**Approval Authority Meeting**
Thursday, January 13, 2022
10:00 a.m.

**Microsoft Teams meeting**
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**Agenda**

1. **CALL TO ORDER**
   **ROLL CALL**

   | | |
   |---|---|
   | UASI Chair | Mary Ellen Carroll, City and County of San Francisco |
   | UASI Vice-Chair | Rich Lucia, County of Alameda |
   | Member | Erica Arteseros, City and County of San Francisco |
   | Member | Jessica Feil, City of Oakland |
   | Member | Raymond Riordan, City of San Jose |
   | Member | Dana Reed, County of Santa Clara |
   | Member | Mike Casten, County of Contra Costa |
   | Member | Bob Doyle, County of Marin |
   | Member | Gerry Malais, County of Monterey |
   | Member | Mark Robbins, County of San Mateo |
   | Member | Christopher Godley, County of Sonoma |
   | | |
   | General Manager | Craig Dziedzic |

2. **APPROVAL OF THE MINUTES** (Action Item)
   Discussion and possible action to approve the draft minutes from the November 18, 2021, Approval Authority meeting or take any other action related to the matter.
   *(Document for this item is a draft of minutes from November 18, 2021.) 5 mins*

3. **GENERAL MANAGER'S REPORT** (Discussion)
   General Manager Craig Dziedzic will present:

   a) UASI FY 2022 Notice of Funding Opportunity (NOFO) (Discussion)
   b) 2022 National Homeland Security Conference (Discussion)
   c) UASI Management Team Tracking Tool and Future Agenda Items (Discussion)

   *(Documents for this item are a report and 1 appendix.) 10 mins*

4. **ELECTION OF UASI OFFICERS** (Discussion, Possible Action)
   The Chair will receive nominations and conduct a vote on the positions of UASI Chair and Vice Chair for the 2022 term. Possible action to support any recommendation(s) or take any other action related to this matter. *(Document for this item is a report from Craig Dziedzic.) 5 mins*

5. **RISK MANAGEMENT PROGRAM OVERVIEW AND HUB FUNDING FORMULA** (Action Item)
   Project Manager Amy Ramirez will present a Risk Management Program overview and the Hub Funding Formula. *(Documents for this item are a report and an appendix.) 5 mins*

6. **FY22 SUSTAINMENT PROJECT ANNUAL REPORTS AND FUNDING RECOMMENDATIONS** (Action Item)
   Regional Program Manager Janell Myhre will introduce the following Annual Reports and provide funding recommendations. *(Documents for this item are a report and 2 appendices.) 20mins*
   - a)  Bay Area Training & Exercise Program Annual Report – Corinne Bartshire
   - b)  Northern California Regional Intelligence Center Annual Report – Mike Sena

7. **NATIONAL PRIORITY PROJECT UPDATE AND FY22 FUNDING RECOMMENDATIONS** (Action Item)
   Regional Program Manager Janell Myhre will present an update on the FY20 National Priority projects and an overview of the proposed FY22 National Priority projects. (*Document for this item is a report.) 5 mins*

8. **FY20 SPENDING REPORT** (Discussion)
   Contracts Specialist Scott Kaplan will provide an update on FY20 expenditures. *(Document for this item is a report.) 5 mins*

9. **ANNOUNCEMENTS - GOOD OF THE ORDER**

10. **GENERAL PUBLIC COMMENT**
    Members of the public may address the Approval Authority for up to three minutes on items within the jurisdiction of the Bay Area UASI Approval Authority.

11. **ADJOURNMENT**
    If any materials related to an item on this agenda have been distributed to the Approval Authority members after distribution of the agenda packet, those materials are available for public inspection at the Bay Area UASI Management Office located at 1663 Mission St., Suite 320, San Francisco, CA, 94103 during normal office hours: 9:00 a.m. - 5:00 p.m.

## Public Participation:

It is the policy of the Approval Authority to encourage and permit public participation and comment on matters within the Approval Authority's jurisdiction, as follows:

- *Public Comment on Agenda Items.* The Approval Authority will take public comment on each item on the agenda. The Approval Authority will take public comment on an action item before the Approval Authority takes any action on that item. Persons addressing the Approval Authority on an agenda item shall confine their remarks to that particular agenda item. For each agenda item, each member of the public may address the Approval Authority once, for up to three minutes. The Chair may limit the public comment on an agenda item to less than three minutes per speaker, based on the nature of the agenda item, the number of anticipated speakers for that item, and the number and anticipated duration of other agenda items.

- *General Public Comment.* The Approval Authority shall include public comment as an agenda item at each meeting of the Approval Authority. During public comment, each member of the public may address the Approval Authority on matters within the Approval Authority's jurisdiction. Issues discussed during public comment must not appear elsewhere on the agenda for that meeting. Each member of the public may address the Approval Authority once during public comment, for up to three minutes. The Chair may limit the total public comment to 30 minutes and may limit the time allocated to each speaker depending on the number of speakers during public comment and the number and anticipated duration of agenda items.

- *Speaker Identification.* Individuals making public comment may be requested, but not required, to identify themselves and whom they represent.

- *Designated Public Comment Area.* Members of the public wishing to address the Approval Authority must speak from the public comment area.

- *Comment, Not Debate.* During public comment, speakers shall address their remarks to the Approval Authority as a whole and not to individual Approval Authority representatives, the General Manager or Management Team members, or the audience. Approval Authority Representatives and other persons are not required to respond to questions from a speaker. Approval Authority Representatives shall not enter into debate or discussion with speakers during public comment, although Approval Authority Representatives may question speakers to obtain clarification. Approval Authority Representatives may ask the General Manager to investigate an issue raised during public comment and later report to the Approval Authority. The lack of a response by the Approval Authority to public comment does not necessarily constitute agreement with or support of comments made during public comment.

- *Speaker Conduct.* The Approval Authority will not tolerate disruptive conduct by individuals making public comment. Speakers who use profanity or engage in yelling, screaming, or other disruptive behavior will be directed to cease that conduct and may be asked to leave the meeting room.

## Disability Access

The Bay Area UASI Approval Authority will hold this meeting virtually via Microsoft Teams. In compliance with the Americans with Disabilities Act, those requiring accommodations for this meeting should notify Bay Area UASI Administration at least 24hours prior to the meeting at: (415) 353-5223 or uasimt.dem@sfgov.org.

# Approval of the Minutes

# Chair Carroll

**Bay Area UASI**
**Approval Authority Meeting**
Thursday, November 18, 2021
10:00 AM


**LOCATION**
Alameda County Sheriff's Office OES
4985 Broder Blvd., Dublin, CA 94568
**OES Assembly Room**


**REGULAR MEETING MINUTES**
**DRAFT**


1. **ROLL CALL**
   Approval Authority Chair Mary Ellen Carroll called the meeting to order at 10:03 AM and General Manager Craig Dziedzic subsequently took the roll. Chair Mary Ellen Carroll and Vice Chair Rich Lucia were present. Members Erica Arteseros, Jessica Feil, Raymond Riordan, Dana Reed, Gerry Malais, and Mark Robbins were present. Members Mike Casten, Bob Doyle, and Chris Godley were absent, but their alternates Ryan Borkert, Craig Scardino, and Jeff Duvall were present.


2. **APPROVAL OF THE MINUTES**
   Chair Carroll asked for any comments or questions concerning the minutes from the September 9, 2021 meeting. She then called for public comment.

   One member of the public made a comment.

   Chair Carroll then requested a motion to approve the minutes.


   **Motion:**     Approve the minutes from the September 9, 2021 Approval Authority Meeting.

   **Moved:**     Member Malais          **Seconded:** Vice Chair Lucia.

   **Vote:**     The motion was passed unanimously.

## 3. GENERAL MANAGER'S REPORT

(a) Notification of Subrecipient Award FY 2021

General Manager Craig Dziedzic informed the Approval Authority of Cal OES' approval of the Bay Area UASI's FY 2021 Homeland Security Grant Program application for $30,562,500. A conditional hold was placed on one of cybersecurity project and three projects involving domestic violent extremism. The Management Team is coordinating with Cal OES and FEMA to provide additional information to release the hold. The Management Team is awaiting the Grant Assurances and will be sending all the FY2021 MOUs to grant sub-recipients who may begin incurring expenses after these MOUs are executed and returned.

(b) FY 2021 Targeted Violence and Terrorism Prevention (TVTP) Grant Program

General Manager Dziedzic reported that the Bay Area UASI received notice in late September that it was one of several recipients of FY 2021 TVTP grant program funding. The award, in the amount of $1,060,942, was the second largest award. Only the University of Colorado received more funding.

(c) UASI FY2022/FY2023 Project Proposal Process

General Manager Dziedzic reported on the online FY2022/23 project proposal submission process for FY 22/23. The Management Team received more than 200 applications and funding requests exceeded available for funding for both grant years by more than $2.2 million dollars. Hub Voting Members have performed their initial review and the projects are currently under review by the Management Team. Next, Approval Authority members will have an opportunity to review all projects prior to the Hub regional prioritization meetings in January.

(d) Approval of the 2022 Calendar for Approval Authority Meetings

This action item seeks approval of the 2022 calendar of Approval Authority meetings. The meetings are scheduled for January, March, June, September, and November.

(e) Approval of the Revised 2021 Master MOU

This action item seeks approval for the revised Master MOU that was previously approved at the September 9th Approval Authority meeting. Due to concerns about one of the clauses, that clause was removed and now requires a vote to approve the revised Master MOU.

(f) Management Team Staff Update

GM Dziedzic provided an update on two changes within the Management Team staff. Corrine Bartshire has been promoted to the regional program manager position for the Bay Area Training and Exercise Program and Matthew Devine has joined the Bay Area UASI Management Team as the Training and Exercise Project Manager, overseeing the training course administration for the regionwide Bay Area Training and Exercise Program (BATEP). Matt served 28 years in the Santa Rosa Fire Department as a captain/paramedic, is a state-certified fire officer involved in numerous projects including training, policy development, and a technical committee member on NFPA 1600 Standard on Continuity, Emergency, and Crisis Management. In addition, Matt has worked with

schools throughout the Bay Area for the past 15 years as an emergency consultant focusing on risk analysis, training delivery, and digital planning.

(g)   Management Team Tracking Tool and Future Agenda Items
General Manager Dziedzic reported on the Tracking tool and future agenda items, requesting that Approval Authority members submit future agenda items at any time to him.

Chair Carroll asked for Member comments.

Member Malais commented his appreciation for the revised MOU.
(Reference audio here: November UASI Approval Authority Meeting Audio at 12:49)

One member of the public made a comment.

The Approval Authority then conducted the votes on the Calendar and the revised Master MOU.

| | |
|---|---|
| **Motion:** | Approve the 2022 Calendar of Approval Authority Meetings |
| **Moved:** | Chair Carroll     **Seconded:** Member Arteseros |
| **Vote:** | The motion was passed unanimously. |
| **Motion:** | Approve the revised Master MOU. |
| **Moved:** | Member Riordan          **Seconded:** Member Robbins |
| **Vote:** | The motion was passed unanimously. |

4.  **THIRA/SPR APPROVAL**

Regional Project Manager Amy Ramirez presented the annual THIRA and SPR for approval as an action item due to the FEMA requirement that all Homeland Security Grant recipients submit these documents on an annual basis.  She further explained that the THIRA is designed to assist communities understand risks and identify capabilities needed to address risks and threats while the SPR provides a framework to assess a community's capacity to address the threats and hazards identified in the THIRA.

