

Bay Area UASI

Approval Authority

November 18, 2021





Approval Authority Meeting

Thursday, November 18, 2021, 10:00 A.M.

Alameda County Sheriff's Office
4985 Broder Blvd., Dublin, CA 94568
OES Assembly Room

Agenda

1. CALL TO ORDER ROLL CALL

UASI Chair	Mary Ellen Carroll, City and County of San Francisco
UASI Vice-Chair	Rich Lucia, County of Alameda
Member	Erica Arteseros, City and County of San Francisco
Member	Jessica Feil, City of Oakland
Member	Raymond Riordan, City of San Jose
Member	Dana Reed, County of Santa Clara
Member	Mike Casten, County of Contra Costa
Member	Bob Doyle, County of Marin
Member	Gerry Malais, County of Monterey
Member	Mark Robbins, County of San Mateo
Member	Christopher Godley, County of Sonoma
General Manager	Craig Dziedzic

2. APPROVAL OF THE MINUTES (Action Item)

Discussion and possible action to approve the draft minutes from the September 09, 2021 Approval Authority meeting or take any other action related to the matter.
(Document for this item is draft minutes from September 09, 2021.) 5 mins

3. GENERAL MANAGER'S REPORT (Action Item)

General Manager Craig Dziedzic will present:

- a) Notification of Subrecipient Award FY 2021 (Discussion)
- b) FY2021 Targeted Violence and Terrorism Prevention (TVTP) Grant (Discussion)
- c) UASI FY2022/23 Project Proposal Process (Discussion)
- d) Approval of the 2022 Calendar for Approval Authority Meetings (Action)
- e) Approval of the Revised Master MOU (Action)
- f) Management Team Staff Updates (Discussion)
- g) Management Team Tracking Tool and Future Agenda Items (Discussion)

(Documents for this item are a report and 4 appendices.) 10 mins

4. **THIRA/SPR APPROVAL (Action Item)**
Regional Project Manager Amy Ramirez will present the annual THIRA and SPR for approval *(Documents for this item are a report and appendix.) 5 mins*

5. **ABAHO/BAMPWG VACCINE POINT OF DISPENSING (V-POD) OPERATIONS PROJECT UPDATE (Discussion)**
ABAHO Coordinator Emma Hunter will present an update on the ABAHO/BAMPWG Mass Vaccination Planning Project. *(Documents for this item are a report and appendix.) 5 mins*

6. **GRANT FUNDED PROGRAMS UPDATE (Discussion)**
BAUASI Program Managers will present updates on the region's grant-funded programs. *20 mins*
 - a. **BAY AREA TRAINING AND EXERCISE PROGRAM (BATEP) UPDATE**
Regional Program Manager Corinne Bartshire will present an update on the BATEP. *(Documents for this item are a report and appendix) 5 mins.*
 - b. **SECURING THE CITIES SAN FRANCISCO BAY AREA (STC SFBA) UPDATE**
Regional program manager Dominic Yin will present an update on STC SFBA. *(Documents for this item are a report and appendix) 5 mins*
 - c. **TARGETED VIOLENCE AND TERRORISM PREVENTION (TVTP) PROGRAM UPDATE**
Regional Program Manager Jodi Traversaro will present an update on the TVTP program. *(Documents for this item are a report and appendix) 5 mins.*
 - d. **REGIONAL CATASTROPHIC PREPAREDNESS GRANT PROGRAM (RCPGP)**
Regional Project Manager Amy Ramirez will present an update on RCPGP *(Documents for this item are a report and appendix) 5 mins.*

7. **NCRIC THREAT BRIEFING (Discussion)**
NCRIC Executive Director Mike Sena will present a briefing on the Regional Information Sharing System. *(Document for this item is a report.) 10 mins*

8. **SUBRECIPIENT MONITORING AND DESK REVIEW RESULTS (Discussion)**
CFO Tristan Levardo will provide an update on the results of the desk review of FY19 BAUASI grant funds. *(Document for this item is a report.) 5 mins*

9. **ANNOUNCEMENTS - GOOD OF THE ORDER**

10. **GENERAL PUBLIC COMMENT**
Members of the public may address the Approval Authority for up to three minutes on items within the jurisdiction of the Bay Area UASI Approval Authority.

11. **ADJOURNMENT**
If any materials related to an item on this agenda have been distributed to the Approval Authority members after distribution of the agenda packet, those materials are available for public inspection at the Bay Area UASI Management Office located at 1663 Mission St., Suite 320, San Francisco, CA, 94103 during normal office hours: 9:00 a.m. - 5:00 p.m.

Public Participation:

It is the policy of the Approval Authority to encourage and permit public participation and comment on matters within the Approval Authority's jurisdiction, as follows.

- *Public Comment on Agenda Items.* The Approval Authority will take public comment on each item on the agenda. The Approval Authority will take public comment on an action item before the Approval Authority takes any action on that item. Persons addressing the Approval Authority on an agenda item shall confine their remarks to that particular agenda item. For each agenda item, each member of the public may address the Approval Authority once, for up to three minutes. The Chair may limit the public comment on an agenda item to less than three minutes per speaker, based on the nature of the agenda item, the number of anticipated speakers for that item, and the number and anticipated duration of other agenda items.
- *General Public Comment.* The Approval Authority shall include general public comment as an agenda item at each meeting of the Approval Authority. During general public comment, each member of the public may address the Approval Authority on matters within the Approval Authority's jurisdiction. Issues discussed during general public comment must not appear elsewhere on the agenda for that meeting. Each member of the public may address the Approval Authority once during general public comment, for up to three minutes. The Chair may limit the total general public comment to 30 minutes and may limit the time allocated to each speaker depending on the number of speakers during general public comment and the number and anticipated duration of agenda items.
- *Speaker Identification.* Individuals making public comment may be requested, but not required, to identify themselves and whom they represent.
- *Designated Public Comment Area.* Members of the public wishing to address the Approval Authority must speak from the public comment area.
- *Comment, Not Debate.* During public comment, speakers shall address their remarks to the Approval Authority as a whole and not to individual Approval Authority representatives, the General Manager or Management Team members, or the audience. Approval Authority Representatives and other persons are not required to respond to questions from a speaker. Approval Authority Representatives shall not enter into debate or discussion with speakers during public comment, although Approval Authority Representatives may question speakers to obtain clarification. Approval Authority Representatives may ask the General Manager to investigate an issue raised during public comment and later report to the Approval Authority. The lack of a response by the Approval Authority to public comment does not necessarily constitute agreement with or support of comments made during public comment.
- *Speaker Conduct.* The Approval Authority will not tolerate disruptive conduct by individuals making public comment. Speakers who use profanity or engage in yelling, screaming, or other disruptive behavior will be directed to cease that conduct and may be asked to leave the meeting room.

Disability Access

The Bay Area UASI Approval Authority will hold its meeting at the James Burton Federal Building, Northern California Regional Intelligence Center, 2nd Floor, California Conference Room, located at 450 Golden Gate Avenue, San Francisco, CA 94102. In compliance with the Americans with Disabilities Act, those requiring accommodations for this meeting should notify BAUASI Office Administration Assistant, at least 24 hours prior to the meeting, at (628) 231-0162



Agenda Item 02

Approval of the Minutes

Chair Carroll



Approval Authority Meeting

Thursday, September 9, 2021, 10:00 A.M. - 12:00 P.M.

Held virtually via Microsoft Teams

Meeting Minutes

1. CALL TO ORDER ROLL CALL

Approval Authority Chair Mary Ellen Carroll called the meeting to order at 10:04 AM and General Manager Craig Dziejczak subsequently took the roll.

Chair Mary Ellen Carroll and Vice-Chair Rich Lucia were present. Members Erica Arteseros-Brown, Jessica Feil, Dana Reed, Craig Scardina, Gerry Malais, Chris Godley, and alternates Judy Torrico and Thomas Chalk were present. Neither the member nor their alternate from the County of San Mateo were present.

2. APPROVAL OF THE MINUTES

Motion: Approve the minutes from the June 10, 2021, Approval Authority meeting.

Moved: Gerry Malais **Seconded:** Jessica Feil

Vote: The motion passed with 10 ayes.

Chair Mary Ellen Carroll asked for any comments or questions concerning the Minutes from the June 10, 2021, Approval Authority meeting.

A public comment was made regarding the meeting minutes process.

3. GENERAL MANAGER'S REPORT

General Manager Craig Dziejczak presented an update on submitted grant applications, the Bay Area UASI Master MOU, the Bay Area UASI By-laws, and the Tracking Tool.

Chair Mary Ellen Carroll thanked the City Attorney's Office and general counsel for the work that went into updating the Bay Area UASI Master MOU and By-laws.

A public comment was made regarding the General Manager's report

Motion: Approve the Bay Area UASI Master MOU

Moved: Chair Mary Ellen Carroll **Seconded:** Jessica Feil

Vote: The motion passed with 10 ayes.

Motion: Approve the Bay Area UASI By-Laws

Moved: Chair Mary Ellen Carroll **Seconded:** Jessica Feil

Vote: The motion passed with 10 ayes.

4. BROWN ACT UPDATE

Attorney Rachel Hundley from the firm of Meyers-Nave provided an update on Brown Act requirements.

A member of the board asked for clarification on the Brown Act and the use of social media (Reference audio here: [September UASI Approval Authority Meeting](#) at 37:38).

A member of the board asked a question regarding bill AB361 on conducting virtual public meetings during a state declared emergency. (Reference audio here: [September UASI Approval Authority Meeting](#) at 43:40).

A member of the board asked for an example of a social media interaction that would violate the Brown Act. (Reference audio here: [September UASI Approval Authority Meeting](#) at 44:57).

A public comment was made regarding the meeting minutes.

5. FY 2020 NATIONAL PRIORITY PROJECTS UPDATE

Regional Program Manager Janell Myhre presented an update on the FY2020 National Priority Projects.

A member of the board thanked Janell Myhre for providing clarity on the National Priority Projects during her presentation.

A public comment was made regarding CBRNE, technology sharing, and protection.

6. GRANT FUNDED PROGRAMS UPDATE

General Manager Craig Dziejdzic introduced Regional Program Manager Corinne Bartshire to present an update on the BATEP. Regional Program Manager Dominic Yin presented an update on STC SFBA. Regional Program Manager Jodi Traversaro presented an update on the TVTP program. And Regional Program Manager Amy Ramirez presented an update on RCPGP.

Chair Mary Ellen Carroll recognized the Program Management team for their work, especially during this challenging time.

A public comment was made regarding the importance of preparedness

7. VIRTUAL SECURITY OPERATIONS CENTER (VSOC) PILOT PROJECT UPDATE

Regional Program Manager Mikyung Kim-Molina presented an update on the VSOC pilot project.

A public comment was made regarding cybersecurity and the use of open democratic practices.

8. ALERT THE BAY PROJECT UPDATE

Regional Project Manager Mikyung Kim-Molina presented an update on ALERTtheBay.

Chair Mary Ellen Carroll asked how ALERTtheBay parallels or compliments local alert systems (Reference audio here: [September UASI Approval Authority Meeting](#) at 1:41:44).

A public comment was made regarding Bay RICS and public information sharing during emergencies.

9. DIGITAL SERVICES PROJECT UPDATE

Regional Program Manager Janell Myhre provided an update on the Digital Services Project.

Several members of the board asked for clarification on the funding and the action for this item (Reference audio here: [September UASI Approval Authority Meeting](#) at 1:47:30).

A public comment was made regarding the funding for this project.

Motion: Approve the negotiation of a vendor contract exceeding \$250,000 with final approval on the terms of that contract to be brought back to the Approval Authority

Moved: Chair Mary Ellen Carroll **Seconded:** Vice-Chair Rich Lucia

Vote: The motion passed with 10 ayes.

