure and Secure Network Hardware	$200,000
Data source translation, normalization, and integration	$500,000
Analysis System Continuity, platform licensing, enhancement, support	$500,000
In-house staffing for project management, engineering, user training	$400,000
Total Annual Operating Cost:	**$1,600,000**
Expected YoY increase:	+5%
Requested UASI support:	**$800,000**
Shortfall, covered by steward agencies and participating agencies:	$800,000

Three year plan and deliverables:

Year	Strategic Goal	Description	Deliverable
1-2	Information Sharing	Complete previously funded data integrations in-progress	Participation from 30+ agencies and 6+ counties
1-2	Information Sharing	Participate in other regional, statewide, and national sharing systems	Bi-directional sharing with 5+ systems outside Bay Area UASI region
1-2	Return on Investment	Identify data modeling services (data warehousing) to reduce onboard cost and eliminate redundant costs	Reduced, predictable cost to onboard new agencies or refresh agency RMS systems
2-3	Information Sharing	Onboard new agencies in region to strengthen aggregate dataset	Participation from 50+ agencies and 10+ counties
2-3	Information Sharing	Expand external sharing to additional regions and states	Bi-directional sharing with 10+ systems outside Bay Area UASI region
2-3	New Technology	Federated user access from external authentication sources	Simplified user onboarding, no new passwords
2-3	Return on Investment	Determine cost-sharing model to support continuity and expansion	Cost-sharing model with participating agency review
2-3	New Technology Return on Investment	Explore centralized hosting option to consolidate deployments	Secure, centralized hosting at reduced cost
2-3	Return on Investment	Implement new tracking system for usage, results, success stories	Demonstrate success and value
All	Return on Investment	Conduct no fewer than six in-person trainings, more as demanded.	Multiple proficient users from all participating agencies

Summary: UASI Support of $800,000, augmented by a cost-sharing model among participating agencies, and the continued diligent efforts of regional information sharing stewards, will position the region to achieve an operational law enforcement intelligence system that leverages data from over 50 agencies, incorporates other sources outside the region, is used effectively by trained personnel, meets new demands as needs develop, and will become at least 50% sustained by participating agencies.

To: Bay Area UASI Approval Authority

From: Phil White, UASI CBRNE Project Manager

Date: January 14, 2016

Re: Item 9: Preventative Radiological/Nuclear Detection Program Proposed FY16 Allocation

Recommendation:

Approve $282,509 from the FY16 UASI grant to fund the Preventative Radiological/Nuclear Detection Program

Action or Discussion Item:

Action

Discussion:

A Recurring Threat:

Radiological/nuclear terrorism remains a recurring threat. There have been several recent occurrences within the United States confirming interest and/or intent to utilize radiological devices or materials for terror. With over 7000 square miles and numerous maritime and land-based pathways, the San Francisco Bay Area is at considerable risk to the threat of a radiological or nuclear device being transported through or utilized within the area.

Bay Area PRND Program:

Launched in 2014, the Bay Area Preventative Radiological/Nuclear Detection (PRND) Program engages state, local and federal agencies that operate in the region to address the risk posed by the threat of radiological and nuclear terrorism. The Program seeks to deter, detect and report the hazardous handling, and/or unauthorized attempts to import, possess, store, develop or transport illicit radiological/nuclear material within the Bay Area. It is part of the whole of government approach being led by the Department of Homeland Security's Domestic Nuclear Detection Office (DNDO).

Accomplishments of the Bay Area PRND Program:

With DNDO support, 75 Bay Area agencies have initiated a region-wide approach to the PRND challenge and have accomplished the following to date:

- Identified the principal PRND stakeholders;
- Created a PRND Executive Task Force;
- Developed a regional PRND strategic plan, a unified PRND concept of operations, and standard operating procedure templates;
- Implemented a PRND training program and provided training to personnel in primary and secondary screening
- Built a cadre of PRND instructors
- Included PRND in the annual Urban Shield and Bay Ferry emergency preparedness and security exercises;
- Developed a pragmatic, multi-year funding approach that included the securing and rehabilitation of surplus federal PRND equipment; and
- Made some PRND detector equipment purchases

Bay Area PRND Program Funding Request:

To accelerate the development of Bay Area PRND capabilities, an application was made in 2014 and later in 2015 for obtaining a Securing the Cities grant from DNDO. While not successful in these efforts, the Bay Area PRND stakeholders remain energized and committed to improve capabilities.

On behalf of the Bay Area PRND Program, the Bay Area UASI Management Team requests $282,509 from the FY16 UASI grant to advance and sustain the Bay Area's PRND effort until additional funds are obtained from other sources. These funds will be used to purchase detection equipment and conduct operator training. Please see detail in the table below.

Quantity	Regional and RTC Detectors	Unit Price	Total
4	PRND Quick Start Classes	$10,000	$40,000
80	PRDs detectors (gamma)	$1,400	$112,000
15	PRD detectors (gamma/ neutron)	$2,790	$41,850
N/A	3rd year extended warranty	N/A	$5,437
2	Radiation Isotope Identifiers (RIID)	$15,953	$31,906
N/A	Extended warranty	N/A	$12,900
		Subtotal	$244,093
		Tax	$16,253
		Shipping	$500
		Total	$260,846

Application

00000 - FY16 Bay Area UASI

00301 - Bay Area Preventative Radiological, Nuclear Detection Equipment Grant

UASI Grant Program

Status: Under Review

Submitted Date: 10/16/2015 3:16 PM

Project Lead

Name:*	Mr.	Philip	Dyson	White
	Salutation	First Name	Middle Name	Last Name

Title: CBRNE Program Manager

Work Email: phil.white@sfgov.org

Work Address: 711 Van Ness Avenue

*	San Francisco	California	94102
	City	State/Province	Postal Code/Zip

Phone:*	707-333-3577	
	Phone	Ext.

What Program Area are you interested in?

Organization Information

Organization Name: Bay Area UASI Management Team

Organization Type: Government

Organization Website:

Address: 711 Van Ness Ave

                                                           Suite 420

*

| | San Francisco | California | 94102 |
| | City | State/Province | Postal Code/Zip |

Phone:*

415-353-5234

Ext.

Fax:

If you are unsure of your agency's DUNS number please contact your finance department.