Chair Carroll requested comments from the Approval Authority.
Member Arteseros posed a question regarding the THIRA dashboard
(Reference audio here: November UASI Approval Authority Meeting Audio at 26:00)

One member of the public made a comment.

| | |
|---|---|
| **Motion:** | Approve the annual THIRA/SPR |
| **Moved:** | Member Malais          **Seconded:** Member Reed |
| **Vote:** | The motion was passed unanimously. |

5.  **ABAHO/BAMPWG VACCINE POINT OF DISPENSING (V-POD) OPERATIONS PROJECT UPDATE** (Discussion)
ABAHO Coordinator Emma Hunter presented an update on the ABAHO/BAMPWG Mass Vaccination Planning Project. She provided background information, indicating that the FY19 regional mass prophylaxis exercise had been cancelled due the COVID-19 pandemic. As a result, the project scope of work was revised in February 2021 to provide guidance to local jurisdictions to enhance interoperability and compatibility in setting up, managing, and operating vaccine points of dispensing. The resulting plans include a Vaccine Point of Dispensing Operational Plan (V-POD) and three Field Operations Guides to support walk-thru, drive-thru, and mobile vaccine dispensing. Virtual Train the Trainer training on the plans is scheduled for completion by the end of December.

Chair Carroll requested comments from the Approval Authority.

Member Reed inquired about the notification process for the Train the Trainer component and the answer was mid-level representatives from ABAHO were receiving this training. Member Malais inquired about training managers within the region.
(Reference audio here: November UASI Approval Authority Meeting Audio at 35:45)

One member of the public made a comment.

6.  **GRANT FUNDED PROGRAMS UPDATE**

    BAUASI Program Managers presented updates on the region's grant-funded programs.

    (a) **BAY AREA TRAINING AND EXERCISE PROGRAM (BATEP) UPDATE**
    Regional Program Manager Corinne Bartshire presented an update on the BATEP. She indicated that 138 courses had been conducted for over 3800 attendees in 2021. In addition, 1800 new accounts have been created and the website has received more than 190,000 page views.

    (b) **SECURING THE CITIES SAN FRANCISCO BAY AREA (STC SFBA) UPDATE**
    Regional program manager Dominic Yin presented an update on STC SFBA. He indicated that the program is in year three and they are currently awaiting approval of the Concept of Operations. Procurement of equipment is ongoing and various training classes are being conducted.

    (c) **TARGETED VIOLENCE AND TERRORISM PREVENTION (TVTP) PROGRAM UPDATE**
    Regional Program Manager Jodi Traversaro presented an update on the TVTP program. She indicated that funds for the FY 21 award will fund 4 new projects including cross-sector threat assessment training and toolkit, combatting on-line radicalization training, media literacy and critical thinking training, and a mental health student film contest. She also updated members on the FY 20 projects.

A member of the approval authority thanked Program Manager Jodi Traversaro for her work on the TVTP program and congratulated her on her success.

Member Malais Monterey member inquired about Jodi's progress in recruiting Monterey County schools into her programming and asked about how schools are recruited in general. (Reference audio here: November UASI Approval Authority Meeting Audio at 1:02:00)

    **(d) REGIONAL CATASTROPHIC PREPAREDNESS GRANT PROGRAM (RCPGP)**
        Regional Project Manager Amy Ramirez presented an update on RCPGP funding. She indicated that the FY 21 award has gotten underway. This award is designed to address supply chain issues. She also provided updates on the FY 20 and FY 19 awards.

Chair Carroll requested comments from the Approval Authority. Seeing none, she requested public comment.

One member of the public made a comment.

7. **NCRIC THREAT BRIEFING**
   NCRIC Executive Director Mike Sena presented a briefing on Regional Information Sharing. The NCRIC examined the use of the regional deconfliction system – which allows agencies to enter information that is viewable by other law enforcement agencies – to prevent duplication of efforts and provide officer safety when multiple agencies arrive on-scene to an event. An assessment was conducted and discovered that only 36% of agencies are using the system. The NCRIC is reaching out to these agencies to better utilize the system.

   Chair Carroll requested comments from the Approval Authority. Seeing none, she requested public comment.

   One member of the public made a comment.

8. **SUBRECIPIENT MONITORING AND DESK REVIEW RESULTS**
   CFO Tristan Levardo provide an update on the results of the desk review of FY19 BAUASI grant funds. Between June and October, the Grants Management Unit conducted desk reviews of 18 jurisdictions. There were findings in procurement, inadequate internal controls, corrective actions, and program management. The fiscal team expects to resume in person monitoring in 2022.

   Chair Carroll requested comments from the Approval Authority. Seeing none, she requested public comment.

   One member of the public made a comment.

9. **ANNOUNCEMENTS - GOOD OF THE ORDER**

   There were no announcements.

## 10. GENERAL PUBLIC COMMENT

Chair Carroll requested comments from the Approval Authority. Seeing none, she requested public comment.

One member of the public made a comment.

## 11. ADJOURNMENT

The meeting was adjourned at 11.41 AM.

# General Manager's Report

**To:** **Bay Area UASI Approval Authority**

**From:** **Craig Dziedzic, General Manager**

**Date:** **January 13, 2022**

**Re:** **Item 03: General Manager's Report**

**Recommendations:**

No Recommendation.

**Action or Discussion Items:**

(a) UASI FY 2022 Notice of Funding (NOFO) (Discussion)
(b) 2022 National Homeland Security Conference (Discussion)
(c) Management Team Tracking Tool and Future Agenda Items (Discussion)

**Discussion/Description:**

**(a) FY 2022 UASI Grant (Discussion Only)**

On December 2, 2021, Congress passed a Continuing Resolution to provide funding for Federal government programs through February 18, 2022. In order to release the FY 2022 UASI Notice of Funding Opportunity (NOFO), Congress will need to pass legislation for appropriations of the entire 2022 fiscal year.

When reviewing both U.S. House and U.S. Senate Appropriation Bills for FY 2022, it is expected the UASI grant program will receive $616 million in funding, which was the same level of funding as FY 2021 ($705 million for the UASI Grant Program minus $90 million for the Nonprofit Security Grant Program).

**(b) 2022 National Homeland Security Conference (Discussion Only)**

Cleveland, Ohio will host the 2022 National Homeland Security Conference from July 11 - July 14, 2022. All Approval Authority members and/or Alternates who are interested in attending the conference should contact the UASI Management Team and complete the Bay Area UASI Travel Request document.

**(c) Management Team Tracking Tool and Future Agenda Items (Discussion Only)**
Attached as Appendix A is the Management Team Tracking Tool. Approval Authority members may submit future agenda items at any time to the General Manager.

# Bay Area UASI Management Team Tracking Tool

|     | Agenda Item | Presenter | Meeting Date |
| --- | --- | --- | --- |
| 1. | Grant Status Update | Craig Dziedzic | 03/10/22 |
| 2. | FY22 Funding Allocations & Hub Projects | Mary Landers | 03/10/22 |
| 3. | Program Quarterly Updates | Corinne Bartshire<br>Dominic Yin<br>Jodi Traversaro<br>Amy Ramirez | 03/10/22 |
| 4. | NCRIC Threat Briefing- Special Events/SARS | Mike Sena | 03/10/22 |
| 5. | Bay Area UASI Travel Expenditures | Tristan Levardo | 03/10/22 |
| 6. | Annual Budget, Organization Chart, Work Plans, Annual Report | Craig Dziedzic | 06/09/22 |
| 7. | Risk Management Program Update | Amy Ramirez | 06/09/22 |
| 8. | Bay Area Training & Exercise Program (BATEP) Quarterly Update | Corinne Bartshire | 06/09/22 |
| 9. | NCRIC Threat Briefing - SEAR Event Briefing/Gun Related Intelligence | Mike Sena | 06/09/22 |
| 10. | Reallocation of Grant Funds | Tristan Levardo | 06/09/22 |

## Recurring Agenda Items

| | Agenda Item | Presenter | Mtg. Date | Deliverable/ Comments |
|---|---|---|---|---|
| 1 | General Manager Report | Craig Dziedzic | 01/12/23<br>03/09/23<br>06/08/23<br><br>11/10/22 | Election of UASI Officers<br>Grant Status Update<br>Annual Budget, Organization Chart, Work Plans, Annual Report<br>2023 Approval Authority Calendar |
| 2 | Risk Management Program | Amy Ramirez | 01/12/23<br>06/08/23<br>11/10/22 | Program Kick Off<br>Program Update<br>THIRA/SPR Approval; Hub Funding Allocation/ Risk Formula Application |
| 3 | Program Annual Reports<br>  a.  Bay Area Training & Exercise Program (BATEP)<br>  b.  Bay Area Regional Interoperable Communications System (BayRICS) JPA Program Update<br>  c.  Northern California Regional Intelligence Center (NCRIC) | Corinne Bartshire<br>Corey Reynolds<br><br>Mike Sena | 01/12/23<br>01/12/23<br><br>01/12/23 | Program Annual Reports |
| 4 | Program Quarterly Updates<br>  a.  Securing the Cities SF Bay Area (STC SFBA)<br>  b.  Targeted Violence Terrorism Prevention Program (TVTP)<br>  c.  Regional Catastrophic Preparedness Grant Program (RCPGP)<br>  d.  Bay Area Training & Exercise Program (BATEP) | Dominic Yin<br>Jodi Traversaro<br>Amy Ramirez<br>Corinne Bartshire | 09/08/22<br>11/10/22<br>03/09/23<br>06/08/23 | Program Quarterly Updates |
| 5 | Threat Briefings<br>Northern California Regional Intelligence Center (NCRIC) | Mike Sena | 09/08/22<br>11/10/22<br>03/09/23<br>06/08/23 | Cyber Security/Threat Briefing<br>Regional Information Sharing System<br>Special Events/ SARS<br>SEAR Event Briefing/Gun Related Intelligence |
| 6 | UASI Financial Reports | Tristan Levardo | 09/08/22<br>11/10/22<br>1/12/23<br>3/09/23<br>06/08/23 | FY21 UASI Spending Report<br>Reallocation of Grant Funds<br>FY20 UASI Spending Report<br>UASI Travel Expenditures<br>Reallocation of Grant Funds |

# Election of UASI Officers

**To:**      **Bay Area UASI Approval Authority**

**From:**  **Craig Dziedzic, General Manager**

**Date:**    **January 13, 2021**

**Re:**      **Item 04: Election of UASI Officers**

**Staff Recommendation:**

No recommendation

**Action or Discussion Items:**

Action

**Discussion:**

Following the process outlined in the Bay Area UASI Approval Authority Bylaws, the Approval Authority shall elect a Chair and Vice-Chair from among the Member's primary representatives on an annual basis. The General Manager will initiate the nomination process and candidates may make a statement and then hear public comment. After public comment, an open vote will take place at the pleasure of the board. Roll call will take place by Members in the following order: City of San Francisco, County of Alameda, County of San Francisco, City of Oakland, City of San Jose, County of Santa Clara, County of Contra Costa, County of Marin, County of Monterey, County of San Mateo, and County of Sonoma.

After the vote for Chair has taken place, the General Manager will open nominations for Vice-Chair. The candidates may make a statement and then hear public comment. After public comment, an open vote will take place at the pleasure of the board. Roll call will take place by Members in the following order: City of San Francisco, County of Alameda, County of San Francisco, City of Oakland, City of San Jose, County of Santa Clara, County of Contra Costa, County of Marin, County of Monterey, County of San Mateo, and County of Sonoma.