2:01:05 Chair Mary Ellen Carroll left the meeting and Vice-Chair Rich Lucia took over as meeting chair

10. NCRIC DVE THREAT BRIEFING

NCRIC Executive Director Mike Sena provided a DVE Threat briefing.

A public comment was made regarding issues of surveillance and ghost guns.

11. FY19 UASI SPENDING REPORT

Chief Financial Officer Tristan Levardo presented the Bay Area UASI Travel Expenditures Report.

A public comment was made regarding the 2-year funding cycle.

12. ANNOUNCEMENTS - GOOD OF THE ORDER

13. GENERAL PUBLIC COMMENT

A public comment was made regarding the events of 9/11/01 and how we can move forward.

14. ADJOURNMENT

The meeting was adjourned at 12:15 PM



Agenda Item 03

General Manager's Report



To: Bay Area UASI Approval Authority

From: Craig Dziedzic, General Manager

Date: November 18, 2021

Re: Item 03: General Manager's Report

Recommendations:

1. Approve the 2022 Calendar of Approval Authority Meetings. (Action)
2. Approve the revised 2021 Master MOU (Action)

Action or Discussion Items:

- (a) Notification of FY 21 Sub-recipient Awards (Discussion)
- (b) FY 2021 Targeted Violence and Terrorism Prevention (TVTP) Grant (Discussion)
- (c) UASI FY 2022/23 Project Proposal Process (Discussion)
- (d) Approval of the 2022 Calendar for Approval Authority Meetings (Action)
- (e) Approval of the Revised Master MOU (Action)
- (f) Management Team Staff Update (Discussion)
- (g) Management Team Tracking Tool and Future Agenda Items (Discussion)

Discussion/Description:

(a) Notification of Subrecipient Award FY 2021 (Discussion Only)

On October 27, 2021, Cal OES notified the Bay Area UASI of its approval of our FY 2021 Homeland Security Grant Program application for \$30,562,500. A conditional hold was placed on one of our cybersecurity projects and three of our projects involving domestic violent extremism. The Management Team is coordinating with Cal OES and FEMA to provide additional information to release the hold.

The Management Team is awaiting the Grant Assurances for the FY 2021 Homeland Security Grant Program. The Management Team will be sending all the FY2021 MOUs to grant sub-recipients who may begin incurring expenses after these MOUs are executed and returned.

(b) FY 2021 Targeted Violence and Terrorism Prevention (TVTP) Grant Program (Discussion)

On September 27, 2021, the Bay Area UASI received notice that it was one of several recipients of funding under the FY 2021 TVTP grant program. Our grant award was \$1,060,942, which was the second largest award. Only the University of Colorado, with \$1,183,411, received more funding. The Bay Area UASI was the only UASI that received funding under this grant program.

(c) UASI FY2022/FY2023 Project Proposal Process (Discussion Only)

The online FY2022/23 project proposal submissions began on September 17, 2021 and closed on October 8, 2021. The Management Team received more than 200 applications amounting to approximately \$63.4 million, which is \$2.2 million more than the anticipated funding allocation of \$61.1 million for FY22 and FY23 combined.

Reflecting the new two-year application process, Approval Authority members provided the list of the region’s Hub Voting Members, and these members provided an initial review of their assigned applications from October 12- 25. The proposals are currently in review by the Management Team for fiscal and programmatic compliance.

Approval Members will have an opportunity to review their jurisdiction’s proposals from November 8 - 26, 2021 to ensure consistency with their own operational area and/or core city priorities.

Regional Grants Manager Mary Landers sent out notifications for the January meetings (as needed) on November 8th. She will be coordinating the upcoming Hub regional proposal prioritization virtual meetings as follows:

- | | |
|------------------|---------------|
| 1. North Bay Hub | Jan. 12, 2022 |
| 2. South Bay Hub | Jan. 21, 2022 |
| 3. East Bay Hub | Jan. 14, 2022 |
| 4. West Bay Hub | Jan. 26, 2022 |

(d) Approval of the 2022 Calendar for Approval Authority Meetings (Action)

For the 2022 calendar year, the time/place of Approval Authority meetings are at 10:00 AM on Zoom or at the Alameda Sherriff’s Office OES on the second Thursday of the month for January, March, June, September, and November. Attached, as Appendix A, is the 2022 Schedule of Approval Authority Meetings.

(e) Approval of the Revised 2021 Master MOU (Action)

At the September 9th Approval Authority meeting, the Master MOU was on the agenda as an action item and was heard and accepted unanimously. Thereafter, the Management Team sent copies of the document to the respective jurisdictions of the Approval Authority members for signature. Upon review by some county counsel offices, a few thought one of the clauses was confusing and requested its deletion. UASI legal counsel Meyers Nave, spoke with some of these county counsel and disagreed with such interpretation. However, in the spirit of consensus, we have agreed to revise the document and delete the sentence in question. As the 2017 Master MOU document expires on November 30, this is a matter of urgency. Attached, as Appendix B, is the 2021-2025 Revised Master MOU.

(f) Management Team Staff Update (Discussion Only)

Corrine Bartshire has been promoted to the regional program manager position for the Bay Area Training and Exercise Program (BATEP)

Matthew Devine has joined the Bay Area UASI Management Team as the Training and Exercise Project Manager. He will be overseeing the training course administration for the regionwide Bay Area Training and Exercise Program (BATEP).

Prior to joining UASI, Matt served 28 years in the Santa Rosa Fire Department as a captain/paramedic. He is a state-certified fire officer involved in numerous projects including training, policy development, and a technical committee member on NFPA 1600 Standard on Continuity, Emergency, and Crisis Management.

Matt has worked with schools throughout the Bay Area for the past 15 years as an emergency consultant focusing on risk analysis, training delivery, and digital planning. He was the founder of a mobile application for emergency communications widely used by K-12 schools in the Bay Area. He is a San Francisco native, holds a BA from UC Davis and multiple certifications in emergency management

(g) Management Team Tracking Tool and Future Agenda Items (Discussion Only)

Attached, as Appendix C, is the Management Team Tracking Tool. Approval Authority members may submit future agenda items at any time to the General Manager.



2022 Approval Authority Meeting Schedule

Location:

Alameda County Sheriff's Office OES
4985 Broder Blvd.
Dublin, CA 94568

Meeting Time:

10:00 AM
Second Thursday of the Month

January 13, 2022
March 10, 2022
June 9, 2022
September 8, 2022
November 10, 2022

**MEMORANDUM OF UNDERSTANDING
AMONG
CITY OF OAKLAND, CITY OF SAN JOSE, CITY AND COUNTY OF SAN
FRANCISCO, COUNTY OF ALAMEDA, COUNTY OF CONTRA COSTA,
COUNTY OF MARIN, COUNTY OF MONTEREY, COUNTY OF SAN MATEO,
COUNTY OF SANTA CLARA, COUNTY OF SONOMA**

This Memorandum of Understanding (“MOU”) dated _____, 2021, sets forth the agreements of the City of Oakland, City of San Jose, City and County of San Francisco, County of Alameda, County of Contra Costa, County of Marin, County of Monterey, County of San Mateo, County of Santa Clara and County of Sonoma relating to the application for and allocation and distribution of federal Urban Areas Security Initiative (“UASI”) Program grant funds and other regional grant funds.

This MOU is made with reference to the following facts and circumstances:

~~A. This MOU is adopted pursuant to the Joint Exercise of Powers Act, Government Code Section 6500 *et seq.*, in effect as of the date hereof and as the same may from time to time be amended or supplemented. The Parties enter into this MOU to delegate the exercise of their joint powers pursuant to the terms and conditions stated herein.~~

BA. The above named cities and counties (collectively, the “Parties” and individually, a “Party”) are committed to regional cooperation and coordination in building and sustaining capabilities to provide the greatest capability for prevention, protection, mitigation, response, and recovery from threats or acts of terrorism and other catastrophic events in the Bay Area region in accordance with grant guidelines. The Bay Area UASI includes the jurisdictions as defined by the U.S. Department of Homeland Security (“DHS”).

CB. Beginning in 2006, DHS utilized a “core-city, core-county” concept to determine risk and allocate grant funds. The jurisdictions in the Bay Area UASI used that same concept to establish the Bay Area UASI Approval Authority (“Approval Authority”) as the Urban Area Working Group (“UAWG”) for the Bay Area UASI, comprised of Representatives from the Urban Area’s core cities and counties. In 2008 and subsequent years, DHS used the U.S. Census-determined Metropolitan Statistical Area as a component of its risk methodology and specified that the UAWG take a regional approach to establish representation and membership.

DC. In 2006, the core cities and counties of the Bay Area Urban Area - the City and County of San Francisco, the City of Oakland, the City of San Jose, the County of Alameda and the County of Santa Clara - approved a Memorandum of Understanding (“2006 MOU”), followed by a 2007 Memorandum of Understanding (“2007 MOU”), that established the objectives, governance structure, responsibilities, reporting structure, and financial agreements to be used in applying for UASI and other federal homeland security grant funding.

EDD. The Parties updated the 2007 MOU in 2011, 2013, and 2017. Such updates pertained to the objectives, governance structure, membership, responsibilities, reporting structure, and financial arrangements used by the Bay Area UASI in applying for, allocating and distributing UASI Program grant funding, and other regional grant funds. The MOU

approved in 2017 is set to expire on November 30, 2021. The Parties intend that this MOU shall, upon its Effective Date, supersede and replace the 2017 MOU in its entirety.

ACCORDINGLY, the Parties agree as follows:

1. **Bay Area UASI Region Approval Authority**: The Bay Area UASI Region Approval Authority (“Approval Authority”) shall continue for the purposes and on the terms and conditions set forth below.
 - a. **Membership; Representatives.**
 - i. *Membership.* The Parties shall appoint Members to the Approval Authority as follows: City of Oakland, City of San Jose, City and County of San Francisco, County of Alameda, County of Contra Costa, County of Marin, County of Monterey, County of San Mateo, County of Santa Clara, and County of Sonoma.
 - ii. *Selection of Representatives.* Each Party is responsible for selecting primary and alternate Representatives to the Approval Authority. Each Party shall select its own Representatives. Each Party shall designate its Representatives, and may change a Representative designation, by written notice as specified under this MOU, to the General Manager.
 - iii. *Membership Eligibility Requirements.* Each Member must be willing and legally able to accept and manage federal homeland security grant funds.
 - iv. *Authority of Representatives.* Each Party’s primary and alternate Representatives shall be authorized to take action for and speak on behalf of the Party.
 - v. *Attendance Requirement.* Only one Representative per Member may participate and vote at a meeting of the Approval Authority, except that up to two Representatives from the City and County of San Francisco may participate and vote. If no Representative of a Party is in attendance at two or more Approval Authority meetings in a calendar year, the Approval Authority may remove that Party as a Member of the Approval Authority by a two-thirds vote. In the event of such a vote, the Party in question will not be eligible to vote on said issue.
 - b. **Purpose.** The purpose of the Approval Authority is to provide effective direction and governance for grant programs under the jurisdiction of the Approval Authority, and to coordinate a regional approach to prevention, protection, mitigation, response and recovery to homeland security threats and hazards in accordance with DHS grant guidelines. To the extent consistent with grant program requirements, the Approval Authority shall:

- i. Approve the Bay Area UASI Goals and Objectives and THIRA (Threat and Hazards Identification and Risk Assessment), which shall provide focus to grant investments
 - ii. Adopt a regional risk management framework to administer the UASI Homeland Security Grant Program, and related grants, consistent with the grant guidelines and direction provided by the U.S. Department of Homeland Security (DHS) and the California Office of Emergency Services (Cal OES).
 - iii. Approve grant allocation methodologies.
 - iv. Approve all UASI Program and related grant applications.
 - v. Approve allocation and distribution of grant funds under the jurisdiction of the Approval Authority.
 - vi. Approve an annual budget for the Bay Area UASI Management Team, based on a July 1 - June 30 Fiscal Year and subject to approval in the City and County of San Francisco annual budget ordinance.
 - vii. Approve the establishment, purpose, and membership of any advisory bodies whose purpose is to advise the Approval Authority.
- c. Representatives' Roles and Responsibilities. Each Approval Authority Representative shall:
- i. Be prepared for and attend all Approval Authority meetings.
 - ii. Communicate with his or her jurisdiction's management staff and stakeholders about the discussions and decisions of the Approval Authority, as permitted by law.
- d. Urban Area Working Group (UAWG). The Approval Authority shall constitute the primary UAWG for the UASI region, with support from the UASI General Manager and UASI Management Team.
- e. Other Federal Grants. The Approval Authority may decide to apply the agreements, structures, processes and mechanisms specified in this MOU in applying for, allocating and distributing other types of federal grant funding for the Bay Area UASI region. Any such decision shall be by a two-thirds vote of the Approval Authority.
- f. Voting. The Approval Authority shall vote according to the following procedures:
- i. All votes of the Approval Authority shall require a majority vote for passage of any item, unless a higher threshold is specified in this MOU or set by the Approval Authority in its By-laws.