DUNS Number   070384255

Funding Categories

Select your Operational Area (County):   San Francisco County

Is your agency directly affiliated with the City of San Francisco?   No

Will your project benefit two or more operational areas?   Yes

All projects must benefit 2 or more operational areas.

Please state which operational areas your project will benefit and how*

The Bay Area PRND Equipment Grant will benefit the entire Bay UASI Region by providing baseline radiological and nuclear detection, analysis and reporting capability that currently does not exist

250 Characters Maximum

Will your project benefit three or more hubs?   Yes

Select an available funding category: Regional

Project Description

Select a goal: Goal 4: CBRNE Detection, Response, and Decontamination

Bay Area UASI Homeland Security Goals & Objectives

Select a Priority Capability Objective: Objective 4.1 Screening Search and Detection

Objective

Select the applicable FEMA Core Capability for your project: Screening, Search, and Detection

FEMA Core Capabilities

Select a nexus to terrorism: This project will enhance regional capacity to:

Prevent Terrorist Attacks

Select all that apply

Describe the nexus to terrorism in detail:

PRND equipment will help the region's capability to detect, analyze and report on radiological and nuclear material out of regulatory control that could be used by terrorists as a weapon of mass destruction

300 Characters Maximum

Select all applicable outcomes: Yes

a) Screen conveyances, cargo and people at land and maritime ports of entry, CIKR sites, public events, and incident scenes.

                                                                      Yes

b) Detect, identify and locate CBRNE materials using a variety of integrated means including technology, canines, and specialized personnel.

                                                                      Yes

c) Deploy security measures to detect weapons at public assembly sites (THIRA).

d)Deploy canine explosive detection teams covering radius around highly public assembly venues during events (THIRA).

Other - Describe Below:

Project Summary- Provide a brief description of your project: This grant will equipment law enforcement officers, explosive ordinance fire/hazmat personnel with personal radiological and nuclear detection equipment that will be used during normal patrol duties for primary detection , secondary screening and technical reach-back missions, during augmented PRND detection based on threat analysis/large public gathering, or in active intelligence driven search for radiological or nuclear material.

500 Characters Maximum

Compliance Requirements

                                                            –

Sole Source Approval

This project will require Sole Source Approval

Sole Source Request Form

Enviromental and Historic Preservation Request

Required for:

This project will require an Environmental & Historic Preservation Form

Enviromental and Historic Preservation Screening Form

—

Watercraft Projects

If project includes purchase of watercraft or watercraft equipment

the California Office of Emergency Services (CalOES) has a seperate request form to complete.

This project will require a Watercraft Request Form

Watercraft Request Form

—

Aviation Projects

If project includes the purchase of aircraft or aviation equipment

the California Office of Emergency Services (CalOES) has a seperate request form to complete.

This project will require an Aviation Request Form

Aviation Request Form

—

Establish/ Enhance Emergency Operations Center (EOC)

If project includes establishing or enhancing an Emergency Operations Center

the California Office of Emergency Services (CalOES) has a seperate request form to complete.

This project will require an Emergency Operations Center Request Form

Establish/ Enhance Emergency Operations Center Request Form

–

Performance bonds

Required for:

This project will require a Performance Bond

–

Personnel Declaration

If project includes hiring personnel, this field is required.

This project will require grant funded personnel (no supplanting)

Project Timeline

Project Dates*

11/01/2016	12/31/2016
Project Start Date	Project End Date

Milestones

Milestone	Please Describe	Estimated Completion Date
Convene (kick-off meeting)		11/09/2016
Create Specifications		12/14/2016
Obtain Quotes		01/11/2017
Issuance of PO		02/08/2017
Receive Equipment		05/10/2017
Test Equipment		06/14/2017
Deploy Equipment		08/16/2017
Equipment Inventory Work Sheet		09/13/2017

Equipment

Select a category of FEMA Authorized Equipment	Select the appropriate AEL #	Quantity	Price Each	Sales Tax	Shipping Cost	Training Cost	Installation Cost	Total
Detection Equipment	07RD-01-PDGA Detector, Radiation, Alarming, Personal (Gamma and Neutron)	80.0	$1,400	8.75	$167	$13,333	$0	**$135,300**
Detection Equipment	07RD-01-PDGA Detector, Radiation, Alarming, Personal (Gamma and Neutron)	15.0	$2,790	8.75	$167	$13,333	$0	**$59,012**
Detection Equipment	07RD-01-RIID Identifier, Isotope, Radionuclide	2.0	$15,953	8.75	$167	$13,333	$0	**$48,197**
			$20,143		**$500**	**$39,999**	**$0**	**$242,509**

Planning

Category	Planning Total
Planning	$0

Organization

Category	Organization Total
Organizations	$0

Equipment

Category	Equipment Total
Equipment	$242,509

Training

Category	Training Total
Training	$40,000

Exercise

Category	Exercises Total
Exercise	$0

Totals

Total Project Cost $282,509

To: **Bay Area UASI Approval Authority**

From: **Barry Fraser, General Manager, BayRICS Authority**

Date: **January 14, 2016**

Re: **Item 10: BayRICS JPA Quarterly Report and Proposed FY16 Allocation**

Recommendation:

Approve $59,000 from the FY16 UASI grant to fund the BayRICS Regional Broadband Planning and Governance, Year 2 Project.

Action or Discussion Items:

Action

Discussion:

BayRICS General Manager Barry Fraser will provide a BayRICS JPA Quarterly Report and request for regional funding of $59,000 to support BayRICS Regional Broadband Planning and Governance, Year 2 Project. Please see Appendix A for a PowerPoint presentation.

This funding will support year two of the BayRICS regional broadband planning and governance initiative. The grant will support terrorism preparedness by funding contract services to help BayRICS plan for the deployment of the FirstNet nationwide public safety broadband network in the Bay Area, and to support California with regional information gathering for state consultation with FirstNet. The project will provide regional planning for, and governance of, public safety broadband communications in Alameda, Contra Costa, Marin, San Francisco, San Mateo, Santa Clara and Sonoma County operational areas.

Approval Authority Members are respectfully asked to consider approving the recommended project allocation for this BayRICS Authority project.