# Risk Management Program Overview and Hub Funding Formula

**To:** **Bay Area UASI Approval Authority**

**From:** **Amy Ramirez, Regional Project Manager – Risk Management**

**Date:** **January 13, 2022**

**Re:** **Item 05: Risk Management Program Overview and Hub Funding Formula**

<u>**Staff Recommendation:**</u>

Approve FY22 UASI Hub Funding Formula.

<u>**Action or Discussion Items:**</u>

A. Risk Management Program Overview (Discussion)
B. FY22 Hub Funding Formula (Action)

## A. Risk Management Program Overview (Discussion)

<u>**Background:**</u>

Each year the Bay Area UASI Risk Management Program includes data input, validation, assessments, and reporting to support decision-making and guide grant spending. A risk and capability-based methodology to allocate UASI funds is required by the Department of Homeland Security and is mandated in the Bay Area UASI Approval Authority Bylaws.

<u>**Discussion:**</u>

The Management Team has completed its planning for the 2022 risk management cycle in partnership with jurisdictions, the NCRIC, and Haystax. An overview of program highlights is summarized below and provided in a PowerPoint presentation (Appendix A).

*Risk Management 2022 Program Highlights*

- The UASI Management Team will hold a Risk Management kick-off webinar for stakeholders.
- Jurisdictions will conduct their annual asset review to fill information gaps and add Special Events for use in the Department of Homeland Security annual data calls.
- The NCRIC will update mass transit systems in Cal COP.
- Haystax will refresh the Population data input for the FY23 Hub Funding Formula using the most recent Census data.

*THIRA / SPR 2022 Highlights*

All Homeland Security fund grantees are required by DHS to produce a Threat Hazard Identifications and Risk Assessment (THIRA) and a Stakeholder Preparedness Review (SPR). FEMA requires a full review of the THIRA and the SPR assessment of all Capability Targets in 2022. The UASI Management Team will review the draft THIRA and SPR in October. The final THIRA and SPR will be presented for approval at the November Approval Authority meeting in preparation for submission to FEMA by December 31, 2022.

*Risk Management Program Schedule*

This year, there are four main steps to our risk management process:

1.  **Risk Management Kick-Off Meeting** *January 26, 2021, 9:00 am – 10:00 am*

The Bay Area UASI Management Team provides an overview of the risk management process for the grant year; the annual approach to the Threat and Hazard Identification and Risk Assessment (THIRA) and Stakeholder Preparedness Review (SPR); the California Common Operating Picture (Cal COP) for Threat Awareness; as well as Protected Critical Infrastructure Information (PCII) certification requirements.

2.  **Asset Updates and Special Event Data Collection** *January 26, 2022 – April 15, 2022*

Subject matter experts enter data on special events and review and update asset data based, as needed, in Cal COP.  The NCRIC reviews and validates the information. In preparation, Haystax will offer a training for Bay Area Cal COP users, by hub, between February 7 – 11 (TBC).

3.  **THIRA Scenario and Capability Target Review and Development** *January - March*

SMEs will review and provide input on current THIRA scenarios and standardized capability targets. New capability targets will be developed to measure National Priority Area projects.

4.  **SPR Update** *March - June*

Complete the SPR for all Core Capabilities (i.e. change in capacity from last year, reasons for gaps, and gap-closing strategies). This process will be completed via worksheets and through input gathered from UASI Regional Work Groups and focused meetings with SMEs.

## B.  FY22 Hub Funding Formula (Action)

**<u>Background:</u>**

The Bay Area UASI uses Department of Homeland Security's (DHS) Metropolitan Statistical Area (MSA) risk formula to guide the portioning of grant dollars among the Bay Area four hubs using risk criteria.  We have used the following hub funding formula for the past ten years:

$$\textit{Population risk (49\%)} * \textit{Asset risk (29\%)} * \textit{Economic risk (22\%)}$$

Haystax Technology calculates these risk percentages using the following inputs:
-   Population risk = census, density, and commuter data;
-   Asset risk = threat, vulnerability, and consequence data from the Bay Area UASI asset catalog in Cal COP; and
-   Economic risk = Gross Domestic Product (GDP) by Metropolitan Statistical Area (MSA) and industry data from the U.S. Bureau of Economic Analysis (BEA).

Haystax combines the three weighted risk elements (population, asset, and economic) to determine each hub's overall percentage of risk in the region. Economic data is refreshed every three years, population data is refreshed every five years, and asset data is refreshed yearly.

**Discussion:**

The population risk data was updated with the 2015-2019 American Community Survey (ACS) 5-Year Data. Asset risk distribution percentages changed minimally, given that our asset database is analytically robust from prior year data clean ups. The economic risk data was updated with the most recent 2020 BEA GDP data by county.

The FY22 hub allocation risk percentages changed minimally from last year. The table below provides the FY22 hub risk allocation percentage results as compared to FY21. The Management Team will be happy to meet and review the hub funding formula process and updates.

| Hub | FY 2021 Allocation Percentage | FY 2022 Allocation Percentage |
|---|---|---|
| East | 23.73% | **23.01%** |
| North | 6.65% | **6.34%** |
| South | 25.15% | **25.50%** |
| West | 44.47% | **45.16%** |
| **TOTAL** | **100%** | **100%** |

# Bay Area UASI

Risk Management
Program
Overview
&
Hub Funding Formula

Amy Ramirez, Project Manager

Approval Authority Meeting
Agenda Item 5
January 13, 2022

Appendix A

# THIRA / SPR

## Threat & Hazard Identification and Risk Assessment

- Review all scenarios and Capability Targets
- Develop new Capability Targets for National Priority Area Projects

## Stakeholder Preparedness Review (SPR)

- Assess all Capability Targets
  - ➤ Focus on Fatality Management
- Gather input via: Worksheets, Regional Work Groups, Focused SME webinars

# Cal COP* Roadshow

- Hands-on training session by Hub
  - February 7 – 11 (TBC)

- Highlight use cases:
  - BART
  - Non-profit / school security assessments
  - DHS Special Events

\* Cal COP: California Common Operating Picture for threat awareness

# Timeline

| | Jan | Feb | Mar | Apr | May | Jun | Jul | Aug | Sept | Oct | Nov | Dec |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| **RM Kickoff** | ◆ | | | | | | | | | | | |
| **THIRA** | | ██ | ██ | | | | | | | | | |
| **Cal COP Roadshow/Training** | | ◆ | | | | | | | | | | |
| **Asset Data Validation** | | ██ | ██ | ██ | | | | | | | | |
| **SPR** | | | | ██ | ██ | ██ | | | | | | |
| **Asset, Risk, THIRA/SPR Update to AA** | | | | | | ◆ | | | | | | |
| **THIRA/SPR Approval & Hub Funding Formula to AA** | | | | | | | | | | | ◆ | |
| **THIRA/SPR Submitted to FEMA** | | | | | | | | | | | | ◆ |

# Hub Funding Formula Refresh

## Hub Funding Formula & Sources:

Population risk (49%): census, density, commuter data

X

Asset risk (29%): Cal COP asset catalogue data

X

Economic risk (22%): GDP (by MSA) and BEA data

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Release of Census Population Data set (2016 – 2020) delayed until March 2022

- FY22: Population data set will use 2015-2019
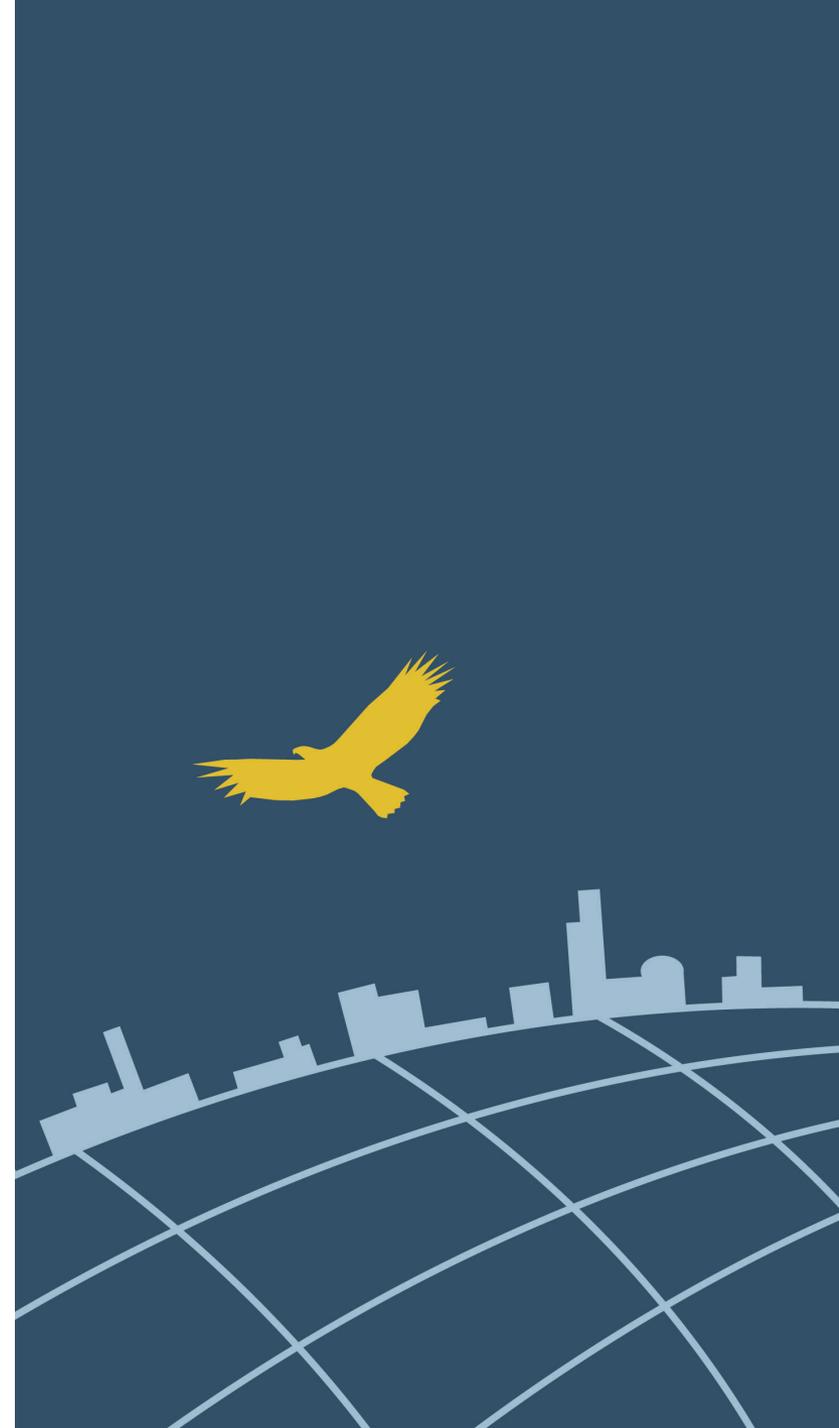- FY23: Refresh with latest Census

# Hub Funding Formula

| Hub | FY 2021 Allocation Percentage | FY 2022 Allocation Percentage |
|---|---|---|
| East | 23.73% | 23.01% |
| North | 6.65% | 6.34% |
| South | 25.15% | 25.50% |
| West | 44.47% | 45.16% |
| TOTAL | 100% | 100% |

Amy Ramirez
amy.ramirez@sfgov.org
415-412-8313

Thank you.