- ii. Each Representative shall have one vote.
 - iii. Each Representative present at a meeting shall vote “yes” or “no” when a question is put, unless excused from voting by a motion adopted by a majority of the Members.
 - iv. Approval Authority Representatives shall disclose any conflict of interest involved in their voting on an item, and shall, if necessary, request to be excused from the vote on that item.
- g Quorum. A quorum shall consist of the majority of the number of primary Representatives appointed to the Approval Authority. A quorum is at least six voting Representatives. The Approval Authority may not meet or conduct official business in the absence of a quorum.

2. **Obligations of Parties**. The obligations of each Party to this MOU shall be as follows:

- a City of Oakland. During the term of this MOU, Oakland shall designate one primary individual and one alternate as a full voting Member of the Approval Authority.
- b City of San Jose. During the term of this MOU, San Jose shall designate one primary individual and one alternate as a full voting Member of the Approval Authority.
- c City and County of San Francisco. During the term of this MOU, San Francisco will provide the following services to the Approval Authority:
 - i. Designate two primary Representatives and two alternates as full voting Members of the Approval Authority.
 - ii. Serve as the UASI region point of contact with the U.S. Department of Homeland Security (DHS) and California Office of Emergency Services (Cal OES) in connection with grants under the jurisdiction of the Approval Authority.
 - iii. Serve as the Fiscal Agent for grant funds under the jurisdiction of the Approval Authority during the term of this MOU, notwithstanding that another Party may indicate its desire to become the Fiscal Agent and may become the Fiscal Agent pursuant to the process determined In the By-laws.
- d Alameda County. During the term of this MOU, Alameda County shall designate one primary individual and one alternate as a full voting Member of the Approval Authority.
- e Contra Costa County. During the term of this MOU, Contra Costa County shall designate one primary individual and one alternate as a full voting Member of the Approval Authority.

- f Marin County: During the term of this MOU, Marin County shall designate one primary individual and one alternate as a full voting Member of the Approval Authority.
- g Monterey County: During the term of this MOU, Monterey County shall designate one primary Individual and one alternate as a full voting Member of the Approval Authority.
- h San Mateo County: During the term of this MOU, San Mateo County shall designate one primary individual and one alternate as a full voting Member of the Approval Authority.
- i Santa Clara County: During the term of this MOU, Santa Ctara County shall designate one primary individual and one alternate as a full voting Member of the Approval Authority.
- j Sonoma County: During the term of this MOU, Sonoma County shall designate one primary individual and one alternate as a full voting Member of the Approval Authority.

3. Obligations of All Parties; Reservation for Regional Plans. All Parties shall:

- a Participate in the implementation of regional projects and initiatives within the Bay Area Urban Area that are consistent with the mission and decisions of the Approval Authority, including participation in the Risk Management Program on an annual basis.
- b Provide personnel with subject-matter expertise to participate on working groups established by the Approval Authority and/or the General Manager. Such personnel shall be authorized to take action for and speak on behalf of the Party.
- c Each Party expressly retains all rights and powers necessary to accept and adopt regional plans.

4. General Manager.

- a The Approval Authority shall establish the minimum qualifications for the General Manager position, and may establish desired and preferred qualifications, as allowed by law and local civil service rules.
- b The Approval Authority shall select a General Manager.
- c The General Manager shall be an employee or contractor of the Fiscal Agent.
- d While the City and County of San Francisco is the Fiscal Agent, the General Manager will be an employee, and not a contractor, of San Francisco.
- e The employing jurisdiction is responsible for the work of the General Manager, and for directing and managing that work consistent with the duties determined

and established by the Approval Authority. Nothing in this Agreement is intended to interfere with the right of the employing jurisdiction to take employment action regarding the employee assigned as General Manager, including but not limited to imposing discipline up to and including termination of employment.

- f The individual selected by the Approval Authority shall be assigned to work full-time as the General Manager. The General Manager position shall be funded through grant funds.
- g Nothing in this MOU is intended to interfere with the right of the Approval Authority to remove the General Manager from his or her role as the General Manager of the Bay Area UASI Management Team.

5. UASI Management Team

- a In consultation with the Approval Authority, the General Manager may select employees of the Parties or independent contractors, to the extent permitted by federal and state law, to serve on the Management Team. The salaries of those employees assigned to serve on the Management Team shall be funded through grant funds. Nothing in this MOU is intended to interfere with the right of an employing jurisdiction to take employment action regarding an employee assigned to the Management Team, including but not limited to imposing discipline up to and including termination of employment.
- b The General Manager is responsible for the work of employees assigned to the Management Team, and for directing and managing that work consistent with the general duties determined and established by the General Manager.

6. Grants and Contracts Awarded for UASI Grant-Funded Projects. On behalf of, and by a vote of the Approval Authority, the Fiscal Agent may apply for federal grant funding for the UASI region. All grants and contracts awarded using UASI Program grant funds received by the UASI region shall conform to all applicable federal and state grant and contracting requirements.

- a Fiscal Agent. The City and County of San Francisco shall be the Fiscal Agent for the Bay Area UASI, notwithstanding that another Party may indicate its desire to become the Fiscal Agent and may become the Fiscal Agent pursuant to the process determined in the By-laws. The Fiscal Agent shall serve as the sub-grantee for funds granted by DHS and Cal OES to the Bay Area Urban Area. The Fiscal Agent shall provide all financial services and establish procedures and execute sub-recipient agreements for the distribution of grant funds to jurisdictions selected by the Approval Authority to receive grant funds. The Parties understand that until the Fiscal Agent and a sub-recipient jurisdiction fully and finally execute a sub-recipient agreement, the Fiscal Agent shall have no obligation to disburse grant funds to that jurisdiction. The Parties acknowledge and agree that grant decisions are subject to the discretion and decision-making of Cal OES and the Approval Authority. A Party or other sub-recipient jurisdiction that takes any action, informal or formal, to appropriate, encumber or expend grant funds before final

allocation decisions by Cal OES and the Approval Authority, and before a sub-recipient agreement is fully and finally executed with the Fiscal Agent, assumes all risk of possible non-allocation or non-reimbursement of funds.

- b All requests for funding or reimbursement from the Fiscal Agent shall meet any guidelines and requirements established by the Fiscal Agent. The guidelines may include requirements for record keeping, internal audits, signature authority for approval of reimbursement requests, submission of financial reports, and compliance with professional accounting standards. The Fiscal Agent may recover eligible costs for legal, financial, and other services through the grants administered by the Fiscal Agent.
 - c A Member who is a signatory to this Memorandum of Understanding and who has met all the requirements to hold a seat on the Approval Authority may request to be considered by the remaining Members of the Approval Authority to assume the role of Fiscal Agent at any time during the term of this Memorandum of Understanding. The Approval Authority shall consider the application, along with any applications of other Members, according to the process contained in the By-laws.
 - d The City and County of San Francisco, as the Fiscal Agent, will conduct a performance evaluation for the General Manager with input from the Approval Authority, on an annual basis pursuant to the Human Resources Rules of the City and County of San Francisco.
7. **By-laws.** The Approval Authority shall promulgate By-laws to govern implementation of this MOU, and to set duties and responsibilities for the General Manager and Management Team. The By-laws shall be consistent with the terms of this MOU. Wherever the By-laws conflict with the MOU, the MOU controls. The By-laws may be adopted and amended by a two-thirds vote of the Approval Authority.
8. **Preemption.** Should a federal law preempt a state or local law, regulation, or policy, the Approval Authority, including its Fiscal Agent and its employees performing work for the Approval Authority, shall comply with the federal law and implementing regulations. No provision of this MOU or the By-laws require the Approval Authority or its Fiscal Agent to observe or enforce compliance with any provision, perform any other act, or do any other task in contravention of federal, state, territorial, or local law, regulation, or ordinance, as applied pursuant to applicable laws pertaining to preemption.
9. **Indemnification.** In lieu of and notwithstanding the pro rata risk allocation that might otherwise be imposed between the Parties pursuant to Government Code Section 895.6, the Parties agree that all Losses (as defined below) incurred by a Party in connection with this MOU or the activities contemplated by this MOU shall not be shared pro rata but instead the Parties agree that pursuant to Government Code Section 895.4, each of the Parties hereto shall fully indemnify and hold each of the other Parties, including, without limitation, their officers, board members, employees and agents, harmless from any Losses imposed for injury (as defined by Government Code Section 810.8) arising in connection with the negligent acts or omissions or willful misconduct of the indemnifying Party,

including, without limitation, its officers, board members, employees or agents, under or in connection with or arising out of any work, authority or jurisdiction delegated to such Party under this Agreement. No Party, including, without limitation, any officer, board member, employee or agent thereof, shall be responsible for any Losses occurring by reason of the negligent acts or omissions or willful misconduct of other Parties hereto, including, without limitation, their officers, board members, employees or agents, under or in connection with or arising out of any work, authority or jurisdiction delegated to such other Parties under this Agreement. For purposes of this Section, Losses shall mean any and all claims, demands, losses, liabilities, damages (including foreseeable and unforeseeable consequential damages to the extent arising from third party claims), liens, obligations, interest, injuries, penalties, fines, lawsuits and other proceedings, judgments and awards and costs and expenses (including, without limitation, reasonable attorneys' fees and costs, and consultants' fees and costs) of whatever kind or nature, known or unknown, contingent or otherwise.

10. **Conflicts of Interest.** If and when a Party identifies an actual or potential conflict of interest among one or more of the Parties, that Party shall send written notification to all Parties. The Party with the actual or potential conflict shall respond to the notice within three business days. The response shall indicate whether the Party agrees or disagrees that a conflict exists. If the Party agrees, that Party may take appropriate action to cure the conflict, if possible, and shall describe its corrective actions in its response. If a Party disagrees, or cannot cure an actual conflict, the Approval Authority shall meet on the conflict within not less than 30 calendar days of the initial notice, in an effort to resolve the conflict. The Approval Authority shall schedule a special meeting if necessary to meet this timeline. All notices under this section shall be provided under Section 28, Notices.
11. **Effective Date and Term.** This MOU shall take effect on December 1, 2021 ("Effective Date") and shall remain in effect through November 30, 2025, unless sooner terminated as provided below ("Term").
12. **Termination.**
 - a Any Party may terminate its participation in this MOU by providing 30 days' advance written notice of its termination to all Parties and the General Manager. That Party shall fulfill any grant-related or contractual obligations to the Fiscal Agent. This MOU shall continue in effect between the remaining Parties.
 - b The Approval Authority may terminate any Party's participation in this MOU by a two-thirds vote, due to failure of the Party to meet the membership eligibility requirements under Section 1 of this MOU. A Party whose membership in the MOU is terminated must still fulfill any grant-related or contractual obligations to the Fiscal Agent.
 - c The Approval Authority may terminate this MOU at any time, for convenience and without cause, by unanimous vote. Any such action of the Approval Authority shall specify the date on which the termination shall be effective, which date shall be at least six months from the date of the Approval Authority's action to terminate the MOU.