BAYRICS UPDATE FOR BAY AREA UASI
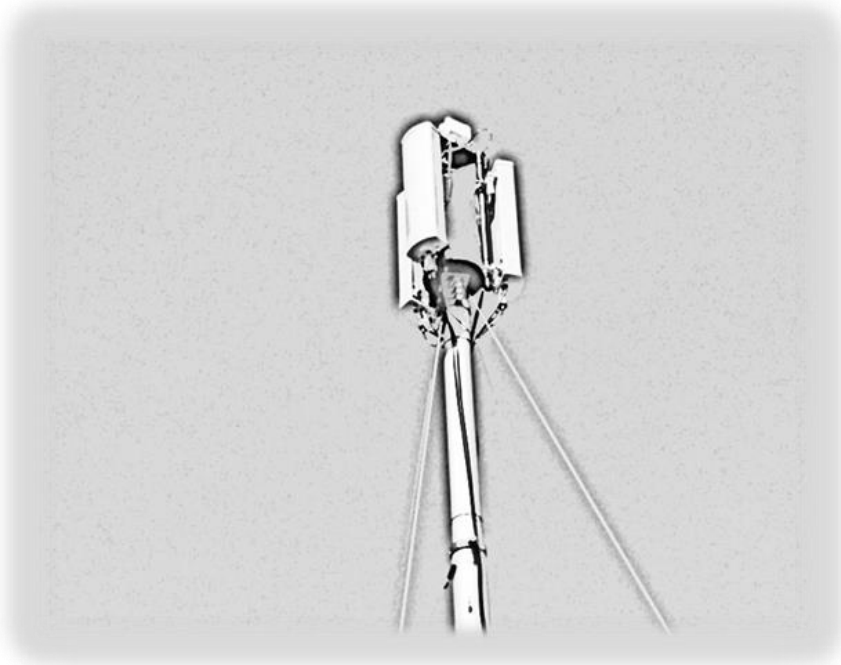
Barry Fraser
General Manager
BayRICS Authority
January 14, 2016

BAYRICS 2016-2017 FOCUS: Public Safety Broadband Planning

1. **FirstNet Progress**
2. **Public Safety Advisory Committee (PSAC)**
3. **State Consultation (CalFRN)**
4. **Regional Outreach/Education**

UASI 2016 funding of $59,000 will support BayRICS planning and outreach for <u>all</u> Bay Area agencies

FIRSTNET PROGRESS

Jan. 2016	Final Request for Proposals (RFP) Released	
⬇ **Jun. 2016**	**Proposals Due**	
⬇ **Dec. 2016**	**Contract(s) Awarded**	
⬇ **2017**	**State Plans Issued**	
⬇ 2017-2018	Network Deployment Begins	
⬇ 2022	Network "Substantially Complete"	

YOU ARE HERE

FIRSTNET PSAC 2016 FOCUS

1. **Local Control**
2. **Discipline Specific**
   - Law
   - Fire
   - EMS
3. **PSCR R&D**
   - Location Based Services (LBS)
   - Analytics
   - LMR to LTE Migration

Work Completed 2015

1. Priority, Preemption & Quality of Service
2. Public Safety Grade Infrastructure
3. User Devices

CALFRN 2016 FOCUS

- "Two-Pronged" FirstNet Consultation:

    - Expanded outreach to "hands-on" public safety users—police officers, firefighters, paramedics, as well as local elected officials

    - Targeted meetings with Governor and state executive leadership

- State "Consultation Task Teams" (CTT) to Gather State Information on Specific Technical Issues

OUTREACH/EDUCATION

- BayRICS Blog & Weekly Digest

- Web Resources and Tools: www.BayRICS.net

- Stakeholder Meetings

P25 Interoperability

- Interoperability Testing

- Maintain and Socialize Regional Fleetmap and Radio Programming Guidelines

- Host Monthly P25 Operators Open Forum

Conclusion

- 2016-2017 -- a very busy time for Public Safety Broadband

- UASI 2016 funding of $59,000 will support BayRICS efforts at a very reasonable cost
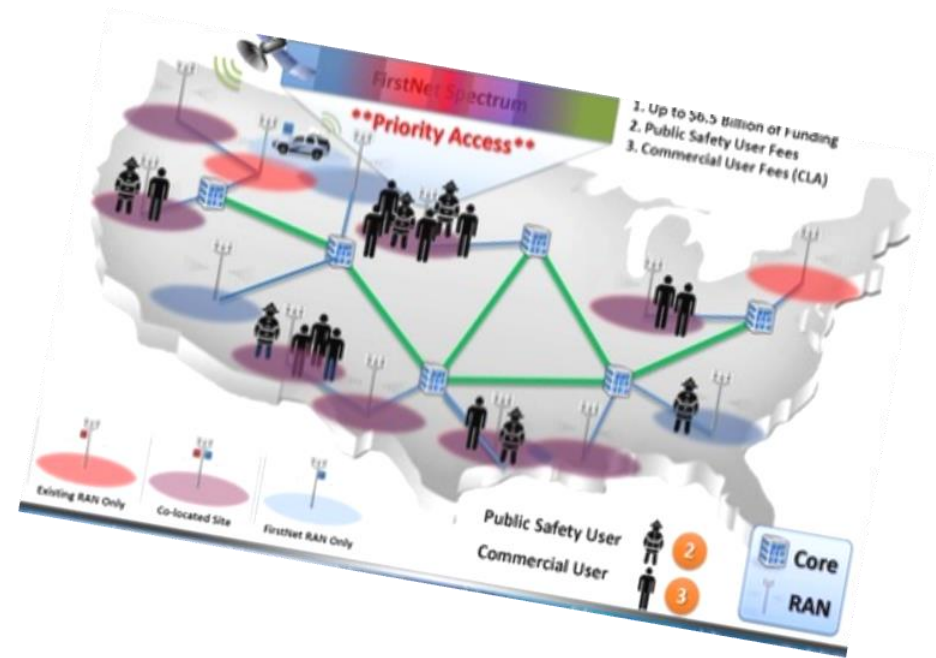
Application

00000 - FY16 Bay Area UASI

00105 - Regional Broadband Planning and Governance Year 2

UASI Grant Program

Status: Under Review

Submitted Date: 10/12/2015 3:24 PM

Project Lead

Name:*

Mr.	Barry	D	Fraser
Salutation	First Name	Middle Name	Last Name

Title: General Manager

Work Email: bfraser@acgov.org

Work Address: 4985 Broder Blvd.

*

Dublin	California	94568
City	State/Province	Postal Code/Zip

Phone:*

925-803-7882	
Phone	Ext.

What Program Area are you interested in?