# FY22 Sustainment Project Annual Reports and Funding Recommendations

**To:** **Bay Area UASI Approval Authority**

**From:** **Janell Myhre, Regional Program Manager**

**Date:** **January 13, 2022**

**Re:** **Item 06: FY22 Sustainment Project Annual Reports and Funding Recommendations**

**Recommendation:**

Approve the recommended funding amounts for the Bay Area Training and Exercise Program and the Northern California Regional Intelligence Center (NCRIC).

**Action or Discussion Items:**

Action.

**Background**

The FY22 UASI Project Proposal Guidance states that the Bay Area UASI Management Team will report all sustainment project proposals to the Approval Authority, along with funding recommendations based on the region's risk and gaps as well as funding availability.

**Discussion**

Listed in Table One below are two regionally funded sustainment projects: training and exercise and the fusion center, inclusive of law enforcement public safety information sharing. These projects will be presented directly to the Approval Authority, along with an Annual Report (Appx 6a and 6b), in today's meeting.

Please note that the Management Team's current funding recommendations for the sustainment projects assumes that our FY22 grant will be the same as last year, with the State retaining the same percentage of the grant as last year (i.e. 17.5%).

The Management Team will provide final funding recommendations once DHS announces the FY22 grant award amount, likely at the June Approval Authority meeting. At that time, the Approval Authority will also review/approve all hub projects recommended by hub voting members.

**Table 1: FY22 UASI Proposed Sustainment Projects**

| Agenda Item | Sustainment Project | Proposer | FY21 Allocation | Requested FY22 Amount | Recommended FY22 Allocation |
|---|---|---|---|---|---|
| 6a | Bay Area Regional Training and Exercise Program | Bay Area UASI Management Team | $4,312,399 | $4,412,399<br><br>*Added 100K Mass Notification Conference* | $4,412,399 |
| 6b | Fusion Center - Information Analysis, Infrastructure Protection, and Cyber Security<br><br>*Includes $1,550,625 (5%) National Priority allocation for Info and Intel Sharing* | Northern California Regional Intelligence Center (NCRIC) | $ 6,431,074 | $ 6,431,074 | $ 6,681,074<br><br>*Added 250K LE Info Sharing Project* |
| 6b | Regional Law Enforcement Information Sharing Systems | NCRIC on behalf the Public Safety Information Sharing Workgroup | $500,000 | $250,000 | 0 |
| | | | | | |
| **Total FY22 Sustainment Project Funding Recommendation** | | | $11,243,473 | $11,093,473 | **$11,093,473** |

BAY AREA TRAINING
AND EXERCISE PROGRAM

2021

ANNUAL REPORT

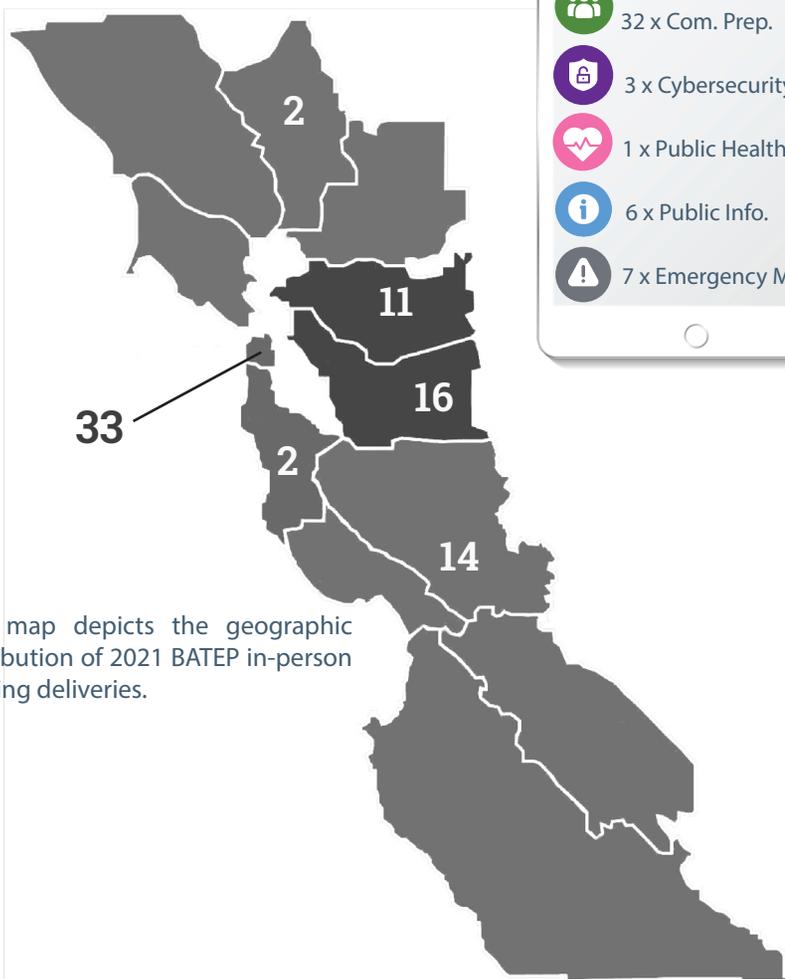January 1 – December 31, 2021

# TABLE OF CONTENTS

# EXECUTIVE SUMMARY

## 2021 BATEP COURSE DELIVERIES

### IN-PERSON

2
11
16
2
14
33

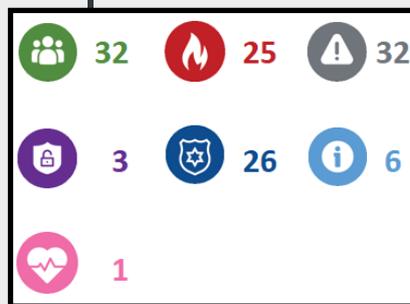This map depicts the geographic distribution of 2021 BATEP in-person training deliveries.

### VIRTUAL

- 32 x Com. Prep.
- 3 x Cybersecurity
- 1 x Public Health
- 6 x Public Info.
- 7 x Emergency Mgt.

## 2021 SUMMARY

**125** COURSES DELIVERED

| | | |
|---|---|---|
| 32 | 25 | 32 |
| 3 | 26 | 6 |
| 1 | | |

**3,621** STUDENTS TRAINED

**1,977** NEW ACCOUNTS CREATED ON BATEP.ORG

**215,267** PAGEVIEWS ON BATEP.ORG

## 2020 SUMMARY

**55** COURSES DELIVERED

| | | |
|---|---|---|
| 40 | 7 | 1 |
| 3 | 3 | 1 |
| 0 | | |
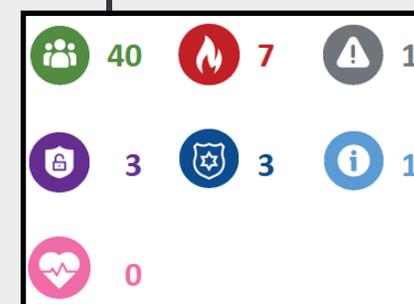
**1,497** STUDENTS TRAINED

**594** NEW ACCOUNTS CREATED ON BATEP.ORG

**63,543** PAGEVIEWS ON BATEP.ORG

Community Preparedness | Fire Services | Emergency Management | Cybersecurity | Law Enforcement | Public Information | Public Health

# 1 About BATEP

The Bay Area Training and Exercise Program (BATEP), launched by the Bay Area Urban Areas Security Initiative (BAUASI) in 2020, provides grant-funded training and exercise services for Bay Area jurisdictions and their partners to build and sustain core capabilities to prevent, protect, respond to, and recover from terrorist incidents and catastrophic events.

# BATEP Guiding Principles

Program administrators, training coordinators, and staff ensure that BATEP maintains:

A transparent and inclusive process through the Bay Area UASI's Training and Exercise Work Group to determine training priorities, funding allocations, and region wide exercise opportunities.

Prioritizing of training and exercise activities derived from and aligned with  the National Preparedness Goal, the Bay Area Threats and Hazards Identification and Risk

An ongoing opportunity for the whole community partners to coordinate regionally on training and exercise opportunities.
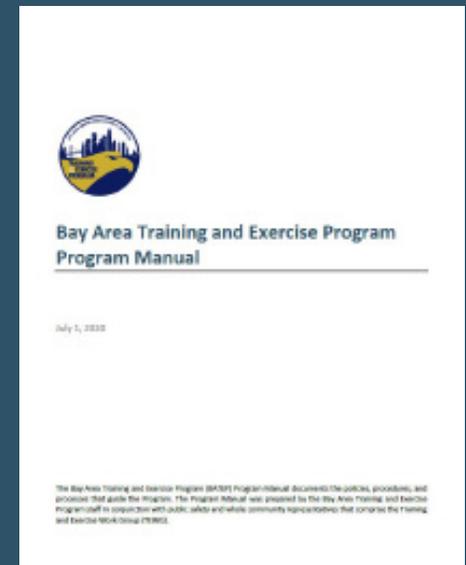
A balanced offering of training and exercise opportunities for all public safety disciplines.

A commitment to enhancing preparedness, response, and recovery capabilities of community-based organizations throughout the Bay Area UASI region.

### BATEP Program Manual

The BATEP Program Manual, developed in 2020, and updated annually documents the policies, procedures, and processes that guide the Program. The Program Manual is designed to provide information for training students, hosts, and vendors; for agencies participating in regional exercises; and for community-based organizations engaging with the Program's trainings and exercises.



Bay Area Training and Exercise Program
Program Manual

July 1, 2020

The Bay Area Training and Exercise Program (BATEP) Program Manual documents the policies, procedures, and processes that guide the Program. The Program Manual was prepared by the Bay Area Training and Exercise Program staff in conjunction with public safety and whole community representatives that comprise the Training and Exercise Work Group (TEWG).

# 2

# 2021 TRAINING

As the COVID-19 pandemic continued through 2021, the BATEP provided virtual training where possible, and ensured the safety of in-person training by following local public health orders.

# 2021 Training Summary

| Core Capability | # of Courses | # of Students Trained |
|---|---|---|
| Community Resilience | 32 | 1382 |
| Cyber Security | 3 | 116 |
| Fire Management and Suppression | 2 | 47 |
| Interdiction and Disruption | 7 | 117 |
| Logistics and Supply Chain Management | 4 | 94 |
| Mass Care Services | 1 | 34 |
| Mass Search and Rescue | 12 | 292 |
| On Scene Security, Protection, and Law Enforcement | 5 | 95 |
| Operational Coordination | 28 | 1001 |
| Planning | 2 | 80 |
| Public Health, Health Care and Emergency Services | 4 | 73 |
| Public Information and Warning | 8 | 218 |
| Situational Assessment | 5 | 71 |
| Access and Control Verification | 2 | 31 |
| Environmental Response Health and Safety | 7 | 181 |
| Economic Recovery | 4 | 44 |

Each year the Bay Area UASI conducts a capability assessment of our region's capacity to meet capability targets as outlined in the Bay Area Threats and Hazards Identification and Risk Assessment (THIRA) / Stakeholder Preparedness Review (SPR). BATEP prioritizes training offerings based on the gaps identified through the capability assessment. BATEP's training accomplishments then inform the following year's regional capability assessment. The Bay Area UASI's THIRA/SPR and BATEP's training priorities are aligned with the National Preparedness Goal and California Homeland Security Strategy.