13. **Jurisdiction and Venue.** The laws of the State of California shall govern the interpretation and performance of this MOU. Venue for any litigation relating to the formation, interpretation or performance of this MOU shall be in San Francisco, CA.
14. **Modification.** This MOU may not be modified, nor may compliance with any of its terms be waived, except by written instrument executed and approved in the same manner as this MOU.
15. **Cooperative Drafting.** This MOU has been drafted through a cooperative effort of the Parties, and all Parties have had an opportunity to have the MOU reviewed and revised by legal counsel. No Party shall be considered the drafter of this MOU, and no presumption or rule that an ambiguity shall be construed against the Party drafting the clause shall apply to the interpretation or enforcement of this MOU.
16. **Survival of Terms.** The obligations of the Parties and the terms of the following provisions of this Agreement shall survive and continue following expiration or termination of this Agreement: Section 9.
17. **Complete Agreement.** This is a complete agreement and supersedes any prior oral or written agreements of the Parties regarding the subject matter of this MOU, including but not limited to the process for applying for and distributing grant funding for the Bay Area Urban Area. Without limiting the foregoing, this MOU supersedes the Memorandum of Understanding dated December 1, 2006 and the 2007 MOU, the 2011 MOU, the 2013 MOU, and the 2017 MOU.
18. **Severability.** Should the application of any provision of this MOU to any particular facts or circumstances be found by a court of competent jurisdiction to be invalid or unenforceable, then (a) the validity of other provisions of this MOU shall not be affected or impaired thereby, and (b) such provision shall be enforced to the maximum extent possible so as to effect the intent of the Parties and shall be reformed without further action by the Parties to the extent necessary to make such provision valid and enforceable.
19. **Counterparts.** This MOU may be executed in several counterparts, each of which is an original and all of which constitutes but one and the same instrument.
20. **Notice.**
 - a Any notices required hereunder shall be given as follows:

If to the **City and County of San Francisco**, to:
Mary Ellen Carroll, Executive Director
Department of Emergency Management
City Hall
Room 344
1 Dr. Carlton B. Goodlett Place
San Francisco, CA 94102
(415) 558-2745
maryellen.carroll@sfgov.org

and
Erica Arteseros, Assistant Deputy Chief
SFFD Homeland Security
698 Second Street
San Francisco, CA 94107
(415) 238-5266
erica.arteseros@sfgov.org

If to the **City of Oakland**, to:
Jessica Feil, Emergency Services Manager
Oakland Fire Department
1605 Martin Luther King Jr. Way, 2nd Floor
Oakland, CA 94612
(510) 332-0205
jfeil@oaklandnet.com

If to the **City of San Jose**, to:
Raymond Riordan, Director Office of Emergency Services
855 N. San Pedro St. 4th Floor
San Jose, CA 95110
(408) 794-7055
ray.riordan@sanjoseca.gov

If to **Alameda County**, to:
Richard T. Lucia, Undersheriff
Alameda County Sheriff's Office
1401 Lakeside Drive 12th Floor
Oakland, CA 94612
(510) 272-6868
rlucia@acgov.org

If to **Contra Costa County**, to:
Mike Casten, Undersheriff
Contra Costa County Sheriffs Office
651 Pine Street, 7th Floor
Martinez, CA 94553
(925) 335-1512
mcast@so.cccounty.us

If to **Marin County**, to:
Robert Doyle, Sheriff
Marin County Sheriffs Office
1600 Los Gamos Dr. #200
San Rafael, CA 94903
(415) 473-7250
SDoyle@marinsheriff.org

If to **Monterey County**, to:
Gerry Malais, Emergency Services Manager
Office of Emergency Services
1414 Natividad Road
Salinas, CA 93906
(831) 796-1901
malaisg@co.monterey.ca.us

If to **San Mateo County**, to:
Mark Robbins, Undersheriff
San Mateo County Sheriffs Office
400 County Center, 3rd Floor
Redwood City, CA 94063
(650) 599-1662
mrobbins@smcgov.org

If to **Santa Clara County**, to:
Dana Reed, Director
Santa Clara County OEM
55 West Younger Avenue, Ste 450
San Jose, CA 95110
(408) 378-4010
dana.reed@oem.sccgov.org

If to **Sonoma County**, to:
Christopher Godley, Director
Sonoma County Dept. of Emergency Management
2300 County Center Drive, Suite 2208
Santa Rosa, CA 95403
(707) 565-2820
christopher.godley@sonoma-county.org

- b Notices shall be deemed given when received if given in person, by facsimile or by electronic means (if a record of receipt is kept by the sending party showing the date and time of receipt) or three (3) days following deposit in the United States Mail, postage prepaid, to the addressees set forth in subsection (a) above.
- c Any Party may change its contact individual and/or address for notice by giving written notice of the change to the General Manager.

The individuals executing this MOU represent and warrant that they have the legal capacity and authority to do so on behalf of their respective legal entities.

The undersigned approve the terms and conditions of this MOU.

[Signature pages to follow]

**MEMORANDUM OF UNDERSTANDING
AMONG
CITY OF OAKLAND, CITY OF SAN JOSE, CITY AND COUNTY OF SAN
FRANCISCO, COUNTY OF ALAMEDA, COUNTY OF CONTRA COSTA,
COUNTY OF MARIN, COUNTY OF MONTEREY, COUNTY OF SAN MATEO,
COUNTY OF SANTA CLARA, COUNTY OF SONOMA**

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The undersigned approve the terms and conditions of this MOU.

CITY OF OAKLAND

By:
Title:

**MEMORANDUM OF UNDERSTANDING
AMONG
CITY OF OAKLAND, CITY OF SAN JOSE, CITY AND COUNTY OF SAN
FRANCISCO, COUNTY OF ALAMEDA, COUNTY OF CONTRA COSTA,
COUNTY OF MARIN, COUNTY OF MONTEREY, COUNTY OF SAN MATEO,
COUNTY OF SANTA CLARA, COUNTY OF SONOMA**

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CITY OF SAN JOSE

By:
Title:

**MEMORANDUM OF UNDERSTANDING
AMONG
CITY OF OAKLAND, CITY OF SAN JOSE, CITY AND COUNTY OF SAN
FRANCISCO, COUNTY OF ALAMEDA, COUNTY OF CONTRA COSTA,
COUNTY OF MARIN, COUNTY OF MONTEREY, COUNTY OF SAN MATEO,
COUNTY OF SANTA CLARA, COUNTY OF SONOMA**

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The undersigned approve the terms and conditions of this MOU.

CITY AND COUNTY OF SAN FRANCISCO

By:
Title:

Approved as to Form:

David Chiu
City Attorney

By: _____
Christina Fletes-Romo
Deputy City Attorney

**MEMORANDUM OF UNDERSTANDING
AMONG
CITY OF OAKLAND, CITY OF SAN JOSE, CITY AND COUNTY OF SAN
FRANCISCO, COUNTY OF ALAMEDA, COUNTY OF CONTRA COSTA,
COUNTY OF MARIN, COUNTY OF MONTEREY, COUNTY OF SAN MATEO,
COUNTY OF SANTA CLARA, COUNTY OF SONOMA**

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The undersigned approve the terms and conditions of this MOU.

ALAMEDA COUNTY

By:
Title:

**MEMORANDUM OF UNDERSTANDING
AMONG
CITY OF OAKLAND, CITY OF SAN JOSE, CITY AND COUNTY OF SAN
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COUNTY OF MARIN, COUNTY OF MONTEREY, COUNTY OF SAN MATEO,
COUNTY OF SANTA CLARA, COUNTY OF SONOMA**

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CONTRA COSTA COUNTY

By:
Title:

**MEMORANDUM OF UNDERSTANDING
AMONG
CITY OF OAKLAND, CITY OF SAN JOSE, CITY AND COUNTY OF SAN
FRANCISCO, COUNTY OF ALAMEDA, COUNTY OF CONTRA COSTA,
COUNTY OF MARIN, COUNTY OF MONTEREY, COUNTY OF SAN MATEO,
COUNTY OF SANTA CLARA, COUNTY OF SONOMA**

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The undersigned approve the terms and conditions of this MOU.

MARIN COUNTY

By:
Title:

**MEMORANDUM OF UNDERSTANDING
AMONG
CITY OF OAKLAND, CITY OF SAN JOSE, CITY AND COUNTY OF SAN
FRANCISCO, COUNTY OF ALAMEDA, COUNTY OF CONTRA COSTA,
COUNTY OF MARIN, COUNTY OF MONTEREY, COUNTY OF SAN MATEO,
COUNTY OF SANTA CLARA, COUNTY OF SONOMA**

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The undersigned approve the terms and conditions of this MOU.

MONTEREY COUNTY

By:
Title:

**MEMORANDUM OF UNDERSTANDING
AMONG
CITY OF OAKLAND, CITY OF SAN JOSE, CITY AND COUNTY OF SAN
FRANCISCO, COUNTY OF ALAMEDA, COUNTY OF CONTRA COSTA,
COUNTY OF MARIN, COUNTY OF MONTEREY, COUNTY OF SAN MATEO,
COUNTY OF SANTA CLARA, COUNTY OF SONOMA**

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The undersigned approve the terms and conditions of this MOU.

SAN MATEO COUNTY

By:
Title:

**MEMORANDUM OF UNDERSTANDING
AMONG
CITY OF OAKLAND, CITY OF SAN JOSE, CITY AND COUNTY OF SAN
FRANCISCO, COUNTY OF ALAMEDA, COUNTY OF CONTRA COSTA,
COUNTY OF MARIN, COUNTY OF MONTEREY, COUNTY OF SAN MATEO,
COUNTY OF SANTA CLARA, COUNTY OF SONOMA**

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The undersigned approve the terms and conditions of this MOU.

SANTA CLARA COUNTY

By:
Title:

**MEMORANDUM OF UNDERSTANDING
AMONG
CITY OF OAKLAND, CITY OF SAN JOSE, CITY AND COUNTY OF SAN
FRANCISCO, COUNTY OF ALAMEDA, COUNTY OF CONTRA COSTA,
COUNTY OF MARIN, COUNTY OF MONTEREY, COUNTY OF SAN MATEO,
COUNTY OF SANTA CLARA, COUNTY OF SONOMA**

The individuals executing this MOU represent and warrant that they have the legal capacity and authority to do so on behalf of their respective legal entities.

The undersigned approve the terms and conditions of this MOU.