Organization Information

Organization Name: BayRICS Authority

Organization Type: Government

Organization Website: www.bayrics.net

Address: 4985 Broder Blvd.

*

	Dublin	California	94568
	City	State/Province	Postal Code/Zip

Phone:*   925-803-7882

                                                                                                    Ext.

Fax:

If you are unsure of your agency's DUNS number please contact your finance department.

DUNS Number   08-001-0133

Funding Categories

Select your Operational Area (County):   Alameda County

Is your agency directly affiliated with the City of Oakland?   No

Will your project benefit two or more operational areas?   Yes

All projects must benefit 2 or more operational areas.

Please state which operational areas your project will benefit and how*

The project will support regional planning for, and governance of, public safety broadband communications in Alameda, Contra Costa, Marin, San Francisco, San Mateo, Santa Clara and Sonoma County operational areas.

250 Characters Maximum

Will your project benefit three or more hubs?   Yes

Select an available funding category: Regional

Project Description

Select a goal: Goal 3: Communications

Bay Area UASI Homeland Security Goals & Objectives

Select a Priority Capability Objective: Objective 3.1 Operational Communications

Objective

Select the applicable FEMA Core Capability for your project: Operational Communications

FEMA Core Capabilities

Select a nexus to terrorism: This project will enhance regional capacity to:

Prevent Terrorist Attacks, Protect Against Terrorist Attacks, Mitigate Effects of Terrorist Attacks, Respond to Terrorist Attacks, Recover from Terrorist Attacks

Select all that apply

Describe the nexus to terrorism in detail:

Support planning and governance required to deploy the FirstNet nationwide public safety broadband network. This network will enable first responders and emergency managers to share critical information to prevent, respond to and recover from terrorist attacks.

300 Characters Maximum

Select all applicable outcomes:

Yes

a) During the first 24 hours following a no-notice incident, responders share mission critical voice information with each other and with responders from across the Bay Area region.

Yes

b) Ensure local or regional emergency communications systems are based on established governance, standard operating procedures, and technology.

c) Within seven days following a catastrophic earthquake, implement a plan to re-establish communications infrastructure throughout the Bay Area, especially commercial communication systems relying on cable (THIRA).

Other - Describe Below:

Project Summary- Provide a brief description of your project:

This is year two of the BayRICS regional broadband planning and governance project. The grant will support terrorism preparedness by funding contract services to help BayRICS plan for the deployment of the FirstNet nationwide public safety broadband network in the Bay area, and to support California with regional information gathering for state consultation with FirstNet.

500 Characters Maximum

Compliance Requirements

–

Sole Source Approval

This project will require Sole Source Approval

Yes

Sole Source Request Form

Enviromental and Historic Preservation Request

Required for:

This project will require an Environmental & Historic Preservation Form

Enviromental and Historic Preservation Screening Form

Watercraft Projects

If project includes purchase of watercraft or watercraft equipment

the California Office of Emergency Services (CalOES) has a seperate request form to complete.

This project will require a Watercraft Request Form

Watercraft Request Form

Aviation Projects

If project includes the purchase of aircraft or aviation equipment

the California Office of Emergency Services (CalOES) has a seperate request form to complete.

This project will require an Aviation Request Form

Aviation Request Form

Establish/ Enhance Emergency Operations Center (EOC)

If project includes establishing or enhancing an Emergency Operations Center

the California Office of Emergency Services (CalOES) has a seperate request form to complete.

This project will require an Emergency Operations Center Request Form

Establish/ Enhance Emergency Operations Center Request Form

‒

Performance bonds

Required for:

This project will require a Performance Bond

‒

Personnel Declaration

If project includes hiring personnel, this field is required.

This project will require grant funded personnel (no supplanting)

Project Timeline

Project Dates*

	01/01/2017	12/31/2017
	Project Start Date	Project End Date

Milestones

Milestone	Please Describe	Estimated Completion Date
Sole Source Approval		01/12/2017
Contract Award		02/09/2017
Develop an Outline of major topics		03/16/2017
Finalize Action Plan		04/13/2017
Project Completion		11/30/2017
Submit Reimbursement Documentation		12/15/2017

Equipment

Select a category of FEMA Authorized Equipment	Select the appropriate AEL #	Quantity	Price Each	Sales Tax	Shipping Cost	Training Cost	Installation Cost	Total
			$0		$0	$0	$0	$0

Planning

Category	Planning Total
Planning	$50,000

Organization

Category	Organization Total
Organizations	$9,000

Equipment

Category	Equipment Total
Equipment	$0

Training

Category	Training Total
Training	$0

Exercise

Category	Exercises Total
Exercise	$0

Totals

Total Project Cost　　　　　　　$59,000

To: **Bay Area UASI Approval Authority**

From: **Catherine Spaulding, Assistant General Manager**

Date: **January 14, 2016**

Re: **Item 11: Risk Management Program, 2016 Calendar Year**

Staff Recommendations:

No recommendation – for discussion only

Action or Discussion Items:

Discussion

Discussion:

Approval Authority Bylaws specify that the Approval Authority must use a risk and capability-based methodology to apply for and allocate grant funds, which is consistent with guidance from DHS. The Management Team has completed its planning for the 2016 Risk Management Cycle in partnership with jurisdictions, the NCRIC, and Haystax Technology.

Our Risk Management Program operates on a two year cycle, and 2016 will be an "off" year with fewer requests for regional input. In 2016, jurisdictions will be urged to:

- Ensure assets have correct addresses and are properly geocoded so that they can be better viewed for situational awareness
- Make updates to school assets (for those three jurisdictions that did not complete this last year)
- Input new assets as needed
- Provide subject matter expertise to the annual THIRA update

In 2015, jurisdictions completed OA-level capability assessments and regional subject matter experts updated the regional capability assessment. The capability assessments will again be required in 2017. Also in 2017 we will be urging jurisdictions to begin updating asset information that is over five years old as well as completing VHEMP assessments for all priority 1 assets.

Please see appendix A for the outline of the Risk Management Program for CY 2016.

Asset Catalogue Clean Up:

Over the course of the last six months, the NCRIC has led the Management Team and Haystax Technology in a comprehensive review of the Cal COP asset catalogue. Duplicate assets have been removed, correct priority levels have been validated and updated when needed, and a consistent approach to grouping co-located assets has been established. In addition, Haystax technology performed diagnostic tests to ensure the accuracy of the program's risk algorithms. This process has required approximately 400 hours in staff time to review over 15,000 assets.