National Preparedness Goal

Second Edition
September 2015

Homeland Security
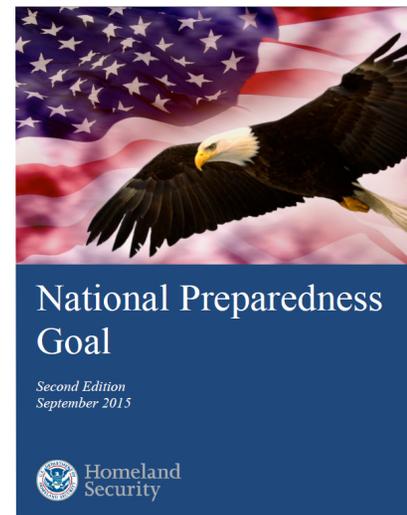
CORE CAPABILITIES TRAINED

16

## Fire Service Course Deliveries



*"I appreciated the variety of opportunities to challenge myself with complex and simple solutions to multiple scenarios"* - **Rope Rescue Technician Training Student**

**25** COURSE DELIVERIES

**847** STUDENTS TRAINED

### CORE CAPABILITIES TRAINED

| | |
|---|---|
| Mass Search and Rescue Operations | Fire Management and Suppression |
| Environmental Response/Health and Safety | Public Information and Warning |
| Operational Coordination | Logistics and Supply Chain Management |

## Law Enforcement Course Deliveries



*"Great class. The class has prepared me to recognize military munitions and work around them safely"* - **Advanced Ordinance Training Student**

**26** COURSE DELIVERIES

**465** STUDENTS TRAINED

### CORE CAPABILITIES TRAINED

| | |
|---|---|
| Interdiction and Disruption | Operational Coordination |
| On-scene Security, Protection, and Law Enforcement | Situational Assessment |
| Public Health, Healthcare and Emergency Medical Services | Mass Search and Rescue Operations |
| Intelligence and Information Sharing | Access Control and Identity Verification |

## Public Health



**1** COURSE DELIVERIES

**180** STUDENTS TRAINED

**CORE CAPABILITIES TRAINED**

Public Health, Healthcare, and Emergency Medical Services
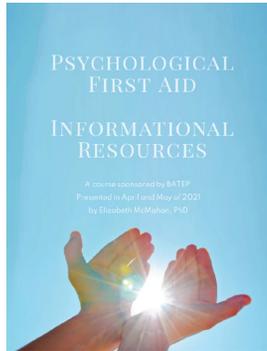
*"This training was very timely and relevant especially during the pandemic; it provided me with practical skills to cope during these hard times"* - **Psychological First Aid Student**

## Emergency Management Course Deliveries



**32** COURSE DELIVERIES

**525** STUDENTS TRAINED

**CORE CAPABILITIES TRAINED**

| | |
|---|---|
| Economic Recovery | Operational Coordination |
| Mass Care Services | Logistics and Supply Chain Management |
| Planning | Public Information and Warning |
| Situational Assessment | |

*"Our instructor provided experience from real-world scenarios"* - **G775 EOC Management and Operations Student**
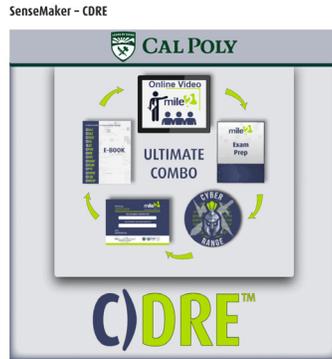
## Cybersecurity Course Deliveries



**3** COURSE DELIVERIES

**116** STUDENTS TRAINED

**CORE CAPABILITY TRAINED**

Cybersecurity

*"I will reach out to Corey for a quote"* - **Cybersecurity course student**

## Public Information Communications



**6** COURSE DELIVERIES

**106** STUDENTS TRAINED

**CORE CAPABILITIES TRAINED**

Public Information and Warning

*"I absolutely recommend this to any and all in communications. This is a wonderful class filled with practical information and experience"* - **Advanced Public Information Officer Training Student**

## Community Preparedness Training Seminars



*"I wish we would've had more conversations about how quickly and how significantly our operations might have to change (before covid)."* - **Continuity of Operations**

**32** COURSE DELIVERIES

**1382** STUDENTS TRAINED

### CORE CAPABILITY TRAINED

| | |
|---|---|
| Community Resilience | Operational Coordination |
| Long-Term Vulnerability Reduction | Risk and Disaster Resilience Assessment |
| Operational Communication | |

The BATEP community preparedness trainings are offered though a collaboration led by San Francisco Community Agencies Responding to Disaster (SF CARD). The training seminars in 2021 covered topics such as Continuity of Operations, Incident Command Systems for Nonprofits, Personal and Organizational Preparedness and Emergency Planning, Disaster Donations, and Disaster Volunteer Management.

Additionally topical seminars have addressed collaborations between government and nonprofit or faith-based communities through special panels on topics as diverse as Disaster Animal Protocols, collaboration of communication between emergency management and the faith-based community, the special considerations of the Latinx community, and people with access and functional needs.

# 4 2021 EXERCISE

The Bay Area UASI hosted the 2021 Bay Area Pubic Safety Preparedness Summit, a capstone series of exercises and training events, that brought together multiple public safety disciplines, private sector partners, and non-profit community-based organizations in building a more resilient Bay Area.

# Golden Eagle Exercise

On November 3rd, 2021, the one-day full-scale / functional Golden Eagle Exercise focused on critical transportation operations and distribution of life sustaining commodities following a 7.0+ earthquake on the Hayward Fault. Participants were confronted with cascading impacts including major damage and disruptions to infrastructure, power, roads, voice communications, and data systems. It exercised the core capabilities of Critical Transportation, Operational Coordination, Situational Assessment, Public Information and Warning, Operational Communications, Community Resilience, and Logistics and Supply Chain Management at local, state, and federal levels.

**30** Participating Bay Area Public Agencies

**10** Participating Local Transit Agencies

**3** Full-Scale Commodity Point of Distribution

**45** State Operations Center Participants



BATEP 2021 ANNUAL REPORT

*"Operational communications were at the heart of our activities. Particularly with coordination and logistical support. This experience enhanced our ability to contribute to the flow of required information"*
– Golden Eagle Exercise Participant

*"Knowledgeable staff did an amazing job assisting the new participants during the training"* - Golden Eagle Exercise Participant


2021 | **Bay Area UASI** PUBLIC SAFETY PREPAREDNESS SUMMIT

# Seminar and Training Event

Opened by Mary Ellen Carroll, Executive Director, San Francisco Department of Emergency Management, the two-day Seminar and Training Event, at the Hyatt Regency and Pier 38 in San Francisco, featured twenty-six seminars and training opportunities in the areas of mass notification, terrorism awareness, emergency preparedness for community-based organizations, and Securing the Cities. Featured video messages from US Representative Eric Swalwell and US Representative Jackie Speier along with recorded seminar sessions may be accessed at www. bayareauasi.org/2021summit.

**250** Participants

**10** Mass Notification Seminars

**11** Training Activities



BATEP 2021 ANNUAL REPORT

*"Thank you for putting together one of the better conferences (and my first post-pandemic event)"* - Seminar and Training Event Participant

*"Found something to take from each of the events I attended"* - Seminar and Training Event Participant
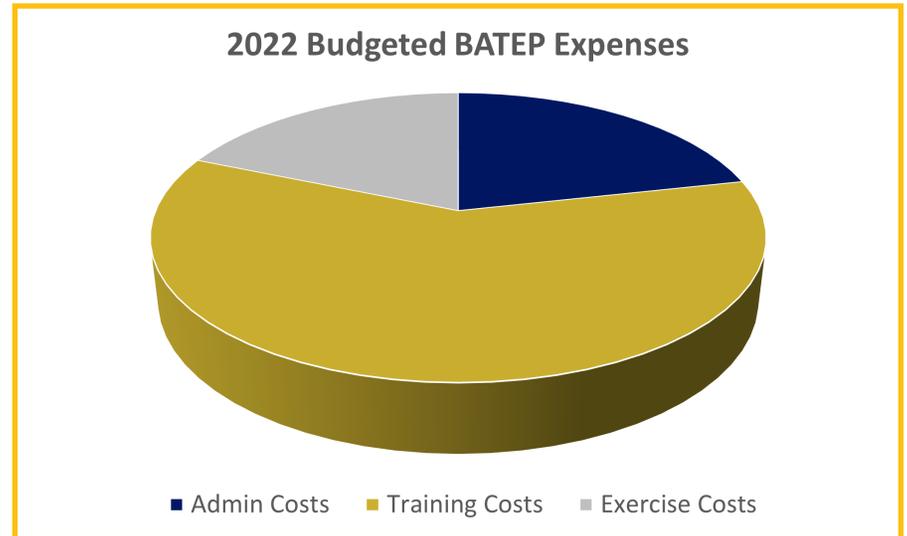


2021 | **Bay Area UASI**
**PUBLIC SAFETY PREPAREDNESS SUMMIT**

6

2022 BATEP BUDGET

# 2022 BATEP Budget

| 2022 Calendar Year Budget | |
|---|---|
| **950,000** | **Estimated BATEP Administration Costs** |
| 317,808 | Multi Discipline Courses |
| 834,000 | Law Enforcement Courses |
| 735,291 | Fire Service Courses |
| 384,440 | Emergency Management Courses |
| 78,243 | Cybersecurity Courses |
| 50,900 | Public Information Courses |
| 106,350 | Public Health Courses (including EMS) |
| 130,307 | Community Preparedness |
| **2,637,339** | **Total Estimated Training Course Costs** |
| 175,000 | May 2022 Critical Transportation Full Scale Exercise |
| 150,000 | Fall 2022 Pandemic Preparedness TTX Series |
| 400,000 | 2022 Bay Area UASI Preparedness Summit |
| 100,000 | First Responder Exercises / Drills |
| **825,000** | **Total Estimated Exercise Costs** |
| **4,412,339** | **Total Estimated 2022 BATEP Expenditures** |

## FY21 UASI Allocation = $ 4,412,339



**2022 Budgeted BATEP Expenses**

■ Admin Costs   ■ Training Costs   ■ Exercise Costs

**To:   Bay Area UASI Approval Authority**

**From: Mike Sena, Director NCRIC/HIDTA**

**Date:  January 13, 2022**

**Re:    Item 06b: NCRIC FY22 Annual Report and Funding Recommendation**

---

**Recommendation:**

Approve $6,681,074 FY22 UASI grant to fund the Fusion Center Project: Information Sharing and Analysis, Infrastructure Protection, Cyber Security, and Law Enforcement Regional Information Sharing System.

**Action or Discussion Items:**

Action

**Discussion/Description:**

**Background:**

The Northern California Regional Intelligence Center is the State designated and federally recognized fusion center for the region. As a multi-jurisdictionally staffed center with many subunits and disciplines, the fusion center is dedicated to the mission of information sharing and providing analytical support to both public safety and private sector critical infrastructure partners within the UASI Area and the Northern Federal District of California. The following information is being provided to give an overview of the fusion center's activities from January 1 to December 31, 2021.

**TERRORISM LIAISON OFFICER OUTREACH PROGRAM - (TLOOP)**

**Duties:** Provide outreach and training to regional partners; review all submitted Suspicious Activities Reports (SAR); follow up on SARs and threats to public safety that require additional information collection and dissemination.