SONOMA COUNTY

By:
Title:

Bay Area UASI Management Team Tracking Tool

	Agenda Item	Presenter	Meeting Date
1.	Election of UASI Officers	Craig Dziedzic	01/13/22
2.	FY22 National Priority Projects	Janell Myhre	01/13/22
3.	Risk Management Program Kick Off	Amy Ramirez	01/13/22
4.	Program Annual Reports	Corinne Bartshire Corey Reynolds Mike Sena	01/13/22
5.	FY19 UASI Spending Report	Tristan Levardo	01/13/22
6.	Grant Status Update	Craig Dziedzic	03/10/22
7.	FY22 Funding Allocations & Hub Projects	Mary Landers	03/10/22
8.	Program Quarterly Updates	Corinne Bartshire Dominic Yin Jodi Traversaro Amy Ramirez	03/10/22
9.	NCRIC Threat Briefing	Mike Sena	03/10/22
10.	Bay Area UASI Travel Expenditures	Tristan Levardo	03/10/22
11.	Annual Budget, Organization Chart, Work Plans, Annual Report	Craig Dziedzic	06/09/22

Recurring Agenda Items				
	Agenda Item	Presenter	Mtg. Date	Deliverable/ Comments
1	General Manager Report	Craig Dziedzic	11/18/21 01/13/22 03/10/22 06/09/22 09/08/22	2022 Approval Authority Calendar Election of UASI Officers Grant Status Update Annual Budget, Organization Chart, Work Plans, Annual Report FY23 Proposal Process
2	Risk Management Program	Amy Ramirez	11/18/21 01/13/22 06/09/22	THIRA/SPR Approval; Hub Funding Allocation/ Risk Formula Application Program Kick Off Program Update
3	Program Annual Reports a. Bay Area Training & Exercise Program (BATEP) b. Bay Area Regional Interoperable Communications System (BayRICS) JPA Program Update c. Northern California Regional Intelligence Center (NCRIC)	Corinne Bartshire Corey Reynolds Mike Sena	01/13/22	Program Annual Reports
4	Program Quarterly Updates a. Bay Area Training & Exercise Program (BATEP) b. Securing the Cities SF Bay Area (STC SFBA) c. Targeted Violence Terrorism Prevention Program (TVTP) d. Regional Catastrophic Preparedness Grant Program (RCPGP)	Corinne Bartshire Dominic Yin Jodi Traversaro Amy Ramirez	11/18/21 03/10/22 06/09/22 09/08/22	Program Quarterly Updates
5	Threat Briefings Northern California Regional Intelligence Center (NCRIC)	Mike Sena	11/18/21 03/10/22 06/09/22 09/08/22	Regional Information Sharing System Special Events/ SARS SEAR Event Briefing/Gun Related Intelligence Cyber Security/Threat Briefing
6	UASI Financial Reports	Tristan Levarado	11/18/21 01/13/22 03/10/22 06/09/22 09/08/22	Reallocation of Grant Funds FY19 UASI Spending Report FY20 UASI Spending Report Reallocation of Grant Funds FY21 UASI Spending Report



Agenda Item 04

THIRA/ SPR Approval



To: Bay Area UASI Approval Authority
From: Amy Ramirez, Regional Project Manager
Date: November 18, 2021
Re: Item 04: 2021 THIRA/SPR Approval

Staff Recommendations:

Approve the 2021 THIRA/SPR for submission to FEMA.

Action or Discussion Items:

Action

Discussion:

FEMA requires that all Homeland Security Grant recipients submit the Threat and Hazard Identification and Risk Assessment (THIRA) and Stakeholder Preparedness Review (SPR) each year. The THIRA helps communities understand risks and identify the capabilities needed to address those risks. The SPR provides a framework to assess a community's capacity to address the threats and hazards identified in the THIRA. The THIRA and SPR are important for strategic planning and investment justifications, but do not affect the amount of funding the Bay Area UASI region receives from the Department of Homeland Security.

Today, the completed 2021 THIRA/SPR document is being presented to the Approval Authority for approval for submission to FEMA. Amy Ramirez, Regional Project Manager, will provide a background briefing on the updated THIRA/SPR.

The 2021 THIRA/SPR document is FOUO and has been prepared following FEMA's formatting specifications. It is available to Approval Authority members in hard copy at today's Approval Authority meeting.

We would like to thank the regional stakeholders who provided subject matter expertise to the THIRA/SPR.

Bay Area UASI

Threat and Hazard Identification and Risk Assessment (THIRA) & Stakeholder Preparedness Review (SPR)

Amy Ramirez, Regional Project Manager

Approval Authority Meeting
November 18, 2021





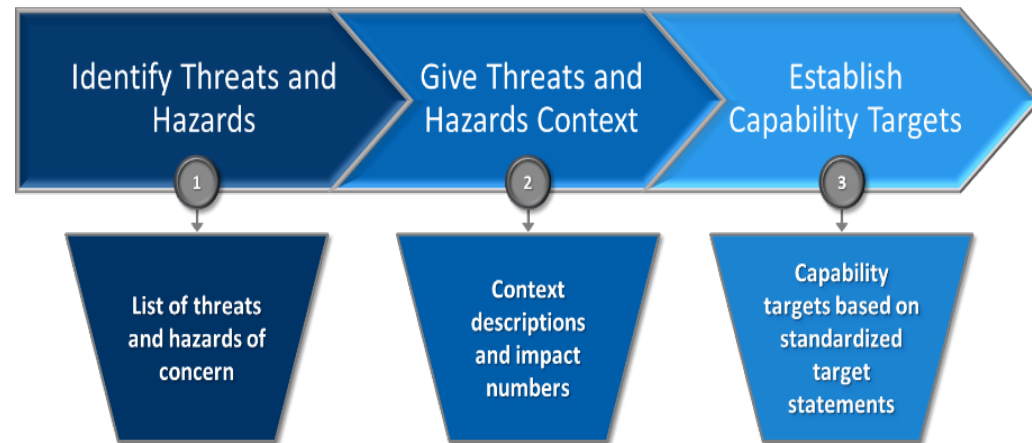
THIRA / SPR Background

- Requirement for Homeland Security grant recipients.
- THIRA = Threat & Hazard Identification and Risk Assessment
- SPR = Stakeholder Preparedness Review (capability assessment & gap analysis)
- Based on the 32 Core Capabilities described in the National Preparedness Guide (NPG)



THIRA*

- Added Pandemic Influenza scenario
- Added Improvised Nuclear Device (IND) scenario
- Updated Radiological Dispersal Device (RDD) scenario
- Set remaining Capability Targets :
 - Community Resilience
 - Threat & Hazard Identification
 - Forensics & Attribution

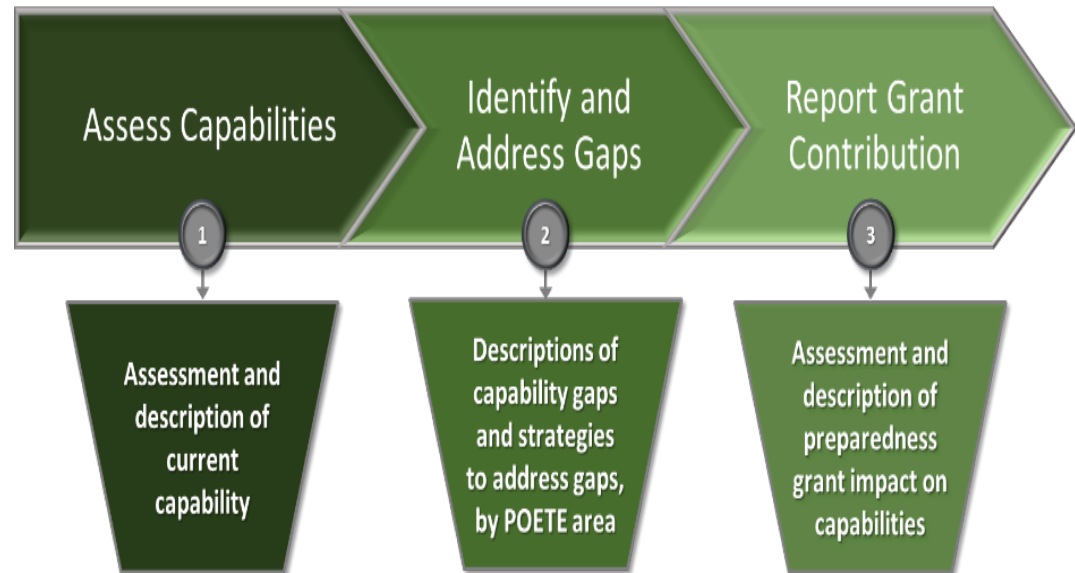


* THIRA: Threat & Hazard Identification and Risk Assessment



SPR*

- Updated required Mission Areas
- Completed remaining Mitigation Mission Areas
- Input gathered via:
 - Worksheets completed by jurisdictions
 - UASI Regional Work Groups
 - Focused SME Webinars



* SPR: Stakeholder Preparedness Review

Core Capability	Level of Ability	Core Capability	Level of Ability
Risk & Disaster Resilience	89%	Cybersecurity	48%
Operational Coordination	80%	Economic Recovery	40%
Operational Communications	72%	Physical Protective Measures	40%
Public Info. & Warning	61%	Critical Transportation	37%
Risk Mgt. for Protection Programs	60%	Supply Chain Integrity & Security	35%
Public Health, Healthcare, & EMS	58%	Access Control & Identity Verification	31%
Community Resilience	58%	Screening, Search, & Detection	17%
Intel. & Information Sharing	53%	Interdiction & Disruption	10%



Next Steps

- Submit THIRA-SPR to FEMA by Dec. 31
- Data visualization (FEMA Dashboard)
- Coordination with Cal OES THIRA/SPR
- 2022 THIRA/SPR Approach
 - Set own capability targets for National Priority Area Projects
 - Continued integration of THIRA/SPR into UASI programs, grants, strategy
 - Demonstrating ROI

Contact Information:

Amy Ramirez

UASI Regional Project Manager

415-412-8313

amy.ramirez@sfgov.org

Bay Area UASI





ABAHO/BAMPWG V-Pod Operations Project Update



To: Bay Area UASI Approval Authority

From: Emma Hunter, ABAHO Coordinator

Date: November 18, 2021

Re: Item 05: Vaccine Point of Dispensing (V-POD) Operations Project Update

Staff Recommendations:

No recommendation

Action or Discussion Items:

Discussion

Background:

The FY19 regional mass prophylaxis exercise was cancelled due to COVID-19. The project scope of work was revised in February 2021 to provide guidance to local jurisdictions to enhance interoperability and compatibility in setting up, managing, and operating vaccine points of dispensing. The resulting plans include a Vaccine Point of Dispensing Operational Plan (V-POD) and three Field Operations Guides to support walk-thru, drive-thru, and mobile vaccine dispensing.

Discussion:

ABAHO Coordinator, Emma Hunter will present an update on the Regional Vaccine Point of Dispensing (V-POD) Operational Plan project. The attached Appendix A is an accompanying PowerPoint presentation.