With a few exceptions, the asset catalogue clean-up has had a minimal impact on the jurisdictional asset risk distribution. Most changes in the risk distribution have come as a result of the updates to ensure correct and consistent priority status of assets. In the table below, please find a before and after picture of the asset risk distribution. Upon request we will be happy to meet with jurisdictions to review in detail the changes that have led to these updates.

Jurisdictional Asset Risk Distribution

Jurisdiction	Asset Risk % Before Clean Up	Asset Risk % After Clean Up
Alameda County	15.6	15.22
Contra Costa County	7.24	6.92
Marin County	0.40	0.24
Monterey County	1.61	1.59
Napa County	0.01	0.01
San Benito County	0.24	0.23
San Francisco	35.85	38.3
San Mateo County	13.36	13.65
Santa Clara County	17.11	14.77
Santa Cruz County	0.04	0.04
Solano County	6.77	7.03
Sonoma County	1.22	0.93

Point of Contact:

The success of the Risk Management Program depends on Core City/Operational Area points of contacts. Below please find our current list; please contact me or Mason Feldman with any changes.

<div align="center">

Bay Area UASI Risk Management Program

Operational Area/Core City Points of Contact

</div>

Op Area/Core City	Name
Alameda	Pace Stokes, Lt. OES
	Kurt von Savoye, Emergency Planner
	John Harnett, Lt (Fremont)
	Aurel Agustin (Hayward)
Contra Costa	Bani Kollo, Captain OES
	Rick Kovar, Emergency Services Mgr.
Marin	Chris Reilly, Emergency Services Mgr.
Monterey	Sherrie Collins, Emergency Services Mgr.
	Pat Moore, Emergency Planner
Napa	Kevin Twohey, Emergency Coordinator
Oakland	Cathey Eide, Acting Emergency Manager
San Benito	Kevin O.Neill, Emergency Services Mgr.
San Francisco	Katharine Cook, HSU CIKR Assessment
San Jose	Ryan Broughton, Emergency Services Mgr.
San Jose	Steven Jeffrey, Criminal Intel. Officer
San Mateo	Paul Kunkel, Lt, Homeland Security
Santa Clara	Dana Reed, Emergency Services Mgr.
	Ezra Hunter, Sgt., EOC
	Vinny Mata, Lt., OES (Sunnyvale)
	Robert Rullan, Fire Investigator/TLO (Sunnyvale)
Santa Cruz	Jeff Marsh ,Chief Deputy, Santa Cruz SO
Sonoma	Brendan Kearney, North Bay Hub Coordinator
	Neil Bregman, Emergency Preparedness Coordinator (Santa Rosa)
Solano	Don Ryan, Emergency Services Mgr.

Bay Area UASI Risk Management Program
January 2016

Each year the Bay Area UASI Risk Management Program includes data input, validation, assessments, and reporting to support decision-making and guide grant spending. A risk and capability-based methodology to allocate UASI funds is required by the Department of Homeland Security and is mandated in the Bay Area UASI Approval Authority Bylaws. This year there are four steps to our risk management process:

1. Kick-Off Meeting

WHO: All Bay Area UASI regional and subject matter experts involved in risk management
WHAT: The Bay Area UASI Management Team provides an overview of the risk management process for the grant year, the Cal COP Risk Analysis Center, as well as Protected Critical Infrastructure Information (PCII) certification requirements.
WHEN: February 17, 2016, 10:00am – 11:30am
WHERE: WebEx with the option to attend in person at the Bay Area UASI Office

2. Asset Updates and Validation

WHO: OA subject matter experts who are PCII trained and certified RAC users, NCRIC
WHAT: Subject matter experts review and update asset data based on identified gaps in information in the Risk Analysis Center. The NCRIC reviews and validates the information and submits it to the PCII office for certification as needed.
WHEN: March 1 – May 31, 2016
WHERE: In Cal COP

3. Operational Area and Regional Core Capability Assessments

The OA and regional capability assessment processes are completed on a biannual basis. The next scheduled updates are spring 2017.

4. THIRA

WHO: Regional subject matter experts.
WHAT: THIRA Kick Off and Scenario Workshop. This workshop will kick off the 2016 THIRA review process and validate THIRA scenarios. The Bay Area UASI Threat and Hazard Identification and Risk Assessment (THIRA) is required each year by DHS and will be presented to the Approval Authority in November 2016.
WHEN: May 25, 2016, 10am – 2pm
WHERE: Alameda County Sheriff's Office OES, 4985 Broder Blvd., Dublin, CA 94568

For more information, please contact Mason Feldman
Bay Area UASI Management Team, Mason.L.Feldman@sfgov.org, 415-353-5223

Bay Area Urban Areas Security Initiative | 711 Van Ness Ave., Ste 420, San Francisco, CA 94102

To: Bay Area UASI Approval Authority

From: Tom Wright, UASI Project Manager and Alameda County Sheriff's Commander
            Janell Myhre, UASI Regional Program Manager

Date: January 14, 2016

Re: Item 12: Urban Shield 2015 After Action Report Summary

Staff Recommendations:

No recommendation – for discussion only

Action or Discussion Items:

Discussion

Discussion:

This presentation will provide an end of exercise summary for the 2015 Urban Shield full scale exercise.

Urban Shield 2015 Incident Commander Captain Shawn Sexton and UASI Regional Program Manager Janell Myhre will present exercise highlights and after action items.

Please find the PowerPoint presentation in Appendix A and a Yellow Command After Action Summary in Appendix B.