**Personnel assigned**: Ten (10)

**Follow ups:** 347 of 1040 SARs

**Distribution Lists:**

18,158 NCRIC Members Approved for Law Enforcement Sensitive Information
4,912 NCRIC Members Approved for Official Use Only Information


## INFORMATION TECHNOLOGY—CYBER

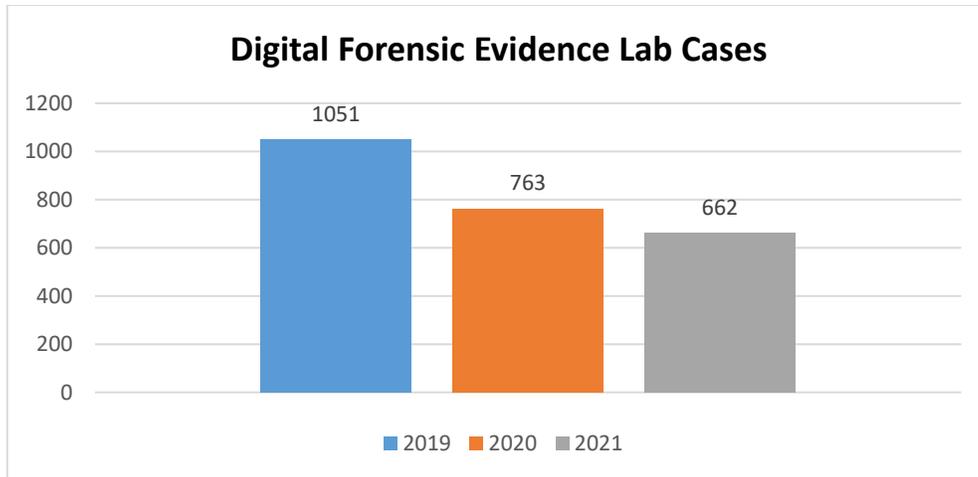**Personnel assigned**: Three (3)

**Duties:** Cyber team members improve regional resilience by sharing sector-specific cyber threat intelligence to public and private sector partners. The team provides support during cyber incidents that includes coordinating physical response and law enforcement involvement. NCRIC Cyber team members are all GIAC-certified professionals.

**Activities:** In 2021 the Cyber Team increased its briefing schedule to meet demand for real-time intelligence, tailoring weekly content to law enforcement and executive audiences alike. The Cyber Team delivered key cyber incident support during 12 active ransomware attacks or network intrusions in the region, building cases for federal partners and sharing information with stakeholders so that other potential victims could protect themselves.
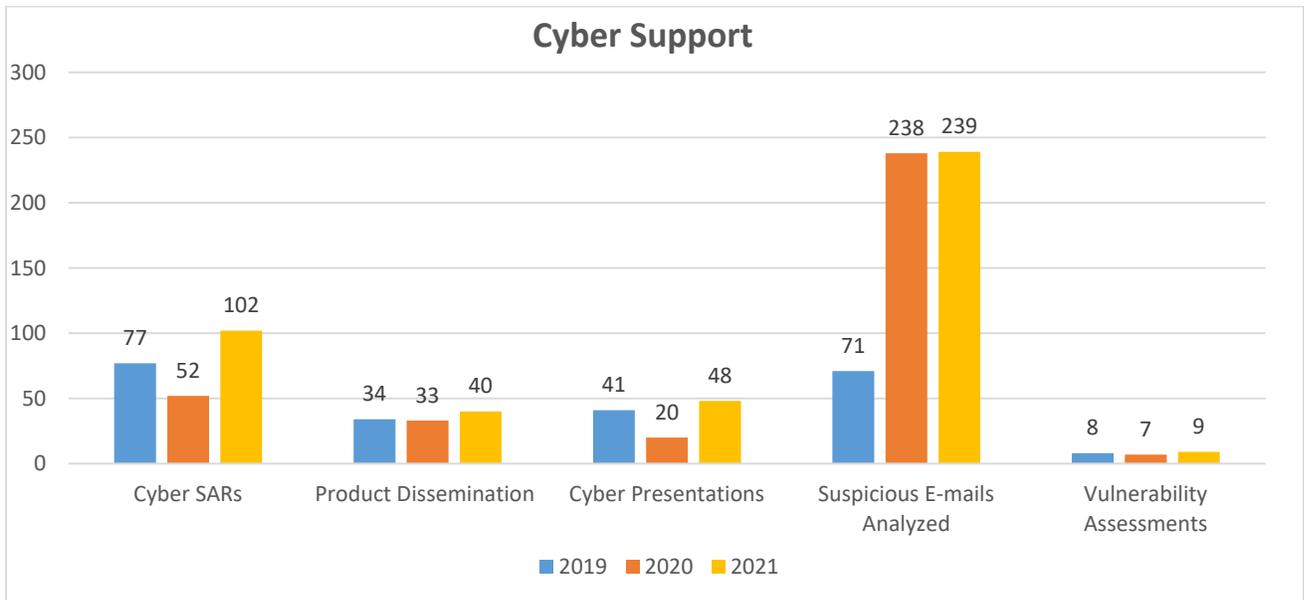


## DIGITAL FORENSIC EVIDENCE LABORATORY

The NCRIC continues to support the Digital Forensic Evidence Lab (DFEL), which reviews data stored on computers and other portable electronic devices.  This work assists in efforts to arrest and convict those engaging in criminal acts.

**Digital Forensic Evidence Lab Cases**



**STRATEGY, INFRASTRUCTURE, AND ANALYSIS (SIA) UNIT**

**Personnel assigned**: Four (4)

**Duties:** The SIA is the NCRIC lead for Critical Infrastructure and Key Resources (CIKR), vulnerability and threat assessments, national data calls for special events and CIKR, special/large-scale event support, and strategic intelligence products and research. The SIA provides support for events based on the potential risk of criminal activity, provides real-time information sharing, and in-depth strategic analysis of regional threats.

**Activities:** In 2021, in addition to coordinating Real-time Open-Source Analysis (ROSA) of threats to public safety, SIA maintained a COVID-19 dashboard for the NCRIC AOR and assessed vulnerabilities of CIKR. SIA wrote intelligence products on caravan robberies, transnational crime organizations, threat assessments in LGBTQ communities and faith-based organizations and a region-wide threat assessment in collaboration with the State Threat Assessment Center (STAC) and other California fusion centers.
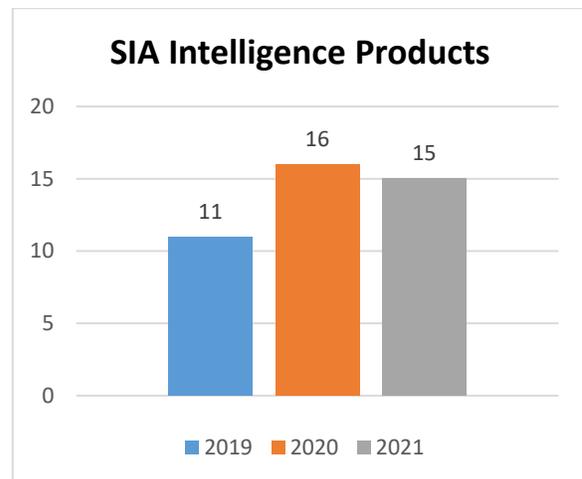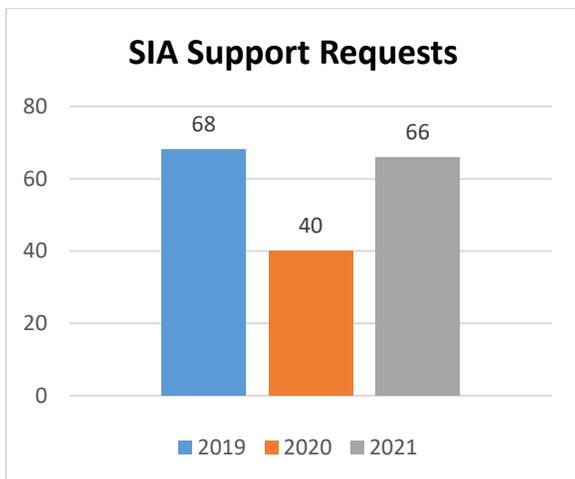
## PRIVATE SECTOR OUTREACH

**Personnel assigned**: One (1)

**Duties:** Develop/facilitate participation by owners and operators to protect critical infrastructure; provide vetted members with highly valued, sensitive information and intelligence; enhance private sector engagement capabilities.

**Activities:**  During 2021, the team temporarily adapted its private sector engagement efforts to the Covid-19 environment which included a modified outreach strategy, along with webinar-based training and information sharing opportunities for its members. The team partnered closely with the FBI InfraGard Program to increase participation by the private sector in this modified strategy. Despite these temporary program changes the team added its largest number of new members in a single year.

CY 2021- 1618 PS Members (*126 New Members)*

**Private Sector Membership**

| 2019 | 2020 | 2021 |
|------|------|------|
| 1567 | 1492 | 1618 |
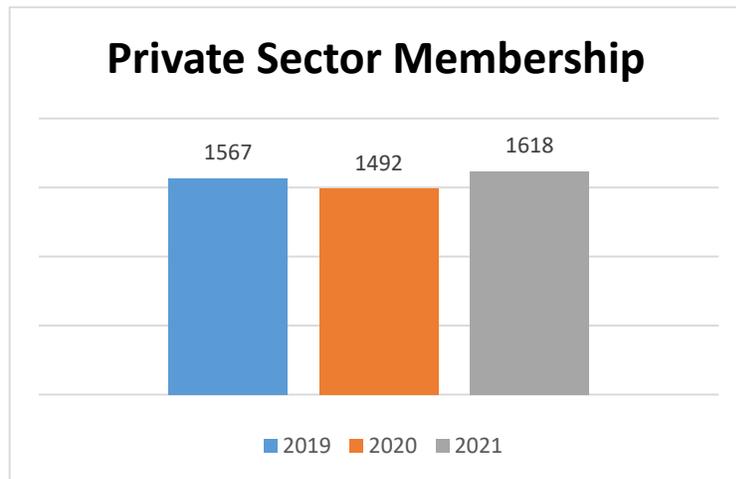
## ANALYTICAL SUPPORT (NCRIC-TAG, Priority Crimes, CSU)

**Personnel assigned**: Ten (10)

**Duties:** Intake, vet, analyze, and share tips, leads, suspicious activity reports, information, and intelligence on foreign and domestic terrorism, criminal activity or threats to life; regularly collaborate and coordinate with federal, state, local, tribal, and territorial public safety partners, other fusion centers, private sector, faith-based community, and other security partners in our region and nationally to enhance threat information and mitigate risks; provide analytical support for requests for information; develop intelligence products, briefings, threat assessments, or strategic communications regarding regional criminal and terrorism activity trends and patterns; provide investigative and real-time event support; and the Gun-Related Intelligence Program in Santa Clara County.

**Activities:** In 2021 there was a 20% decrease in Suspicious Activity Reports (SARs), which were de-conflicted and vetted to determine a criminal and/or a terrorism nexus. This decrease can be attributed to the reduction in law enforcement personnel across the State of California due to funding decreases in law enforcement agencies and COVID 19 limitations. There was nearly a 31% increase in case support requests, in which analysts often provide in-depth data-driven analytical products and/or courtroom testimony. Intelligence background package requests remained static during 2021.