Bay Area UASI

Vaccine Point of Dispensing Operations Plan Project Update

Emma Hunter, ABAHO Coordinator

*Approval Authority Meeting
November 18, 2021*





Background

- Primary stakeholders:
 - Association of Bay Area Health Officers – Public Health Preparedness (ABAHO-PHP)
 - Bay Area Mass Prophylaxis Working Group (BAMPWG)
- Original project scope (anthrax-scenario mass prophylaxis exercise) was modified due to COVID-19
- Revised SOW: Operational vaccine response plans



Project Deliverables

- Review local plans
- COVID-19 POD site visits
- Vaccine Points of Dispensing (V-POD) Operational Plans
- Virtual training on each plan



Project Results

- Vaccine Point of Dispensing (V-POD) Operations Plan
- 3 Field Operating Guides:

BAY AREA VACCINE POINT OF DISPENSING (V-POD) FIELD OPERATING GUIDE

Mobile Model

September 2021



BAY AREA VACCINE POINT OF DISPENSING (V-POD) FIELD OPERATING GUIDE

Walk-In Model

September 2021



BAY AREA VACCINE POINT OF DISPENSING (V-POD) FIELD OPERATING GUIDE

Drive-Through Model

September 2021





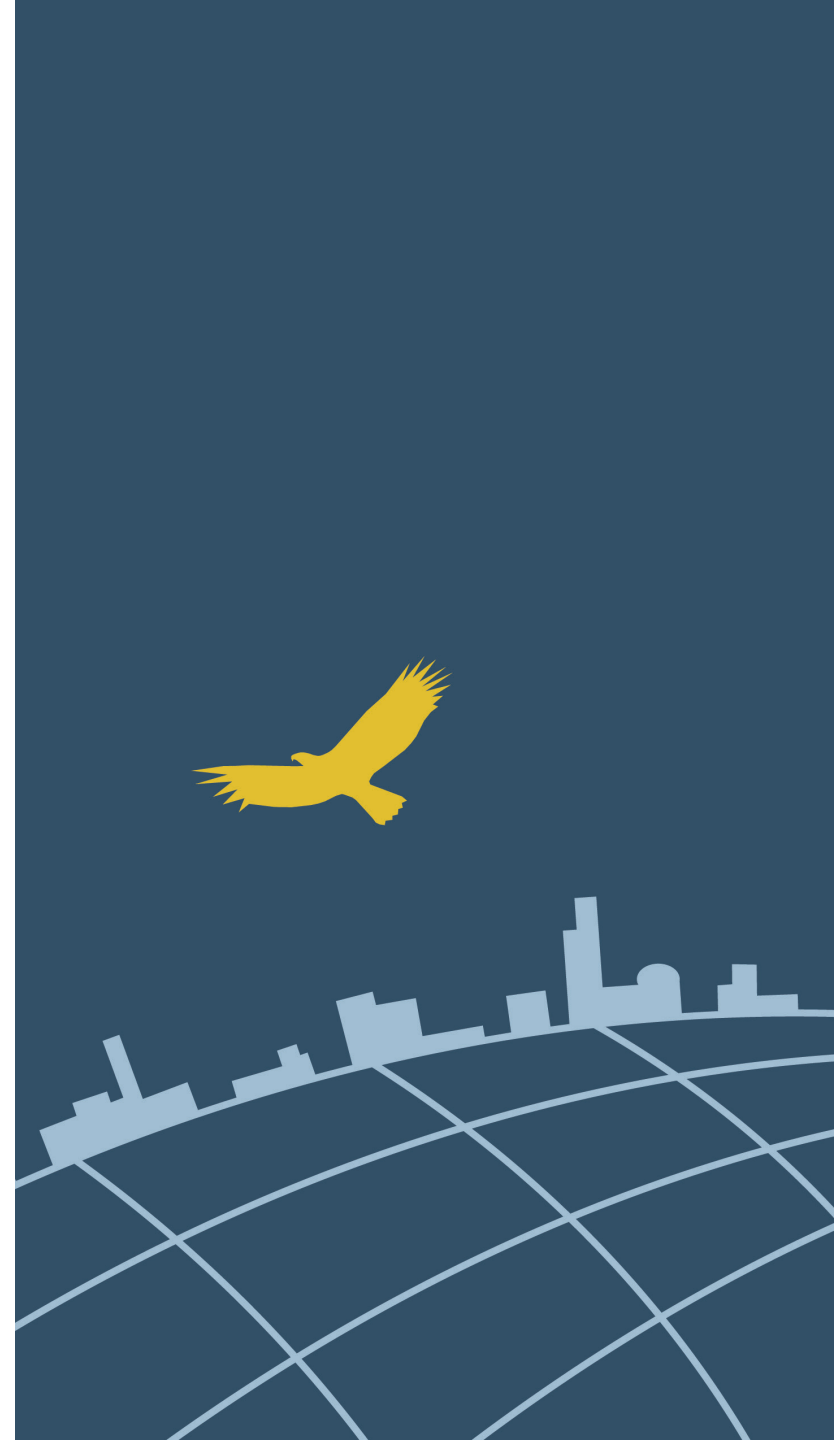
Timeline and Next Steps

Activity	Completion Date
Develop training materials	November 15, 2021
Deliver training on the plans to regional stakeholders	December 15, 2021
Close out the project	December 31, 2021

For questions contact:

Amy Ramirez
amy.ramirez@sfgov.org
415-412-8313

Thank you.





Agenda Item 06

Grant Funded Programs Update



To: Bay Area UASI Approval Authority

From: Corinne Bartshire, Regional Program Manager

Date: November 18, 2021

Re: Item 06a: Bay Area Training and Exercise Program (BATEP) Update

Staff Recommendation:

No recommendation

Action or Discussion Items:

Discussion

Background:

The Bay Area UASI has funded the Regional Training and Exercise Program since July 2011. In 2020, the Bay Area UASI Management Team, with contractor support, established and re-branded a refreshed Bay Area Training and Exercise Program (BATEP). The current program and website (www.BATEP.org) officially launched in June 2020 and is directly overseen and administered by the UASI Management Team.

Discussion:

Regional Program Manager Corinne Bartshire will present an update of the Bay Area Training and Exercise Program. The attached Appendix A is an accompanying PowerPoint presentation.

Bay Area UASI

Training & Exercise Program

November 18, 2021



Presented by Corinne Bartshire, Regional Program Manager



2021 Training Activity Summary



KEY ACCOMPLISHMENTS

2021 Totals



138 Courses Delivered
(+ continuation
of 3 self-paced courses)



3,918 Students
Trained



1800 New Accounts Created
on BATEP.org



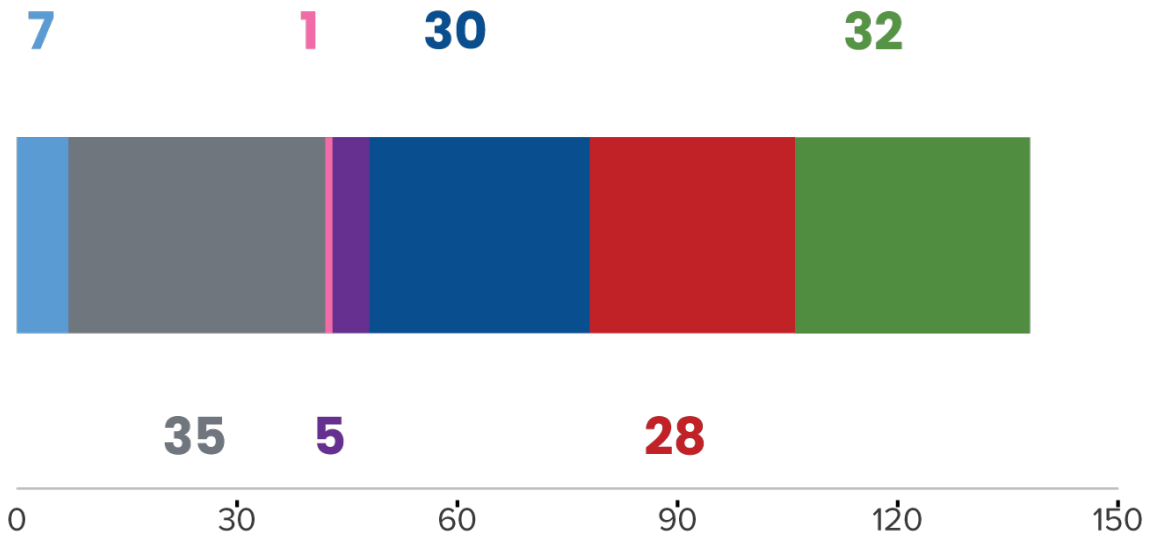
+190K Pageviews on
BATEP.org

2021 Training Activity Summary



COURSES DELIVERED

Courses Delivered by Discipline in 2021



**EMERGENCY
MANAGEMENT**



**LAW
ENFORCEMENT**



**CYBER
SECURITY**



**FIRE
SERVICES**



**COMMUNITY
PREPAREDNESS**



**PUBLIC
INFORMATION**



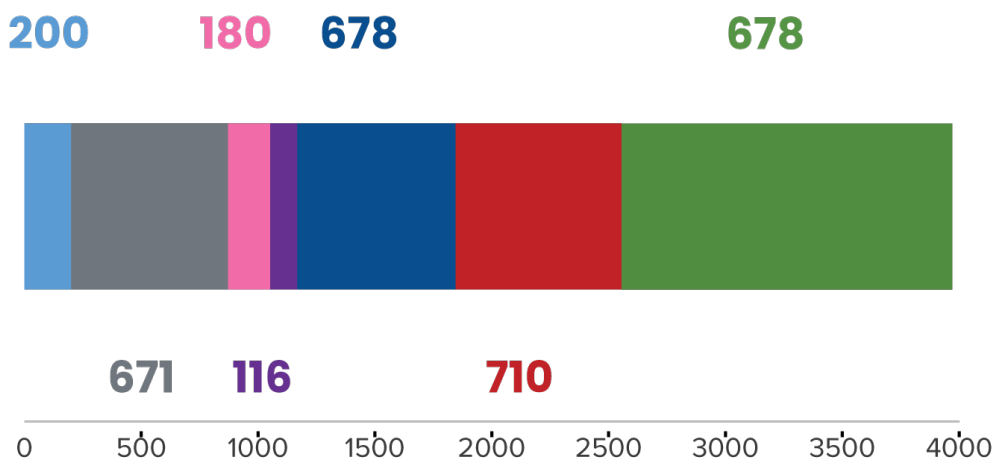
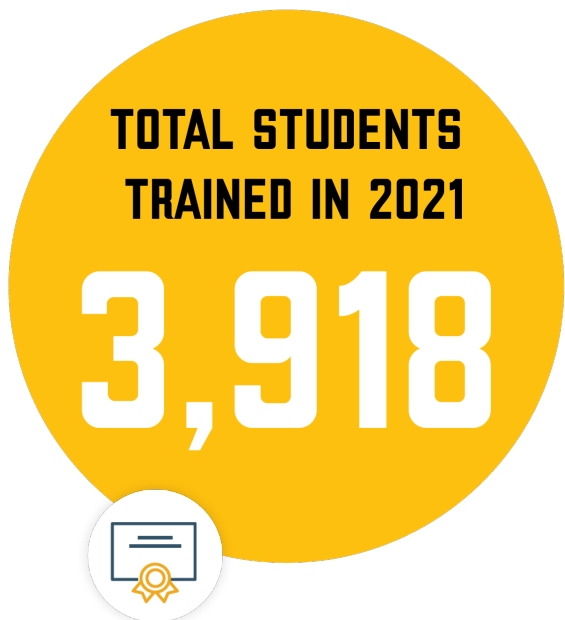
**PUBLIC
HEALTH**



2021 Training Activity Summary

STUDENTS TRAINED

Each course attendee is tracked as a Student Trained. Therefore, one person who participates in multiple courses would be counted each time they attend a course. Students Trained metrics below are estimated until final rosters for all 2021 courses are received.



EMERGENCY MANAGEMENT



LAW ENFORCEMENT



CYBER SECURITY



FIRE SERVICES



COMMUNITY PREPAREDNESS



PUBLIC INFORMATION



PUBLIC HEALTH

Course Evaluations

“Hands-on builds were fantastic and really helped enforce the lecture topics.”

-Law Enforcement Participant
Advanced Electronics for Bomb Technicians
September 20-24, 2021

“I was able to push myself and our department vessel to new limits and gain a lot of confidence”

-Fire Services Participant
Maritime Tactical Operators
September 13-17, 2021

2021 Public Safety Preparedness Summit



Recap of Featured Events

- **November 3rd** – Golden Eagle Exercise
- **November 4th – 5th** Seminar and Training
Mass Notification – Community Preparedness – Securing the Cities
- **November 5th** – Securing The Cities Drill

2021 Golden Eagle Exercise



Exercise Highlights

- State level ESF-1 Task Force activation
- Critical transportation operations
- Bay Area Joint Information System activation
- Commodity Points of Distribution operations
- 18 transit agency participants

2021 Seminar and Training



You're Invited

2021 Public Safety Preparedness Summit

Seminar and Training

This two-day conference is an opportunity to network with colleagues across public safety disciplines, private sector partners, and non-profit community-based organizations. It will feature an exhibit hall, evening reception, keynote speakers, panel discussions, and the sharing of best practices to build a more resilient Bay Area.