URBAN SHIELD 2015

- Largest disaster preparedness/tactical training exercise in the nation for law enforcement, fire, EMS, EOD and EOC personnel

- Full scale exercise at critical infrastructure locations throughout five counties and in 58 realistic scenarios

- Capabilities and equipment evaluated for an After Action Report and Improvement Plan

- Activation of 20 EOCs over 9 Northern California Counties
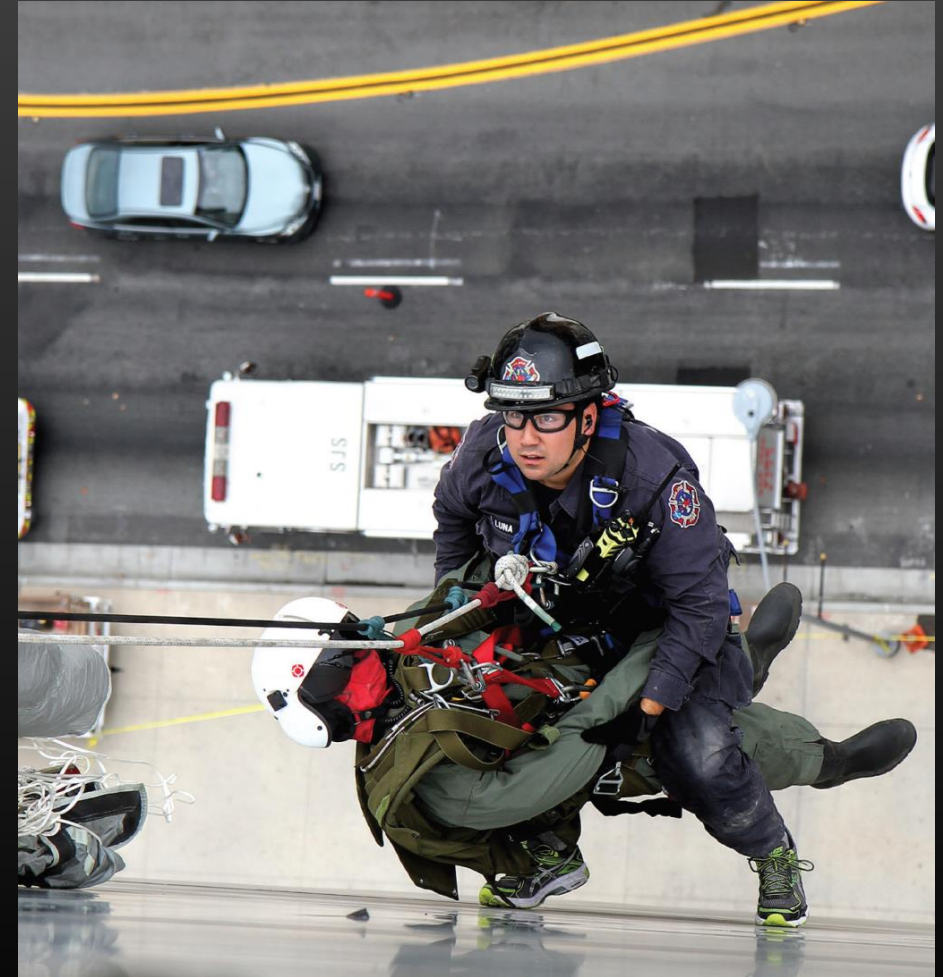
AREA COMMANDS
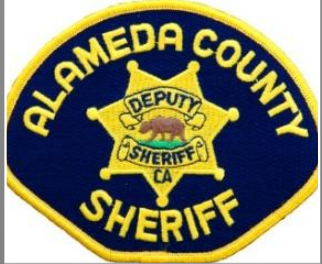
Black Command
Alameda County Sheriff's Office
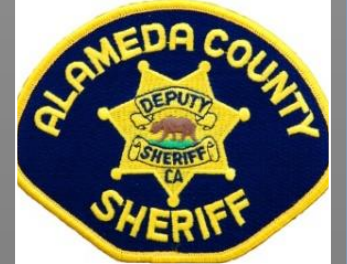
Green Command
San Francisco Police Department

Blue Command
Alameda County Sheriff's Office

Silver Command
San Mateo County Sheriff's Office

Gold Command
Alameda County Sheriff's Office

Orange Command
Alameda County Sheriff's Office

Red Command
San Jose Fire Department

White Command
Alameda County Sheriff's Office

Yellow Command
Regional Catastrophic Preparedness Team

SCENARIO SUMMARY

- 32   Tactical Scenarios

- 16   Fire Scenarios (HAZMAT/USAR/MARITIME)

- 4   EOD Scenarios

- 3   Emergency Medical Services Scenarios (EMS)

- 2   Unified Command Scenarios (Yellow Command)

- 4   Medical Checkpoints

TACTICAL: 35 Teams
Core capabilities: interdiction and disruption

FIRE: 34 Teams (USAR, HAZMAT, Maritime)
Core capabilities: mass search and rescue, environmental response safety and health

EOD: 6 Teams
Core capabilities: on-scene security and protection

UNIFIED COMMAND: 30+ local, state, and federal agencies
Core capabilities: public information and warning, critical transportation, situational assessment

** All scenarios tested operational coordination and operational communications

AREA COMMANDS

Exercise Goal:

Test and evaluate operational coordination; establish and maintain a unified and coordinated structure and process, which appropriately integrates all critical stakeholders and supports the execution of Core Capabilities.

Identified Strengths:

The EOC/DOC managed by the Alameda County Sheriff's Office is a high-functioning team, which has institutionalized ICS and NIMS.

Area for Improvement:

Not all of the EOCs participating in the video-conferencing have the same level of technical capabilities as the Alameda County EOC.

Recommendations:

❑ Integrated training and shadowing of the Alameda County EOC by Bay Area partners

❑ Enhance incident management and response capabilities

❑ Position-specific training to appropriate levels

EMERGENCY MEDICAL SERVICES (EMS)

Exercise Goal:

To increase the capability of integrating with law enforcement response to acts of violence for the purpose of delivering appropriate care to victims and mitigating loss of life.

Area for Improvement:

The location of Casualty Collection Points could be better planned to take advantage of spaces that were more easily accessible and manageable for resources at hand.

Identified Strengths:

The use of an integrated checklist during pre-planning facilitated better communication between law enforcement and emergency medical service teams.

Recommendation:

Integration with EMS and tactical teams has improved; however, this should remain a focus so it does not falter.

EXPLOSIVE ORDNANCE DISPOSAL (EOD)

Exercise Goal:

Evaluate regional Bomb Squads' core capabilities to conduct threat assessments, determine the danger posed by the explosive device or the risk of a secondary device in a hazardous/WMD environment, while utilizing proper personal protective equipment (PPE) and dismount operations.

Identified Strengths:

Scenarios were well planned and challenged EOD teams to develop and execute a plan of action in order to save human lives.

Area for Improvement:

Teams had difficulty deploying basic equipment, which led to unnecessary loss of time.

Recommendations:

❑ Build inert devices and exchange them with other regional bomb squads.