**Analytical Support**

| | 2019 | 2020 | 2021 |
|---|---|---|---|
| SAR | 1217 | 1301 | 1040 |
| Situational Awareness Products | 120 | 76 | 53 |
| Info Requests | 307 | 386 | 299 |
| Intel BG pkg Requests | 244 | 105 | 105 |
| Case Support Reqs | 39 | 145 | 191 |
| LES/FOUO partner update briefs | 104 | 96 | 102 |
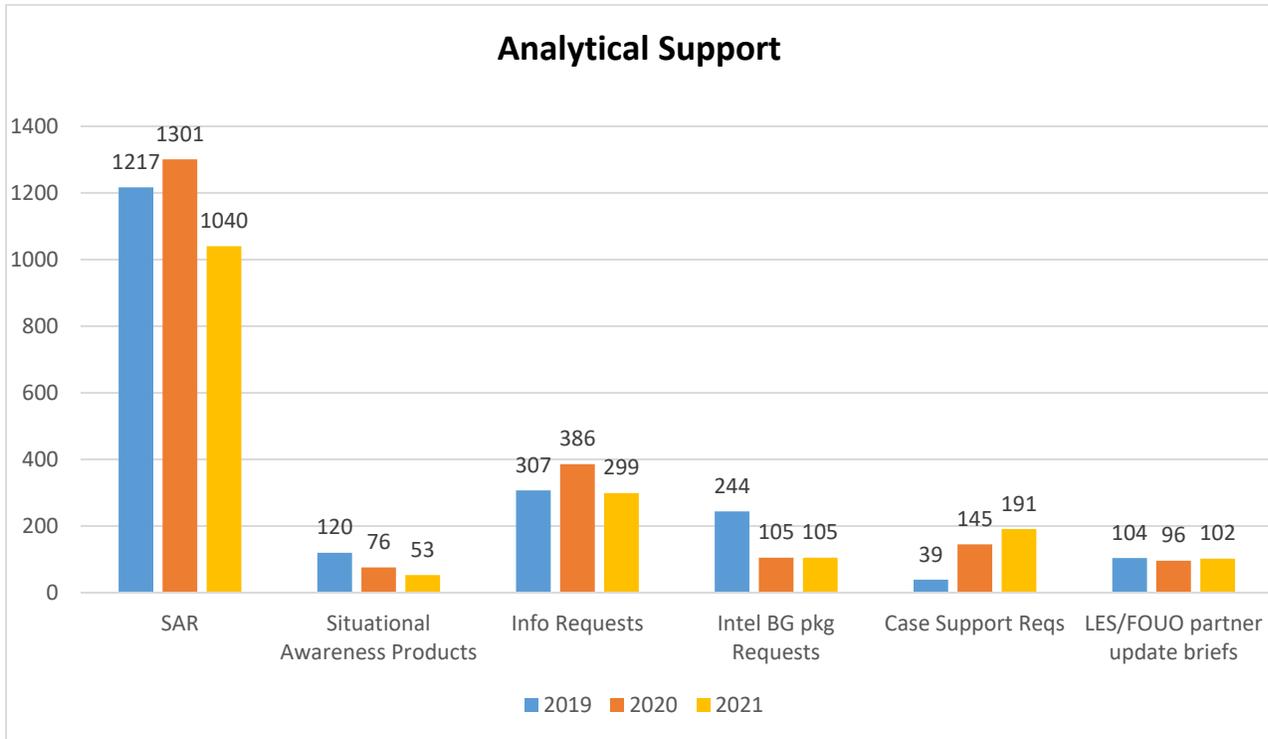
## TRAINING FOR CALENDAR YEAR 2021

In 2021, due to the on-going Covid-19 pandemic, the fusion center adapted to the public health threat environment by using a virtual technological platform to conduct online training classes. These classes related to homeland security, narcotics enforcement, law enforcement officer safety and investigative techniques. Approximately 113 classes were conducted and 6,069 students from allied agencies and organizations attended the 1,246 hours of instruction.

## REGIONAL INFORMATION SHARING PROJECT

**Duties:** Aggregate standalone data beyond jurisdictional boundaries, from discrete sources into unified interfaces, allowing officers and analysts to more rapidly and accurately identify subjects of investigation.

**Activities:** The NCRIC Chairs the working group which directs funding allocated for the Law Enforcement Regional Information Sharing project, and the present primary task is to reduce reliance upon UASI funds. Significant progress was made in 2021 toward independent financial sustainment. FY22 will represent the first significant reduction in reliance on UASI funds with a 50% decrease, followed by another 50% decrease planned in FY23.

Stakeholders and project managers continue to advance capabilities and mature the underlying technology platforms, including:

- Evaluation and/or migration to appropriate cloud-hosting providers; improving stability and reliability while facilitating further sharing
- Reincorporation of disconnected data sets
- Improved connectivity with Jail Management Systems
- Transition to lower-cost service providers
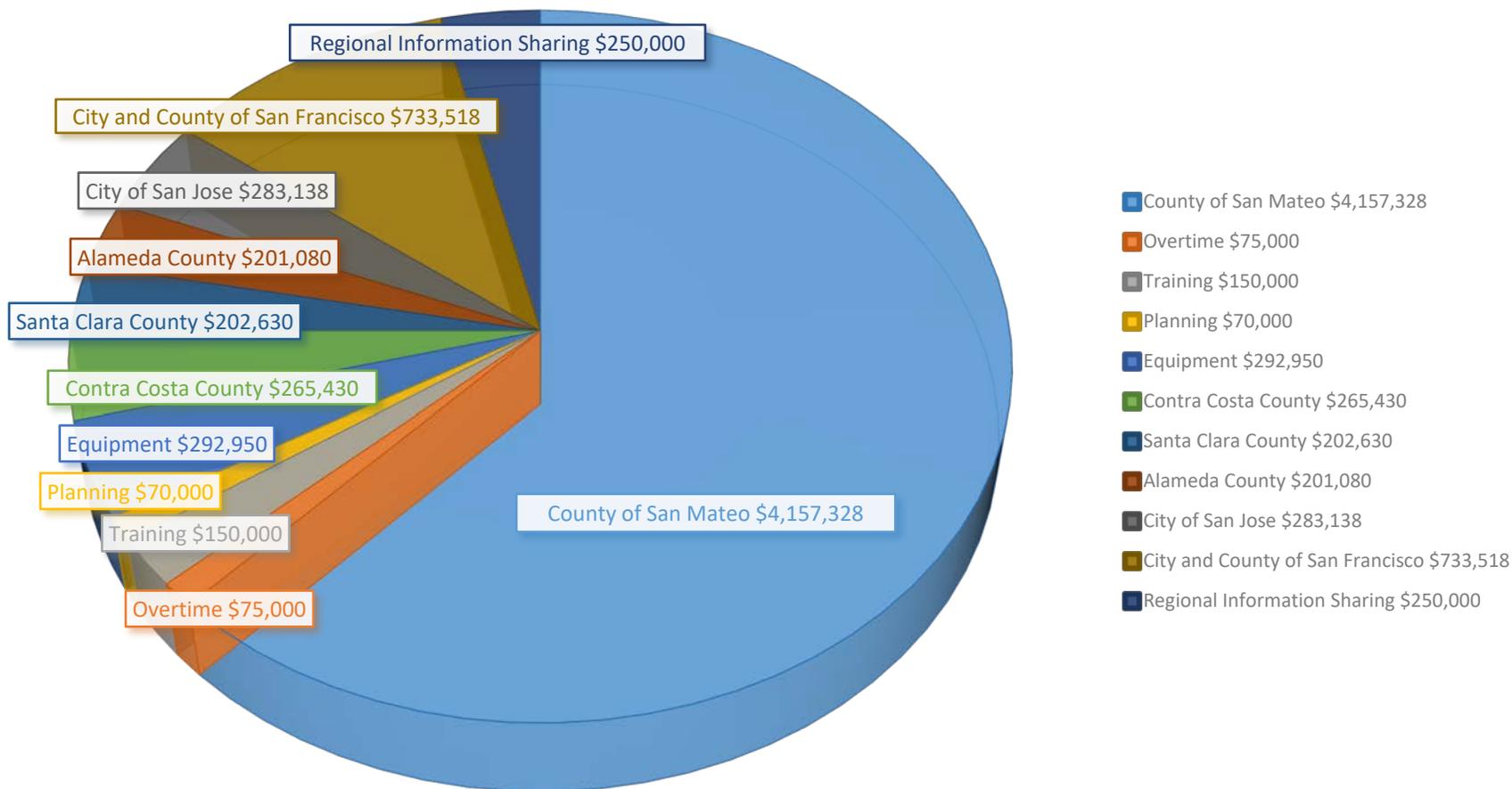- Plan cost-sharing models to distribute financial sustainment among participants

## INITIATIVES AND PROJECTS

The NCRIC/NCHIDTA participated in and/or chaired several national and local initiatives and projects in 2021 including:

**National Network of Fusion Centers Tradecraft Task Team:** the NCRIC, with counterparts in the National Network of Fusion Centers (NNFC), is developing a national professional development framework for intelligence analysts. This framework will enhance analytical standards and cultivate subject matter experts (SMEs) within the NNFC. Goals for this project include more impactful local analysis at a grander geographic scale, faster sharing of precise insight for actionable intelligence, and a collectively clearer national threat picture.

**National Emerging Threat Dashboard:** the NCRIC leadership is developing and implementing the Emerging Threat Dashboard to share immediate alert and warning notifications, improve real-time joint intelligence production, and improve strategic planning. This dashboard will be utilized by the NCRIC, other Fusion centers, HIDTAs/ISCs, RISS Watch Centers, Major City and County Intelligence Commanders, and State and Federal intelligence partners to continue progress for a Regional Integration and Coordination concept.

# NORTHERN CALIFORNIA REGIONAL INTELLIGENCE CENTER PROPOSED FY22 ALLOCATION

Regional Information Sharing $250,000

City and County of San Francisco $733,518

City of San Jose $283,138

Alameda County $201,080

Santa Clara County $202,630

Contra Costa County $265,430

Equipment $292,950

Planning $70,000

Training $150,000

Overtime $75,000

County of San Mateo $4,157,328

**Legend:**
- County of San Mateo $4,157,328
- Overtime $75,000
- Training $150,000
- Planning $70,000
- Equipment $292,950
- Contra Costa County $265,430
- Santa Clara County $202,630
- Alameda County $201,080
- City of San Jose $283,138
- City and County of San Francisco $733,518
- Regional Information Sharing $250,000

# National Priority Project Update and FY22 Funding Recommendations

**To:** **Bay Area UASI Approval Authority**

**From:** **Janell Myhre, Regional Program Manager**

**Date:** **January 13. 2022**

**Re:** **Item 07: National Priority Project Update and FY22 Funding Recommendations**

## Staff Recommendation:

A. Approve revision to FY20 National Priority project description, pursuant to the UASI FY20 Notice of Funding Opportunity (NOFO).

B. Approve project funding for the FY22 National Priority areas, pursuant to the UASI FY21 Notice of Funding Opportunity (NOFO).

## Action or Discussion Item:

Action.

## A. FY20 National Priority Projects

### Background

Pursuant to the FY 2020 NOFO, one project in the Soft Target/Crowded Places investment must be to support enhancing election security. [pg 6; HSGP NOFO]. The National Priority project in the Soft Targets/Crowded Places investment area supporting Election Security was approved as Identity Access Management.

### Discussion

Due to COVID restrictions and delays in FEMA funding release, the FY20 Identity Access Management project's Gaps and Needs analysis will take longer than expected and requires a rescoping of the project. In order to execute project activities within the performance period, the Management Team has identified national best practices in order to re-scope project deliverables.