Specialized Tracks include:

- Mass Notification Seminar
- Emergency Preparedness Training for Community Based Organizations
- Securing the Cities

November 4-5, 2021
9AM - 5PM

Hyatt Regency,
San Francisco

Register at www.BayAreaUASI.org

Highlights

- Keynote by Mary Ellen Carroll
- Mass Notification Panels on equity, trusted communicators, technology updates, lessons learned
- Training Opportunities via BATEP and STC
- Combatting Domestic Extremism Panel
- Securing the Cities Hands-on Training

Contact



BAUASI

Corinne Bartshire

BATEP Program Manager

Corinne.Bartshire@sfgov.org

(415) 861-9005



To: Bay Area UASI Approval Authority

From: Dominic Yin, Securing the Cities Regional Program Manager

Date: November 18, 2021

Re: Item 06b: San Francisco Bay Area Securing the Cities (SFBA STC) Program Update

Recommendations:

No recommendation

Action or Discussion Items:

Discussion

Background:

The STC San Francisco Bay Area Program enhances the ability of the region to detect and prevent terrorist attacks and other high-consequence events involving radiological or other nuclear materials that pose risk to homeland security in high-risk urban areas and their environs. The Bay Area Urban Areas Security Initiative (BAUASI), comprises twelve counties and three major cities (San Jose, San Francisco, and Oakland), manages, and oversees the program through a Federal cooperative grant supervised by the Assistant Secretary for Countering Weapons of Mass Destructions. In addition to the Bay Area, the SFBA STC Program also includes Sacramento County and Washoe County in Nevada, as well as the pathways into these counties.

In support of this mission, the program will provide detection equipment, training, exercise support, operational and technical subject matter expertise, and programmatic support. The concept of operations is to develop regionally coordinated RN detection capabilities in and around high-risk urban areas to cover pathways leading to these areas and expanding this capability over time with priority given to higher risk areas.

Discussion/Description:

SFBA STC Regional Program Manager Dominic Yin will present a status update. Appendix B is an accompanying PowerPoint presentation.

STC San Francisco Bay Area Program Approval Authority Update

November 18, 2021



STC SFBA Updates

Dominic Yin, STC Program Manager



- We are now in Year 3 of the STC Program for the SFBA.
- We have already established and have leveraged our Operations Sub-Committee, Equipment Sub-Committee, our Training and Exercise Sub-Committee, and our Information Exchange Sub-Committee.
- We have designated our regional partners
- We have identified and validated our regional requirements for training and exercises, equipment, and information exchange and reporting.
- We are developing and validating Alarm Adjudication Protocols, deployment and distribution of equipment, delivery of training inclusive of overtime reimbursement, expansion of capability to pathways leading to our HRUA (Bay Area, Fresno, Reno, and Sacramento).

Operations Plan and Field Operations Guide



Update

The SFBA STC Concept of Operations (ConOps) is awaiting final approval from CWMD.

Like all plans that are in develop the ConOps is considered a living document and will be reviewed and update with as we learn more through our training and exercises.

Equipment Management Plan



Update

The procurement of approximately 1117 STE Pager TA-600 Personal Radiation Devices (PRDs) and 54 FLIR R400-NGH Radioisotope Identification Devices (RIIDs) is underway.

The purchase order for the RIIDs is currently being completed.

The Equipment Subcommittee met on October 27th to evaluate backpack devices from multiple vendors. Order size and purchasing will be determined from the final results of their evaluation.

There is a nationwide supply chain issue with components for PRDs so while we may see small numbers of PRDs in a couple months the vast majority will be delivered in early 2022.

Training & Exercise Plan



Update

The CTOS Center for Radiological Nuclear Training has completed PRNDOS courses for secondary screeners. In August, training took place in Fresno and San Mateo.

The Primary Screener or PRD course has been postponed until the SFBA receives a large portion of the STE Pagers.

In November as part of the Bay Area UASI Summit, STC worked with CTOS to hold various trainings on November 4th and 5th, as well as hands-on scenario trainings on November 5th at Pier 38.



Trainings and Exercises

- In 2022, STC SFBA will continue to work with CTOS to provide primary screening, secondary screening, and search operations as well as explore training opportunities
- Working towards holding a virtual seminar, an in-person workshop, and a drill in 2022.
- As we move closer to deploying equipment, it is critical that STC Principal Partners assign their personnel and spread the word within their regions on STC training opportunities.



To: Bay Area UASI Approval Authority

From: Jodi Traversaro, Regional Program Manager

Date: November 18, 2021

Re: Item 06c: Targeted Violence and Terrorism Prevention (TVTP) Program Update

Staff Recommendation:

No recommendation

Action or Discussion Items:

Discussion

Discussion:

Last month, the Bay Area UASI was awarded \$1,060,924 in FY21 Targeted Violence and Terrorism Prevention (TVTP) program grants. The BA UASI was also selected to present at the National Fusion Center Conference in April, 2022. Since the last briefing to the Approval Authority, the TVTP Program has accomplished the following:

- Presented at the California Association of School Counselors Conference
- Initiated new FY21 TVTP grant funded projects
- Provided Targeted Violence: Community Awareness and Prevention Strategies training to more than 120 stakeholders and began scheduling Train-the-Trainer courses
- Received approval from the Institutional Review Board for our Safe School Ambassadors anti-bullying program
- Identified 5 schools to benefit from the Community Matters “Safe School Ambassadors” Program
- Led the Nov. 10 Quarterly California Prevention Practitioners Network, and finalized the charter
- Implemented best practices in data gathering and evaluating our training programs in partnership with Research Triangle Institute
- Expanded the Bay Area UASI TVTP website to include more resources and training

Discussion/Description:

Regional Program Manager Jodi Traversaro will present a status update of the TVTP. The attached Appendix A is an accompanying PowerPoint presentation.

Bay Area UASI

Targeted Violence and Terrorism Program (TVTP)

Update

Approval Authority Meeting

November 18, 2021





FY21 TVTP Program Overview

- FY21 Award: \$1,060,924
- 4 New Projects
- Project Initiation Underway



Cross-Sector
Threat
Assessment
Training and
Toolkit



Combatting
On-Line
Radicalization
Training



Media
Literacy and
Critical
Thinking
Training



Mental Health
Student Film
Contest



FY20 TVTP Project Update



Community
Awareness
Briefing + Train
the Trainer



Safe School
Ambassadors
Program



Threat
Assessment
Protocols and
Portal
Development



Behavioral
Analysis
Training + Train
the Trainer

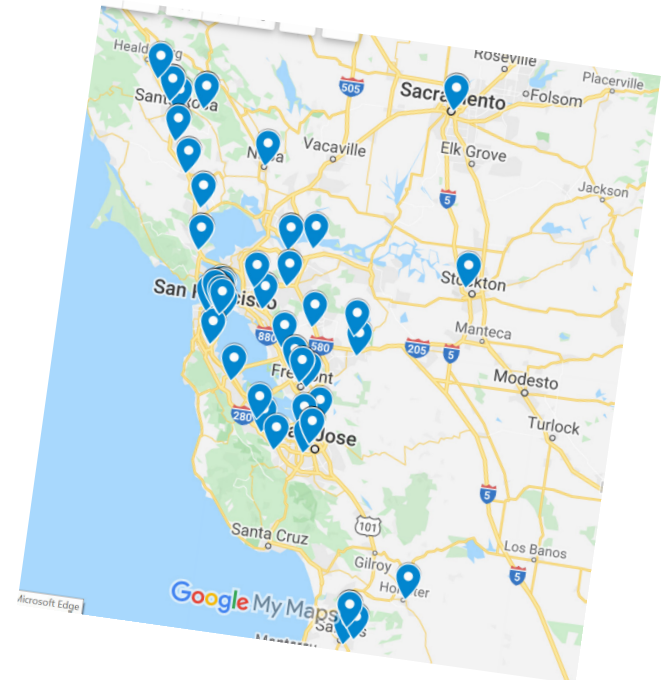


Community Awareness Briefing/Behavioral Analysis Training

- Since September: Diverse Schools, Faith Based Organizations
- Multi-Jurisdiction Engagement
- Dec. 15th is the final course
- 8 Train-the-Trainer Courses for 2022



sbia





Safe School Ambassadors

- 3 Outreach webinars in September (9/22, 9/27, 9/28)
- 27 schools from 8 Counties
- 5 Schools, 200 students, 30 adults will receive 2-day anti-bullying training

SSA Program Opportunity Webinar Registrants and Participants

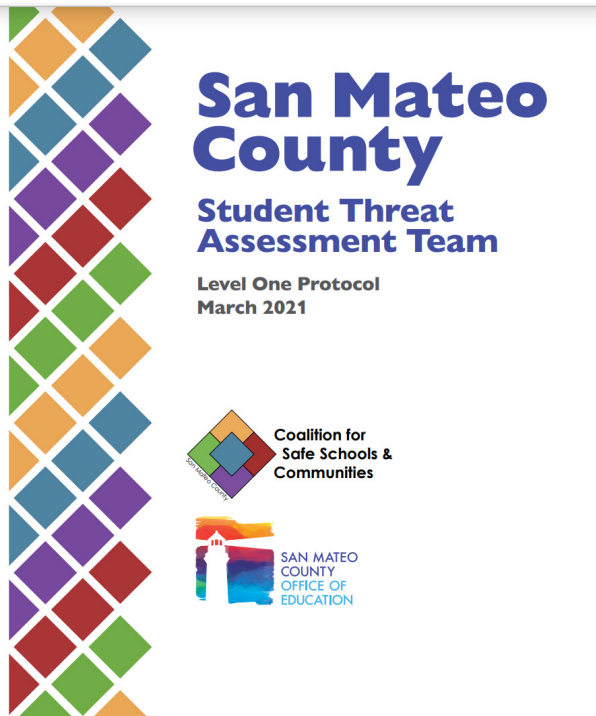
Organization / School	County
Bret Harjo Middle School	Santa Clara
Bancroft middle school	Alameda
Charter School of Morgan Hill	Santa Clara
Lizotya Duzan Middle School	Contra Costa
Black Diamond HS	Contra Costa
Santa Rosa French-American Charter School	Sonoma
Sonoma County Office of Education	Sonoma
Brook Hill Elementary	Sonoma
Napa County Office of Education	Napa
Callotage Junior Senior High School	Napa
Adela Hamilton Middle School	Sonoma
Pinole Middle School	Contra Costa
Black Diamond High School	Contra Costa
San Jose Unified School District	Santa Clara
Santa Rosa French-American Charter School	Sonoma
Yeravona Highschool	San Mateo
Sonoma County Office of Education	Sonoma
Santa Rosa High School	Sonoma
San Carlos Charter	San Mateo
International School of Monterey	Monterey
Ingrid B. Lacy Middle School	San Mateo
Santa Rosa Charter School for the Arts	California
Solano County Office of Education	California
Calaveras Hills	Santa Clara
Manzanita Elementary School	California
ACE Esperanza Middle School	Santa Clara
Cesar Chavez Language Academy	CA