❑ Share this technical knowledge to ensure members are well-rounded and prepared in all aspects of the making and functioning of explosive devices.

HAZARDOUS MATERIALS RESPONSE (HAZMAT)

Exercise Goal:

Test and evaluate regional HazMat teams' ability to respond to the uncontrolled release of toxic industrial chemicals being used as weapons of mass destruction.

Identified Strengths:

Teams that followed the Incident Objectives had the most success when encountering the chemical agent device.

Area for Improvement:

All teams were able to retrieve a chemical sample as directed, but none of the teams attempted to mitigate the incident.

Recommendation:

HazMat teams should continue training in public safety WMD response.

MARITIME – WATER RESCUE

Exercise Goal:

Assess regional Fire water rescue teams' ability to search, rescue, and provide aid for persons in a maritime environment.

Area for Improvement:

Several teams struggled to recover victims due to lack of familiarity with boat based swimmer operations.

Identified Strengths:

All teams showed an understanding of basic navigational skills in both the classroom and practical application portions.

Recommendation:

Create standardized training, practices, and testing in the maritime discipline

URBAN SEARCH AND RESCUE (USAR)

Exercise Goal:

Evaluate regional US&R teams' ability to search, provide initial medical stabilization, and rescue trapped victims utilizing specialized training and equipment.

Identified Strengths:

Teams demonstrated tactical management and coordination, given the restrictions and parameters of the scenario.

Area for Improvement:

Allotted time for resetting scenario and travel time was noted by both teams and site personnel as being too limited.

Recommendation:

Incorporate time to account for a dry run by site personnel in order to ensure all aspects of anticipated tasks are manageable and meet designated objectives.

LAW ENFORCEMENT TACTICAL

Exercise Goal:

Assess the regional capabilities of law enforcement to deploy, communicate, and use specialized equipment and training in coordination with other agencies for the successful search and interdiction of terrorists, and the rescue and treatment of any hostages.

Identified Strengths:

Even with minimal information, team leaders were able to establish and communicate the mission objectives, rescue essential personnel, and establish scene security.

Area for Improvement:

Tactical teams showed differing levels of proficiency maintaining areas of responsibility, causing a breakdown in the duties encountered therein, including the loss of a rear guard and the breakdown of 360 degree coverage.

Recommendations:

❑ More training with ownership of areas of responsibility and assigned roles

❑ Improve target identification amidst dynamically evolving situations and shooting on the move and from oblique angles

UNIFIED COMMAND

Exercise Goals:

- ❑ Connect live tactical exercise to EOCs
- ❑ Test Bay Area Regional Joint Information System (JIS)
- ❑ Exercise Regional Mass Transportation and Evacuation Plan
- ❑ Test radio and satellite phones interoperability
- ❑ Utilize the Region's THIRA scenario
- ❑ Prepare for Super Bowl 50

Identified Strengths:

Successful regional common operating picture, coordination calls, interoperability, and activation of the Regional JIS.

Area for Improvement:

Clarify roles and responsibilities and use protocols

Recommendations:

- ❑ Develop use protocols for WebEOC/CalEOC and Cal COP
- ❑ Clarify roles and responsibilities for CalOES REOC Transportation Branch, MTC, and WETA
- ❑ Develop a Bay Area Regional Joint Information System Plan

2015 YELLOW COMMAND FULL–SCALE EXERCISE (FSE) AFTER ACTION REPORT EXECUTIVE SUMMARY

The 2015 Yellow Command Full-Scale Exercise (FSE) was conducted to test the Regional Catastrophic Earthquake Mass Transportation/Evacuation Plan. Nine Bay Area Counties, four cities, and more than 15 local, state, federal, and private agencies including the California Office of Emergency Services, the Metropolitan Transportation Commission, and the Northern California Regional Intelligence Center chose to exercise and evaluate regional communications, operational coordination, and information management to build capabilities for regional coordination during large special events, such as the upcoming Super Bowl 50.

The overarching goal of the 2015 Yellow Command FSE was to plan and conduct a regional emergency operations center (EOC) functional exercise as part of Urban Shield 2015, including live tactical field play at two locations. The Yellow Command exercise scenario was based on the IED threat scenario identified in the Bay Area Urban Areas Security Initiative (UASI) Threats and Hazards Identification and Risk Assessment (THIRA). The exercise included a three-hour functional exercise conducted on the morning of September 11, 2015 and full-scale components conducted simultaneously in Santa Clara and Marin Counties. Exercise players were presented with an exercise scenario that involved three simulated coordinated attacks using high explosives and domestic terrorist automatic weapons in the City of Santa Clara, and Marin and Monterey counties that simulated an estimated 1,000 deaths and 750 injured.

Both full-scale components leveraged the exercise scenario to establish unified command posts in City of Santa Clara at Levi's Stadium and in Marin County at the Cal Park Hill Tunnel. The City of Santa Clara FSE component included exercise activities focused on unified command post operations in response to a simulated high explosive mortar attack occurring outside Levi's Stadium during a high profile sporting event and the subsequent stadium evacuation and mass casualty response operations. The Marin County FSE component included exercise activities focused on unified command post operations in response to a simulated high explosive attack on a SMART train and a ferry search designed to apprehend the perpetrator of the SMART train attack.

The exercise planning team developed the following overarching objectives for the 2015 Yellow Command Full-Scale Exercise to test the associated core capabilities:

1. **Critical Transportation**: Exercise and evaluate the Regional Catastrophic Earthquake Mass Transportation/Evacuation Plan: Practice roles, responsibilities, and coordination with regional transportation agencies such as MTC and WETA.

2. **Situational Assessment**: Maintain a Common Operating Picture and Situational Awareness: Practice and evaluate the use of an agreed upon regional common operating picture. Evaluate regional information sharing and management processes using WebEOC, Cal EOC, HSIN, and Cal COP technology.

3. **Operational Communications**: Test alternative communication paths and interoperability across operational areas utilizing radio and satellite phone technologies.

4. **Operational Coordination**: Directly connect live tactical exercise to local/operational EOCs through physical on-scene incident commanders: Practice on-scene Unified Command in at least 2 locations throughout the Bay Area.

5. **Public Information and Warning**: Exercise and evaluate a Regional Joint Information System.

6. **Operational Coordination**: Practice the coordinated use of Mass Notification and Warning Systems.