Listed in Table One below are the original and revised project descriptions. The Management Team is seeking approval to amend the project scope for the FY20 Soft Target Project.

**Table 1: FY20 National Priority Projects**

| Enhancing the Protection of Soft Targets/Crowded Places | Project Description | Total Soft Targets |
|---|---|---|
| Current | | |
| Identity Access Management | Conduct a Gaps and Needs Assessment of Bay Area election security needs, including areas related to populations with Access and Functional Needs (AFN). | $ 550, 625 |
| | Identify a cybersecurity Identity and Access Management (IAM) pilot solution to provide dual factor authentication to enhance remote ballot completion for people with Access and Functional Needs (AFN). Design, build and test a modular internet-based solution for a pilot subset of 1,000 AFN voters. | $1,000,000 |
| Revised | | |
| Mobile Voting Vehicles (MVV) for Underserved and AFN Populations | Conduct a Gaps and Needs Assessment of Bay Area election security needs, including areas related to populations with Access and Functional Needs (AFN). | $ 550, 625 |
| | Identify customized needs to equip MVV for deployment during elections to serve AFN or hard to reach populations. This equipment will support secure election voting locations during an occurrence of a natural disaster as well as under a terrorist attack. Conduct a regional procurement of four (4) MVV to be positioned in the Bay Area at agreed upon locations. | $1,000,000 |

**B.  FY22 National Priority Projects**

**Background**

Pursuant to the FY 2021 NOFO, each UASI applicant must include a separate investment for each of the national priorities – cybersecurity, soft targets/crowed places, information/intelligence sharing, emergent threats, and combating domestic violent extremism. Each one of the five national priority projects are to be funded at either 5% (protection of soft target/crowded places, enhancing info/intelligence sharing, and emergent threats) or 7.5% (enhancing cybersecurity and combating domestic violent extremism), totaling 30% of the total grant award.

Please note that the Management Team's current funding recommendations for the National Priority projects assumes that our FY22 grant will be the same as last year, with the State retaining the same percentage of the grant as last year (i.e. 17.5%).

**Discussion**

In order to satisfy FEMA's National Priority Project requirements, the Management Team collaborated with UASI regional workgroup members and SMEs to establish a list of National Priority Projects as depicted in Table 2 below. The projects align to the region's THIRA/SPR and meets the criteria for effectiveness, which will be competitively reviewed by DHS/FEMA. Funding for each National Priority is targeted between $1,550,625 (5%) and $2,325,938 (7.5%) of the award.

Listed in Table 2 and Table 3 below are the five national priorities, the proposed associated projects, and recommended funding amounts for a total of $9,303,750 – 30% of the grant award.

**Table 2: FY22 National Priority Projects**

| Enhancing the Protection of Soft Targets/Crowded Places 5% | Project Description |
|---|---|
| Mobile Voting Vehicles for Underserved and AFN Populations | This project will collaborate with Bay Area AFN and community organizations to utilize lessons learned from the FY20 NPP Elections Security Gaps and Needs Analysis and fund two (2) additional Mobile Voting Vehicles (MVV) providing a total of six additional locations supporting Bay Area elections. |
| High Yield Explosive Equipment and Training - Canine Explosive Teams | This project continues the partnership with the three Bay Area international airports to implement the FY21 NPP strategy addressing identified gaps in the area of high yield explosives for transit in air, land, or water affecting the San Francisco Bay Area Securing the Cities (STC) region. This project will procure, train, and distribute four additional canine explosive teams for Bay Area airports. |
| **Enhancing Cybersecurity 7.5%** | **Project Description** |
| Region-wide Cyber Security Training and Exercise Series | The purpose of this project is to create a regional multidisciplinary Cyber Training and Exercise regimen that will address the cyber security needs of the Bay Area region's IT Administrators, End Users, C-Suite Executives, Law Enforcement, Fire, Public Health, Operations Technology, and Emergency Operations Centers. The project will include the design, development and delivery of trainings and a functional exercise to test Local and Regional Cyber Incident Response plans, technology recovery plans, and the virtual security operations center (VSOC) framework. |

| Enhancing Information & Intelligence Sharing 5% | Project Description |
|---|---|
| Fusion Center Organization

(Northern California Regional Intelligence Center)

*$1,550,625 Info & Intel Sharing NPP allocation satisfied by NCRIC sustainment project (Item 6)* | This project provides the region with contractors, intelligence officers, and intelligence analysts to conduct vulnerability assessments, develop regional response plans, and consistent threat analysis. By focusing on a whole of government and community approach to identify, prevent, protect against, mitigate, and assist in the response to and the recovery from human-made threats and major hazards, sustaining the Suspicious Activity Reporting (SAR) process, and continuing the joint process for threat and SAR information sharing with social media companies, the goal of meeting the National Priority of Information Sharing can be advanced. |

| Addressing Emergent Threats 5% | Project Description |
|---|---|
| Chemical Biological Equipment, Training, and Exercise | This investment will develop regional multi-jurisdiction/multi-discipline CBRNE training and exercise opportunities for the 12 Bay Area counties. This project will focus on Chem Bio threats to coordinate a full-scale exercise, utilizing Chem Bio equipment, that aligns with the Securing the Cities Program. |

| Combating Domestic Violent Extremism 7.5% | Project Description |
|---|---|
| Preventing DVE and Targeted Violence in Vulnerable Youth Intensive Training | A contractor will provide ten (10) 12-week iterations of an intensive social emotional learning to 225 justice-involved youth in 3 Bay Area counties. |
| School Threat Assessment and Management Teams - Restorative Practices Training | A contractor will provide 10 Bay Area school districts (approximately 1200 school staff members) with 60 Restorative Justice Practices training courses including one Train-the-Trainer course for sustainability. |
| School Threat Assessment Case Management Tool and User Training | A contractor will create a confidential HIPAA/FERPA compliant, standalone School Threat Assessment and Management Case Management software tool that will automate field level reports of threats/student behaviors of concern by schools to be accessed by the school district and School Threat Assessment Team at the County Offices of Education.  The tool will be made available to twelve County Offices of Education School Threat Assessment and Management Teams who support 250 school districts and 2000 schools in the Bay Area. |

| | |
|---|---|
| Anonymous Tip Line for Schools, Training, and Awareness | A contractor will implement a "Say Something" anonymous reporting system (SS-ARS) and respond to anonymous tips for 75 schools in 40 School Districts across 3 Bay Area counties. Funding will pay to plan, prepare, and provide 24/7/365 SS-ARS services (response to tips, troubleshooting, alert/notification system for School Threat Assessment teams, app, reports, on-the-spot training, and ongoing support). |
| Student Mental Health Film Creation and Contest | Expanding upon the FY21 Targeted Violence and Terrorism Prevention grant program project a contractor will be hired to provide specialized mental health and film production training to students in 12 different high schools (6 per year) in the Bay Area who will create mental health film PSAs and host a contest. |

**Table 3: FY22 National Priority Project Funding Recommendation**

| National Priority and Other Projects | Proposer | FY21 Allocation | Requested FY22 Amount | Recommended FY22 Allocation |
|---|---|---|---|---|
| Five National Priority Projects Total $9,303,750<br>- 3 at $1,550,625 (5%);<br>- 2 at $2,325,938 (7.5%)<br><br>a) Info & Intel Sharing*<br>b) Domestic Violent Extremism<br>c) Soft Targets<br>d) Cybersecurity<br>e) Emergent threats | UASI Management Team | $7,753,126 | $7,753,125 | $7,753,125<br><br>*($9,303,750 - $1,550,625 allocated to NCRIC)* |
| Regional Voice and Broadband Data Communications, Planning, Governance | Bay Area Regional Interoperable Communications Systems Authority (BayRICS) | $59,000 | 0<br>*$49K funded via BayRICS and UASI salary savings* | 0 |
| FY22 Bay Area Mass Notification Conference | UASI Management Team | $100,000 | 0<br>*Funded via BATEP* | 0 |
| Regional WebEOC Fusion Project | Marin County Sheriff's Office of Emergency Services | $40,000 | 0 | 0 |
| | | | | |
| **Total FY22 National Priority Funding Recommendation** | | $7,952,126 | $7,753,125 | **$7,753,125** |

*\*$1,550,625 Info & Intel Sharing NPP allocation satisfied by NCRIC sustainment project (Item 6)*

# FY20 Spending Report

**To:**     **Bay Area UASI Approval Authority**

**From:**  **Scott Kaplan, Contracts Specialist**

**Date:**    **January 13, 2022**

**Re:**      **Item 08:  FY2020 UASI Spending Report**

**Staff Recommendation:**

Information only

**Action or Discussion Item:**

Discussion only

**Summary**

The sub-recipient performance period for FY2020 UASI grant is November 1, 2020 – December 31, 2021, with majority of the projects receiving extensions up to 06/30/22.  Management Team budget includes the Bay Area Training and Exercise Program.

**Financial Information:**

| Jurisdiction | Budget | Spending | Spent % | Committed |
|---|---|---|---|---|
| Management Team | 9,697,633 | 1,535,353 | 16% | 8,162,280 |
| National Projects | 6,202,500 | | | 6,202,500 |
| Alameda | 773,814 | 208,500 | 27% | 565,314 |
| Berkeley | 54,803 | | | 54,803 |
| Contra Costa | 744,412 | 112,042 | 15% | 632,370 |
| Livermore-Pleasanton | 27,000 | 27,000 | 100% | |
| Marin | 126,500 | 48,377 | 38% | 78,123 |
| Monterey | 135,600 | | | 135,600 |
| Monterey Fire District | 273,512 | 273,512 | 100% | |
| Napa | 42,000 | | | 42,000 |
| NCRIC | 6,597,740 | 3,921,113 | 59% | 2,676,627 |
| Novato | 60,000 | | | 60,000 |

| | | | | |
|---|---|---|---|---|
| Oakland | 1,115,000 | 336,348 | 30% | 778,652 |
| San Francisco | 2,911,588 | 704,633 | 24% | 2,206,955 |
| San Jose | 1,560,786 | 405,064 | 26% | 1,155,722 |
| San Mateo | 1,036,548 | 464,898 | 45% | 571,650 |
| San Rafael | 35,000 | | | 35,000 |
| Santa Clara | 1,057,468 | 156,057 | 15% | 901,411 |
| Santa Cruz | 80,000 | | | 80,000 |
| Solano | 147,117 | | | 147,117 |
| Sonoma | 273,479 | | | 273,479 |
| Vallejo PD | 30,000 | | | 30,000 |
| Walnut Creek | 30,000 | | | 30,000 |
| Total | 32,012,500 | 8,192,897 | 25% | 24,819,603 |

## SPENT %

| | Spent % |
|---|---|
| Management Team | 16% |
| National Projects | 0% |
| Alameda | 27% |
| Berkeley | 0% |
| Contra Costa | 15% |
| Livermore-Pleasanton | 100% |
| Marin | 38% |
| Monterey | 0% |
| Monterey Fire District | 100% |
| Napa | 0% |
| NCRIC | 59% |
| Novato | 0% |
| Oakland | 30% |
| San Francisco | 24% |
| San Jose | 26% |
| San Mateo | 45% |
| San Rafael | 0% |
| Santa Clara | 15% |
| Santa Cruz | 0% |
| Solano | 0% |
| Sonoma | 0% |
| Vallejo PD | 0% |
| Walnut Creek | 0% |