COMMUNITY MATTERS

Threat Assessment Protocols and Portal

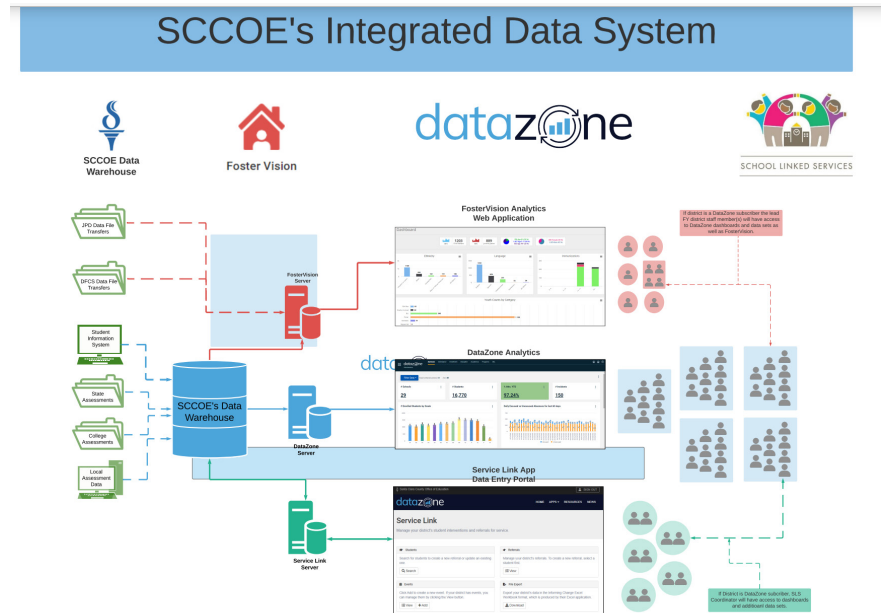
- San Mateo COE and Santa Clara COE
- Data sharing across school districts
- Support School Threat Assessment and Management and school performance management



San Mateo County
Student Threat Assessment Team
Level One Protocol
March 2021

Coalition for Safe Schools & Communities

SAN MATEO COUNTY OFFICE OF EDUCATION





Nov. 10, 2021

California Prevention Practitioners Network Virtual Meeting

10:00 a.m. to 11:30 a.m.

NOV 10

California Targeted Violence Prevention Practitioners Workgroup Meeting

by Bay Area Urban Areas Security Initiative (BA UASI)

44 followers [Follow](#)

Free

www.bayareauasi.org/tvtp

[Register](#)



California Prevention Practitioners Network

- November 10, 2022
- 10:00 a.m. to 11:30 a.m.



Contact Information

**Jodi Traversaro,
Regional Program Manager
Bay Area UASI**

Jodi.Traversaro@sfgov.org

415.417.9453

Thank you!



To: Bay Area UASI Approval Authority

From: Amy Ramirez, Regional Project Manager

Date: November 18, 2021

Re: Item 6d: Regional Catastrophic Preparedness Grant Program (RCPGP) Update

Staff Recommendations:

No recommendation

Action or Discussion Items:

Discussion

Background:

The Regional Catastrophic Preparedness Grant Program (RCPGP) provides resources to close known preparedness capability gaps, encourages innovative regional solutions to issues related to catastrophic incidents, and builds on existing regional preparedness efforts. The Bay Area UASI received RCPGP funding between 2007 and 2011 to focus on the development of regional plans and other resources to strengthen the ability of the Bay Area to respond to a catastrophic earthquake.

FEMA re-initiated the grant program in Fiscal Year 2019 to focus on closing known capability gaps in Housing and Logistics and Supply Chain Management. In Fiscal Year 2020, the focus of the grant shifted to Pandemic Preparedness. The Bay Area UASI received funding for both FY19 and FY20. For Fiscal Year 2021, applications were accepted for projects in any of the three focus areas eligible in recent years.

Discussion:

Regional Project Manager Amy Ramirez will present an update on the projects funded through the Regional Catastrophic Preparedness Grant Program. The attached Appendix A is an accompanying PowerPoint presentation.

Next Steps:

The next RCPGP project update to the Approval Authority will occur at the January meeting.

Bay Area UASI

Regional Catastrophic Preparedness Grant Program (RCPGP) Update

Amy Ramirez, Regional Project Manager

*Approval Authority Meeting
November 18, 2021*





RCPGP Background

Regional Catastrophic Preparedness Grant Program:

- Close gaps identified through THIRA/SPR process
- Three-year performance period
- RCPGP Awards to Bay Area UASI (2007-2011):
\$15,968,976
- Current RCPGP Projects: FY19, FY20, FY21



FY19 – C-POD Planning

Performance Period: July 2020 – February 2022

Award Amount: \$1,406,550

Completed:

- C-POD Analysis & Recommendations Report
- Draft & final C-POD Site Plans for San Benito, Sonoma, Marin, Oakland
- C-POD Manager Training (virtual)
- Golden Eagle C-POD Functional Exercise (Solano County)

Ongoing Next Steps:

- Additional C-POD site plans by 1/30
- Disaster Logistics Training Pilot (virtual): 11/30 – 12/3
- C-POD Operations & Mgmt. and Disaster Logistics (in-person): 1/24 – 1/28
- MOU/LOA template
- Resource request template



FY20 – Pandemic Preparedness

Performance Period: September 2021 – June 2023

Award Amount: \$929,414

Underway:

- Vendor Selected: Constant Associates
- Public Information Toolkit: Identify POCs and language capabilities
- Regional COVID-19 AAR: Gather local AARs and identify POCs
- Technical Assistance: Survey & outreach to identify planning needs

Next Steps:

- Begin interviews (for AAR & PIO Toolkit)
- Schedule COVID-19 AAR debrief workshop (January)
- Begin Technical Assistance hours



FY21 – Supply Chain Focus

Performance Period: April 2022 – September 2024

Award Amount: \$945,818

Underway:

Procurement underway for vendor contract by April 2022

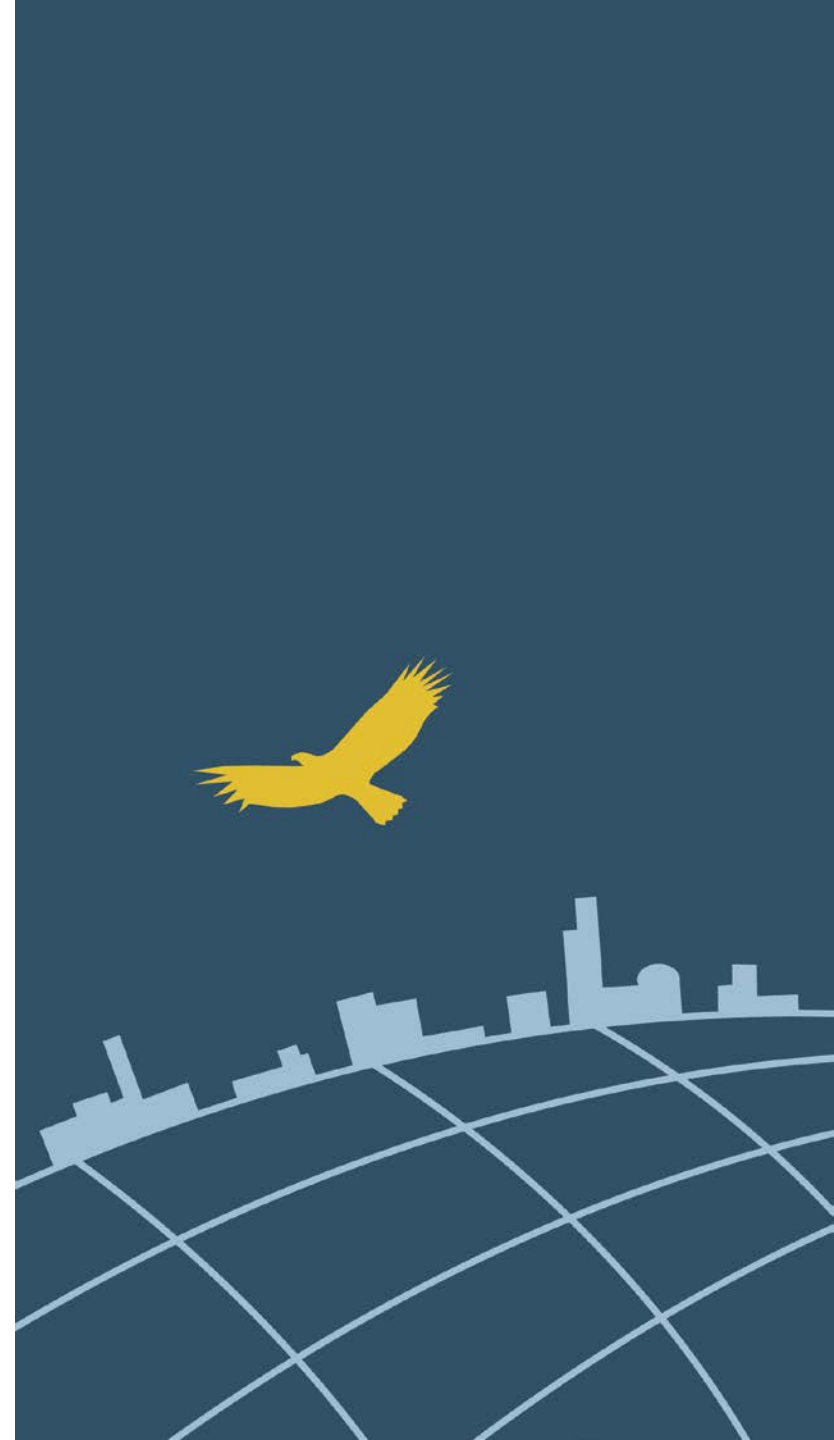
Deliverables:

1. Regional Community Lifelines Council & Strategic Plan
2. Decision-Making Playbook
3. Supply Chain Considerations for People with Disabilities Report
4. Whole Community Training
5. Supply Chain Concepts Workshop Series and Reports
6. Hub-based TTXs
7. Region-wide TTX

For questions contact:

Amy Ramirez
amy.ramirez@sfgov.org
415-412-8313

Thank you.





Agenda Item 07

NCRIC Threat Briefing



To: Bay Area UASI Approval Authority

From: Mike Sena, Executive Director, Northern California Regional Intelligence Center

Date: November 18, 2021

Re: Item 07: NCRIC Regional Information Sharing System Briefing

Staff Recommendation:

None

Action or Discussion Items:

Discussion

Discussion:

NCRIC Executive Director Mike Sena will present a briefing on the Regional Information Sharing System.



Subrecipient Monitoring and Desk Review



To: Bay Area UASI Approval Authority

From: Tristan Levardo, CFO

Date: November 18, 2021

Re: Item 08: Subrecipient Monitoring and Desk Review Results

Staff Recommendation:

Information only

Action or Discussion Item:

Discussion only

Summary

The Bay Area UASI is required to monitor grant supported activities to ensure compliance with applicable Federal requirements and that performance goals are being achieved. From the months of June through October of this year, the Grants Management Unit conducted comprehensive desk reviews in lieu of monitoring site visits that covered 18 jurisdictions. Our testing included sampled claim items that received the funding source – FY19 UASI grant.

The monitoring revealed findings in the following areas:

Compliance Category	Number of Findings	Nature of Findings
Procurement	3	Lack of competition in the procurement of goods; non-adherence to established purchasing policies and procurement standards; and lack of cost and price analysis in compliance to the grant provisions
Internal Control	1	Inadequate accounting records and source documentation; vendor payment issues; and delayed reimbursement claims
Corrective Action	1	Lack of implementation of submitted corrective action plan
Program Management	2	Delays in completing milestones

Our findings yielded recommendation and required corrective action plan from the jurisdictions. In our next monitoring scheduled in next spring, we shall review those action items to ensure that full implementation is achieved.