The major strengths identified during this exercise are as follows:

- In accordance with the Bay Area Regional Catastrophic Earthquake Mass Transportation/ Evacuation Plan, Water Emergency Transportation Authority (WETA) and Metropolitan Transportation Commission (MTC) personnel successfully supported requests to move emergency response personnel via ferry and evacuate displaced persons in the Cities of Santa Clara and San Jose.

- Exercise participants were able to maintain situational awareness and access a regional common operating picture using regional information sharing systems (WebEOC/CalEOC, Cal COP, HSIN, etc.) during the exercise.

- Participating counties and cities successfully conducted a communications check of CalEOC, WebEOC, Cal COP, and Operational Area Satellite Information System (OASIS) prior to the exercise, which enhanced the Region's ability to share information during the exercise.

- City of Santa Clara Police and Fire personnel who staffed positions within the Levi's Stadium Incident Command Post (ICP) had a thorough working knowledge of their emergency operations plan and information technology systems. Moreover, ICP staff clearly understood their roles and responsibilities, and acted in accordance with stadium emergency response procedures while responding to a simulated attack on Levi's Stadium.

- Central Marin Police Authority and San Rafael Fire personnel have well established working relationships that were demonstrated during response operations to a simulated high explosive attack on a Sonoma-Marin Area Rail Transit (SMART) train impacting multiple jurisdictions in Marin County, CA. Collaborative planning, unified command and control operations were evident throughout the exercise.

- Bay Area Public Information Officers (PIOs) successfully activated a regional Joint Information System (JIS) and developed proactive and protective action messages for the general public, emergency personnel, media, response partners and other stakeholders. Moreover, two regional PIO coordination calls were conducted with PIO participation from nine counties and four cities.

During the exercise, several opportunities for improvement were identified. The primary areas for improvement and recommendations are provided below:

- Agency roles and responsibilities of the California Office of Emergency Services (Cal OES) Coastal Region EOC's Transportation Branch were unclear to participants during the exercise.

- o Corrective Action: Work with MTC, WETA, and Caltrans to define the current roles and responsibilities for the Coastal Region EOC's Transportation Branch.
- o Corrective Action: Revise the Regional Catastrophic Earthquake Mass Transportation/ Evacuation Plan and related agency plans to clarify the roles and responsibilities of the Coastal Region EOC's Transportation Branch.
- o Corrective Action: Provide traning for personnel that staff the Transportation Branch.

- Use of multiple information sharing systems such as WebEOC/CalEOC and Cal COP required duplicate entries of the same information which was time consuming and caused inconsistencies.
  - o Corrective Action: Develop an information management plan or policy that codifies consistent and coordinated use of key information management systems such as WebEOC/CalEOC and Cal COP.
  - o Corrective Action: Continue development and implementation of the two-way integration of Cal COP and WebEOC systems.

- Participating jurisdictions expressed confusion as to which information should be compiled for sharing to regional partners including Cal OES, MTC, WETA, and other Operational Areas.
  - o Corrective Action: RCPT to coordinate with Cal OES to improve information management expectations, coordinate timing for operational meetings, and develop an all hazards essential elements of information (EEI) collection plan.

- The Bay Area does not have an established process for activating and operating a regional Joint Information System.
  - o Corrective Action: RCPT regional Joint Information System sub-committee to steer the creation of a regional Joint Information System framework or plan.

- Some public information officers were operating without information on response operations currently underway in other jurisdictions.
  - o Corrective Action: Provide PIO training on how to work with Operations Sections and field response teams for situational awareness and message development.
  - o Corrective Action: Train PIOs on Emergency Support Function 15 concepts and plans.

The 2015 Yellow Command Full-Scale Exercise was a successful and well-attended, multi-jurisdictional, multi-agency response exercise that challenged field responders, EOC staff, and PIOs throughout the Bay Area to collaborate, coordinate, and support response operations stemming from simulated coordinated terrorist attacks occurring throughout the region. The FSE tested elements of regional, county and city emergency plans, engaged Federal, State, and local resources, and facilitated information sharing and collaboration across multiple response levels.

3

This FSE builds on the Urban Shield Yellow Command exercise series which is an exercise program designed to evaluate and improve the Bay Area's regional response capabilities. FSE evaluators identified strengths, areas for improvement, and recommendations to improve Bay Area capabilities to prevent, protect, mitigate, respond, and recover from coordinated terrorist attacks. The Bay Area UASI can use the results of this exercise to further enhance regional communications, operational coordination, and information management to build capabilities for regional coordination during large special events, such as the upcoming Super Bowl 50. Subsequent exercises should build on these experiences to further explore the Region's abilities to deter, respond to, and recover from both natural and human-caused disasters.

To: Bay Area UASI Approval Authority

From: Tristan Levardo, CFO

Date: January 14, 2016

Re: Item 13: FY15 UASI Spending Report

Staff Recommendations:

No recommendation – for discussion only

Action or Discussion Items:

Discussion

Discussion:

The sub-recipient performance period for FY2015 UASI grant is November 1, 2015 – December 31, 2016, with final claim for reimbursement due no later than January 31, 2017.

All MOUs have been released to the jurisdictions. We urge that all project leads review their project plans and initiate the spending and/or procurement process. The figures below represent the official allocations released to the jurisdictions. Spending will be subsequently reported based on these numbers.

Jurisdiction	Budget
Management Team	3,529,000
Alameda	5,915,179
Benicia	58,553
Contra Costa	820,678
Fairfield	8,510
Marin	127,781
Napa	53,069

Jurisdiction	Budget
NCRIC	4,168,746
Novato	24,999
Oakland	1,050,000
Salinas	234,240
San Benito	68,894
San Francisco	3,160,188
San Jose	1,000,000
San Mateo	809,504
Santa Clara	942,055
Santa Cruz	330,691
Solano	119,000
Sonoma	298,913
Total	22,720,000

FY15 UASI Allocation

Solano, 119,000
Sonoma, 298,913
Management Team, 3,529,000
Santa Cruz, 330,691
Santa Clara, 942,055
San Mateo, 809,504
San Jose, 1,000,000
San Francisco, 3,160,188
Alameda , 5,915,179
San Benito, 68,894
Salinas, 234,240
Benicia, 58,553
Contra Costa, 820,678
Oakland, 1,050,000
Fairfield, 8,510
Novato, 24,999
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